

# **PMAC: A Parallelizable Message Authentication Code**

## **John Black**

Department of Computer Science  
University of Nevada, Reno  
jrb@cs.unr.edu  
<http://www.cs.unr.edu/~jrb>

## **Phillip Rogaway**

Department of Computer Science  
UC Davis + CMU  
rogaway@cs.ucdavis.edu  
<http://www.cs.ucdavis.edu/~rogaway>  
+66 1 530 7620 +1 530 753 0987

NIST Modes of Operation Workshop 2 – Aug 24, 2001 - Santa Barbara, California

# What is a MAC

**A**<sup>K</sup>

**B**<sup>K</sup>

**MAC<sup>G</sup>**: generate  
authentication tag

$$\sigma = \text{MAC}_{\mathbf{K}}^{\mathbf{G}}([\text{IV}], \mathbf{M})$$

$\mathbf{M} \cdot \sigma$

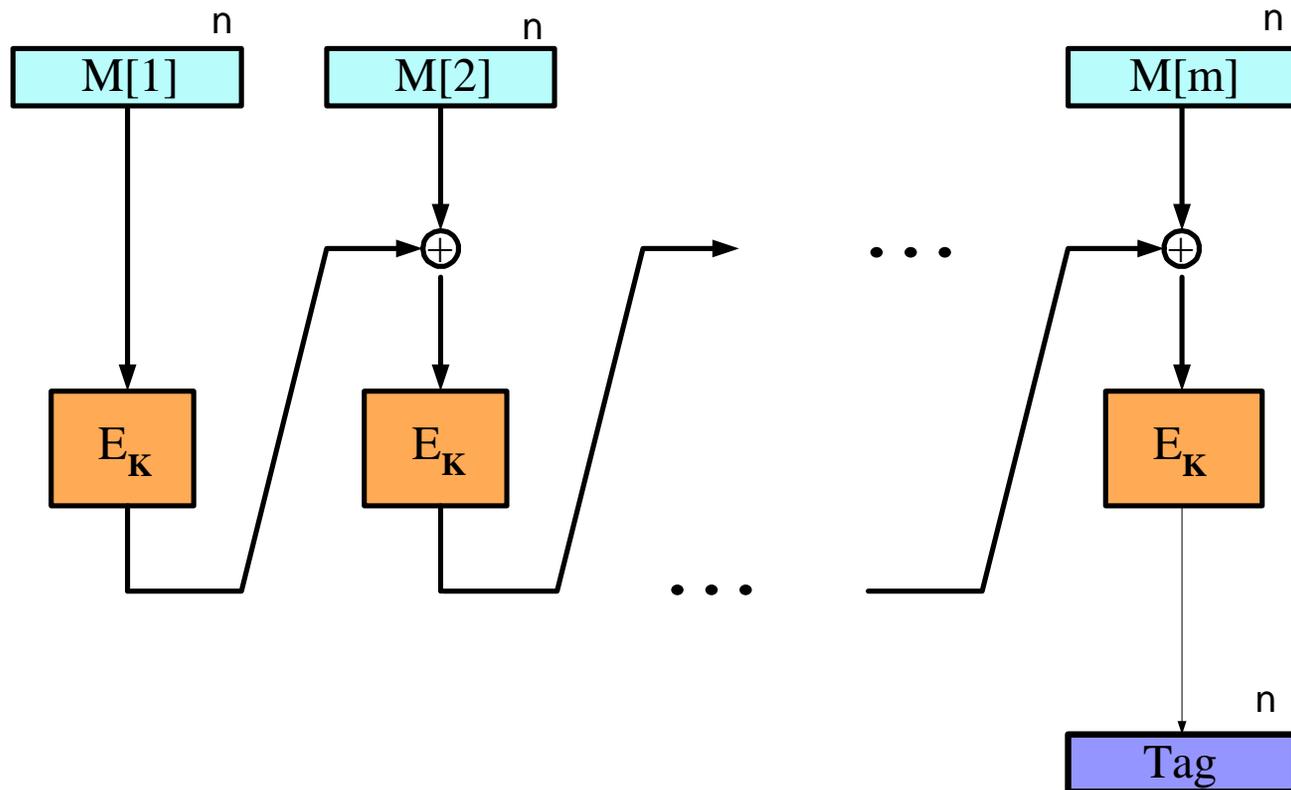


**MAC<sup>V</sup>**: verify  
authentication tag:  
 $\text{MAC}_{\mathbf{K}}^{\mathbf{V}}(\mathbf{M}, \sigma)$

- Security addresses an adversary's **inability** to forge a **valid** authentication tag for some **new** message.
- Most MACs are **deterministic**—they need no nonce/state/IV/\$. In practice, such MACs are preferable. Deterministic MACs are usually PRFs.

