VMware, Inc.

3401 Hillview Ave Palo Alto, CA 94304, USA Tel: 877-486-9273 Email: <u>info@vmware.com</u> http://www.vmware.com

VMware's Linux Cryptographic Module

Software Version: v3.0

FIPS 140-2 Non-Proprietary Security Policy

FIPS Security Level: 1 Document Version: 1.4.2



Table of Contents

1	Intro	duction	4
	1.1	Purpose	4
	1.2	References	4
	1.3	Document Organization	4
2	VMv	vare's Linux Cryptographic Module	5
	2.1	Overview	5
	2.2	IMPORTANT ADVISORY: NIST SP 800-131A Transitions	5
	2.2.1	. VMware's Linux Cryptographic Module	5
	2.3	Module Specification	7
	2.3.1	Physical Cryptographic Boundary	7
	2.3.2	Logical Cryptographic Boundary	8
	2.4	Module Interfaces	9
	2.5	Roles and Services	10
	2.5.1	Crypto Officer and User Roles	10
	2.6	Algorithms	13
	2.6.1	FIPS Approved Algorithms	13
	2.6.2	PIPS Non-Approved-but-Allowed Algorithms	13
	2.6.3	FIPS Non-Approved Algorithms	14
	2.7	Physical Security	14
	2.8	Operational Environment	14
	2.9	Cryptographic Key Management	15
	2.10	Self-Tests	16
	2.10	.1 Power-Up Self-Tests	16
	2.10	.2 Conditional Self-Tests	17
	2.10	3 Critical Function Self-Tests	17
	2.11	Mitigation of Other Attacks	17
3	Secu	re Operation	18
	3.1	Crypto Officer Guidance	18
	3.1.1	Initial Setup	18
	3.1.2	Secure Installation and Operation	18
	3.2	User Guidance	18
4	Acro	nyms	19

List of Figures

Figure 1 - Hardware Block Diagram	о
Figure 2 - Module Logical Cryptographic Boundary	9
LIST OF TABLES	
Table 1 - NIST SP 800-131A Transitions	5
Table 1 - Security Level Per FIPS 140-2 Section	7
Table 2 - FIPS 140-2 Logical Interface Mapping	10
Table 3 – Approved Crypto Officer and User Services	10
Table 4 – Non-Approved Crypto Officer and User Services	12

Table 5 – Approved Algorithms13Table 6 - Allowed Algorithms13Table 7 - Non-Approved Algorithms14Table 8 - List of Cryptographic Keys, Key Components, and CSPs15Table 9 - Acronyms19

1 Introduction



1.1 Purpose

This is a non-proprietary Cryptographic Module Security Policy for the VMware's Linux Cryptographic Module from VMware, Inc. This Security Policy describes how the VMware's Linux Cryptographic Module meets the security requirements of Federal Information Processing Standards (FIPS) Publication 140-2, which details the U.S. and Canadian Government requirements for cryptographic modules. More information about the FIPS 140-2 standard and validation program is available on the National Institute of Standards and Technology (NIST) and the Canadian Centre for Cyber Security (CCCS), a branch of the Communications Security Establishment (CSE), Cryptographic Module Validation Program (CMVP) website at https://csrc.nist.gov/projects/cryptographic-module-validation-program.

This document also describes how to run the module in a secure FIPS Approved mode of operation. This policy was prepared as part of the Level 1 FIPS 140-2 validation of the module. The VMware's Linux Cryptographic Module is also referred to in this document as "the module".

1.2 References

This document deals only with operations and capabilities of the module in the technical terms of a FIPS 140-2 cryptographic module security policy. More information is available on the module from the following sources:

- The VMware website (https://www.vmware.com/) contains information on the full line of products from VMware.
- The CMVP website (https://csrc.nist.gov/Projects/Cryptographic-Module-Validation-Program/Validated-Modules/Search) contains options to get contact information for individuals to answer technical or sales-related questions for the module.

