Electing a University President using Open-Audit Voting

Ben Adida*. Olivier de Marneffe. Olivier Pereira Jean-Jacques Quisquater

> * Harvard University Université catholique de Louvain

> > October 13, 2009



The UCL president election

May 2008

Université catholique de Louvain (Belgium) sets new rules for the election of its president



The UCL president election

May 2008

Université catholique de Louvain (Belgium) sets new rules for the election of its president

- $ightharpoonup \approx 25.000$ potential voters
 - ightharpoonup pprox 30 members of the academic senate were voting before

The UCL president election

May 2008

Université catholique de Louvain (Belgium) sets new rules for the election of its president

- $\triangleright \approx 25.000$ potential voters
 - $\triangleright \approx 30$ members of the academic senate were voting before
- Voting operations conduced through browser/email
 - Large number of voters
 - Geographic dispersion of the voters
 - High familiarity level of the voters with the Internet
 - Low-coercion environment



Talk Outline

- ► UCL election specifics
- ▶ Helios 1.0
- Challenges and Deployment
- Lessons and statistics





Election specifics

▶ 1-out-of-*n* election



- ▶ 1-out-of-*n* election
- ► Absolute majority is needed to win, two rounds maximum

- ▶ 1-out-of-*n* election
- Absolute majority is needed to win, two rounds maximum
- Vote is not mandatory



- ▶ 1-out-of-n election
- Absolute majority is needed to win, two rounds maximum
- Vote is not mandatory
- Sophisticated vote weighting rules :

- ▶ 1-out-of-*n* election
- Absolute majority is needed to win, two rounds maximum
- Vote is not mandatory
- Sophisticated vote weighting rules : (simplified a lot)

- ▶ 1-out-of-n election
- Absolute majority is needed to win, two rounds maximum
- Vote is not mandatory
- Sophisticated vote weighting rules : (simplified a lot)
 - 4 categories of voters Faculty, Researchers, Administrative Staff and Students

- ▶ 1-out-of-n election
- Absolute majority is needed to win, two rounds maximum
- Vote is not mandatory
- Sophisticated vote weighting rules : (simplified a lot)
 - 4 categories of voters Faculty, Researchers, Administrative Staff and Students
 - ► F have 61% of the electoral votes



- ▶ 1-out-of-n election
- Absolute majority is needed to win, two rounds maximum
- Vote is not mandatory
- Sophisticated vote weighting rules : (simplified a lot)
 - 4 categories of voters Faculty, Researchers, Administrative Staff and Students
 - ► F have 61% of the electoral votes
 - ▶ R. A. S receive 13% each

- ▶ 1-out-of-*n* election
- Absolute majority is needed to win, two rounds maximum
- Vote is not mandatory
- Sophisticated vote weighting rules : (simplified a lot)
 - ► 4 categories of voters **F**aculty, **R**esearchers, **A**dministrative Staff and **S**tudents
 - ▶ **F** have 61% of the electoral votes
 - ▶ R, A, S receive 13% each
 - restrictions apply on sufficient participation rates
 - ⇒ the weight of each vote depends on the global turnout



Election outputs (as in the bylaws)



Election outputs (as in the bylaws)

number of electoral votes received by each candidate



Election outputs (as in the bylaws)

- number of electoral votes received by each candidate
- number of voters in each category



Election outputs (as in the bylaws)

- number of electoral votes received by each candidate
- number of voters in each category
- (results by category are secret)



Observations

► A university is a nice place to try something new



- ► A university is a nice place to try something new
- Voters aren't necessarily computer scientists



- A university is a nice place to try something new
- Voters aren't necessarily computer scientists
- ► Voters have UCL email address, login/password, member card



- ▶ A university is a nice place to try something new
- Voters aren't necessarily computer scientists
- ▶ Voters have UCL email address, login/password, member card
- Open-source and free starting point system needed (trust, versatility, time frame)



Helios Voting Elections you can audit

If my vote is supposed to stay secret, how can I verify that it was counted correctly?

The Helios Voting System implements advanced cryptographic techniques to maintain ballot secrecy while providing a mathematical proof that the election tally was correctly computed.

We call this an open-audit election. because you or anyone else can audit it.

Check out our Frequently Asked Questions.



