



**Juniper Networks MX2010 and MX2020 3D Universal Edge  
Routers with REMX2K-X8-64G and RE-MX2000-1800X4-S  
Routing Engines, MPC9E with MIC-MACSEC-MRATE**

**Firmware: Junos OS 20.3X75**

**Non-Proprietary FIPS 140-2 Cryptographic Module Security  
Policy**

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## 1 Introduction

This is a non-proprietary Cryptographic Module Security Policy for the Juniper Networks MX2010 and MX2020 3D Universal Edge Routers with REMX2K-X8-64G-S and RE-MX2000-1800X4-S Routing Engines, MPC9E with MIC-MACSEC-MRATE. The MX series provides dedicated high-performance processing for flows and sessions and integrates advanced security capabilities that protect the network infrastructure as well as user data.

This FIPS 140-2 validation includes the following MX series router models: the MX2010 and MX2020. The FIPS validated version of firmware is Junos OS 20.3X75.

The cryptographic boundary for this MX Series is defined as follows for the validation:

- the outer edge of the chassis includes the Routing Engine (RE), MPC9E MACSec Line Card with the MIC-MACSEC-MRATE, Switch Control Board (SFB), slot covers in the following configurations:
  - For MX2010 (2 available RE slots, 10 additional slots): 1 SFB, 1 RE (REMX2K-X8-64G-S and RE-MX2000-1800X4-S) and at least 1 MIC-MACSEC-MRATE (inserted in the MPC9E MACSec Line Card). All empty module bays must have a slot cover installed for proper cooling air circulation.
  - For MX2020 (2 available RE slots, 20 additional slots): 1 SFB, 1 RE (REMX2K-X8-64G-S and RE-MX2000-1800X4-S) and at least 1 MIC-MACSEC-MRATE (inserted in the MPC9E MACSec Line Card). All empty module bays must have a slot cover installed for proper cooling air circulation.
  - includes the inverse three-dimensional space where non-crypto-relevant line cards fit, with the backplane port serving as the physical interface.
- excluding the power distribution module on the rear of the device.

The cryptographic modules provide for an encrypted connection, using SSH, between the management station and the module. The cryptographic modules also provide for an encrypted connection, using MACSec, between devices. All other data input or output from the modules are considered plaintext for this FIPS 140-2 validation.

The cryptographic modules are defined as multiple-chip standalone modules that execute Junos OS 20.3X75 firmware on any of the Juniper Networks MX 3D Universal Edge Routers listed in Table 1 below.

**Table 1 – Cryptographic Module Hardware Configurations**

Chassis PN	Power PN	SCB PN	RE PN	MACSec Card PN
MX2010	MX2000-PSM-AC MX2000-PSM-DC	MX2000-SFB3-S	REMX2K-X8-64G-S and RE-MX2000-1800X4-S	MIC-MACSEC-MRATE inserted into MPC9E
MX2020	MX2000-PSM-AC MX2000-PSM-DC	MX2000-SFB-S	REMX2K-X8-64G-S and RE-MX2000-1800X4-S	MIC-MACSEC-MRATE inserted into MPC9E

The modules are designed to meet FIPS 140-2 Level 1 overall:

**Table 2 – Security Level of Security Requirements**

Area	Description	Level
1	Module Specification	1
2	Ports and Interfaces	1
3	Roles, Services, and Authentication	3
4	Finite State Model	1
5	Physical Security	1
6	Operational Environment	N/A
7	Key Management	1
8	EMI/EMC	1
9	Self-test	1



Area	Description	Level
10	Design Assurance	3
11	Mitigation of Other Attacks	N/A
	<i>Overall</i>	1

The modules have a limited operational environment as per the FIPS 140-2 definitions. They include a firmware load service to support necessary updates. Any firmware versions other than Junos OS 20.3X75, loaded into the modules are out of the scope of this validation and require a separate FIPS 140-2 validation.

The modules do not implement any mitigations of other attacks as defined by FIPS 140-2.

## 1.1 Hardware and Physical Cryptographic Boundary

The cryptographic modules' operational environment is a limited operational environment.

The images below depict the physical boundary of the modules, including the Routing Engine, the MPC9E MACsec Line Card with MIC-MACSEC-MRATE and SFB. The boundary excludes the non-crypto-relevant line cards included in the figure. The modules exclude the power supplies from the requirements of FIPS 140-2. The power supplies do not contain any security relevant components and cannot affect the security of the module.



**Figure 1 – Physical Cryptographic Boundary (Left to Right: MX2010 and MX2020)**

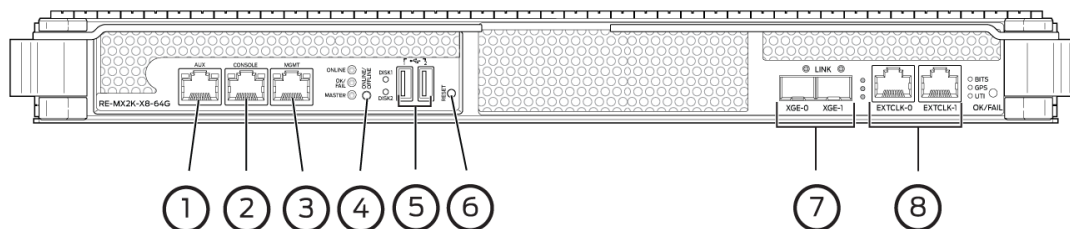


Figure 2 – Routing Engine (REM2K-X8-64G-S)

- |                           |                              |
|---------------------------|------------------------------|
| 1— Auxiliary port (AUX)   | 2—CONSOLE port               |
| 3— Management port (MGMT) | 4— ONLINE/OFFLINE button     |
| 5—USB ports               | 6— RESET button              |
| 7— XGE-0 and XGE-1 ports  | 8— EXTCLK0 and EXTCLK1 ports |

