

# Five DRBG Algorithms Based on Hash Functions and Block Ciphers

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# Overview

- ? Why So Many?
- ? Preliminaries
- ? Hash Based DRBGs
- ? Block Cipher Based DRBGs
- ? Wrapup

# Preliminaries

**Why So Many?**

**Properties of All DRBGs**

**Some Security Definitions**

# Five Symmetric DRBGs?

- ? Three hash-function based
- ? Two block-cipher based
- ? Why have so many?
  - Performance/security assumption tradeoffs.
  - Let designer use what he has available.
  - Minimize additional algorithm dependence.

# Preliminaries: Every DRBG Has....

## ? Security Level

- 80, 112, 128, 192, or 256 bits
- $k$ -bit security level corresponds to a  $k$ -bit AES key
- *Security level determines what mechanisms this DRBG can support.*

## ? A Working State

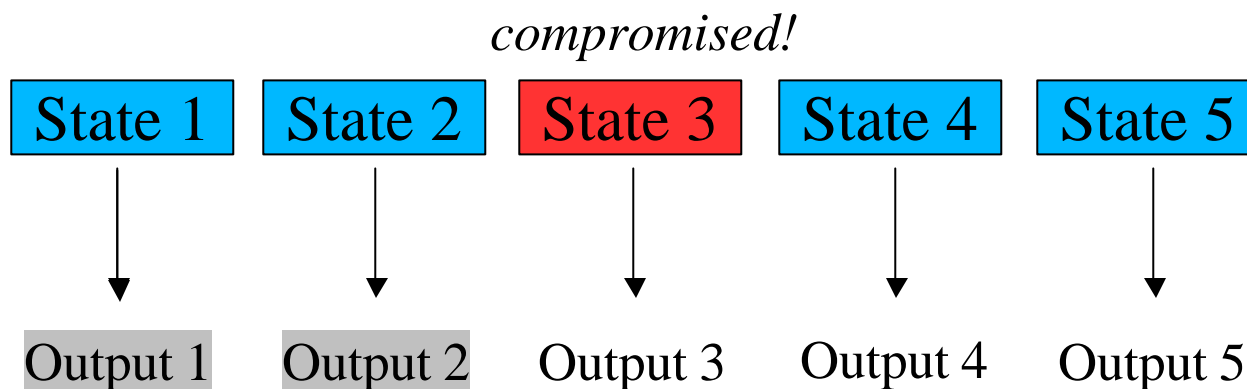
- *At least  $k+64$  bits, for security level  $k$*
- *Protected just like a key*

## ? Assumption: No innocent party ever does more than $2^{64}$ of anything!

# Every DRBG Supports Three “Methods”

- ? *Instantiate—Start the DRBG in a secure state.*
- ? *Reseed—Put the DRBG into a new, secure state.*
- ? *Generate—Produce pseudorandom output.*
  - *Update state after call for backtracking resistance.*
  - *Limit of  $2^{32}$  bytes of output per request.*
  - *Limit of  $2^{32}$  Generate requests.*
  - *Optionally accept additional input—prediction resistance.*

# Backtracking Resistance



? *Compromise of state has no effect on security of previous outputs.*

– *Example: Compromised State 3 has no effect on security of Outputs 1,2.*

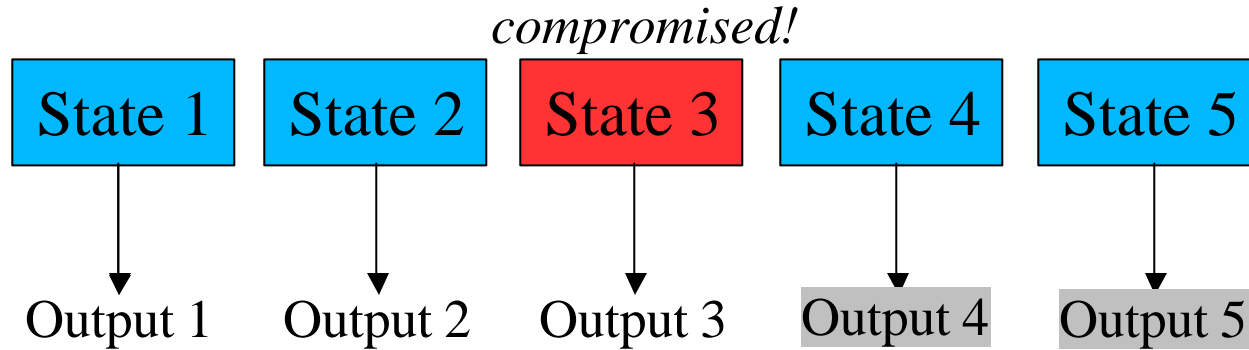
? *All our DRBGs provide backtracking resistance!*

– *Easy to do algorithmically*

– *Per Generate call*

? *Captured modules, forward secrecy*

# Prediction Resistance



- ? *Compromise of state has no effect on security of later outputs.*
  - *Example: Compromised State 3 has no effect on security of Outputs 4, 5.*
- ? *Requires additional entropy*
  - *Our DRBGs can support it per Generate call*
- ? *Allows recovery from compromise or weak state.*



# Basic Outline of All Symmetric DRBGs' Generate Calls:

- ? Process additional-input, if any
  - Update state with additional-input, if it exists. Otherwise, skip this step.
- ? Generate the pseudorandom bits
  - Use current state to produce the bits as requested.
- ? Update state to provide backtracking resistance
  - If additional-input is present, use it;
  - Otherwise, update with just current state.