# CBC MAC

Inherently sequential

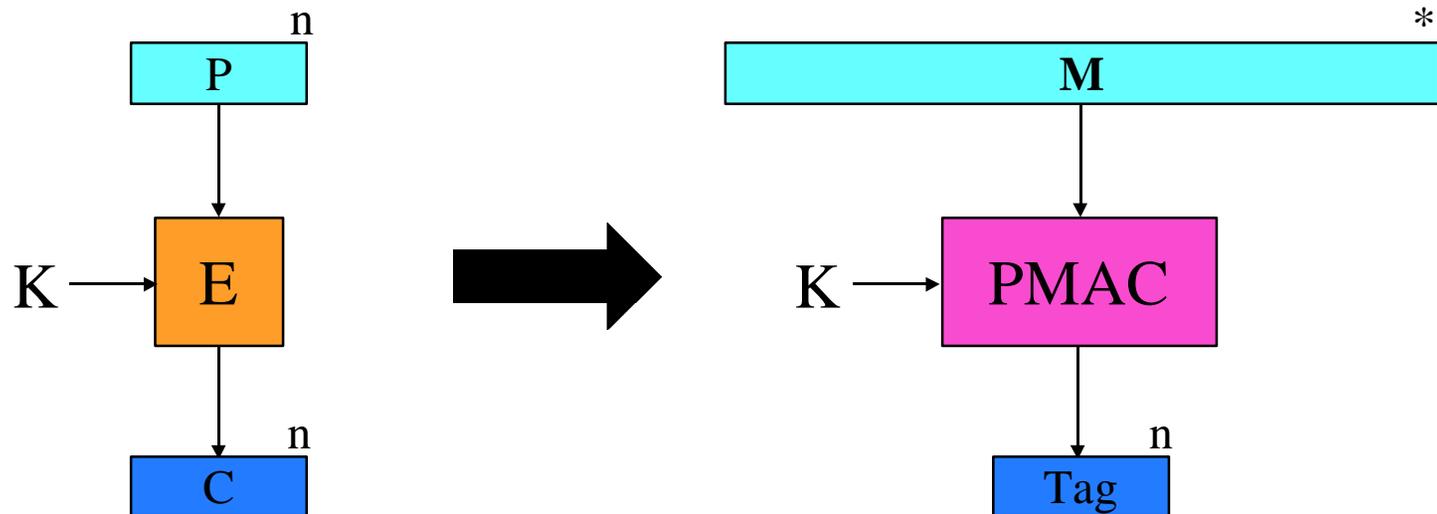


# PMAC's Goals

- A fully parallelizable alternative to the CBC MAC
- But without paying much for parallelizability in terms of serial efficiency
- While we're at it, fix up other "problems" of the CBC MAC
  - Make sure PMAC applies to any bit string
  - Make sure it is correct across messages of different lengths