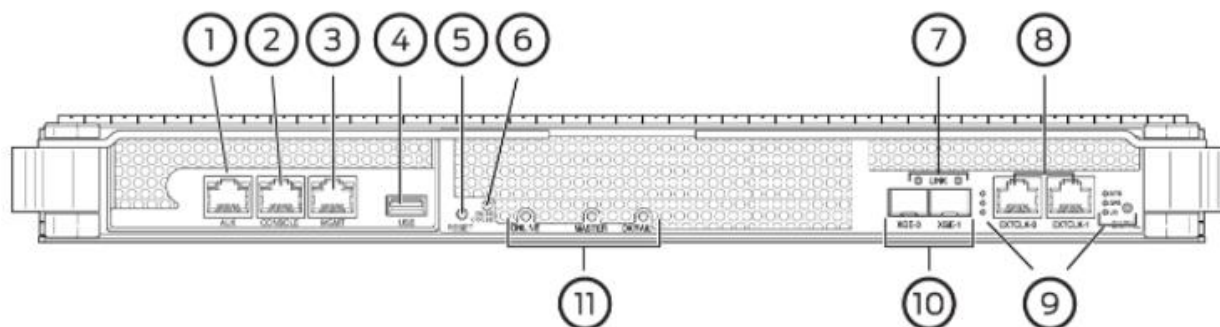


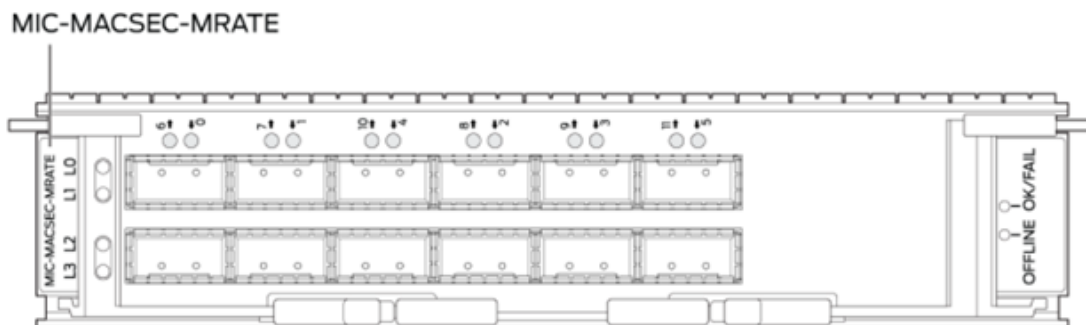
Figure 3 – Routing Engine (RE-MX2000-1800X4-S)

- |                                      |                              |
|--------------------------------------|------------------------------|
| 1— Auxiliary port (AUX)              | 2—CONSOLE port               |
| 3— Management port (MGMT)            | 4— USB port                  |
| 5—RESET button                       | 6— ONLINE/OFFLINE button     |
| 7— LINK                              | 8— EXTCLK0 and EXTCLK1 ports |
| 9— GPS, and BITS                     | 10— XGE-0 and XGE-1 ports    |
| 11— ONLINE, MASTER, and OK/FAIL LEDs |                              |



Figure 4 – MPC9E MACsec Line Card





**Figure 5 – MIC-MACSEC-MRATE**

The MIC-MACSEC-MRATE has Twelve Gigabit Ethernet QSFP+ ports, each of which can be configured as a 40-Gigabit Ethernet port or as four 10-Gigabit Ethernet ports (by using a breakout cable).

When used in MPC9E:

- Eight ports out of the total twelve ports support 100-Gigabit Ethernet speed
- Maximum aggregate port capacity across ports 0 through 5 should not exceed 400 Gbps
- Maximum aggregate port capacity across ports 6 through 11 should not exceed 400 Gbps

**Table 3 – Ports and Interfaces**

Port	Device (# of ports)	Description	Logical Interface Type
Ethernet	MX2010/MX2020: Management port (RE1800 (1), REMX2K- X8-64G (1)), MPC9E with MIC- MACSEC-MRATE interfaces: 40-Gigabit Ethernet QSFP+ ports (12)	LAN Communications/Remote management	Control in, Data in, Data out, Status out
Serial	MX2010/MX2020 (RE1800 (1), REMX2K- X8-64G (1))	Console serial port	Control in, Data in, Data out Status out
USB	MX2010 (RE1800 (1), REMX2K-X8-64G (2)), MX2020 (RE1800 (1), REMX2K-X8-64G (2))	USB port - load Junos image	Control in, Data in
Power	MX2010 (chassis (9)), MX2020 (chassis (18))	Power connector	Power
LEDs	MX2010 (chassis (41), MX2020 (chassis (60)), MIC-MACSEC- MRATE (18), RE1800 (11), REMX2K-X8-64G (14)	Status indicator lighting	Status out

Reset Button	MX2010/MX2020 (RE1800 (1), REMX2K-X8-64G (1))	Reset	Control in
Online/Offline Button	MX2010/MX2020 (REMX2K-X8-64G (1), chassis (2))	Online/Offline	Control in
Chassis Cluster Control	MX2010/MX2020	Disabled	N/A
Aux	MX2010/MX2020 (RE1800 (1), REMX2K-X8-64G (1))	Disabled	N/A
Backplane	MX2010 (chassis (2)), MX2020 (chassis (4))	Line card backplane interface	Control in, Data in, Status out, Data out

## 1.2 Modes of Operation

The module supports three FIPS Approved modes of operation and a non-Approved mode of operation. The module must always be zeroized when switching between any FIPS Approved mode of operation and the non-Approved mode of operation and vice versa.

### 1.2.1 FIPS Approved Mode

The hardware versions contained in Table 1, with Junos OS 20.3X75 installed, contain three FIPS-Approved modes of operation and a non-Approved mode of operation. The Junos OS 20.3X75 firmware image must be installed on the device. The module is configured during initialization to operate in an approved mode or a non-approved mode.