1.3 Document Organization

The Security Policy document is one document in a FIPS 140-2 Submission Package. In addition to this document, the Submission Package contains:

- Vendor Evidence document
- Finite State Model document
- Other supporting documentation as additional references

With the exception of this Non-Proprietary Security Policy, the FIPS 140-2 Submission Package is proprietary to VMware and is releasable only under appropriate non-disclosure agreements (NDAs). For access to these documents, please contact VMware.

December 2022 Page 4 of 19

2 VMware's Linux Cryptographic Module



2.1 Overview

VMware, Inc. is a global leader in virtualization and cloud infrastructure, delivering customer-proven solutions that accelerate Information Technology (IT) by reducing complexity and enabling more flexible, agile service delivery. VMware enables enterprises to adopt a cloud model that addresses their unique business challenges. VMware's approach accelerates the transition to cloud computing while preserving existing investments and improving security and control.

Photon OS 3.0 is an open-source minimalist Linux operating system from VMware that is optimized for cloud computing platforms, VMware vSphere deployments, and applications native to the cloud.

Photon OS 3.0 is a Linux container host optimized for vSphere and cloud-computing platforms such as Amazon Elastic Compute and Google Compute Engine. As a lightweight and extensible operating system, Photon OS 3.0 works with the most common container formats, including Docker, Rocket, and Garden. Photon OS 3.0 includes a yum-compatible, package-based lifecycle management system called **tdnf**, which is a software package manager for RPM-based Linux distributions that installs, updates, and removes packages.

When used with development tools and environments such as VMware Fusion, VMware Workstation, and production runtime environments (vSphere, vCloud Air), Photon OS 3.0 lets you seamlessly migrate container-based applications from development to production. With a small footprint and fast boot and run times, Photon OS 3.0 is optimized for cloud computing and cloud applications.

2.2 IMPORTANT ADVISORY: NIST SP 800-131Arev2 Transitions

PLEASE BE ADVISED of the following algorithm transitions, in accordance with NIST Special Publication 800-131A.

Table 1 - NIST SP 800-131Arev2 Transitions

	Transition	Description
1	NIST SP 800-67	After December 31 st, 2023 , non-compliant NIST SP 800-67 three-key TDEA is disallowed for encryption unless specifically allowed by other NIST guidance. Decryption using three-key TDEA is allowed for legacy use. Please see A.13 SP 800-67rev1 Transition for more information.

2.2.1 VMware's Linux Cryptographic Module

The VMware's Linux Cryptographic Module is a software cryptographic module located in the kernel space of the Photon OS 3.0. The module contains a set of cryptographic functions available to perform various cryptographic operations via a well-defined Application Programming Interface (API).

The module includes implementations of the following FIPS Approved security functions:

- Encryption and decryption using AES¹
- Decryption using Triple-DES²

December 2022 Page 5 of 19

¹ AES – Advanced Encryption Standard

² Triple-DES – Triple Data Encryption Standard

Page 6 of 19

- Hashing functions using SHA³
- Message Authentication Code using HMAC⁴ SHA
- Digital Signature Generation and Verification using RSA⁵
- Random Number Generator using NIST SP 800-90A DRBGs⁶

The VMware's Linux Cryptographic Module is validated at the FIPS 140-2 Section levels shown in the Table 1 below.

December 2022

³ SHA – Secure Hash Algorithm

⁴ HMAC – (Keyed) Hash Message Authentication Code

⁵ RSA - Rivest, Shamir, Adleman

⁶ DRBG – Deterministic Random Number Generator

Section Section Title Level 1 Cryptographic Module Specification 1 2 Cryptographic Module Ports and Interfaces 1 3 Roles, Services, and Authentication 1 Finite State Model 1 4 5 Physical Security N/A⁷ Operational Environment 6 1 7 Cryptographic Key Management 1 EMI/EMC8 8 1 9 Self-tests 1 10 Design Assurance 1 11 Mitigation of Other Attacks N/A