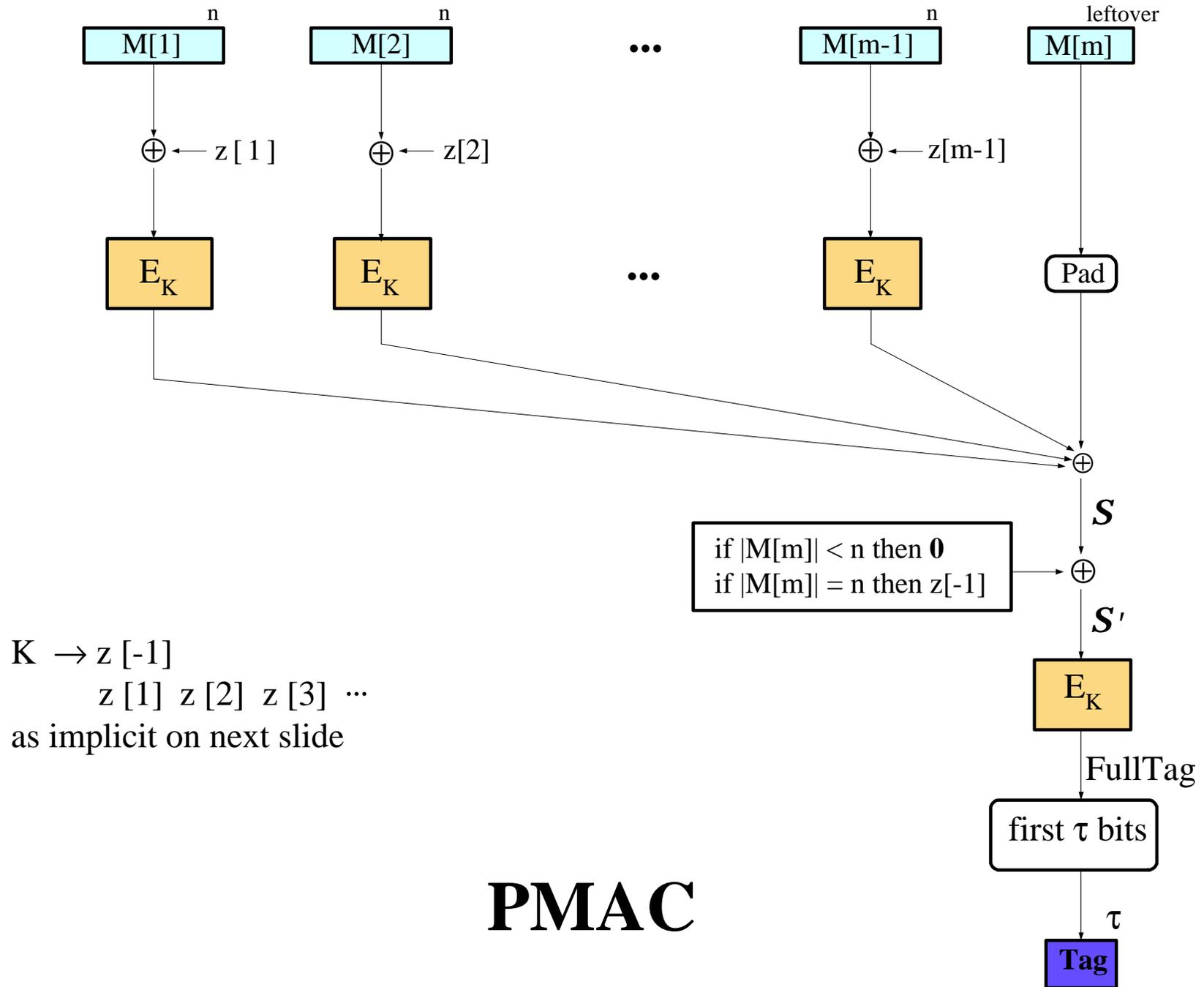
# What is PMAC ?

- A **variable-input-length pseudorandom function (VIL PRF)**:  
 $\text{PMAC}: \{0,1\}^k \times \{0,1\}^* \rightarrow \{0,1\}^n$
- That you make from  
a **fixed-input-length pseudorandom function (FIL PRF)** –  
invariably a block cipher such as E=AES:  
 $E: \{0,1\}^k \times \{0,1\}^n \rightarrow \{0,1\}^n$



# PMAC's Properties

- Functionality: **VIL PRF**:  $\{0,1\}^* \rightarrow \{0,1\}^n$ 
  - Can't distinguish  $\text{PMAC}_K(\cdot)$  from a random function  $\mathbf{R}(\cdot)$
- Customary use of a VIL PRF:
  - A (stateless, deterministic) **Message Authentication Code (MAC)**
- PRFs make the most pleasant MACs because they are deterministic and stateless.
- Few block-cipher calls:  $\lceil |M| / n \rceil$  to PMAC message M
- Low session-setup cost: about **one block-cipher call**
- **Fully parallelizable**
- No n-bit addition or mod p operations – just xors and shifts
- Uses a **single block-cipher key**
- **Provably secure**: If **E** is a secure block cipher then  $\text{PMAC-E}$  is a good PRF



# Definition of PMAC [E, t]

**algorithm**  $\text{PMAC}_K (M)$

$L(0) = E_K(\mathbf{0})$

$L(-1) = \text{lsb}(L(0)) ? (L(0) \gg 1) \oplus \text{Const43} : (L(0) \gg 1)$

**for**  $i = 1, 2, \dots$  **do**  $L(i) = \text{msb}(L(i-1)) ? (L(i-1) \ll 1) \oplus \text{Const87} : (L(i-1) \ll 1)$

Partition  $M$  into  $M[1] \dots M[m]$  // each 128 bits, except  $M[m]$  may be shorter