The Crypto-Officer places the module in an Approved mode of operation by following the instructions in cryptographic officer guidance (section 6.1).

The Crypto-Officer can verify that the cryptographic module is in an Approved mode by observing the console prompt and running the “show version” command. When operating in FIPS mode, the prompt will read “<user>@<device name>:fips#” (e.g. crypto-officer@mx2010:fips#). The “show version” command will allow the Crypto-Officer to verify that the validated firmware version is running on the module. The Crypto-Officer can also use the “show system fips level” command to determine if the module is operating in FIPS mode.

The module supports three Approved modes of operation. The three modes are identified as “FIPS Standard Mode”, “FIPS Reduced Throughput Mode” and “FIPS Recovery Mode.”

The FIPS Standard Mode is entered when the module is configured for FIPS mode and successfully passes all the power on self-tests (POST) in both the routing engine (RE) and in each MIC-MACSEC-MRATE installed in the chassis of the module (the maximum number of MIC-

MACSEC-MRATE that can be installed in each MPC9E line card is 2 and thus in the module chassis is 20 in case of the MX2010 and 40 in case of the MX2020 modules). The FIPS Standard Mode supports the approved and allowed algorithms, functions and protocols identified in Table 4 – 11. The services available in this mode are described in Tables 14 and 16.

The FIPS Reduced Throughput mode is automatically selected by the module at power-up when all the power on self-tests (POST) in the routing engine (RE) pass successfully, at least one MIC-MACSEC-MRATE in the module chassis passes all self-tests, and at least one MIC-MACSEC-MRATE in the module chassis fails one or more of its self-tests. In this mode, the module offers reduced throughput MACsec services. The FIPS Reduced Throughput Mode supports the approved and allowed algorithms, functions and protocols identified in Table 4 – 11. The services available in this mode are described in Tables 14 and 16.

The FIPS Recovery Mode is automatically selected when the module is configured for FIPS mode, at power-up, when one or more of the POST fails for each MIC-MACSEC-MRATE, and all of the RE POST pass successfully. In the FIPS Recovery Mode, the module does not allow MACsec services and shuts down all data ports on each MIC-MACSEC-MRATE. The module supports the OpenSSL, LibMD and Kernel algorithms in Table 4-6; the algorithms in Table 10, and the SSH protocol in Table 11 when in the FIPS Recovery mode. The services available in the Recovery mode are described in Table 15 and Table 17.

### **1.2.2 Non-Approved Mode**

The cryptographic module supports a non-Approved mode of operation. When operated in the non- Approved mode of operation, the module supports the algorithms identified in Section 2.2 as well as the algorithms supported in the Approved mode of operation.

The Crypto-Officer can place the module into a non-approved mode of operation by following the instructions in the cryptographic officer guidance (section 6.1).

### **1.3 Zeroization**

The cryptographic module provides a non-Approved mode of operation in which non-Approved cryptographic algorithms are supported. When transitioning between the non-Approved mode of operation and the FIPS-Approved mode of operation, or vice-versa, the cryptographic officer shall zeroize all keys and CSPs.

Zeroization completely erases all configuration information on the router. The Crypto Officer initiates the zeroization process by entering the “request vmhost zeroize no-forwarding” or “request system zeroize” operational command from the CLI after enabling FIPS mode when using the REMX2K-X8-64G or the RE-S-1800 routing engine respectively. Use of this command is restricted to the Crypto Officer.



Use of the zeroize command is restricted to the Cryptographic Officer. The cryptographic officer shall perform zeroization in the following situations:

1. Before FIPS Operation: To prepare the device for operation as a FIPS cryptographic module by erasing all CSPs and other user-created data on a device before its operation as a FIPS cryptographic module.
2. Before non-FIPS Operation: To conduct erasure of all CSPs and other user-created data on a device in preparation for repurposing the device for non-FIPS operation.

**CAUTION:** Perform system zeroization with care. After the zeroization process is complete, no data is left on the Routing Engine. The device is returned to the factory default state, without any configured users or configuration files.

To zeroize the device:

1. From the CLI, enter

When using the REMX2K-X8-64G-S Routing Engine:  
crypto-officer@device> request vmhost zeroize no-forwarding  
warning: System will be rebooted and may not boot without configuration  
Erase all data, including configuration and log files? [yes, no] (no)

When using the RE-MX2000-1800X4-S Routing Engine:  
crypto-officer@device> request system zeroize  
warning: System will be rebooted and may not boot without configuration  
Erase all data, including configuration and log files? [yes, no] (no)

2. To initiate the zeroization process, type yes at the prompt:  
Erase all data, including configuration and log files? [yes, no] (no)  
yes
3. When the system finishes rebooting the system will be in a factory default state.

Note: The Cryptographic Officer must retain control of the module while zeroization is in process.

## 2 Cryptographic Functionality

### 2.1 Allowed Algorithms and Protocols

The module implements the FIPS Approved and Non-Approved but Allowed cryptographic functions listed in Tables 4, 5, 6, 7, 8, 9 and 10 below. Table 11 summarizes the high-level protocol algorithm support. There are some algorithm modes that were tested but not implemented by the module. Only the algorithms, modes, and key sizes that are implemented by the module are shown in this/these table(s).