Create an Open-Audit Election

[Home] [Login/Register] [Learn] [Blog/Updates]

All content on this site is licensed under a Creative Commons License. If you redistribute this content, you should give credit to Ben Adida and Harvard University.

www.heliosvoting.org



Principles

Browser-only voting system



- Browser-only voting system
- Low-coercion elections



- Browser-only voting system
- Low-coercion elections
- Design kept as simple as possible :

- Browser-only voting system
- Low-coercion elections
- Design kept as simple as possible :
 - Booth can be used as many times as desired
 - ► ElGamal encryption of 0/1 for each choice
 - Benaloh challenge cast or audit, authenticate on cast



- Browser-only voting system
- Low-coercion elections
- Design kept as simple as possible :
 - Booth can be used as many times as desired
 - ► ElGamal encryption of 0/1 for each choice
 - Benaloh challenge cast or audit, authenticate on cast
 - Sako-Kilian mixnet before decryption



- Browser-only voting system
- I ow-coercion elections
- Design kept as simple as possible :
 - Booth can be used as many times as desired
 - ► ElGamal encryption of 0/1 for each choice
 - Benaloh challenge cast or audit, authenticate on cast
 - Sako-Kilian mixnet before decryption
 - Web bulletin-board shows votes and proofs for everything



- Browser-only voting system
- Low-coercion elections
- Design kept as simple as possible :
 - Booth can be used as many times as desired
 - ► ElGamal encryption of 0/1 for each choice
 - Benaloh challenge cast or audit, authenticate on cast
 - Sako-Kilian mixnet before decryption
 - Web bulletin-board shows votes and proofs for everything
- ► Deployed on Google App Engine



Key management



Key management

► Vote confidentiality relies on control of ElGamal private key

Move to distributed ElGamal



Key management

- Vote confidentiality relies on control of ElGamal private key
 Move to distributed ElGamal
- Trustees are not computer scientists



Key management

- Vote confidentiality relies on control of ElGamal private key Move to distributed ElGamal
- Trustees are not computer scientists

Distribute trust among experts Use LiveCD, disk- and network-free laptops Monitoring/Audit by independent company







Vote weighting

 Participation per category and weights are public But support of candidates per category is secret



- Participation per category and weights are public But support of candidates per category is secret
- ⇒ We cannot open individual votes!
 Move to homomorphic tally instead of mixnets

- Participation per category and weights are public But support of candidates per category is secret
- ⇒ We cannot open individual votes!
 Move to homomorphic tally instead of mixnets
 - Not enough to hide support of candidates per category...

- Participation per category and weights are public But support of candidates per category is secret
- ⇒ We cannot open individual votes!
 Move to homomorphic tally instead of mixnets
 - Not enough to hide support of candidates per category...

$$w_{\mathsf{F}} n_{\mathsf{F}} + w_{\mathsf{R}} n_{\mathsf{R}} + w_{\mathsf{A}} n_{\mathsf{A}} + w_{\mathsf{S}} n_{\mathsf{s}} = n$$



Vote weighting

- Participation per category and weights are public But support of candidates per category is secret
- ⇒ We cannot open individual votes!
 Move to homomorphic tally instead of mixnets
 - Not enough to hide support of candidates per category...

$$w_{\mathsf{F}} n_{\mathsf{F}} + w_{\mathsf{R}} n_{\mathsf{R}} + w_{\mathsf{A}} n_{\mathsf{A}} + w_{\mathsf{S}} n_{\mathsf{s}} = n$$

 \dots has pprox 1 solution for UCL election parameters (knapsack-style problem)



Vote weighting

- Participation per category and weights are public But support of candidates per category is secret
- ⇒ We cannot open individual votes!

Move to homomorphic tally instead of mixnets

Not enough to hide support of candidates per category...

$$w_{\mathsf{F}} n_{\mathsf{F}} + w_{\mathsf{R}} n_{\mathsf{R}} + w_{\mathsf{A}} n_{\mathsf{A}} + w_{\mathsf{S}} n_{\mathsf{s}} = n$$

... has ≈ 1 solution for UCL election parameters (knapsack-style problem)

Use smaller, approximate weights Careful choice provided $\approx 10^5$ sol. for $\approx 10^{-4}$ precision



Audit complaints arbitration



Audit complaints arbitration

Voters invited to complain if WBB looks wrong DoS through complaints?

> Give voters a way to prove things are wrong Timestamp/sign everything as evidence



Audit complaints arbitration

 Voters invited to complain if WBB looks wrong DoS through complaints?

