Qualcomm[®] Crypto Engine Core Version 5.3.4

FIPS 140-2 Non-Proprietary Security Policy Version: 1.0 2022-01-13

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1.Introduction

This document is a FIPS 140-2 Security Policy for the Qualcomm® Crypto Engine Core cryptographic module, a product of Qualcomm Technologies, Inc., used by Spectralink Corporation. The version number of this Qualcomm Crypto Engine Core is 5.3.4. This document contains a specification of the rules under which the Qualcomm Crypto Engine Core must operate and describes how this Qualcomm Crypto Engine Core meets the requirements as specified in Federal Information Processing Standards Publication 140-2 (FIPS PUB 140-2) for a Security Level 2 module. It is intended for the FIPS 140-2 testing lab, Cryptographic Module Validation Program (CMVP), developers working on the release, administrators and users of the Qualcomm Crypto Engine Core.

This module validation is a re-branding of a sub-chip cryptographic subsystem that was previously validated under NIST's Cryptographic Module Validation Program (CMVP) Certificate #2614 in a single-chip and is ported to another single-chip construct.

For more information about the FIPS 140-2 standard and validation program, refer to the NIST website at http://csrc.nist.gov/groups/STM/cmvp/index.html.

In this document, the terms "Qualcomm Crypto Engine Core, "cryptographic module" "CM" or "the module" are used interchangeably to refer to the Qualcomm Crypto Engine Core Cryptographic Module.

1.1.Purpose of the Security Policy

There are three major reasons that a security policy is required

- It is required for FIPS 140-2 validation.
- It allows individuals and organizations to determine whether the implemented cryptographic module satisfies the stated security policy.
- It allows individuals and organizations to determine whether the described capabilities, the level of protection, and access rights provided by the cryptographic module meet their security requirements.

2. Cryptographic Module Specification

2.1. Module description

The Qualcomm Crypto Engine Core is a single-chip hardware module implemented as a sub-chip in the Qualcomm[®] Snapdragon[™] 660 SoC, a product of Qualcomm Technologies, Inc., used by Spectralink Corporation in its devices. From the validation perspective the Qualcomm Crypto Engine Core is configured as a single chip hardware module. The cryptographic services provided by the Qualcomm Crypto Engine Core are:

- Data encryption / decryption utilizing symmetric ciphers, i.e. Triple-DES, and AES algorithms.
- Computation of hash values, i.e. SHA-1, SHA-256.
- Message authentication utilizing HMAC-SHA1, HMAC-SHA256, AES CMAC, hashing algorithms.
- Hashing and ciphering operations using AES CCM.

Please refer to Table 4-2 for the algorithm certificates of the FIPS approved algorithms listed below.

FIPS Approved	Implemented Algorithms
AES-128 CBC, AES-256 CBC	encryption, decryption
AES-128 ECB, AES-256 ECB	encryption, decryption
AES-128 CTR, AES-256 CTR	encryption, decryption
AES-128 CCM, AES-256 CCM	encryption, decryption (with message authentication code)
AES-128 XTS, AES-256 XTS ¹	encryption, decryption
Triple-DES CBC (three-key)	encryption, decryption
Triple-DES ECB (three-key)	encryption, decryption
SHA-1	Hashing
SHA256	Hashing
HMAC SHA-1 with key sizes between 112 bits and 512 bits	message authentication code
HMAC SHA-256 with key sizes between 112 bits and 512 bits	message authentication code
AES-CMAC	message authentication code
FIPS Non-Approved	Implemented Algorithms
DES CBC	encryption, decryption
DES ECB	encryption, decryption
HMAC SHA-1 with key sizes below 112 bits	message authentication code
HMAC SHA-256 with key sizes below 112 bits	message authentication code
AEAD-SHA-1 AES CBC	encryption, decryption (with message authentication code)
AEAD-SHA-1 AES CTR	encryption, decryption (with message authentication code)

Table 2-1: Summary of FIPS approved and FIPS non-approved algorithms in the CM

¹ AES-XTS mode is only approved for storage applications

AEAD-SHA-1 DES CBC	encryption, decryption (with message authentication code)
AEAD-SHA-1 Triple-DES CBC	encryption, decryption (with message authentication code)

1. Caveat: AES counter mode uses a 128 bit counter. The counter will roll over after 2^128 blocks of encrypted data.

2.1.1.Hardware description

The Qualcomm Crypto Engine Core is implemented in the Qualcomm Crypto Engine Core 5.3.4 hardware, which resides in Snapdragon 660 processors

(https://www.qualcomm.com/products/snapdragon/processors/660). The Qualcomm Crypto Engine Core 5.3.4 provides a series of algorithms (as listed in Table 2-1) implemented in the device hardware.

