FIPS 140-2 Non-Proprietary Security Policy

Persistent Systems Wave Relay Quad Radio Router and Man Portable Unit (Generation 2, Generation 3 Single/Dual, and Generation 4)

Level 2 Validation

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Abstract

This document provides a non-proprietary FIPS 140-2 Security Policy for the Wave Relay Quad Radio Router and Man Portable Unit (Generation 2, Generation 3 Single/Dual, and Generation 4).
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Introduction

1.1 About FIPS 140

Federal Information Processing Standards Publication 140-2 — Security Requirements for Cryptographic Modules specifies requirements for cryptographic products to be deployed in a Sensitive but Unclassified environment. The National Institute of Standards and Technology (NIST) and Communications Security Establishment Canada (CSEC) Cryptographic Module Validation Program (CMVP) owns the FIPS 140 program. The CMVP accredits independent testing labs to perform FIPS 140 testing; the CMVP also validates test reports for all products pursuing FIPS 140 validation. Validation is the term given to a product that is documented and tested against the FIPS 140 criteria.


1.2 About this Document

This non-proprietary Cryptographic Module Security Policy for the Wave Relay Quad Radio Router and Man Portable Unit (Generation 2, Generation 3 Single/Dual, and Generation 4) from Persistent Systems provides an overview of the products and a high-level description of how they meet the security requirements of FIPS 140-2. This document contains details on the modules’ cryptographic keys and critical security parameters. This Security Policy concludes with instructions and guidance on running the modules in a FIPS 140-2 mode of operation.

The Persistent Systems Wave Relay Quad Radio Router and Man Portable Unit (Generation 2, Generation 3 Single/Dual, and Generation 4) may also be referred to as the “modules” in this document.

1.3 External Resources

The Persistent Systems website (http://www.persistentsystems.com) contains information on the full line of products from Persistent Systems, including a detailed overview of the Wave Relay Quad Radio Router and Man Portable Unit (Generation 2, Generation 3 Single/Dual, and Generation 4) solutions. The Cryptographic Module Validation Program website (http://csrc.nist.gov/groups/STM/cmvp/) contains links to the FIPS 140-2 certificate and Persistent Systems contact information.

1.4 Notices

This document may be freely reproduced and distributed in its entirety without modification.

1.5 Acronyms

The following table defines acronyms found in this document:

<table>
<thead>
<tr>
<th>Acronym</th>
<th>Term</th>
</tr>
</thead>
<tbody>
<tr>
<td>AES</td>
<td>Advanced Encryption Standard</td>
</tr>
</tbody>
</table>
FIPS 140-2 Non-Proprietary Security Policy: Persistent Systems Wave Relay Quad Radio Router and Man Portable Unit (Generation 2, Generation 3 Single/Dual, and Generation 4)

<table>
<thead>
<tr>
<th>Acronym</th>
<th>Term</th>
</tr>
</thead>
<tbody>
<tr>
<td>CSEC</td>
<td>Communications Security Establishment Canada</td>
</tr>
<tr>
<td>CSP</td>
<td>Critical Security Parameter</td>
</tr>
<tr>
<td>DTR</td>
<td>Derived Testing Requirement</td>
</tr>
<tr>
<td>FIPS</td>
<td>Federal Information Processing Standard</td>
</tr>
<tr>
<td>GUI</td>
<td>Graphical User Interface</td>
</tr>
<tr>
<td>HMAC</td>
<td>Keyed-Hash Message Authentication Code</td>
</tr>
<tr>
<td>KAT</td>
<td>Known Answer Test</td>
</tr>
<tr>
<td>MAN</td>
<td>Mobile Ad-hoc Network</td>
</tr>
<tr>
<td>MPU</td>
<td>Man Portable Unit</td>
</tr>
<tr>
<td>NIST</td>
<td>National Institute of Standards and Technology</td>
</tr>
<tr>
<td>SHA</td>
<td>Secure Hashing Algorithm</td>
</tr>
</tbody>
</table>

Table 1 – Acronyms and Terms
2 Persistent Systems Wave Relay Quad Radio Router and Man Portable Unit (Generation 2, Generation 3 Single/Dual, and Generation 4)

2.1 Wave Relay Product Overview

The Wave Relay™ solution provides a scalable high performance solution for deploying large Mesh or MANET systems. The Quad Radio router can contain up to 4 separate wireless radios all of which both participate in the routing and can provide connectivity to 802.11 based wireless clients. By utilizing 4 radios, the Wave Relay™ router can simultaneously provide a multi-channel high speed multi-hop backhaul and provide client connectivity to client devices. This provides a single solution to all of your mesh networking needs. Wave Relay™ provides a unique combination of deployment flexibility, dynamic self configuring routing, throughput optimized route selection, fault tolerance, and scalability.