> Give voters a way to prove things are wrong Timestamp/sign everything as evidence

Voters usually not familiar with signature

Signed pdf files seem most usable Signature through PortableSigner UCL Root certificate deployed on all UCL machines





Privacy matters



Privacy matters

Publication of privacy policies

Help of law office

Privacy matters

Publication of privacy policies

Help of law office

Name of voters cannot appear on bulletin board

Each voter receives an alias

Privacy matters

Publication of privacy policies

Help of law office

- Name of voters cannot appear on bulletin board
 Each voter receives an alias
- Google App Engine constraining: data sent out of EU Move to Django/PostgreSQL for free software stack







Usability



Usability

 Make voting process as straightforward as possible Keep information available for curious voter

2-level interface : basic vs. curious voter

/Q3tICMUkbwRhl+NcvfILWr15is @ [imprimer]



Usability

 Make voting process as straightforward as possible Keep information available for curious voter

2-level interface : basic vs. curious voter

/Q3tICMUkbwRhl+NcvfILWr15is @ [imprimer]

Robustness and availability

Each election round lasts 35 hours

Use redundant in-house servers Use cloud computing (Amazon EC2)



Communication

- Meetings/presentations
 - Election bylaws working group, Rector council, Academic council, Employees Union, . . .

Communication

- Meetings/presentations
 - ► Election bylaws working group, Rector council, Academic council, Employees Union, ...
- Voter education
 - ▶ University newspaper, lunch-time demos, screencasts, . . .
 - Test election (student projects, for university sponsoring)

Communication

- Meetings/presentations
 - ► Election bylaws working group, Rector council, Academic council, Employees Union, ...
- Voter education
 - ▶ University newspaper, lunch-time demos, screencasts, . . .
 - Test election (student projects, for university sponsoring)
- Support organization
 - Phone/email support by UCL IT Department
 - Voting offices, with election officers



Registration Phase

Voters registration

2 weeks

- registration website
- generation of voters' aliases
- generation of credentials

Registration Phase

Voters registration

2 weeks

- registration website
- generation of voters' aliases
- generation of credentials
- ▶ Test Election

same 2 weeks

Registration Phase

Voters registration

2 weeks

- registration website
- generation of voters' aliases
- generation of credentials

Test Election

same 2 weeks

Voting Phases (Each two rounds)

Voting period

- 2 days, from 8am to 7pm the next day
- same interface as Test Election
- credentials still accessible on registration website



Registration Phase

Voters registration

2 weeks

- registration website
- generation of voters' aliases
- generation of credentials
- Test Election

same 2 weeks

Voting Phases (Each two rounds)

- ▶ Voting period 2 days, from 8am to 7pm the next day
 - same interface as Test Election
 - credentials still accessible on registration website
- ► WBB Audit day 1 day, next to the voting period
 - voters check the web bulletin board (... and may complain)



Participation



Participation

► 5142 registered voters

Very useful for credential negotiation Very useful for 1st bound on number of voters

Participation

▶ 5142 registered voters

Very useful for credential negotiation Very useful for 1st bound on number of voters

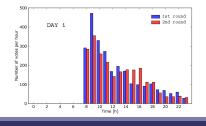
- ▶ 10644 votes tallied
 - ightharpoonup pprox 3000 votes for test election
 - \triangleright \approx 4000 votes for each round

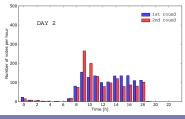
Participation

▶ 5142 registered voters

Very useful for credential negotiation Very useful for 1st bound on number of voters

- ▶ 10644 votes tallied
 - ightharpoonup pprox 3000 votes for test election
 - ightharpoonup pprox 4000 votes for each round
- max. 17 votes/minute, emails trigger vote







Voter behavior



Voter behavior

▶ 1% vote more than once (last vote counts) Quite controversial, no strong impact

Voter behavior

- ▶ 1% vote more than once (last vote counts)
 - Quite controversial, no strong impact

▶ 3% use voting offices

Mostly people unfamiliar with PC Quite over-dimensioned on our side

Voter behavior

- ▶ 1% vote more than once (last vote counts)
 - Quite controversial, no strong impact

▶ 3% use voting offices

Mostly people unfamiliar with PC Quite over-dimensioned on our side

- ▶ 30% check their vote on web bulletin board
 - Quite high! Decreases on 2nd round

Voter behavior

- ▶ 1% vote more than once (last vote counts)
 - Quite controversial, no strong impact

▶ 3% use voting offices

Mostly people unfamiliar with PC Quite over-dimensioned on our side

▶ 30% check their vote on web bulletin board

Quite high!

Decreases on 2nd round

- ▶ 120 tickets raised by UCL support
 - 1. Credentials lost
 - 2. JVM missing, use of Win95, IE4, ...
 - 3. Did I do everything correctly?

Importance of testing with broad spectrum of people. . .