# Entropy and Derivation Functions

- ? We assume inputs with at least  $k$  bits of *min-entropy*.
- ? We sometimes use *derivation functions* to process inputs:
  - Map input with  $k$  bits of *min-entropy* to random looking string of any desired length.
  - Ideally, indistinguishable outputs from random.
  - Practical requirement is no bad interaction with entropy source distributions or DRBG algorithms.

# Hash-Based DRBGs

**HMAC-DRBG**

**KHF-DRBG**

**Hash-DRBG**

# Preliminaries:

## The Compression Function

- ? Hash functions built on top of compression function:
  - Message padded to whole number of blocks, including length of input
  - Each message processed in turn
- ? Compression function parameters:
  - *Inlen* = message input size (512 for SHA1)
  - *Outlen* = hash output size (160 for SHA1)
- ? Note: *All our designs can be implemented with top-level hash interface, e.g., hash(X)*

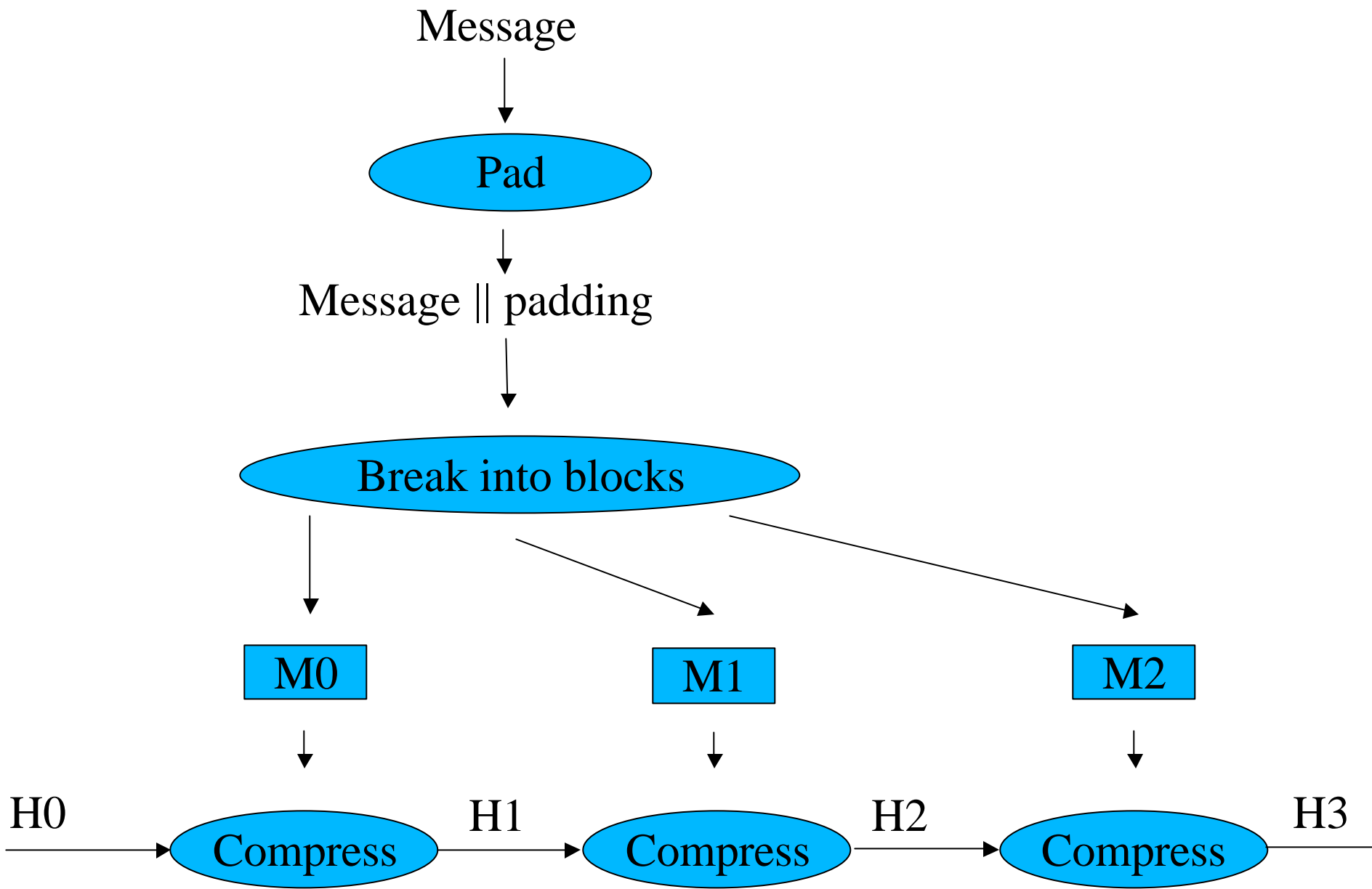


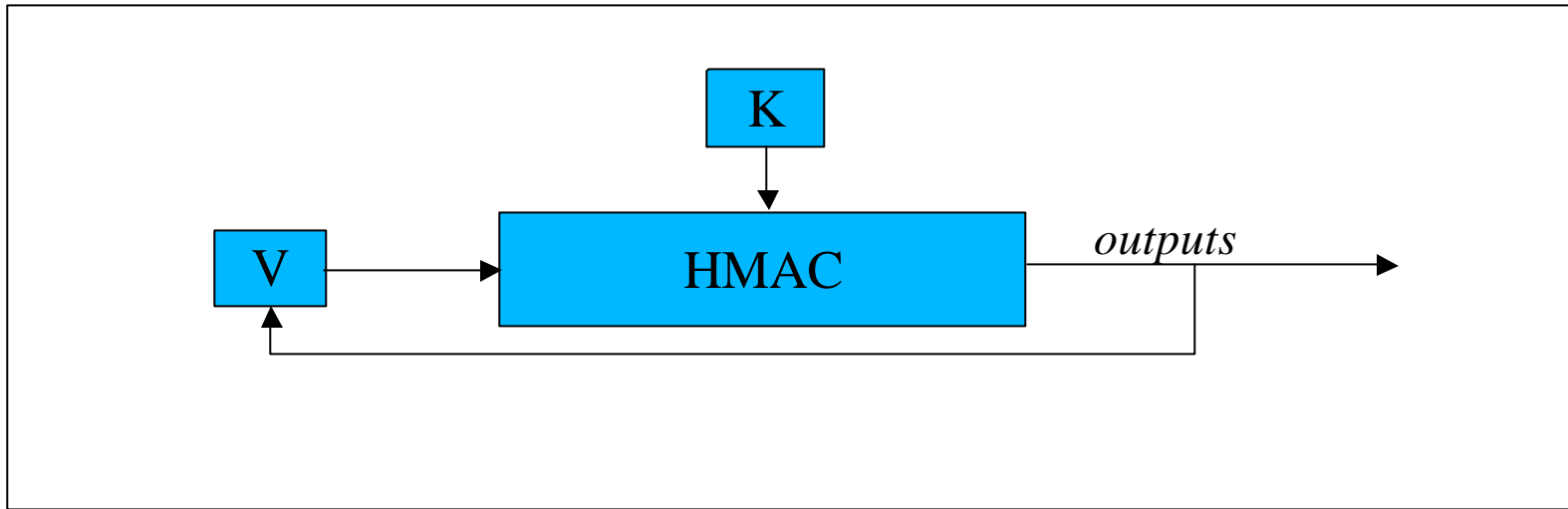
Illustration: Hashes and Compression Functions

# Hash-Based DRBGs

## Security Assumptions

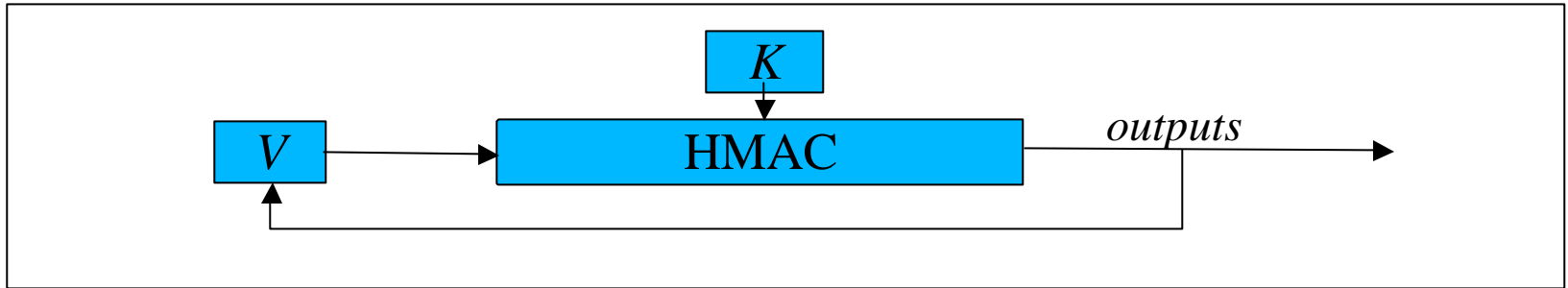
- ? Hashes designed for
  - Collision Resistance
  - Preimage Resistance
- ? DRBGs need pseudorandomness properties
- ? Possible that all our hash-based DRBGs are broken, but hashes are still okay
  - But for HMAC-DRBG, it would break HMAC as a PRF.
- ? Note: hashes used same way for key derivation, etc., all the time!

# HMAC-DRBG



- ? Generation: Run HMAC in OFB-mode
  - Derive new HMAC key between generate calls