**Table 4 – Kernel Approved Cryptographic Functions**

CAVP Cert.	Algorithm	Standard	Mode	Description	Functions
#A2283	DRBG	SP800-90A	HMAC	SHA-256	Random Bit Generation
#A2283	HMAC	PUB 198	SHA-1	Key size: 160 bits, $\lambda = 96$	Message Authentication, DRBG Primitive
			SHA-256	Key size: 256 bits, $\lambda = 128, 256$	
#A2283	SHS	PUB 180-4	SHA-1 SHA-256 SHA-384 SHA-512		Message Digest Generation

**Table 5 – LibMD Approved Cryptographic Functions**

CAVP Cert.	Algorithm	Standard	Mode	Description	Functions
#A2284	HMAC	PUB 198	SHA-1	Key size: 160 bits, $\lambda = 96$	Message Authentication
			SHA-256	Key size: 256 bits, $\lambda = 128, 256$	
#A2284	SHS	PUB 180-4	SHA-1 SHA-256 SHA-512		Message Digest Generation

**Table 6 – OpenSSL Approved Cryptographic Functions**

CAVP Cert.	Algorithm	Standard	Mode	Description	Functions
#A2286	AES <sup>1</sup>	PUB 197-38A	CBC, CTR, ECB	Key Sizes: 128, 192, 256	Encrypt, Decrypt

<sup>1</sup> The AES-ECB mode was used for testing the AES-CTR mode.

CAVP Cert.	Algorithm	Standard	Mode	Description	Functions
N/A <sup>2</sup>	CKG	SSH-PUB 133	Section 6.1 Section 6.2		A generated seed used in asymmetric key generation using an unmodified DRBG output
#A2286	KAS-SSC	SP 800-56Arev3	ECC DH	P-256 (SHA 256) P-384 (SHA 384) P-521 (SHA 512)	Key Agreement Scheme – Shared Secret Computation
#A2286	CVL	SP 800-135	SSH	SHA 1, 256, 384, 512	Key Derivation
N/A	KAS	SP 800-56Arev3	ECC DH and SSH KDF		Key Agreement Scheme – Shared Secret Computation per SP 800-56Arev3 and Key Derivation per SP 800-135.
#A2286	DRBG	SP 800-90A	HMAC	SHA-256	Random Number Generation
#A2286	ECDSA	PUB 186-4		P-256 (SHA 256) P-384 (SHA 384) P-521 (SHA 512)	SigGen, KeyGen, SigVer, PKV
#A2286	HMAC	PUB 198	SHA-1	Key size: 160 bits, $\lambda = 160$	Message Authentication
			SHA-224	Key size: 224 bits, $\lambda = 192$	
			SHA-512	Key size: 512 bits, $\lambda = 512$	
			SHA-256	Key size: 256, bits, $\lambda = 256$	Message Authentication, DRBG Primitive
N/A	KTS		AES Cert. #A2286 (CBC and CTR modes) and HMAC Cert. #A2286		key establishment methodology provides between 128 and 256 bits of encryption strength

<sup>2</sup> Vendor Affirmed

CAVP Cert.	Algorithm	Standard	Mode	Description	Functions
#A2286	RSA	PUB 186-4		n=2048 (SHA 256, 512) n=3072 (SHA 256, 512) n=4096 (SHA 256, 512)	KeyGen, SigGen, SigVer
#A2286	SHS	PUB 180-4	SHA-1 SHA-256 SHA-384 SHA-512		Message Digest Generation, KDF Primitive
			SHA-224		Message Digest Generation

**Table 7 – QuickSec Approved Cryptographic Functions**

CAVP Cert.	Algorithm	Standard	Mode	Description	Functions
#A2287	HMAC	PUB 198	SHA-256	Key size: 256, bits, $\lambda = 256$	
#A2287	SHS	SP800- 180-4	SHA-256		Message Digest Generation, KDF Primitive
#A2287	DRBG	SP800-90A	HMAC	SHA-256	Random Bit Generation

**Table 8 – MACSec Approved Cryptographic Functions**

CAVP Cert.	Algorithm	Standard	Mode	Description	Functions
#A2285	AES	SP 800- 197-38A	ECB, CBC	Key Sizes: 128, 256	AES CMAC
		SP 800-38D	CMAC	Key Sizes: 128,256	Key Derivation SP 800-108: Used to generate MACsec keys
		SP 800-35F	KW	Key Size: 128	Key Wrapping for MACsec keys
#A2285	KBKDF	SP 800-108	Counter	CMAC AES128 CMAC AES256	KDF for MACsec keys
N/A	KTS		AES #A2285		Key Wrapping, key establishment methodology

				provides 128 bits of encryption strength
--	--	--	--	--

**Table 9 – MIC-MACSEC-MRATE (BCM82391 Chip)**

CAVP Cert.	Algorithm	Standard	Mode	Description	Functions
4545	AES	SP 800-38D	GCM	Key Sizes: 128,256	Key Derivation SP 800-108: Used to generate MACsec keys

**Table 10 – Entropy**

Algorithm	Caveat	Use
SP 800-90B ENT (NP)	The module generates a minimum of 256 bits of entropy for key generation.	Seeding the DRBG

**Table 11 – Protocols Implemented in FIPS Mode**

Protocol	Key Exchange	Auth	Cipher	Integrity
MACsec MKA	MACsec Key Agreement	Shared secret	AES-GCM-128 AES-GCM-256	HMAC-SHA-256
SSHv2	EC Diffie-Hellman P-256, P-384, P-521	RSA 2048, 4096 ECDSA P-256	AES CBC 128/192/256 AES CTR 128/192/256	HMAC-SHA-1 HMAC-SHA-256 HMAC-SHA-512

No part of these protocols, other than the KDF, have been tested by the CAVP and CMVP. The MACsec and SSH algorithms allow independent selection of key exchange, authentication, cipher, and integrity. In Table 11 above, each column of options for a given protocol is independent and may be used in any viable combination.

The modules can take on the role of Peer or Authenticator in reference to the MACsec protocol. The AES GCM IV construction is performed in compliance with IEEE 802.1AE and its amendments.

## 2.2 Disallowed Algorithms and Protocols

These algorithms and protocols are non-Approved algorithms and protocols that are disabled when the module is operated in an Approved mode of operation. The algorithms



are available as part of the SSH connect service when the module is operated in the non-Approved mode.