Table 2 - Security Level Per FIPS 140-2 Section

2.3 Module Specification

The VMware's Linux Cryptographic Module is a software cryptographic module with a multiple-chip standalone embodiment. The overall security level of the module is 1. The module was tested and found to be FIPS 140-2 compliant on a Dell PowerEdge R740 Server running an Intel® Xeon® Gold 6126 processor, executing VMware vSphere Hypervisor (ESXi) versions 6.7 and 7.0. The module is composed of the following component:

 VMware's Linux Cryptographic Module – Cryptographic algorithm implementations located in the kernel of Photon OS 3.0.

In addition to its full AES software implementations, the VMware's Linux Cryptographic Module has been tested and is capable of leveraging the AES-NI⁹ instruction set of supported Intel processors in order to accelerate AES calculations.

Because the VMware's Linux Cryptographic Module is defined as a software cryptographic module, it possesses both a physical cryptographic boundary and a logical cryptographic boundary.

2.3.1 Physical Cryptographic Boundary

As a software module, the module must rely on the physical characteristics of the host system. The physical boundary of the cryptographic module is defined by the hard enclosure around the host system on which it runs. The module supports the physical interfaces of the Dell PowerEdge R740 Server. These interfaces include the integrated circuits of the system board, processor, RAM, hard disk, device case, power supply, and fans. See Figure 1 below for a hardware block diagram of the Dell PowerEdge R740 Server.

December 2022 Page 7 of 19

⁷ N/A – Not Applicable

⁸ EMI/EMC - Electromagnetic Interference/Electromagnetic Compatibility

⁹ AES-NI – Advanced Encryption Standard-New Instructions

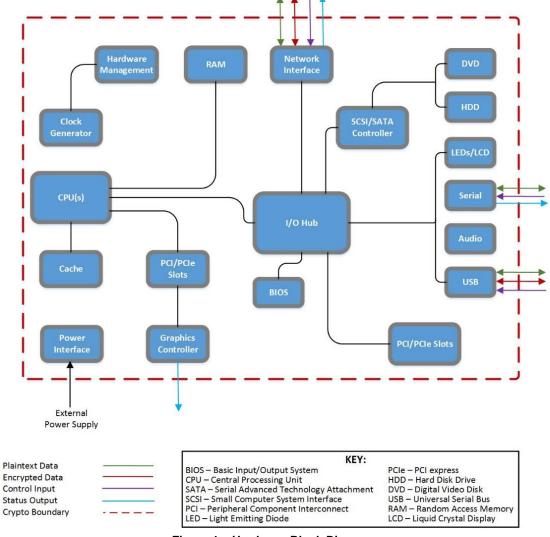


Figure 1 – Hardware Block Diagram

2.3.2 Logical Cryptographic Boundary

Figure 2 depicts the logical cryptographic boundary for the single module which is the VMware's Linux Cryptographic Module. The module's logical boundary is a contiguous perimeter that surrounds all memory-mapped functionality provided by the module when loaded and stored in the host platform's memory. The colored arrows indicate the logical information flows into and out of the module. The module is shown exchanging data with the Linux kernel interface, which is also located in the kernel space of the operating system.