- ? Updating State: Apply HMAC to  $V \parallel \text{inputString}$
- ? Security based on PRF assumption for HMAC

# HMAC-DRBG: Generate



To produce  $N$  bits:

$tmp = ""$

while  $\text{bitLength}(tmp) < N$ :

$V = \text{HMAC}(K, V)$

$tmp = tmp \parallel V$

return leftmost  $N$  bits of  $tmp$



# HMAC-DRBG:

## Security of Generate Outputs

? If  $K$  good HMAC key, then...

Distinguishing Generate outputs from random

means

Distinguishing HMAC from random function

# HMAC-DRBG: Updating State

? After state, given no additional input, we do:

$$K = \text{HMAC}(K, V \parallel 0x00)$$

$$V = \text{HMAC}(K, V)$$

? Backtracking resistance:

– Learn previous  $K$  from new  $K$  ==  
invert hash function

? Random selection of keys:

– Distinguish new  $K$  from random w/o old  $K$  ==>  
Distinguish HMAC from random function

– No cycling problems given our limits/assumptions

# HMAC-DRBG: Updating With Input

- ? Instantiate, Reseed, and Generate: all use Update internal function

$$K = \text{HMAC}(K, V \parallel 0x00 \parallel \text{inputString})$$

$$V = \text{HMAC}(K, V)$$

$$K = \text{HMAC}(K, V \parallel 0x01 \parallel \text{inputString})$$

$$V = \text{HMAC}(K, V)$$

*Question: Do we get required security properties?*

# HMAC-DRBG: Recovering From Compromise

? Suppose  $K$  known, input not:

$$K = \text{HMAC}(K, V \parallel 0x00 \parallel \text{inputString})$$

*$K$  is just result of hashing  $\text{inputString}$  with known prefix, then hashing result with known prefix:*