## Algorithms

- RSA with key size less than 2048
- ECDSA with ed25519 curve
- ECDH with ed25519 curve
- ARCFOUR
- Blowfish
- CAST
- DSA (SigGen, SigVer; non-compliant)
- HMAC-MD5
- HMAC-RIPEMD160
- UMAC

## Protocols

- Finger
- ftp
- rlogin
- telnet
- tftp
- xnm-clear-text

## 2.3 Critical Security Parameters

All CSPs and public keys used by the module are described in this section. The CSPs in Table 12 are used in the FIPS Standard and FIPS Reduced Throughput Modes. The FIPS Recovery Mode uses a subset of the CSPs found in Table 12. The MACsec CSPs are not available for use in FIPS Recovery Mode of operation.

**Table 12 – Critical Security Parameters (CSPs)**

Name	Description and usage
DRBG_Seed	Seed material used to seed or reseed the DRBG
DRBG_State	Values V and Key which comprise the HMAC_DRBG state
Entropy Input	256 bits entropy (min) input used to instantiate the DRBG
ECDH Secret	The Diffie-Hellman shared secret used in EC Diffie-Hellman (ECDH) exchange. Created per the EC Diffie-Hellman protocol. Provides between 128-256 bits of security.

SSH PHK	SSH Private host key. 1st time SSH is configured, the keys are generated. ECDSA P-256. RSA 2048, 4096
SSH ECDH	Ephemeral EC Diffie-Hellman private key used in SSH. ECDH P-256, P-384, or P-521
SSH-SEKs	SSH Session Keys: SSH Session Encryption Key: AES (128,192,256); SSH Session Integrity Key: HMAC.
MACsec CAK	User-configured PSK entered when MACsec using static connectivity association key (CAK) security mode is enabled (32 characters).
MACsec CKN	User-configured PSK used to identify the CAK (64 characters).
MACsec SAK	Security Association Key used to encrypt/decrypt traffic for a given session. Derived from CAK using KDF SP 800-108. (128-bit AES).
MACsec KEK	Key Encryption Key used to transmit SAK to other members of a MACSec connectivity association. Derived from CAK using KDF SP 800-108. (128-bit AES).
MACsec ICK	Integrity Check Key used to verify the integrity and authenticity of MPDUs. Derived from CAK using KDF SP 800-108. (128/256-bit CMAC).
HMAC Key	The LibMD HMAC keys: message digest for hashing password and critical function test.
User Password	Passwords used to authenticate Users to the module.
CO Password	Passwords used to authenticate COs to the module.

**Table 13 – Public Keys**

Name	Description and usage
SSH-PUB	SSH Public Host Key used to identify the host. ECDSA P-256. RSA 2048, 4096.
SSH-ECDH-PUB	Ephemeral EC Diffie-Hellman public key used in SSH key establishment. ECDH P-256, P-384, or P-521
Auth-User	User Authentication Public Keys. Used to authenticate users to the module. ECDSA P-256, P-
Auth-CO Pub	CO Authentication Public Keys. Used to authenticate CO to the module. ECDSA P-256, P-384,
Root CA	ECDSA P-256 X.509 Certificate; Used to verify the validity of the Juniper Package CA at software load and also at runtime for integrity.
Package CA	ECDSA P-256 X.509 Certificate; Used to verify the validity the Juniper Image at software load and also at runtime for integrity.

## 3 Roles, Authentication and Services

### 3.1 Roles and Authentication of Operators to Roles

The module supports two roles: Cryptographic Officer (CO) and User. The module supports concurrent operators but does not support a maintenance role and/or bypass capability. The module enforces the separation of roles using identity-based operator authentication.

The Cryptographic Officer role configures and monitors the module via a console or SSH connection. As root or super-user, the Cryptographic Officer has permission to view and edit secrets within the module.

The User role monitors the router via the console or SSH. The User role cannot change the configuration.

### 3.2 Authentication Methods

The module implements two forms of Identity-Based authentication, Username and password over the Console and SSH as well as Username and ECDSA or RSA public key over SSH.

Password authentication: The module enforces 10-character passwords (at minimum) chosen from the 96 human readable ASCII characters. The maximum password length is 20-characters. Thus, the probability of a successful random attempt is  $1/(96^{10})$ , which is less than 1/1 million. The module enforces a timed access mechanism as follows: For the first two failed attempts (assuming 0 time to process), no timed access is enforced. Upon the third attempt, the module enforces a 5-second delay. Each failed attempt thereafter results in an additional 5-second delay above the previous (e.g. 4th failed attempt = 10-second delay, 5th failed attempt = 15-second delay, 6th failed attempt = 20-second delay, 7th failed attempt = 25-second delay). This leads to a maximum of 7 possible attempts in a one-minute period for each getty. The best approach for the attacker would be to disconnect after 4 failed attempts and wait for a new getty to be spawned. This would allow the attacker to perform roughly 9.6 attempts per minute (576 attempts per hour/60 mins); this would be rounded down to 9 per minute, because there is no such thing as 0.6 attempts. The probability of a success with multiple consecutive attempts in a one-minute period is  $9/(96^{10})$ , which is less than 1/100,000.

ECDSA signature verification: SSH public-key authentication. The module supports ECDSA (P-256, P-384, and P-521), which has a minimum equivalent computational resistance to attack of either 2128, 2192 or 2256 depending on the curve. Thus, the probability of a successful random attempt is  $1/(2128)$ , which is less than 1/1,000,000. Configurable SSH connection establishment rate limits the number of connection attempts, and thus failed authentication attempts in a one-minute period to a maximum of 15,000 attempts. The probability of a success with multiple consecutive attempts in a one-minute period is  $15,000/(2128)$ , which is less than 1/100,000.

RSA signature verification: SSH public-key authentication. The module supports RSA (2048, 4096), which has a minimum equivalent computational resistance to attack of 2112 (2048).

Thus, the probability of a successful random attempt is 1/ (2112), which is less than 1/1,000,000. Configurable SSH connection establishment rate limits the number of connection attempts, and thus failed authentication attempts in a one-minute period to a maximum of 15,000 attempts. The probability of a success with multiple consecutive attempts in a one-minute period is 15,000/ (2112), which is less than 1/100,000.