Web Bulletin Board Audit days



Web Bulletin Board Audit days

- ▶ 7 complaints issued during 2 rounds
 - 1. I am just trying to vote after the deadline
 - 2. I want to test the procedure
 - 3. I switched my receipt with someone else in the printer

Convenience of voting server with public data only

Web Bulletin Board Audit days

- ▶ 7 complaints issued during 2 rounds
 - 1. I am just trying to vote after the deadline
 - 2. I want to test the procedure
 - 3. I switched my receipt with someone else in the printer

Convenience of voting server with public data only

Tally

► 1st round leader was < 2 electoral votes from majority no objection, clear majority on 2nd round



Conclusion

 1st significant-outcome, multi-thousand-voters open-audit election successful

Elections à l'UCL: un vote électronique vérifiable, "inédit" à grande échelle



L'élection ces 2 et 3 mars du nouveau rectuer de l'université catholique de Louvain (UCL), au suffrage universel pondéré, se fait via un système de vote électronique d'une nouvelle génération qui permet à l'électeur de vérifier que le résultat de l'élection est correct, a indiqué l'UCL au premier jour du scrutin.

Bruno Delvaux élu recteur de l'UCL

Mis en ligne le 23/03/2009

Bruno Delvaux est né en 1954, il est marié et père de trois enfants. Il pratique le cyclisme et est passionné d'œnologie et d'histoire.



Il entrera en fonction le 1 er septembre 2009. La commission électorale annonce, ce lundi 23 mars, les résultats du 2 e tour de l'élection du recteur de l'UCL. 3 758 électeurs ont voté sur un total de 5 143 électeurs inscrits sur les listes électorales. Les résultats enregistrés au 2e tour sont les suivants : Bruno Delvaux : 53,83 %, Vincent Blondel : 42,45 %, Votes blancs : 3,72 %



Conclusion

 1st significant-outcome, multi-thousand-voters open-audit election successful

Elections à l'UCL: un vote électronique vérifiable, "inédit" à grande échelle



L'élection ces 2 et 3 mars du nouveau recteur de l'université catholique de Louvain (UCL), au suffrage universel pondéré, se fait via un système de vote électronique d'une nouvelle génération qui permet à l'électeur de vérifier que le résultat de l'élection est correct, a indique l'UCL au premier jour du scrutin.

Bruno Delvaux élu recteur de l'UCL

Mis en ligne le 23/03/2005

Bruno Delvaux est né en 1954, il est marié et père de trois enfants. Il pratique le cyclisme et est passionné d'œnologie et d'histoire.



Il entrera en fonction le 1 er septembre 2009. La commission électorale annonce, ce lundi 23 mars, les régultats du 2e tour de l'élection du recteur de l'UCL. 3 758 électeurs ont voité sur un total de 5 143 électeurs inscrits sur les listes électorales. Les résultats enregistrés au 2e tour sont les suivants : 8 tuno Delvaux: 53.83 %. Vincent Blondel : 42.45 %. Votes blancs : 3.72 %

- Open-audit elections allow moving
 - from election manipulation opportunity
 - to voter verification opportunity



Conclusion

▶ 1st significant-outcome, multi-thousand-voters open-audit election successful

Elections à l'UCL: un vote électronique vérifiable, "inédit" à grande échelle



nouveau recteur de l'université catholique de Louvain (UCL), au suffrage universel pondéré, se fait via un système de vote électronique d'une nouvelle génération qui permet à l'électeur de vérifier que le résultat de l'élection est correct. a indiqué l'UCL au premier jour du scrutin.

Bruno Delvaux élu recteur de **l'UCL**

Bruno Delvaux est né en 1954, il est marié et père de trois enfants. Il pratique le cyclisme et est passionné d'œnologie et d'histoire.



Il entrera en fonction le 1 er septembre 2009. La commission électorale annonce, ce lundi 23 mars, les résultats du 2e tour de l'élection du recteur de l'UCL. 3 758 électeurs ont voté sur un total de 5 143 électeurs inscrits sur les listes électorales. Les résultats enregistrés au 2e tour sont les suivants : Bruno Delvaux : 53.83 %. Vincent Blondel : 42.45 %. Votes blancs : 3.72 %

- Open-audit elections allow moving
 - from election manipulation opportunity
 - to voter verification opportunity
- ► Each election is a significant project on its own Thanks to all the people at who supported it! UCL, Harvard, ENS Cachan, BlueKrypt, Google, Nexxit, ...

Thank you!

https://election.uclouvain.be/test/election