2.1.2.Module Validation Level

The Qualcomm Crypto Engine Core is intended to meet requirements of FIPS 140-2 at an overall Security Level 2. The following table shows the security level claimed for each of the eleven sections that comprise the validation:

FIPS 140-2 Sections		Security Level				
	N/A	1	2	3	4	
Cryptographic Module Specification			Х			
Cryptographic Module Ports and Interfaces			Х			
Roles, Services and Authentication			Х			
Finite State Model			Х			
Physical Security			Х			
Operational Environment	Х					
Cryptographic Key Management			Х			
EMI/EMC			Х			
Self-Tests			Х			
Design Assurance			Х			
Mitigation of Other Attacks	Х					

Table 2-2: Security Levels

The Qualcomm Crypto Engine Core is classified as a single-chip hardware module for the purpose of FIPS 140-2 validation. The logical cryptographic boundary is the sub-chip implementing the

Qualcomm Crypto Engine Core while the physical boundary is the Snapdragon 660 SoC. The Qualcomm Crypto Engine Core was tested as a sub-chip implemented within the Snapdragon 660 SoC.

2.2. Description of Modes of Operations

The Qualcomm Crypto Engine Core supports a FIPS approved mode and a non-approved mode. All CSPs are kept separate between the two modes. The services available in each mode are specified in Table 4-2. When a request for a non-approved mode service is received, the Qualcomm Crypto Engine Core switches to non-approved mode, services the request, and immediately switches back to the approved mode.

The Qualcomm Crypto Engine Core is placed into the approved mode by performing power up selftests consisting of a KAT self-test for each algorithm available in the approved mode. If any test fails, none of the cryptographic functions are available.

Table 2-1 provides a summary of all security functions (both FIPS Approved and FIPS non-Approved). Table 4-1 lists the roles and Table 4-2 along with Table 4-3 illustrates the services available to each role (Crypto Officer and User).

2.3.Cryptographic Module Boundary

The physical boundary of the Qualcomm Crypto Engine Core is the physical boundary of the Snapdragon 660 SoC which contains the Qualcomm Crypto Engine Core which is implemented as a sub-chip. Consequently, the embodiment of the Qualcomm Crypto Engine Core is a Single-chip cryptographic module. The logical boundary is the Qualcomm Crypto Engine Core.

2.3.1.Hardware Block Diagram

In the hardware block diagram, the arrows depict the flow of the status, control and data. Parameters are passed to the Qualcomm Crypto Engine Core and results received from the Qualcomm Crypto Engine Core via Direct Memory Access (DMA) writing and reading the Qualcomm Crypto Engine Core's registers.

The CSPs, such as the encryption key, are written directly to registers or submitted via the FIFO channel to be stored within the Qualcomm Crypto Engine Core 5.3.4 hardware. The remainder of the Snapdragon 660 SoC, which is not part of the Qualcomm Crypto Engine Core, either passes the Critical Security Parameters (CSP) from the software executing on top of the SoC to the Qualcomm Crypto Engine Core, or as a "user" of cryptographic services generates the CSP and delivers them to the Qualcomm Crypto Engine Core.