### 3.3 Approved and Allowed Services

All services implemented by the module are listed in the tables below. Table 17 lists the access to CSPs by each service.

**Table 14 – Authenticated Services in FIPS Standard and Reduced Throughput Modes**

Service	Description	CO	User
Configure security	Security relevant configuration	x	
Configure	Non-security relevant configuration	x	
Secure Traffic	MACsec encrypted transfer of data	x	
Status	Show status	x	x
Zeroize	Destroy all CSPs	x	
SSH connect	Initiate SSH connection for SSH monitoring and control (CLI)	x	x
MACsec connect	Initiate MACsec connection	x	
Console access	Console monitoring and control (CLI)	x	x
Remote reset	Software initiated reset, performs self-tests on	x	
Load Image	Verification and loading of a validated firmware image into the router.	x	

**Table 15 – Authenticated Services in FIPS Recovery Mode**

Service	Description	CO	User
Configure security	Security relevant configuration	x	
Configure	Non-security relevant configuration	x	
Status	Show status	x	x
Zeroize	Destroy all CSPs	x	
SSH connect	Initiate SSH connection for SSH monitoring and control (CLI)	x	x
Console access	Console monitoring and control (CLI)	x	x
Remote reset	Software initiated reset, performs self-tests on	x	

Load Image	Verification and loading of a validated firmware image into the router.	x	
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**Table 16 – Unauthenticated Services in FIPS Standard and Reduced Throughput Modes**

Service	Description
Local reset	Hardware reset or power cycle
Traffic	Traffic requiring no cryptographic services (e.g., OSPF, BGP)
LED Status	Basic

**Table 17 – CSP Access Rights within Services**

Service	CSPs														
	DRBG_Seed	DRBG_State	Entropy Input	ECDH Shared Secret	SSH PHK	SSH ECDH	SSH-SEK	MACsec SAK	MACsec CAK	MACsec CKN	MACsec KEK	MACsec ICK	HMAC Key	CO-PW	User-PW
Configure security	--	E	--	GW R	GW R	--	--	GW R	W R	W R	G W	G W	G	W	W
Configure	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Secure traffic	--	--	--	--	--	--	--	E	--	--	E	--	--	--	--
Status	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Zeroize	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z
SSH connect	--	E	--	E	E	GE	GE	--	--	--	--	--	--	E	E
MACsec connect	--	E	--	--	--	--	--	GE	--	--	GE	GE	--	--	--
Console access	--	--	--	--	--	--	--	--	--	--	--	--	--	E	E
Remote reset	GE Z	GZ	GZ	Z	--	Z	Z	Z	--	--	--	Z	--	--	--
Load Image	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Local reset	GE Z	GZ	GZ	Z	--	Z	Z	Z	--	--	--	Z	--	--	--
Traffic	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

G = Generate: The module generates the CSP

R = Read: The CSP is read from the module (e.g. the CSP is output)

E = Execute: The module executes using the CSP  
W = Write: The CSP is updated or written to the module (persistent storage)  
Z = Zeroize: The module zeroizes the CSP.

### 3.4 Non-Approved Services

The following services are available in the non-Approved mode of operation. The security functions provided by the non-Approved services are identical to the Approved counterparts with the exception of SSH Connect (non-compliant). SSH Connect (non-compliant) supports the security functions identified in Section 2.2 and the SSHv2 row of Table 11.

**Table 18 – Non-Approved Authenticated Services in FIPS Standard and Reduced Throughput Modes**

Service	Description	CO	User
Configure security (non-compliant)	Security relevant configuration	x	
Configure (non-compliant)	Non-security relevant configuration	x	
Secure Traffic (non-	MACsec encrypted transfer of data	x	
Status (non-compliant)	Show status	x	x
Zeroize (non-compliant)	Destroy all CSPs	x	
SSH connect (non-compliant)	Initiate SSH connection for SSH monitoring and control (CLI)	x	x
MACsec connect (non-compliant)	Initiate MACsec connection	x	
Console access (non-compliant)	Console monitoring and control (CLI)	x	x
Remote reset (non-compliant)	Software initiated reset, performs self-tests on demand	x	
Load Image (non-compliant)	Verification and loading of a validated firmware image into the router.	x	

**Table 19 – Non-Approved Authenticated Services in FIPS Recovery Mode**

Service	Description	CO	User
Configure security (non-compliant)	Security relevant configuration	x	
Configure	Non-security relevant configuration	x	
Status (non-compliant)	Show status	x	x
Zeroize (non-compliant)	Destroy all CSPs	x	

Service	Description	CO	User
SSH connect (non-compliant)	Initiate SSH connection for SSH monitoring and	x	x
Console access (non-compliant)	Console monitoring and control (CLI)	x	x
Remote reset (non-compliant)	Software initiated reset, performs self-tests on demand	x	
Load Image (non-compliant)	Verification and loading of a validated firmware image into the router.	x	

**Table 20 – Non-Approved Unauthenticated Services**

Service	Description
Local reset	Hardware reset or power cycle
Traffic	Traffic requiring no cryptographic services (e.g., OSPF, BGP)
LED Status	Basic

## 4 Self-tests

Each time the module is powered up it tests that the cryptographic algorithms still operate correctly, and that sensitive data have not been damaged. Power-up self-tests are available on demand by power cycling the module (Remote reset service).

On power up or reset, the module performs the self-tests described below. All KATs must be completed successfully prior to any other use of cryptography by the module in the FIPS Standard and Reduced Throughput Modes of operation. If any one of the Routing Engine KATs fails, the module enters the Error state. If all the RE KATs pass and at least one MPC9E MACSEC line cards (with the MIC-MACSEC-MRATE) in the module chassis passes all of its self-tests, and at least one MPC9E MACSEC line cards (with the MIC-MACSEC-MRATE) in the module chassis fails one or more of its self-tests, the module selects the FIPS Reduced Throughput Mode of operation and the module offers reduced throughput MACsec services. If all the RE KATs pass and each of the MPC9E line cards in the module chassis fails one or more of its KATs, the module selects the FIPS Recovery Mode of operation and all MPC9E algorithms are prevented from being used.