December 2022 Page 8 of 19

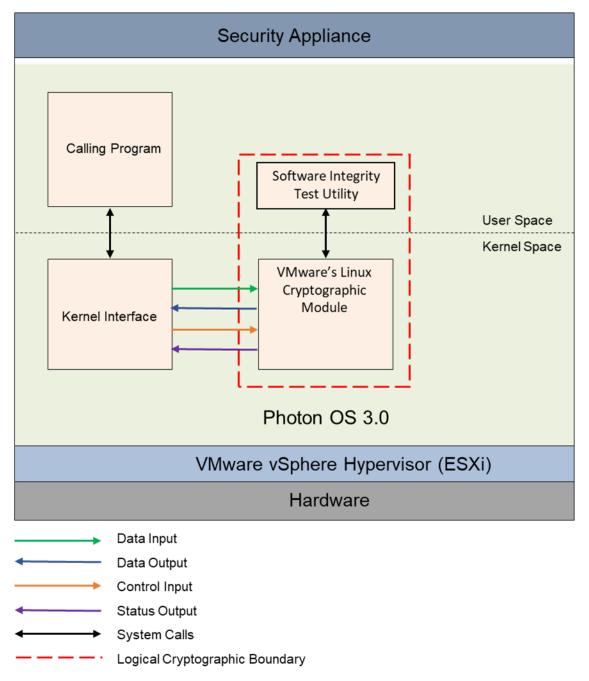


Figure 2 - Module Logical Cryptographic Boundary

2.4 Module Interfaces

The module's logical interfaces exist at a low level in the software as an API. Both the API and physical interfaces can be categorized into the following interfaces defined by FIPS 140-2:

- Data input
- Data output
- Control input
- Status output

December 2022 Page 9 of 19

Power input

As a software module, the module's manual controls, physical indicators, and physical ports and electrical characteristics are those of the host platform. A mapping of the FIPS 140-2 interfaces to the module logical interfaces can be found in the table below.

FIPS Interface	Logical Interface
Data Input	The function calls that accept input data for processing through their arguments.
Data Output	The function calls that return by means of their return codes or argument generated or processed data back to the caller.
Control Input	The function calls that are used to initialize and control the operation of the module.
Status Output	Return values for function calls; Module generated error messages.

Table 3 - FIPS 140-2 Logical Interface Mapping

2.5 Roles and Services

There are two roles in the module (as required by FIPS 140-2) that operators may assume: a Cryptographic Officer (CO) role and a User role. Roles are assumed implicitly through the execution of either a CO or User service. The module does not support an authentication mechanism. Each role and their corresponding services are detailed in the sections below. Please note that the keys and Critical Security Parameters (CSPs) listed in table below indicates the types of access required using the following notation:

- R Read: The CSP is read.
- W Write: The CSP is established, generated, modified, or zeroized.
- X Execute: The CSP is used within an FIPS Approved or Allowed security function or authentication mechanism.

2.5.1 Crypto Officer and User Roles

The CO and User roles share many services, including encryption, decryption, and random number generation services. The CO performs installation and initialization, show status, self-tests on demand, and key zeroization services. Table 3 below describes the Approved CO and User services and Table 4 describes the non-Approved CO & User services.

Role	Service	Description	Input	Output	CSP and Type of Access
CO, User	Encryption	Encrypt plaintext using supplied key and algorithm specification	Command and parameters	Command response/ Return code	AES Key – RX
CO, User	Decryption	Decrypt ciphertext using supplied key and algorithm specification	Command and parameters	Command response/ Return code	AES Key – RX Triple-DES Key - RX
CO, User	Hash generation	Compute and return a message digest using SHA algorithm	Command and parameters	Command response/ Return code	None

Table 4 – Approved Crypto Officer and User Services

December 2022 Page 10 of 19

CO, User	Message Authentication Code generation	Compute and return a hashed message authentication code	Command and parameters	Command response/ Return code	HMAC Key - RX
CO, User	Digital Signature	Generate and verify RSA digital signatures (keys passed in by the calling process)	Command and parameters	Command response/ Return code	RSA Private/Public Key – RX
CO, User	Random number generation	Generate random number by using the DRBGs	Command and parameters	Command response/ Return code	Hash DRBG: Entropy – R/X Hash DRBG Seed – R/W/X Hash DRBG 'V' Value – R/W/X Hash DRBG 'C' Value – R/W/X HMAC DRBG: Entropy – R/X HMAC DRBG Seed – R/W/X HMAC DRBG 'V' Value – R/W/X HMAC DRBG Key Value – R/W/X CTR DRBG: Entropy – R/X CTR DRBG: Entropy – R/X CTR DRBG: Entropy – R/X CTR DRBG Seed – R/W/X CTR DRBG Seed – R/W/X CTR DRBG Seed – R/W/X CTR DRBG 'V' Value – R/W/X CTR DRBG 'Key' Value – R/W/X
СО	Installation and initialization of the module	Installation and initialization of the module following the Secure Operation section of the Security Policy	Command and parameters	Command response/ Return code	None
СО	Show status	Returns the current mode of operation of the module	Command and parameters	status output	None
СО	Run Self-tests on demand	Runs Self-tests on demand during module operation	Reboot or power cycle the module	status output	None