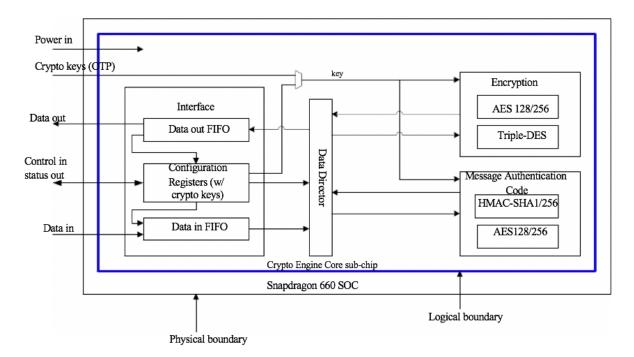
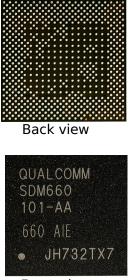


Figure 1: Hardware Block Diagram

The CSPs are passed via Direct Memory Access (DMA) to first In first out queues (FIFOs) and processed by the Qualcomm Crypto Engine Core. All parameters to the Qualcomm Crypto Engine Core are also provided via FIFOs.

Figure 2: Snapdragon 660 processor



Front view

3.Cryptographic Module Ports and Interfaces

Table 3-1 Ports and interfaces

FIPS Interface	Ports
Data Input	Data in FIFOs
Data Output	Data out FIFOs
Control Input	Registers
Status Output	Registers
Power Input	Physical power connector

As indicated in Table 3-1, all status ports and control ports are directed through the interface of the Qualcomm Crypto Engine Core's logical boundary, which is the registers of the Qualcomm Crypto Engine Core for control input. For data input and data output, the FIFOs implement the high-speed interface. The status output is provided via registers.

Once the Qualcomm Crypto Engine Core finishes initialization and all self-tests complete successfully, all cryptographic functions are made available. If any of the Qualcomm Crypto Engine Core's KAT fails, the Qualcomm Crypto Engine Core self-test causes the Qualcomm Crypto Engine Core to enter a locked state (see Section 9.1 for more details). To recover from a KAT failure a reset of the Qualcomm Crypto Engine Core is required which causes it to reinitialize and re-run all KATs.

Caller-induced or internal errors do not reveal any sensitive material to callers. Cryptographic bypass capability is not supported by the Qualcomm Crypto Engine Core. The Qualcomm Crypto Engine Core ensures that there is no means to obtain CSP or key data from the Qualcomm Crypto Engine Core by placing the CSPs into write-only registers preventing any entity interacting with the Qualcomm Crypto Engine Core from being able to read the CSPs. Additionally, key zeroization can be performed by issuing a reset event to the Qualcomm Crypto Engine Core. There is no means to obtain sensitive information from the Qualcomm Crypto Engine Core.

If a caller wants to use a non-Approved cipher, a separate "pipe pair" must be used or a new key for the non-Approved cipher must be loaded.

4. Roles, Services and Authentication

4.1.Roles

The Qualcomm Crypto Engine Core supports two roles: a Crypto Officer role and a User role. Roles are implicitly assumed based on the services requested.

Users of the Qualcomm Crypto Engine Core are the boot loader and software applications loaded onto the Snapdragon 660 SoC. In a typical use case scenario of the Qualcomm Crypto Engine Core, an Original Equipment Manufacturer (OEM) places a hash of their RSA public key into the One-Time Programmable (OTP) memory within the cryptographic Qualcomm Crypto Engine Core upon the purchase of Snapdragon 660 SoC. The OEM uses the uniquely matching private key to sign the boot loader and software application images along with the software IDs. The OEM also includes a copy of the OEM's x.509 certificate in each signed image.

The user authentication is based on RSA signature verification and is explained in more detail in the following sections.

4.1.1.Crypto Officer Role

The boot loader assumes the Crypto Officer role when it initializes the Qualcomm Crypto Engine Core by properly setting up keys/CSPs in the designated key registers or the FIFOs that will be later used by the software applications.

4.1.2.User Role

The software applications assume the User role when requesting any services provided by the Qualcomm Crypto Engine Core. The User role has access to all of the Qualcomm Crypto Engine Core's services except Qualcomm Crypto Engine Core initialization.

Role	Services (see Table 4-2 and 4-3)		
User	Utilization of cryptographic services of the Qualcomm		
USEI	Crypto Engine Core		
Crypta Officar	Initialize Qualcomm Crypto Engine Core keys for use by		
Crypto Officer	user role		

Table 4-1 Roles

4.2.Services

The Qualcomm Crypto Engine Core does not provide a bypass capability through which some cryptographic operations are not performed or where certain controls implemented during normal operation are not enforced.

All services are implemented within the Qualcomm Crypto Engine Core.