*Attacker who can't guess  $\text{inputString}$  should not know new  $K$*

*Recall full procedure:*

$$K = \text{HMAC}(K, V \parallel 0x00 \parallel \text{inputString})$$

$$V = \text{HMAC}(K, V)$$

$$K = \text{HMAC}(K, V \parallel 0x01 \parallel \text{inputString})$$

$$V = \text{HMAC}(K, V)$$

# HMAC-DRBG: Resisting Chosen Input Attack

? Attacker chooses *inputString*, doesn't know  $K$

$$K = \text{HMAC}(K, V \parallel 0x00 \parallel \textit{inputString})$$

$$V = \text{HMAC}(K, V)$$

$$K = \text{HMAC}(K, V \parallel 0x01 \parallel \textit{inputString})$$

$$V = \text{HMAC}(K, V)$$

? Attacker gets chosen input attack on HMAC

– Few queries, never more than  $2^{64}$

– Doesn't see outputs directly—can't see collisions!

# HMAC-DRBG: Performance

- ? *Overhead on each Generate call:*
  - 6 compress calls
- ? *Per outlen bits of output:*
  - 2 compress calls
- ? *Reseed, Instantiate:*
  - 12 compress calls

# HMAC-DRBG: Summary

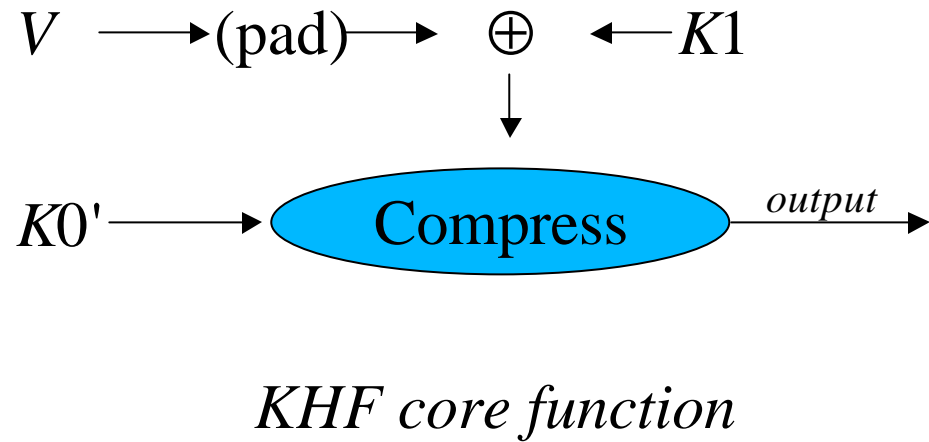
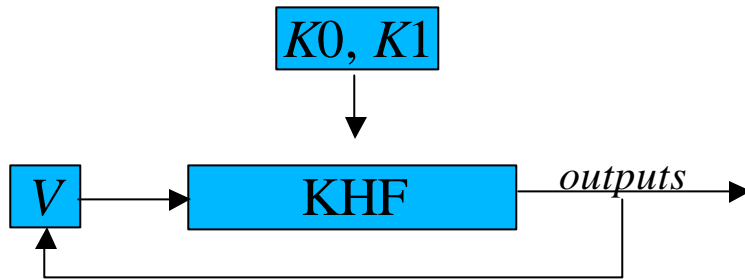
? HMAC-DRBG is:

- Simple design
- Makes easy assumptions on hash
- Probably most robust hash-based design

? HMAC-DRBG Performance:

- Slowest of hash-based DRBGs proposed

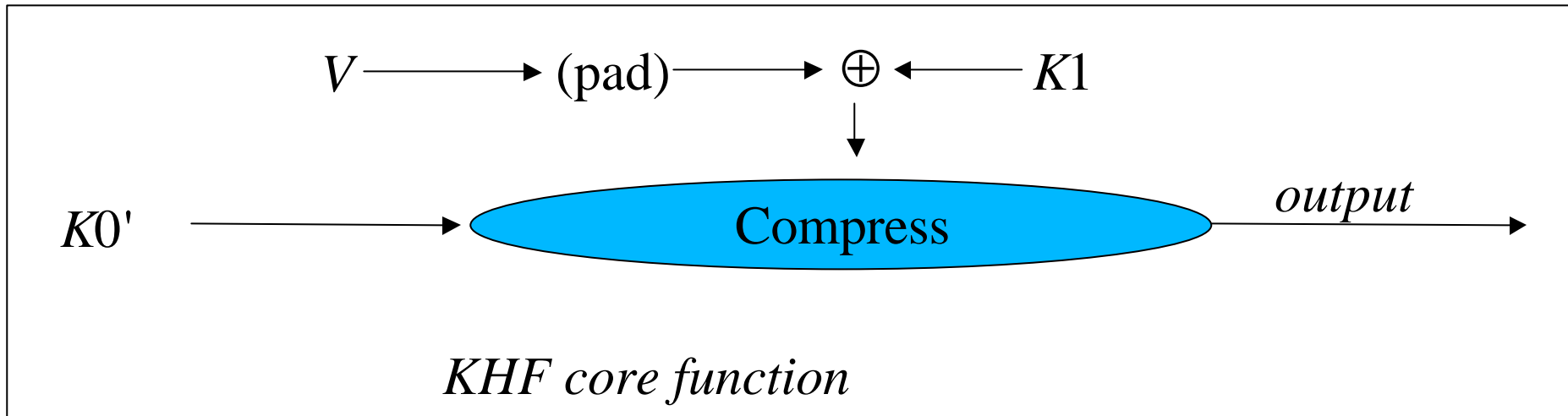
# KHF-DRBG



- ? KHF core function takes one compress call
- ? Can be computed less efficiently with generic hash calls.
- ? Result: better performance, minimal number of input bits known to attacker