Offset =  $\mathbf{0}$

**for**  $i=1$  **to**  $m-1$  **do**

    Offset = Offset  $\oplus$   $L(\text{ntz}(i))$

$\mathbf{S} = \mathbf{S} \oplus E_K(M[i] \oplus \text{Offset})$

$\mathbf{S} = \mathbf{S} \oplus \text{pad}(M[m])$

**if**  $|M[m]| = n$  **then**  $\mathbf{S} = \mathbf{S} \oplus L(-1)$

FullTag =  $E_K(\mathbf{S})$

Tag = first  $t$  bits of FullTag

**return** Tag

# Related Work

- [Bellare, Guerin, Rogaway 95] – the XOR MAC.  
Not a PRF, but introduced central element of the construction
- [Bernstein 99] – A PRF-variant of the XOR MAC
- [Gligor, Donescu 00, 01] – Another descendent of the XOR MAC.  
Introduced the idea of combining message blocks with a sequence of offsets as an alternative to encoding. Not a PRF
- [Black, Rogaway 00] – Tricks for optimal handling of arbitrary input lengths (XCBC method you have just seen)
- [Carter-Wegman 79, 81] – A completely different approach that can achieve the same basic goals.
- Tree MAC (a la Merkle) – Another approach, not fully parallelizable.

# Speed

Data courtesy of **Ted Krovetz**

PMAC-AES	18.4 cpb	↗ 8 % slower
CBCMAC-AES	17.1 cpb	

The CBC MAC is in its “raw” form. Code is Pentium 3 assembly under gcc. This CBC MAC figure is **inferior** to Lipmaa’s **OCB** results, indicating that PMAC and OCB add so little overhead that quality-of-code differences contribute more to measured timing differences than algorithmic differences across CBC – CBCMAC – PMAC – OCB.

Since Lipmaa obtained **15.5 cpb** for the CBC MAC, adding **8%** to this, **16.7 cpb**, is a conservative estimate for well-optimized Pentium code.

# Provable Security

- Provable security begins with [Goldwasser, Micali 82]
- Despite the name, one doesn't really *prove* security
- Instead, one gives *reductions*: theorems of the form

**If a certain primitive is secure**  
**then the scheme based on it is secure**

For us:

**If AES is a secure block cipher**  
**then PMAC-AES is a secure authenticated-encryption scheme**

Equivalently:

**If some adversary **A** does a good job at breaking PMAC-AES**  
**then some comparably efficient **B** does a good job to break AES**

- Actual theorems quantitative: they measure how much security is “lost” across the reduction.

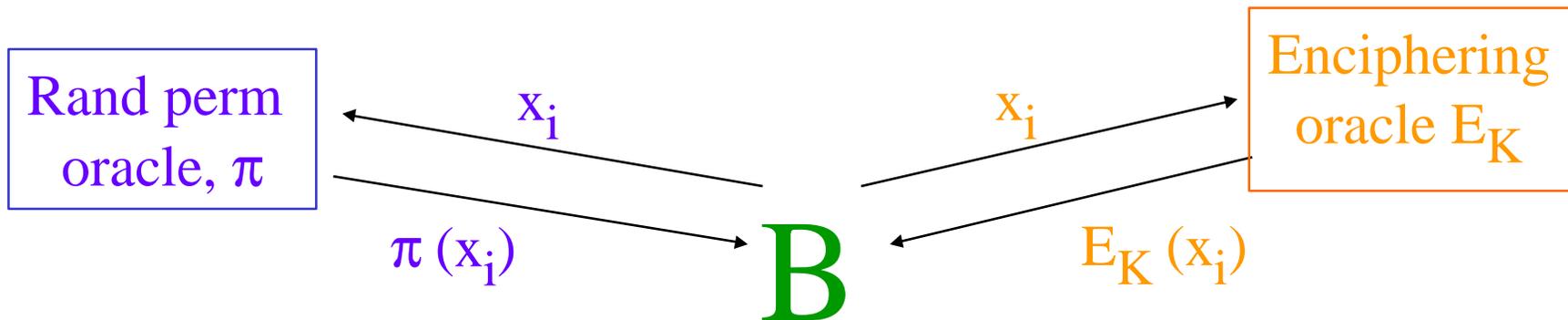
# Block-Cipher Security

## Security as a FIL PRP

[Goldreich, Goldwasser, Micali]