December 2022 Page **11** of **19**

СО	Key zeroization	Zeroizes keys and CSPs by rebooting or power cycling the module.	Reboot or power cycle the module	None.	AES Key – W Triple-DES Key – W HMAC Key – W RSA Private/Public Key – W ECDH Private/Public Key – W Hash DRBG: Entropy – W Hash DRBG Seed – W Hash DRBG 'C' Value – W HASH DRBG 'C' Value – W HMAC DRBG: Entropy – W HMAC DRBG Seed – W CTR DRBG Key – W CTR DRBG Seed – W
					CTR DRBG 'Key'

The module does not provide any key generation services or perform key generation for any of its Approved algorithms. Keys are passed in from calling application via API parameters.

Table 5 - Non-Approved Crypto Officer and User Services

Role	Service	Description	Input	Output	CSP and Type of Access
CO, User	Shared Secret Computation	Computes the shared secret on behalf of the calling application.	Command and parameters	Command response/ Return code	ECDH Private/Public Key – WX Shared Secret – WX
CO, User	Encryption	Encrypt plaintext using supplied key and algorithm specification	Command and parameters	Command response/ Return code	Triple-DES Key - RX

December 2022 Page 12 of 19

2.6 Algorithms

2.6.1 FIPS Approved Algorithms

Table 5 lists the cryptographic algorithms that are Approved to be used in the FIPS mode of operation.

Table 6 – Approved Algorithms

Algorithm	Certificate Numbers (With/Without AES-Ni) (ESXi 6.7 and 7.0)
AES in CBC and ECB modes (encryption/decryption), CTR mode (encryption) with 128, 192, and 256-bit keys and XTS mode (encryption/decryption) with 128 and 256-bit keys	C1592
DRBG (SP 800-90A): Hash_DRBG: prediction resistance supported with SHA-1, SHA-256, SHA-384, SHA-512 HMAC_DRBG: prediction resistance supported with SHA-1, SHA-256, SHA-384, SHA-512 CTR_DRBG: prediction resistance and derivation function supported with AES-128, AES-192, AES-256	C1592
HMAC with SHA-1, SHA-224, SHA-256, SHA-384, and SHA-512	C1592
RSA (186-4): SigGenPKCS1.5 with 2048, 3072 SigVerPKCS1.5 with 2048, 3072	C1592
RSA (186-2): SigGenPKCS1.5 with 4096 SigVerPKCS1.5 with 4096	C1592
SHS: SHA-1, SHA-224, SHA-256, SHA-384, and SHA-512	C1592
Triple-DES in CBC and ECB modes (decryption with keying option 1)	C1592

Notes:

- AES-XTS encryption/decryption can only be used for storage applications in the Approved mode.
- The module generates random numbers that provide at least 256 bits of security strength.

2.6.2 FIPS Non-Approved-but-Allowed Algorithms

The module employs the non-Approved algorithm implementations shown in Table 6, which are allowed for use in a FIPS Approved mode of operation.

Table 7 - Allowed Algorithms

Algorithm	Caveat
NDRNG	Used for seeding NIST SP 800-90A DRBGs

December 2022 Page 13 of 19

2.6.3 FIPS Non-Approved Algorithms

The module employs non-compliant algorithms and associated services, which are not allowed for use in a FIPS Approved mode of operation. Their use will result in the module operating in a non-Approved mode. Please refer to Table 7 below for the list of non-Approved algorithms and associated services. In addition, critical security parameters are not share between the Approved and non-Approved modes of operation.