# KHF as a PRF



- ? KHF is an attempt to make a PRF that's faster than HMAC—one compress call per KHF() call.
- ? Note:
  - Attacker knows only 72 bits of input to compression function
  - Attacker knows precise XOR differences within Generate call

# KHF-DRBG: Security of Generate

- ? Same basic design as HMAC-DRBG.
  - Using OFB-mode instead of counter-mode means random-looking known-inputs only
  - Limits to number of queries
- ? Distinguishing Generate outputs from random

means

Distinguishing KHF from random function

# KHF-DRBG: Update

- ? Internal function *update* used for *Instantiate*, *Reseed*, and state update within *Generate*
- ? *In words:*
  - *Generate a new key for KHF with KHF-DRBG*
  - *Generate a new key for KHF with hash\_df*
  - *XOR the two together to get the new KHF key*

# KHF-DRBG: Update in pseudocode

Update(*inputString*):

$tmp = ""$

while  $\text{bitLength}(tmp) < inlen + outlen - 72$ :

$V = \text{KHF}(K0, K1, V)$

$tmp = tmp \parallel V$

$K0, K1 = \text{leftmost}(inlen + outlen - 72) \text{ bits of } tmp$

XOR

$\text{hash\_df}(inputString)$

$V = \text{KHF}(K0, K1, V)$

# KHF-DRBG: Update

## Recovery from Compromise

- ? Suppose attacker knows  $(K0, K1)$ , not *inputString*
- ? Attacker knows new  $(K0, K1)$  is
  - Known value XOR  $\text{hash\_df}(\textit{inputString})$
- ? *If*  $\text{hash\_df}(\textit{inputString})$  generates good KHF key given unguessable input,  
  
*then* KHF-DRBG recovers from compromise.

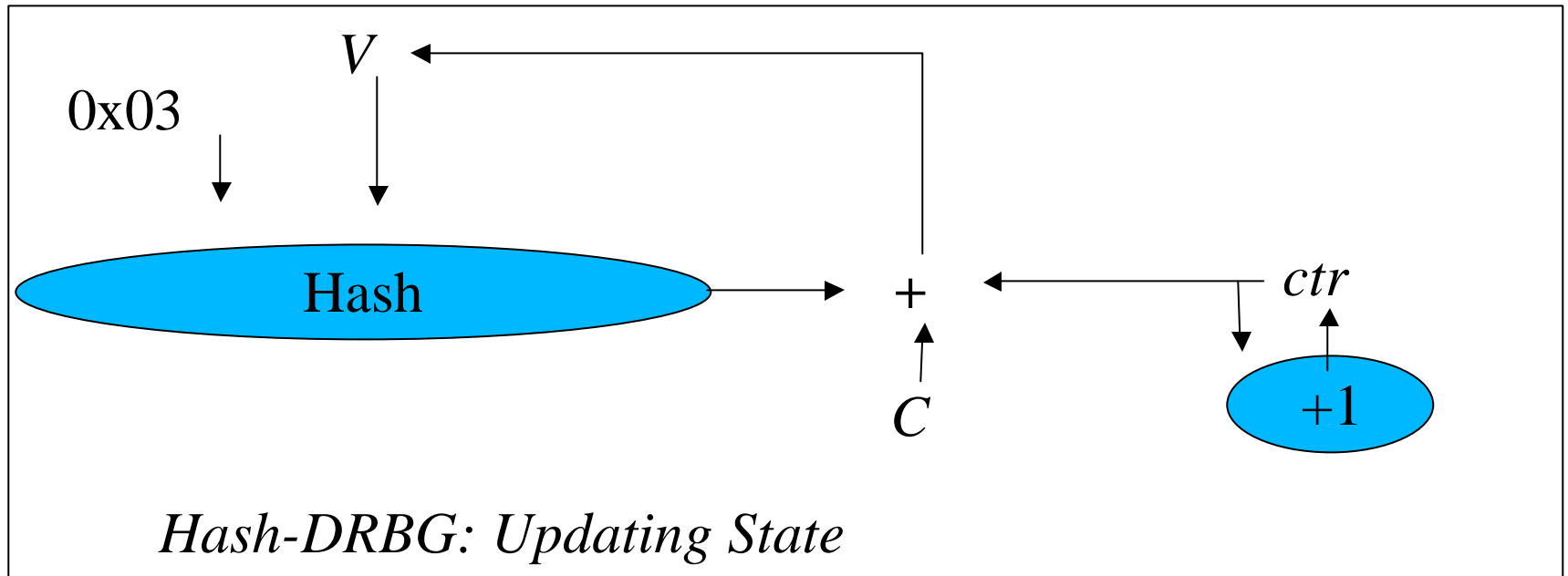
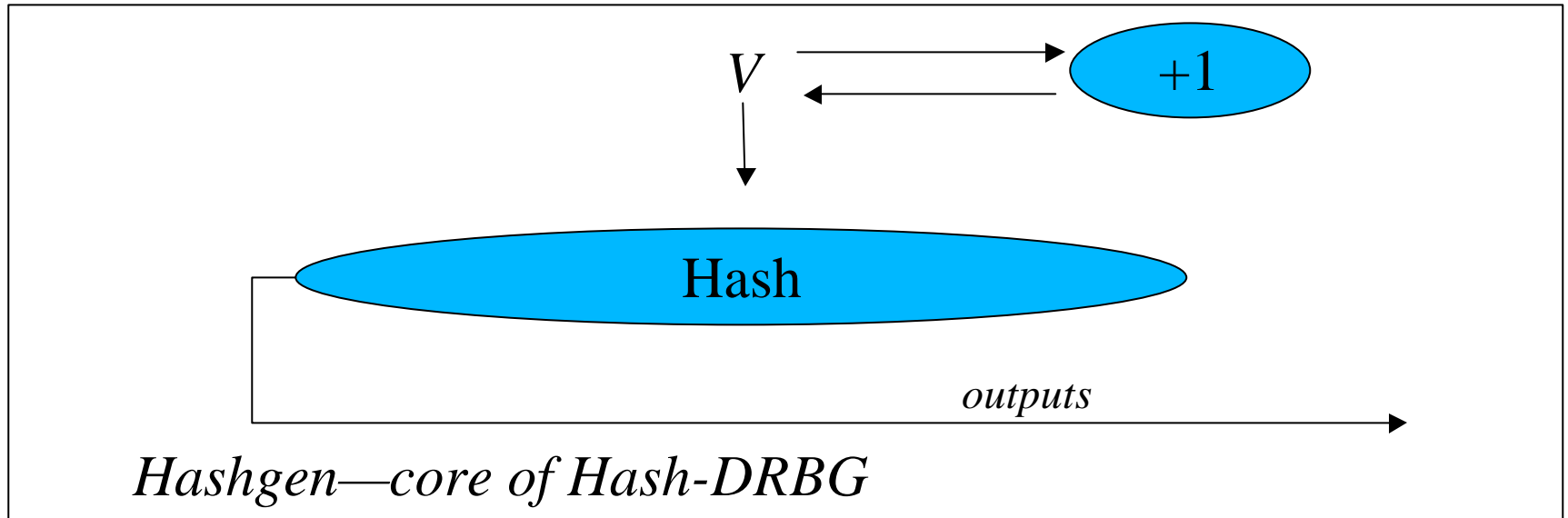
# KHF-DRBG: Update Chosen Input Attack