The Wave Relay™ Mobile Ad Hoc Networking System is available in a Man Portable form factor, providing a wearable wireless connectivity solution for users on the move. The Wave Relay™ Man Portable Unit is delivers mobility while providing high communication performance. Designed specifically for military and public safety first responders, the Man Portable Unit (MPU) enables mobile users to establish and to maintain a high-speed wireless data network without relying on any existing fixed infrastructure.

2.2 Cryptographic Module Specification

The modules are the Persistent Systems

- Wave Relay Man Portable Unit Generation 2 HW P/N MPU2 Version 3.0 and 3.1
- Wave Relay Man Portable Unit Generation 3 Single HW P/N MPU3S Version 1.0, 1.1, 1.2, 1.3, 1.4, 1.4.1, 1.5, 2, and 3
- Wave Relay Man Portable Unit Generation 3 Dual HW P/N MPU3D Version 1.0, 1.1, 1.2, 1.3, 1.4, 1.5, 2, 3 and 4
- Wave Relay Man Portable Unit Generation 4 HW P/N MPU4 Version 1.0, 1.0.1, 1.1, 1.2, 1.3, 2, 3, and 4
- Wave Relay Quad Radio Router HW P/N QRS, Version 2.1, 2.2, 2.3, and 3

All modules use FW Version 17.3.42, 17.3.46, 18.0.10, 18.0.25, 18.1.9, 18.2.1, 18.3.1, 18.4.2, 18.5.2, or 18.5.3. Each module is a multiple-chip standalone embodiment.

For the MPU3S, MPU3D, MPU4, and QRS, the physical cryptographic boundary is defined as the module case, which includes the Wave Relay main board, including the hardware cryptographic accelerator chip, drivers, CPU, and on-board flash memory. The boundary does not include any port caps.

For the MPU2, the physical cryptographic boundary is defined as the module case, which is the same for both versions of the module. The boundary includes the Wave Relay main board, which includes the hardware cryptographic accelerator chip, drivers, CPU, and on-board flash memory. The boundary does not include the module dust caps and battery caps. Additionally, the 3 dimensional space in which the batteries reside is not part of the boundary; the boundary is defined at the contact points for the batteries within the battery location.
The tamper evident seals and tamper evident material shall be applied to the module to operate in a FIPS Approved mode of operation.

The following components are excluded from the cryptographic modules:

- Radio card(s) resident in the module case
  - These components do not provide any approved cryptographic services. A radio card performs RF conversion for the packets sent/received via a Wireless Radio Port, which is within the boundary and is included in the module.

The modules are in FIPS-approved mode of operation when the validated firmware is used and when only Approved/allowed functionality is used. They do not have any bypass capability.
2.2.1 Validation Level Detail

The following table lists the level of validation for each area in FIPS 140-2:

<table>
<thead>
<tr>
<th>FIPS 140-2 Section Title</th>
<th>Validation Level</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cryptographic Module Specification</td>
<td>3</td>
</tr>
<tr>
<td>Cryptographic Module Ports and Interfaces</td>
<td>2</td>
</tr>
<tr>
<td>Roles, Services, and Authentication</td>
<td>2</td>
</tr>
<tr>
<td>Finite State Model</td>
<td>2*</td>
</tr>
<tr>
<td>Physical Security</td>
<td>2</td>
</tr>
<tr>
<td>Operational Environment</td>
<td>N/A</td>
</tr>
<tr>
<td>Cryptographic Key Management</td>
<td>2</td>
</tr>
<tr>
<td>Electromagnetic Interference / Electromagnetic Compatibility</td>
<td>2</td>
</tr>
<tr>
<td>Self-Tests</td>
<td>2*</td>
</tr>
<tr>
<td>Design Assurance</td>
<td>3</td>
</tr>
<tr>
<td>Mitigation of Other Attacks</td>
<td>N/A</td>
</tr>
<tr>
<td><strong>Overall Level</strong></td>
<td>2</td>
</tr>
</tbody>
</table>

*The requirements for the sections titled Cryptographic Module Specification, Finite State Model, and Self Tests are the same for all validation levels and by convention are assigned a level equal to the overall level of the module.