Algorithm Usage

EC Diffie-Hellman (CVL Cert. #C1592) key agreement; key establishment methodology provides 128 bits of encryption strength

CBC and ECB mode encryption with keying option 1. CTR mode encryption

Table 8 - Non-Approved Algorithms

The EC Diffie-Hellman key agreement primitive has been designated as a non-Approved in compliance with IG D.8 in anticipation of the transition date of December 31st, 2020.

2.7 Physical Security

The VMware's Linux Cryptographic Module is a software module, which FIPS defines as a multiple-chip standalone cryptographic module. As such, it does not include physical security mechanisms. Thus, the FIPS 140-2 requirements for physical security are not applicable.

2.8 Operational Environment

The module was tested and found to be compliant with FIPS 140-2 requirements on a Dell PowerEdge R740 Server with an Intel® Xeon® Gold 6126 series processor running VMware vSphere Hypervisor (ESXi) versions 6.7 and 7.0. All cryptographic keys and CSPs are under the control of the OS, which protects its CSPs against unauthorized disclosure, modification, and substitution. The module only allows access to CSPs through its well-defined API.

Per IG G.5, VMware affirms that the module remains compliant with the FIPS 140-2 validation when operating on any general-purpose computer (GPC) provided that the GPC uses the specified single user operating system/mode specified on the validation certificate, or another compatible single user operating system. The CMVP allows vendor porting and re-compilation of a validated cryptographic module from the operational environment specified on the validation certificate to an operational environment which was not included as part of the validation testing as long as the porting rules are followed.

CMVP makes no claims to the correct operation of the module or the minimum strength of generated keys when ported to an OE not on the validation certificate. No assurance of the minimum strength of generated keys.

December 2022 Page 14 of 19

2.9 Cryptographic Key Management

The module supports the CSPs listed below in Table 8.

Table 9 - List of Cryptographic Keys, Key Components, and CSPs

Key	Key Type	Generation/Input	Output	Storage	Zeroization	Use
AES key	128, 192, 256 bit keys	Input via API in plaintext	Never output from the module	In RAM	Reboot OS; Cycle host power	Encryption, Decryption
DRBG Random Number	CTR_DRBG: AES-128, AES- 192, AES-256 with DF, with/without PR	Generated internally	Output via API in plaintext	In RAM	Reboot OS; Cycle host power	Random Number Generation
	Hash_DRBG: S HA-1, SHA-256, SHA-384, SHA- 512 with/without PR					
	HMAC_DRBG: SHA-1, SHA- 256, SHA-384, SHA-512 with/without PR					
Hash DRBG Entropy	64-byte value	Generated internally	Never output from the module	In RAM	Reboot OS; Cycle host power	Entropy input for Hash DRBG
Hash DRBG Seed	440, 888 bit values	Generated internally	Never output from the module	In RAM	Reboot OS; Cycle host power	Seed material for Hash DRBG
Hash DRBG 'V' Value	Internal state value	Generated internally	Never output from the module	In RAM	Reboot OS; Cycle host power	Internal state value used with Hash DRBG
Hash DRBG 'C' Value	Internal state value	Generated internally	Never output from the module	In RAM	Reboot OS; Cycle host power	Internal state value used with Hash DRBG
HMAC DRBG Entropy	64-byte value	Generated internally	Never output from the module	In RAM	Reboot OS; Cycle host power	Entropy input for HMAC DRBG
HMAC DRBG Seed	440, 888 bit values	Generated internally	Never output from the module	In RAM	Reboot OS; Cycle host power	Seed material for HMAC DRBG
HMAC DRBG 'V' Value	Internal state value	Generated internally	Never output from the module	In RAM	Reboot OS; Cycle host power	Internal state value used with HMAC DRBG