- ? Suppose attacker chooses *inputString*, doesn't know ( $K_0$ ,  $K_1$ ).
- ? Attacker knows new value is:  
*unknown pseudorandom value*  
*XOR*  
*known/chosen hash\_df output*
- ? Even if attacker allowed to choose hash\_df output, can't mount chosen input attack w/o breaking KHF-DRBG generate.

# KHF-DRBG: Summary

- ? Same basic design as HMAC-DRBG: Use PRF in OFB-mode
- ? Update uses derivation function since KHF not defined on arbitrary-length inputs.
- ? Performance: *a little better than HMAC-DRBG*
  - Per call overhead (SHA1): 6 compress calls.
  - Per *outlen* bit block: 1 compress call.
  - *Not parallelizeable*
- ? *Arguably somewhat less robust than HMAC-DRBG (depends on which attacks)*

# Hash-DRBG





# Hash-DRBG: History and Overview

- ? In some sense, derived from
  - FIPS-186 (DSA) PRNG
  - RSAREF/BSAFE PRNG
- ? Many revisions as requirements changed
- ? Good performance, but strong assumptions on hash function required

Note: *seedlen* is size of seed, always at least  $k + 64$ , where  $k$  is security level

# Hash-DRBG: Security of Generate

? Output generation handled by Hashgen( $V, n$ ):

$tmp = ""$

while bitLength ( $tmp$ ) <  $n$ :

$tmp = tmp || \text{hash}(V)$

$V = V + 1$

return leftmost  $n$  bits of  $tmp$

- ? Security not closely related to hash fn properties
- ? Attacker sees many successive hash outputs, tries to learn  $V$  or distinguish output sequence from random.

# Hashgen: Black Box Attacks

- ? Trivial attack (theoretical): If Hashgen visits  $2^N$  states, attacker guesses  $2^{seedlen-N}$  states, computes outputs, waits for match.
- ? Extends to whole Hash-DRBG:
  - Precompute  $2^{seedlen-N}$  states and resulting outputs
  - Wait for outputs from  $2^N$  states
  - Match and recover state
- ? Requires  $seedlen \geq k+64$  for  $k = security\ level$ .

# Hashgen and Hash Function Attacks

- ? Attacker facing hashgen:
  - Knows all but *seedlen bits of input for each output*
  - *Knows relationships between each input*
- ? *If compression function is random oracle, this is secure.*
- ? *No known or suspected weaknesses when used with SHA family of hashes.*

# Hash-DRBG: Updating State in Generate

? At end of Generate, low *outlen bits of V* updated

$$V = (V + C + ctr + \text{hash}(0x03 \parallel V)) \bmod 2^{\text{seedlen}}$$

$$ctr = ctr + 1$$

? *Backtracking resistance from hashing V*

– *Hash with constant to avoid duplicating other hash computations*

– *Computing previous V from new V given C, ctr ==> inverting hash*

? *C is constant of size outlen*

? *ctr is 32-bit integer*

# Hash-DRBG: Instantiate and Reseed

? Instantiate and Reseed use `hash_df`:

Instantiate (*seed*):

$$V = \text{hash\_df}(\textit{seed})$$
$$C = \text{hash}(0x00 \parallel V)$$
$$\textit{ctr} = 0$$

Reseed (*seed*):

$$V = \text{hash\_df}(0x01 \parallel V \parallel \textit{seed})$$
$$C = \text{hash}(0x00 \parallel V)$$
$$\textit{ctr} = 0$$