The following tables (Table 4-2 and Table 4-3) illustrate the role and corresponding services of the Crypto Officer and User.

Table 4-2 Approved Services

	Ro	les			Is FIPS Approved?			
Service	User	co	CSP	Modes	If Yes Cert #	Access	Standard	
Symmetric	: Al	gor	ithms					
AES encryption and decryption	1		AES Symmetric key (128, 256 bit)	CBC, ECB, CTR, XTS ² , CCM	Cert. 5383	Read	FIPS 197 SP 800-38 [A,C,E]	
Triple-DES	1		Triple DES Symmetric key (192 bits)	CBC, ECB	Cert. 2712	Read	FIPS 46-3 SP 800-38A	
Hash Func	tio	ns						
SHA-1	1		None	N/A	Cert. 4319	N/A	FIPS 180-4	
SHA-256	1		None	N/A	Cert. 4319	N/A	FIPS 180-4	
Message A	utł	nen	tication Codes (M	IACs)				
HMAC SHA- 1	1		HMAC SHA-1 key (key length between 112 bits and 512 bits)	N/A	Cert. 3566	Read	FIPS 198-1	
HMAC SHA- 256	1		HMAC SHA-256 (key length between 112 bits and 512 bits)	N/A	Cert. 3566	Read	FIPS 198-1	
AES-CMAC	1		AES Symmetric key (128, 256 bit)	CMAC	Cert. 5383	Read	SP 800-38B	
Miscellane	ous	5						

² AES-XTS mode is only approved for storage applications.

	Ro	les			Is FIPS Approved?		
Service	User	00	CSP	Modes	If Yes Cert #	Access	Standard
Initialize Qualcomm Crypto Engine Core keys for use by User role ³		1	None	N/A	N/A	N/A	N/A
Self Tests	1		None	N/A	N/A	N/A	N/A
Zeroization	1		All CSPs	N/A	N/A	Write	N/A
Query status	1		None	N/A	N/A	N/A	N/A

Table 4-3 Non-Approved Services

Service	Roles			
	User	O		
DES	1			
HMAC SHA-1 with key size less than 112 bits	1			
HMAC SHA-256 with key size less than 112 bits	1			
AEAD-SHA-1 AES	1			
AEAD-SHA-1 DES	1			
AEAD-SHA-1 Triple-DES	1			

4.3.Identification and Authentication

³ The methodology for setting the encryption keys is described in the "Crypto Core Hardware Programming Guide" manual

As mentioned previously, user authentication is based on RSA signatures. Each OEM utilizes their unique RSA private key to sign the boot loader and software application images along with its x.509 certificate. The x.509 certificate contains the OEM's public key. The OU field (i.e. the field indicating the Certification Services Division) of the signed x.509 certificate contains the software ID. Finally, the OEM puts a hash of its public key into non-volatile read-only OTP memory within the Qualcomm Crypto Engine Core.

The user is identified via the software ID embedded in the loadable image. The user authentication performed is twofold. First, the OEM's public key in the x.509 certificate within the image is hashed and the hash value is compared to the hash of the RSA public key stored in read-only memory within the Qualcomm Crypto Engine Core. If the hashes match, the OEM's public key is verified. Then, the OEM's public key is used to verify the RSA signature of the boot loader or the software image to be loaded. If the RSA signature verification succeeds, then the image is authenticated and hence can be loaded and executed on the Snapdragon 660 SoC.

4.4.Strength of Authentication

Storing a hash of the OEM's public key within the Qualcomm Crypto Engine Core's read-only memory allows the OEM to choose the size of the RSA key they want to use for authentication to the Qualcomm Crypto Engine Core. The minimum RSA key size that an OEM may use is 2048-bits. According to table 4 in FIPS IG 7.5, an RSA key size of 2048 bits provides a minimum of 112 bits of strength and a key size of 3072 bits provides a minimum of 128 bits of strength. Therefore, the strength of the authentication mechanism in use is a minimum of 1 / 2^{112} or 1.925929944e-34. The ability to successfully authenticate the RSA signed image is dependent on the ability to guess the signing RSA private key that matches the verified public key. Even using a rate of 1µs per failed authentication, which would allow 60,000,000 consecutive attempts per minute (60s / 0.001s), only provides a probability of successfully authenticating that is less than or equal to 60,000,000 * 1 / 2^{112} (≤6.933347799e-19) which is much less than 1 / 100,000 or 0.00001.