[Luby, Rackoff]

[Bellare, Kilian, Rogaway]

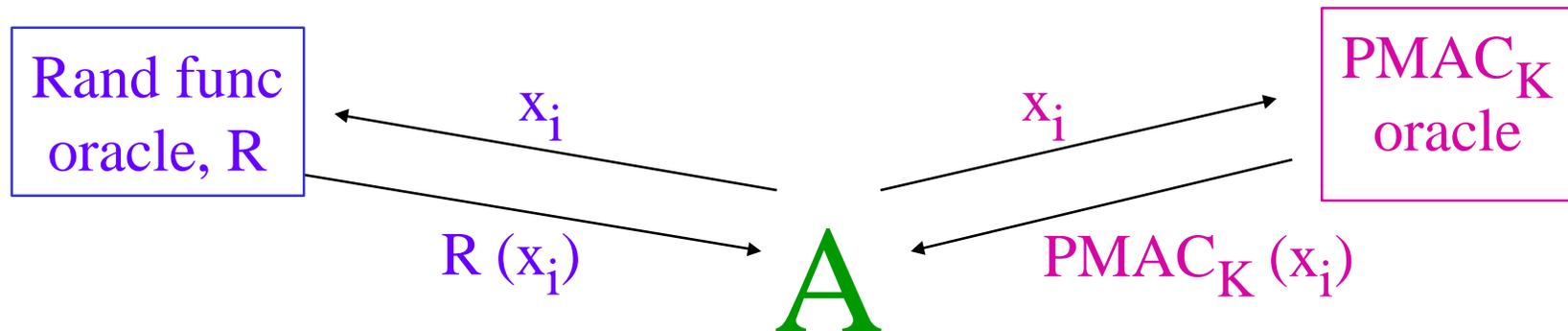


$$\text{Adv}^{\text{prp}}(\mathbf{B}) = \Pr[\mathbf{B}^{E_K} = 1] - \Pr[\mathbf{B}^{\pi} = 1]$$

# PMAC's Security

## Security as a VIL PRF

[Goldreich, Goldwasser, Micali]  
[Bellare, Kilian, Rogaway]



$$\text{Adv}^{\text{prf}}(A) = \Pr[A^{\text{PMAC}_K} = 1] - \Pr[A^R = 1]$$

# PMAC Theorem

Suppose  $\exists$  an adversary **A**  
that breaks **PMAC-E** with:

*time* =  $t$

*total-num-of-blocks* =  $\sigma$

*adv* =  $\text{Adv}^{\text{prf}}(\mathbf{A}) \sigma^2 / 2^n$

Then  $\exists$  an adversary **B**  
that breaks block cipher **E** with:

*time*  $\approx t$

*num-of-queries*  $\approx \sigma$

$\text{Adv}^{\text{prp}}(\mathbf{B}) \approx \text{Adv}^{\text{prf}}(\mathbf{A}) - \sigma^2 / 2^{n-1}$

( To wrap up,

it is a standard result that any  $\tau$ -bit-output PRF

can be used as a MAC, where the forging probability

will be at most  $\text{Adv}^{\text{prf}}(\mathbf{A}) + 2^{-\tau}$  )

[Goldreich, Goldwasser, Micali]

[Bellare, Kilian, Rogaway]

	<i>Domain</i>	<i>PRF</i>	<i>MAC length</i>	<i>Parallelizable</i>	<i>#calls</i>	<i>Key bits</i>	<i>/ blk overhead</i>
<b>CBCMAC</b>	$(\{0,1\}^n)^m$	✓	$\tau$		$ M  / n$	k	1 xor
<b>XCBC</b> [BR 00]	$\{0,1\}^*$	✓	$\tau$		$\lceil  M  / n \rceil$	$k + 2n$	1 xor
<b>XECB-MAC</b> (3 versions) [GD 00,01]	$\{0,1\}^*$		$\tau + v$	✓	$\lceil  M  / n \rceil +$ varies	varies	1 xor 2 add
<b>PMAC</b> [BR 00,01]	$\{0,1\}^*$	✓	$\tau$	✓	$\lceil  M  / n \rceil$	k	3 xor

## For More Information

- PMAC web page → [www.cs.ucdavis.edu/~rogaway](http://www.cs.ucdavis.edu/~rogaway)  
Contains FAQ, papers, reference code, test vectors...
- Feel free to call or send email
- Or grab me now!