# Hash-DRBG Instantiate/Reseed: Recovery From Compromise

- ? *Does Instantiate get to a secure state? Does Reseed recover from compromise? Recall:*

$$V = \text{hash\_df}( \text{seed} )$$

*or*

$$V = \text{hash\_df}( 0x01 \parallel V \parallel \text{seed} )$$

- ? *Suppose attacker can't guess seed*
- If hash\_df gives good Hash-DRBG seed when input unguessable, we get secure state*
  - V should look random w/o knowledge of seed*

# Hash-DRBG: Chosen Input Attacks

? Reseed chooses new  $V$  as:

$$V = \text{hash\_df} ( 0x01 \parallel V \parallel \textit{seed} )$$

? Generate chooses new  $V$  before generation as:

$$V = V + C + ctr + \text{hash} ( 0x02 \parallel V \parallel \textit{inputString} )$$

? Suppose attacker doesn't know  $V$ , knows *seed* or *inputString*

- hash\_df has unguessable input string—good *seed*
- Even if attacker chose output of hash, couldn't do anything to  $V$
- *But if can choose inputString to output  $V$ ....*



# Hash-DRBG: Summary

- ? Hashgen is the core: runs hash function in counter mode
- ? Best performance of any hash-based DRBG
  - Per-call overhead: 1 compress call
  - Per *outlen-bit block*: 1 compress call
  - *Hashgen is parallelizeable*
- ? *Security based on more demanding assumptions.*
  - *Attacks on compression function more powerful...*
  - *...but no known attacks exist.*

# Hash-Based DRBGs: Wrapup

- ? Do we need all three?
- ? Performance issues:
  - Per call overhead important in some applications
  - Per *outlen-bit block* important in others
- ? *Security issues:*
  - *HMAC-DRBG and KHF-DRBG expose hash function to fewer possible attacks.*
  - *Hash-DRBG exposes hash to much more powerful attacks, but gives better performance.*

# Block Cipher Based DRBGs

**AES-OFB**

**AES-CTR**

**TDEA-OFB**

**TDEA-CTR**

# Block Cipher Based DRBGs: Preliminaries

- ? Counter and OFB-modes.
- ? New key generated after each Generate request.
- ? State is always *keysize + blocksize*.
- ? *Can use derivation function or conditioned entropy bits.*
- ? *Choice of approved ciphers:*
  - *Best performance and security from AES.*
  - *Tighter limits on number of outputs for TDEA*

# Block Cipher DRBGs: General Security Comments

? DRBG security always relates cleanly to block cipher security

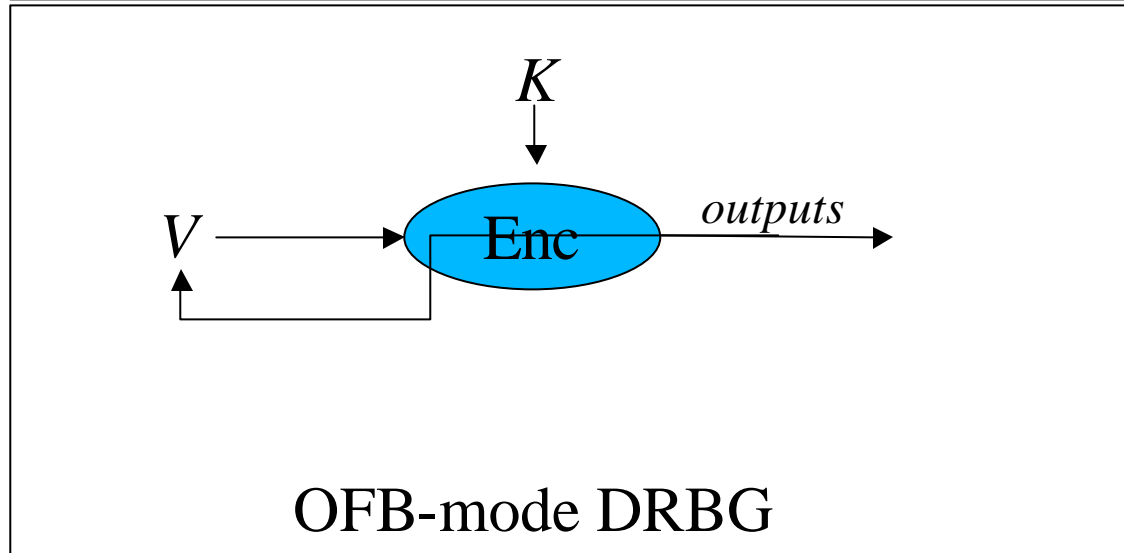
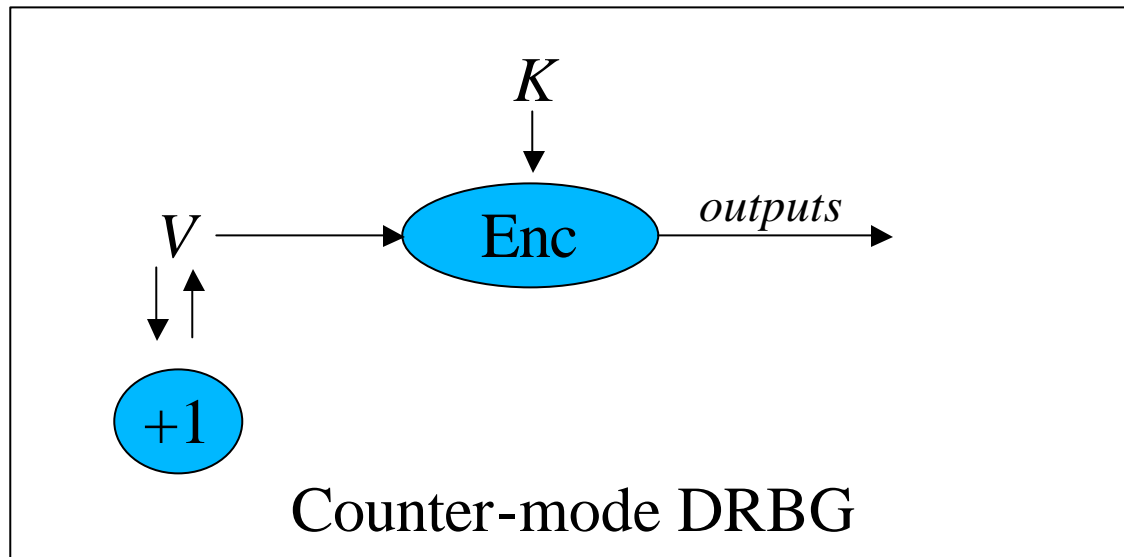
? Distinguishing DRBG outputs from random