4.5. Authentication Data Protection

The hash of the RSA public key stored in the read-only memory of the Qualcomm Crypto Engine Core is used as the means to verify the OEM's public key. Since this memory is non-volatile readonly memory it cannot be modified. The verified public key is used to verify the OEM's RSA signature of the signed boot loader or software application images. Only the images that are signed by the OEM can be authenticated to the Qualcomm Crypto Engine Core. Any image with an altered RSA signature won't be authenticated and hence won't be loaded and get to use the Qualcomm Crypto Engine Core.

5.Physical Security

5.1.Type

The Qualcomm Crypto Engine Core Cryptographic Module is a hardware module which conforms to the Level 2 requirements for physical security. The Qualcomm Crypto Engine Core is a sub-chip enclosed in a production grade component.

At the time of manufacturing the die is embedded within a printed circuit board (PCB) which prevents visibility into the internal circuity of the Qualcomm Crypto Engine Core. The layering process which is used to embed the die into the PCB also prevents tampering of the physical components without leaving tamper evidence.

The Qualcomm Crypto Engine Core is further protected by being enclosed in commercial off the shelf mobile device utilizing production grade commercially available components and that the mobile device enclosure completely surrounds the Qualcomm Crypto Engine Core.

6.Operational Environment

6.1.Applicability

The Qualcomm Crypto Engine Core is a single chip hardware module. The procurement, build and configuring procedure are controlled. Therefore, the operational environment is considered non-modifiable.

7.Cryptographic Key Management

7.1.Key/CSP Generation Management

The Qualcomm Crypto Engine Core does not perform key generation for any of its approved algorithms or other algorithm.

The CM does not provide any asymmetric algorithms. Manual key entry or key output capabilities are not provided. All Keys/CSPs can only be written to the CM by the boot loader by writing to the key registers or into the FIFOs assigned to the particular use case.

Callers pass keys and similar sensitive information to the CM by writing to specific assigned registers by sending the data via DMA request. Any attempt to write to a non-assigned FIFO is blocked. Keys are stored within the CM in write-only registers or the Qualcomm Crypto Engine Core's internal key store, therefore any attempt to read CSPs are blocked and zeros are returned rather than the actual CSP.

Keys and CSPs can be explicitly zeroized by sending an access control reset event to the Qualcomm Crypto Engine Core.

7.2.Zeroization

As stated previously, the Qualcomm Crypto Engine Core stores all keys and CSPs internally. All keys and CSPs are stored write-only and are not readable outside of the Qualcomm Crypto Engine Core. When the Qualcomm Crypto Engine Core receives a reset event, it will zeroize all CSPs contained within the Qualcomm Crypto Engine Core.

7.3.Key/CSP Lifecycle

The following table shows the generation, storage and zeroization of all CSPs used by the Qualcomm Crypto Engine Core.

Key/CSP	Generation	Storage	Zeroization
AES Keys	N/A	Internal key storage memory or Register set (legacy use)	During module reset or when overwritten by new key
Triple-DES Keys	N/A	Internal key storage memory or Register set (legacy use)	During module reset or when overwritten by new key
HMAC Keys	N/A	Internal key storage memory or Register set (legacy use)	During module reset or when overwritten by new key
CMAC Keys	N/A	Internal key storage memory or Register set (legacy use)	During module reset or when overwritten by new key

Table 7-1 Key/CSP Lifecycle

8.Electromagnetic Interference/Electromagnetic Compatibility (EMI/EMC)

The CM hardware component cannot be certified by the FCC as it is not a standalone device. It is a sub-chip imbedded in the Snapdragon 660 SoC which is also not a standalone device, but rather intended to be used within a COTS device which would undergo standard FCC certification for EMI/EMC.

According to 47 Code of Federal Regulations, Part 15, Subpart B, Unintentional Radiators, the CM is not subject to EMI/EMC regulations because it is a subassembly that is sold to an equipment manufacturer for further fabrication. That manufacturer is responsible for obtaining the necessary authorization for the equipment with the CM embedded prior to further marketing to a vendor or to a user.