December 2022 Page **15** of **19**

HMAC DRBG Key	Internal state value	Generated internally	Never output from the module	In RAM	Reboot OS; Cycle host power	Internal state value used with HMAC DRBG
CTR DRBG Entropy	64-byte value	Generated externally	Never output from the module	In RAM	Reboot OS; Cycle host power	Entropy input for CTR DRBG
CTR DRBG Seed	256, 320, 384 bit values	Generated internally	Never output from the module	In RAM	Reboot OS; Cycle host power	Seed material for CTR DRBG
CTR DRBG 'V' Value	128-bit value	Generated internally	Never output from the module	In RAM	Reboot OS; Cycle host power	Internal state value used with CTR DRBG
CTR DRBG 'Key' Value	128, 192, 256 bit AES keys	Generated internally	Never output from the module	In RAM	Reboot OS; Cycle host power	Internal state value used with CTR DRBG
HMAC key	160 to 512 bit keys	Input via API in plaintext	Never output from the module	In RAM	Reboot OS; Cycle host power	Message Authenticati on
RSA Private Key	2048, 3072, 4096 bit keys	Input via API in plaintext	Never output from the module	In RAM	Reboot OS; Cycle host power	Signature Generation
RSA Public Key	2048, 3072, 4096 bit keys	Input via API in plaintext	Never output from the module	In RAM	Reboot OS; Cycle host power	Signature Verification
Triple-DES key	Keying Option 1	Input via API in plaintext	Never output from the module	In RAM	Reboot OS; Cycle host power	Decryption

2.10 Self-Tests

Cryptographic self-tests are performed by the module when the module is powered on. The following sections list the self-tests performed by the module, their expected error status, and any error resolutions.

2.10.1 Power-Up Self-Tests

Power-up self-tests are automatically performed by the module at module initialization or when the module powers on. The list of power-up self-tests that follows may also be run on-demand when the CO reboots the Operating System. The module will perform the listed power-up self-tests to successful completion. During the execution of self-tests, cryptographic functions and data output from the module are inhibited.

If any of the power-up self-tests fail, the module enters the critical error state and an error message is logged. In this state, cryptographic operations are halted and the module inhibits all data output from the module as the API interface is disabled. In order to attempt to exit the error state, the module must be restarted by rebooting the Photon OS 3.0. If the error persists, the module must be reinitialized.

December 2022 Page 16 of 19

The VMware's Linux Cryptographic Module performs the following Power-up Self-tests:

 Software integrity check (HMAC with SHA-256 Integrity Test) - The software integrity test is performed by the VMware Linux Cryptographic Module, which coordinates the integrity check of the module's kernel package.

Known Answer Tests (KATs)

- AES Encryption KAT in ECB, CBC and CTR modes with 128, 192 and 256-bit keys
- AES Decryption KAT in ECB, CBC mode with 128, 192 and 256-bit keys
- ECDH primitive "Z" computation test
- CTR_DRBG KAT with AES 128, 192 and 256-bit keys with derivation function and with/without Prediction Resistance
- HASH_DRBG KAT with SHA-256
- HMAC DRBG KAT with SHA-256
- HMAC-SHA-1, HMAC-SHA-224, HMAC-SHA-256, HMAC-SHA-384, HMAC-SHA-512 KATs
- RSA (PKCS#1) Signature Generation KAT using 2048-bit key and SHA-256
- RSA (PKCS#1) Signature Verification KAT using 2048-bit key and SHA-256
- SHA-1, SHA-224, SHA-256, SHA-384, SHA-512 KATs
- Triple-DES Decryption KAT in CBC and ECB modes with 3-Key
- XTS-AES KAT with 128 and 256-bit key sizes

2.10.2 Conditional Self-Tests

- DRBG Continuous RNG Test for stuck fault
- NDRNG Continuous RNG Test for stuck fault

2.10.3 Critical Function Self-Tests

The SP 800-90A specification requires that certain critical functions be tested to ensure the security of the DRBGs. Therefore, the following power-up critical function tests are implemented by the cryptographic module for each DRBG: (note that reseeding is not implemented)

- SP 800-90A Instantiate Critical Function Test
- SP 800-90A Generate Critical Function Test

2.11 Mitigation of Other Attacks

The module was not designed to mitigate any other attacks.