means

Distinguishing block cipher from random permutation

? Block size is very important, choice of OFB/CTR much less so.

# Counter and OFB DRBGs



Both DRBGs share some properties:

- ? One encryption per *blocksize* bit output
- ? Cipher is used only in forward direction
- ? Rekey after each Generate request
- ? Simple relation between DRBG security and cipher security

# Block Cipher DRBGs: Security of Generate Outputs

- ? Both DRBGs have straightforward reduction to security of block cipher for one Generate call
- ? New key generated from same mechanism to satisfy next call
  - If attacker given key, can distinguish from random, can break DRBG
- ? Permutation/Function difference is relevant
  - TDEA's 64-bit block causes some problems
  - AES' 128-bit block is easier to work with

# Distinguishing DRBG Outputs

- ? Generate output: no blocks repeat
  - Can't happen for CTR
  - Won't happen for OFB (if so, disaster!)
- ? Ideal random sequence expects some chance of repeats:
  - In  $2^{28}$  128-bit output blocks, prob. about  $2^{-73}$ .  
Given  $2^{32}$  such output sequences, about  $2^{-41}$ .
  - In  $2^{13}$  64-bit output blocks, prob. about  $2^{-39}$   
In  $2^{16}$  such requests, prob. about  $2^{-23}$ .

*But this is less than  $2^{64}$  bound on innocent operations used elsewhere!*



# Block Cipher DRBGs: Updating State

? New state  $(K, V)$  generated as follows:

update (*seed*):

$T = \text{DRBG run to generate } \textit{keysize} + \textit{blocksize} \text{ bits}$

$T = T \oplus \textit{seed}$

$(K, V) = T$

? Assumes *seed* is *keysize* + *blocksize* bits

? When *seed* comes from freeform input, DRBG uses *bc\_df* to derive random-looking input of right size.

# Block Cipher DRBGs: Backtracking Resistance

- ? Consider attacker who learns  $(K, V)$ , and wants to know previous  $K$ .
  - $(K, V) = \text{known value XOR DRBG outputs from old } K$
  - If attacker can recover old  $K$ , can break DRBG
- ? New  $K, V$  selected almost at random:
  - Attacker knows no block of  $K, V$  can be same as block seen in output sequence
  - *This is never relevant*

# Block Cipher DRBGs: Derivation Functions and Conditioned Entropy Sources

- ? Block cipher DRBGs support two kinds of input:
  - Freeform input—process with block cipher derivation function.
  - Conditioned entropy input—use directly
- ? Block cipher derivation function is expensive and complicated
  - When gate count or code size is an issue, nice to be able to avoid using it!

# Block Cipher DRBGs: Instantiation and Recovery from Compromise

- ? *Instantiate sets  $(K, V)$  to constants and calls *Reseed*.*
- ? Suppose attacker knows  $(K, V)$ , not *seed input to update function*.
  - $(K, V) = \text{known values XOR seed}$
- ? *Note that seed is either*
  - *Conditioned entropy source output (random)*
  - *bc\_df output (pseudorandom when input unguessable)*
- ? *In either case, attacker knows nothing of  $(K, V)$  after update function.*

# Block Cipher DRBGs: Chosen Input Attacks

- ? Consider update function  $(K, V)$  not known to attacker; input *seed chosen by attacker*.
- ? *New  $(K, V)$  is DRBG output XOR seed*
- ? *Attacker who can't break DRBG can't even distinguish new  $(K, V)$  from random*

# Block Cipher DRBGs: Wrapup

- ? CTR vs OFB: No practical security difference
  - Both included for implementor convenience
  - Likely reuse of code/hardware from other chaining modes or protocols
- ? AES vs TDEA: Block size is a big deal!
  - TDEA has distinguishers for large output sequences from many different Generate requests
  - Probably not practically relevant
  - AES's larger block size is a win

# Symmetric DRBGs Wrapup:

## How Do I Choose a DRBG?

- ? Implementation complexity / gate count
  - Reuse existing components
- ? Performance requirements
  - Overhead per Generate call
  - Work per bit of output
  - Parallelism in Hash\_DRBG and CTR\_DRBG
- ? Security assumptions
  - Based on block cipher strength
  - Based on various assumptions on hash function

# Symmetric DRBGs Wrapup:

## Open Issues

- ? Current designs assume large outputs per Generate request
  - Should we tune these to smaller Generate outputs, larger numbers of Generate calls per reseed?
  - Biggest impact with TDEA-OFB/TDEA-CTR:
  - Limit Generate to 256 output bytes, and we can allow  $2^{32}$  Generate calls!
- ? Do we always need backtracking resistance?
  - DSA/ECDSA?
- ? Should we assume *outlen bit security in hash based DRBGs, or outlen/2 bit security?*