9.Power up Tests

Power up self-tests consist of known-answer tests of algorithm implementations. The Qualcomm Crypto Engine Core power up tests are automatically performed independent of any user during power up of the Qualcomm Crypto Engine Core. All self-tests are performed as a single atomic action that has two possible results: success or failure. If the result is success, the CM becomes operational, if it is failure, the CM enters an error state and cryptographic functions cannot be performed.

The power up tests are also run when a reset event is received. If any of the tests fail, the Qualcomm Crypto Engine Core will enter an Error state. The Qualcomm Crypto Engine Core cannot be used in this state. To recover from the error state, re-initialization is possible by successful execution of the power up tests which can be triggered by either a power-off/power-on cycle or the receipt of a reset event.

The power up tests trigger immediately when a reset occurs and execute all needed tests until completion. Once completed successfully, the logic releases the Qualcomm Crypto Engine Core for external usage. If an error is detected during the tests, the logic locks the Qualcomm Crypto Engine Core will only respond to a reset which will cause the Qualcomm Crypto Engine Core to re-execute the power up tests. If the error persists, the Qualcomm Crypto Engine Core will remain unavailable.

"On demand" tests which are required by FIPS 140-2 can be performed by either of the following methods:

- A power-off/power-on cycle of the Qualcomm Crypto Engine Core
- Issuing a Crypto Core reset to the Qualcomm Crypto Engine Core

The Qualcomm Crypto Engine Core implements the following self-tests to ensure proper functioning of the Qualcomm Crypto Engine Core Implemented self-tests include power up self-tests of all approved algorithms.

9.1.Cryptographic algorithm tests (known answer tests)

Table 9-2 Power up Tests

Algorithm	Test
AES encryption (CCM)	КАТ
AES decryption (CCM)	КАТ
AES encryption (ECB)	КАТ
AES decryption (ECB)	КАТ
Triple-DES encryption (ECB)	КАТ
Triple-DES decryption (ECB)	КАТ
HMAC SHA-1	КАТ
HMAC SHA-256	КАТ
AES-CMAC	КАТ

10.Design Assurance

10.1.Configuration Management

ClearCase, a version control system from IBM/Rational, is used to manage the revision control of the hardware code (Verilog code) and hardware documentation. The ClearCase version control system provides version control, workspace management, parallel development support, and build auditing. The Verilog code is maintained within the ClearCase database.

10.1.1.Crypto Officer Guidance

The Qualcomm Crypto Engine Core does not need FIPS 140-2 specific guidance. The FIPS 140-2 functional requirements are always invoked.

For configuring the identification mechanism as well as the access control functionality, the manual for the Qualcomm Crypto Engine Core should be used.

11. User Guidance

The operation of the Qualcomm Crypto Engine Core does not need FIPS 140-2 specific guidance. The FIPS 140-2 functional requirements are always invoked.

For using the cryptographic services of the module, the manual for the Qualcomm Crypto Engine Core covering the description of the register set as well as the use of the FIFOs channels should be used.

NOTE:

• In order to meet the IG A.13 requirement, the same Triple-DES key shall not be used to encrypt more than 2¹⁶ 64-bit blocks of data.

12. Mitigation of Other Attacks

The Mitigation of Other Attacks security section of FIPS 140-2 is not applicable to the Qualcomm Crypto Engine Core.

13.Terms and Abbreviations

- **AES** Advanced Encryption Specification
- **CBC** Cipher Block Chaining
- **CCM** Counter with Cipher Block Chaining-Message Authentication Code
- **CM** Cryptographic Module
- **CMVP** Cryptographic Module Validation Program
- **COTS** Commercial Off The Shelf
- **CO** Crypto Officer
- CSP Critical Security Parameter
- Deta Encryption Standard
- **DMA** Direct Memory Access
- **FIFO** First In, First Out
- FIPS Federal Information Processing Standards Publication
- HMAC Hash Message Authentication Code
- KAT Known Answer Test
- **NIST** National Institute of Science and Technology
- **OEM** Original Equipment Manufacturer
- **OTP** One-Time Programmable
- **SHA** Secure Hash Algorithm
- SoC System on Chip