

SHA3-based MACs

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Outline

- Predecessors
 - FIPS 198 (HMAC)
 - SP 800-108 (KDFs from PRFs)
 - Key Pack
- New specifications
 - KMAC
 - XMAC
 - XKDF
 - Block sizes for SHA3-HMAC

FIPS 198

- Specifies HMAC

$$\text{HMAC}(K, \text{text}) = H(K \oplus \text{opad} || H(K \oplus \text{ipad} || \text{text}))$$

- Extra complication needed because of the length extension property of SHA1 and SHA2
- Parameterized by a Block Size
- Allows Truncation

SP 800-108

- Specifies KDF Constructions built from a PRF
 - Counter, Feedback, Double-Pipeline
 - PRF can be (untruncated) HMAC or CMAC
 - Ambiguities can occur if the PRF is variable length (e.g. a MAC based on the SHAKEs)
 - E.g. Counter mode could produce outputs related as
A|B|C|D,
A|C|E|G
 - We will solve this problem by only considering KMAC, but not XMAC, to be a PRF

Key Pack

- Defined by the Keccak team in “Keyak” and “Ketje” CAESAR entries
- Suggested for KMAC
- Definition:

$$\text{Keypack}(K, \ell) = \text{enc}_8(\ell / 8) \parallel K \parallel \mathbf{10}^{\ell - \text{len}(K) - 9}$$

- ℓ is the length of the whole key pack in bits.
- ℓ must be a multiple of 8 between $\text{len}(K) + 9$ and $255 * 8$.
- Need ℓ to be defined further for compatibility.

KMAC

- Usable as a MAC or PRF
- Defined in terms of drop ins.

- Definition:

$$MAC(text) = KMAC(K, text) = H(\text{Keypack}(K, \ell) || text)$$

- $\ell = 8 * \lceil (\text{len}(K) + 9) / 8 \rceil$

XMAC

- Usable as a MAC, but not a PRF
- Defined in terms of XOFs
- Definition:
$$MAC(text) = XMAC(K, text, \lambda) = X(\text{Keypack}(K, \ell) || text, \lambda)$$
- $\ell = 8 * \lceil (\text{len}(K) + 9) / 8 \rceil$
- Choice of λ is based on FIPS 198 rules for truncation of HMAC (at least 32 bits.)

XKDF

- Since SP 800-108 KDFs can't use XMAC, we define a KDF that can use XMAC.

- Definition:

$$K_0 := \text{XMAC}(K_1, \text{Label} \parallel 0x00 \parallel \text{Context} \parallel [L]_2, L)$$

HMAC block sizes

Algorithm	HMAC Block Size (in Bits)
SHA3-224	1152
SHA3-256	1088
SHA3-384	832
SHA3-512	576

Questions?