The module performs the following power-up self-tests:

### Routing Engine (RE)

- **Firmware Integrity check:** using ECDSA P-256 with SHA-256
- **Kernel KATs**
  - SP 800-90A HMAC DRBG KAT
    - Health-tests initialize, re-seed, and generate
  - HMAC-SHA-1 KAT
  - HMAC-SHA-256 KAT
  - SHA-384 KAT
  - SHA-512 KAT
- **OpenSSL KATs**
  - AES-CBC (128/192/256) Encrypt KAT
  - AES-CBC (128/192/256) Decrypt KAT
  - SP 800-90A HMAC DRBG KAT
    - Health-tests initialize, re-seed, and generate
  - ECDSA P-256 Sign/Verify PCT
  - HMAC-SHA-1 KAT
  - HMAC-SHA-224 KAT
  - HMAC-SHA-256 KAT
  - HMAC-SHA-512 KAT
  - KAS-ECC KAT
  - KDF-SSH KAT
  - RSA 2048 w/ SHA-256 Sign KAT
  - RSA 2048 w/ SHA-256 Verify KAT



- SHA-384 KAT
- **LibMD KATs**
  - HMAC SHA-1
  - HMAC SHA-256
  - SHA-512
- **QuickSec KATs**
  - SP 800-90A HMAC DRBG KAT
    - Health-tests initialize, re-seed, and generate
  - HMAC-SHA-256 KAT
- **MacSec KATs**
  - AES128-CMAC KAT
  - AES256-CMAC KAT
  - AES-ECB (128/256) Encrypt KAT
  - AES-ECB (128/256) Decrypt KAT
  - AES-KEYWRAP KAT
  - KBKDF KAT

#### **MPC9E with MIC-MACSEC-MRATE (BCM82391 Chip)**

- AES GCM KAT
- **Critical Function Test**
  - The cryptographic module performs a verification of a limited operational environment, and verification of optional non-critical packages.

The module also performs the following conditional self-tests:

- Continuous Tests per SP 800-90B: Adaptive Proportion Test (APT) and Repetition Count Test (RCT).
- Pairwise consistency test when generating ECDSA, and RSA key pairs.
- Firmware Load Test (ECDSA signature verification).

## 5 Physical Security Policy

The modules physical embodiment is that of a multi-chip standalone device that meets Level 1 Physical Security requirements. The module is completely enclosed in a rectangular nickel or clear zinc coated, cold rolled steel, plated steel and brushed aluminium enclosure. The module enclosure is made of production grade materials. There are no ventilation holes, gaps, slits, cracks, slots, or crevices that would allow for any sort of observation of any component contained within the cryptographic boundary.

## 6 Security Rules and Guidance

The module design corresponds to the security rules below. The term *shall* in this context specifically refers to a requirement for correct usage of the module in the Approved mode; all other statements indicate a security rule implemented by the module.

1. The module clears previous authentications on power cycle.
2. When the module has not been placed in a valid role, the operator does not have access to any cryptographic services.
3. Power up self-tests do not require any operator action.
4. Data output is inhibited during key generation, self-tests, zeroization, and error states.
5. Status information does not contain CSPs or sensitive data that if misused could lead to a compromise of the module.
6. There are no restrictions on which keys or CSPs are zeroized by the zeroization service.
7. The module does not support a maintenance interface or role.
8. The module does not support manual key entry.
9. The module does not output intermediate key values.
10. The module requires two independent internal actions to be performed prior to outputting plaintext CSPs.
11. The cryptographic officer shall verify that the firmware image to be loaded on the module is a FIPS validated image. If any non-validated firmware image is loaded the module will no longer be a FIPS validated module.
12. The cryptographic officer shall retain control of the module while zeroization is in process.
13. If the module loses power and then it is restored, then a new key shall be established for use with the AES GCM encryption/decryption processes.
14. Virtual Chassis is not supported in FIPS mode and shall not be configured on the modules.
15. RSA key generated shall only be 2048 bits or greater.
16. The module shall only be used with CMVP FIPS 140-2 validation modules when supporting the MACsec protocol for providing Peer, Authenticator functionality.
17. The link between the Peer and Authenticator, used in the MACsec communication, shall be secure to prevent the possibility for an attacker to introduce foreign equipment into the local area network.

## 6.1 Cryptographic-Officer Guidance

The cryptographic officer must check to verify the firmware image on the router is the FIPS 140-2 validated image. If the image is the FIPS 140-2 validated image, then proceed to section 6.1.2.

### 6.1.1 Installing the FIPS-Approved firmware image

Download the validated firmware image from the <https://www.juniper.net/support/downloads/junos.html>. Log in to the Juniper Networks authentication system using the username (generally your e-mail address) and password supplied by Juniper Networks representatives. Select the validated firmware image. Download the firmware image to a local host or to an internal software distribution site.

Connect to the console port on the device from your management device and log in to the Junos OS CLI. Copy the firmware package to the device to the `/var/tmp/` directory. Install the new package on the device:

When using the REMX2K-X8-64G-S Routing Engine:

```
user@device> request vmhost software add /var/tmp/package.tgz.
```

When using the RE-MX2000-1800X4-S Routing Engine:

```
user@device> request system software add /var/tmp/package.tgz
```

**NOTE:** If you need to terminate the installation, do not reboot your device; instead, finish the installation and then issue the `request system software delete package.tgz` command, where `package.tgz` is, for example, `junos-vmhost-install-mx-x86-64-20.3X75.8.tgz`. This is your last chance to stop the installation.