December 2022 Page 17 of 19

3 SECURE OPERATION



The VMware Linux Cryptographic Module meets Level 1 requirements for FIPS 140-2. The sections below describe how to place and keep the module in FIPS Approved mode of operation.

3.1 Crypto Officer Guidance

Installation and operation of the VMware Linux Cryptographic Module requires the proper installation of the Photon OS 3.0. There are not additional steps, beyond installing the application, that must be performed to use the module correctly.

3.1.1 Initial Setup

Prior to the secure installation of the Photon OS 3.0, the CO shall prepare the virtual environment required to securely operate it. This includes installing VMware vSphere Hypervisor (ESXi) 6.7 or 7.0 (see *vSphere Installation and Setup*). Included in this installation are the VMware vSphere Hypervisor (ESXi) versions 6.7 and 7.0.

The CO will then install Photon OS 3.0 as the guest OS in the virtual machine and verify the version as "photon-3-gc" with kernel version 4.19.97 and later.

3.1.2 Secure Installation and Operation

In order to install Photon OS 3.0 compatible version of the VMware's Linux Cryptographic Module, the CO shall perform the following actions with root login credentials using SSH or the console to access the Photon OS 3.0 command line interface:

- 1. "tdnf install fipsify" (uses rpm install and resolves all dependencies, also updates "initrd" (basic root file system).
- 2. Edit the "/boot/photon.cfg" kernel file and append 'fips=1' to the "photon cmdline" line
- 3. Reboot the virtual machine using the "reboot" command
- 4. Check for FIPS mode:
 - a. cat /proc/sys/crypto/fips_enabled will show '1' when FIPS mode is not enabled or '0' when FIPS mode is not enabled.
 - b. cat /proc/cmdline and see "fips=1" (alternative method)
- 5. See dmsg logs in /var/log/dmsg for a report on self-test status.

In order to continue to operate in a FIPS Approved mode, SSH and root access should be disabled by the CO and non-Approved services should not be executed by the calling application.

The CO should ensure that the operating environment is patched and updated in a timely fashion to reduce exposure to security vulnerabilities.

3.2 User Guidance

The User shall adhere to the guidelines of this Security Policy. The User does not have any ability to install or configure the module. Operators in the User role are able to use the services available to the User role. The User is responsible for reporting to the CO if any irregular activity is noticed.

December 2022 Page 18 of 19

4 ACRONYMS

Table 9 provides definitions for the acronyms used in this document.

Table 10 - Acronyms

Acronym	Definition
AES	Advanced Encryption Standard
API	Application Programming Interface
CBC	Cipher Block Chaining
CLI	Command Line Interface
CMVP	Cryptographic Module Validation Program
СО	Crypto Officer
CPU	Central Processing Unit
CSE	Communication Security Establishment
CSP	Critical Security Parameter
CTR	Counter
DES	Data Encryption Standard
DNS	Domain Name System
DRBG	Deterministic Random Bit Generator
ECB	Electronic Code Book
ECC	Elliptical curve cryptography
EMC	Electromagnetic Compatibility
ЕМІ	Electromagnetic Interference
FFC	Finite Field Cryptography
FIPS	Federal Information Processing Standard
GCM	Galois/Counter Mode
HDD	Hard Disk Drive
НМАС	(Keyed) Hash Message Authenticating Code
IPsec	Internet Protocol Security
п	Information Technology
KAS	Key Agreement Scheme
RSA	Rivest, Shamir, Adleman
SHA	Secure Hash Algorithm
SHS	Secure Hash Standard
Triple-DES	Triple Data Encryption Standard
XTS	Ciphertext stealing