Reboot the device to load the installation and start the new firmware image:

When using the REMX2K-X8-64G-S Routing Engine:

```
user@device> request vmhost reboot
```

When using the RE-MX2000-1800X4-S Routing Engine:

```
user@device> request system reboot
```

After the reboot has completed, log in and use the `show version` command to verify that the new version of the firmware is successfully installed.

Also install the `fips-mode` package and `jpfe-fips` package needed for Routing Engine KATS and for Line card KATS. The following are the commands used for installing these packages:

```
user@device >request system software add optional://fips-mode.tgz
```

```
user@device >request system software add optional://jpfe-fips.tgz
```

## 6.1.2 Enabling FIPS-Approved Mode of Operation

The cryptographic officer is responsible for initializing the module in a FIPS-Approved mode of operation. The FIPS-Approved mode of operation is not automatically enabled. The cryptographic officer shall place the module in the FIPS-Approved mode by first zeroizing the device to delete all keys and CSPs. The zeroizing instructions are in section 1.3 of this document. Next, the cryptographic officer shall follow the steps found in the *Junos OS FIPS Evaluated Configuration Guide for MX2010 and MX2020 Devices, Release 20.3X75* document Chapters 3 & 7 to place the module into a FIPS-Approved mode of operation. The steps from the aforementioned document are repeated below:

To enable FIPS mode in Junos OS on the device:

1. Zeroize the device as explained in Section 1.3.
2. Login to the device as root, set the root-authentication password and configure the crypto-officer credentials. Login to the device using the crypto-officer credentials and enter configuration mode:

```
crypto-officer@device> edit
Entering configuration mode
[edit]
crypto-officer@device#
```

3. Enable FIPS mode on the device by setting the FIPS level to 1, and verify the level:

```
[edit]
crypto-officer@device # set system fips chassis level 1
```

```
[edit]
crypto-officer@device # show system fips
chassis level 1;
```

4. Commit the configuration

```
[edit ]
crypto-officer@device# commit
configuration check succeeds
  Generating RSA key /etc/ssh/fips_ssh_host_key
  Generating RSA2 key /etc/ssh/fips_ssh_host_rsa_key
  Generating ECDSA key /etc/ssh/fips_ssh_host_ecdsa_key
[edit]
'system'
  reboot is required to transition to FIPS level 1
  commit complete
```

5. Reboot the device:

When using the REMX2K-X8-64G-S Routing Engine:

```
[edit]
crypto-officer@device# run request vmhost reboot
Reboot the system ? [yes,no] (no) yes
```

When using the RE-MX2000-1800X4-S Routing Engine:

```
[edit]
crypto-officer@device# run request system reboot
Reboot the system ? [yes,no] (no) yes
```

During the reboot, the device runs Known Answer Tests (KATS). It returns a login prompt:

```
crypto-officer@device:fips>
```

6. After the reboot has completed, log in and use the show version command to verify the firmware version is the validated version.

```
crypto-officer@device:fips> show version
```

### 6.1.3 Placing the Module in a Non-Approved Mode of Operation

As cryptographic officer, the operator needs to disable the FIPS-Approved mode of operation on the device to return it to a non-Approved mode of operation. To disable FIPS-Approved mode on the device, the router must be zeroized. Follow the steps found in section 1.3 to zeroize the router.

## 6.2 User Guidance

The user should verify that the module is operating in the desired mode of operation (FIPS-Approved mode or non-Approved mode) by observing the command prompt when logged into the device. If the string “:fips” is present, then the router is operating in a FIPS-Approved mode. Otherwise, it is operating in a non-Approved mode.

All FIPS users, including the Crypto Officer, must observe security guidelines at all times.

All FIPS users must:

- Keep all passwords confidential.
- Store devices and documentation in a secure area.
- Deploy devices in secure areas.
- Check audit files periodically.
- Conform to all other FIPS 140-2 security rules.
- Follow these guidelines:
  - Users are trusted.
  - Users abide by all security guidelines.
  - Users do not deliberately compromise security.
  - Users behave responsibly at all times.

## 7 References and Definitions

The following standards are referred to in this Security Policy.

**Table 21 – References**

Abbreviation	Full Specification Name
[FIPS140-2]	<i>Security Requirements for Cryptographic Modules, May 25, 2001</i>
[SP800-131Ar2]	<i>Transitions: Recommendation for Transitioning the Use of Cryptographic Algorithms and Key Lengths, January 2011</i>
[IG]	<i>Implementation Guidance for FIPS PUB 140-2 and the Cryptographic Module Validation</i>

**Table 22 – Acronyms and Definitions**

Acronym	Definition
AES	Advanced Encryption Standard
CAK	Connectivity Association Key
CKN	Connectivity Association Key Name
DH	Diffie-Hellman
DSA	Digital Signature Algorithm
ECDH	Elliptic Curve Diffie-Hellman
ECDSA	Elliptic Curve Digital Signature Algorithm
EMC	Electromagnetic Compatibility
FIPS	Federal Information Processing Standard
HMAC	Keyed-Hash Message Authentication Code
ICV	Integrity Check Value (i.e. Tag)
ICK	Integrity Check Key
KEK	Key Encrypting Key
MACsec	Media Access Control Security
MD5	Message Digest 5
RE	Routing Engine
RSA	Public-key encryption technology developed by RSA Data Security, Inc.
SCB	Switch Control Board
SHA	Secure Hash Algorithms
SSH	Secure Shell

**Table 23 – Datasheet**

Model	Title	URL
MX2010/ MX2020	MX2000 UNIVERSAL ROUTING PLATFORMS	<a href="https://www.juniper.net/content/dam/www/assets/datasheets/us/en/routers/mx2000-universal-routing-platforms-datasheet.pdf">https://www.juniper.net/content/dam/www/assets/datasheets/us/en/routers/mx2000-universal-routing-platforms-datasheet.pdf</a>