Table 2 – Validation Level by DTR Section

2.2.2 Algorithm Implementation Certificates

The modules’ cryptographic algorithm implementations have received the following certificate numbers from the Cryptographic Algorithm Validation Program:

<table>
<thead>
<tr>
<th>Algorithm Type</th>
<th>Algorithm</th>
<th>Standard</th>
<th>CAVP Cert.</th>
<th>Use</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hashing</td>
<td>SHA-1, SHA-2: SHA-224, SHA-256, SHA-384, SHA-512</td>
<td>FIPS 180-3</td>
<td>1140</td>
<td>Message digest</td>
</tr>
<tr>
<td>Keyed Hash</td>
<td>HMAC-SHA1, HMAC-SHA-224, HMAC-SHA256, HMAC-SHA384, HMAC-SHA512</td>
<td>FIPS 198</td>
<td>725</td>
<td>Message integrity, module integrity</td>
</tr>
<tr>
<td>Symmetric Key</td>
<td>AES CTR, ECB, CBC, GCM mode with 128, 192, or 256-bit keys</td>
<td>FIPS 197</td>
<td>1241</td>
<td>Data encryption / decryption</td>
</tr>
</tbody>
</table>

Table 3 – Algorithm Certificates for Wave Relay Hardware Implementation

<table>
<thead>
<tr>
<th>Algorithm Type</th>
<th>Algorithm</th>
<th>Standard</th>
<th>CAVP Cert.</th>
<th>Use</th>
</tr>
</thead>
<tbody>
<tr>
<td>Asymmetric Key</td>
<td>FIPS 186-2 DSA: SigVer; 1024 bits (with SHA-1)</td>
<td>Digital Signature Standard</td>
<td>409</td>
<td>Signature verification</td>
</tr>
<tr>
<td></td>
<td>FIPS 186-2 RSA: KeyGen: 2048, 3072, 4096 bits</td>
<td>PKCS1.5</td>
<td>595</td>
<td>Signature generation and verification, key generation</td>
</tr>
<tr>
<td></td>
<td>SigGen: 2048, 3072, 4096 bits (with SHA-2)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>SigVer: 1024, 1536, 2048, 3072, 4096 bits (with SHA-1 or SHA-2)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Table 4 – Algorithm Certificates for Wave Relay Firmware Implementation

<table>
<thead>
<tr>
<th>Algorithm Type</th>
<th>Algorithm</th>
<th>Standard</th>
<th>CAVP Cert.</th>
<th>Use</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hashing</td>
<td>SHA-1</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>SHA-2: SHA-224, SHA-256, SHA-384, SHA-512</td>
<td></td>
<td>FIPS 180-3</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>1141</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Message digest</td>
<td></td>
</tr>
<tr>
<td>Keyed Hash</td>
<td>HMAC-SHA1, HMAC-SHA-224, HMAC-SHA256, HMAC-SHA384, HMAC-SHA512</td>
<td></td>
<td>FIPS 198</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>726</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Message integrity</td>
<td></td>
</tr>
<tr>
<td>Symmetric Key</td>
<td>AES CBC, ECB, CFB, CFB128, OFB modes each with 128, 192, or 256 bit keys</td>
<td></td>
<td>FIPS 197</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>1242</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Data encryption / decryption</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Triple-DES ECB, CBC, CFB8, CFB64, OFB</td>
<td></td>
<td>FIPS 46-3</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>889</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Data encryption / decryption</td>
<td></td>
</tr>
<tr>
<td>RNG</td>
<td>ANSI X9.31 Appendix A.2.4</td>
<td>ANSI X9.31</td>
<td>689</td>
<td>Random Number Generation</td>
</tr>
</tbody>
</table>

The following non-approved protocols/algorithms are available in FIPS mode of operation:

- RSA within TLS for Key establishment (key wrapping; key establishment methodology provides 112 bits of encryption strength)
- Hardware non-deterministic random number generator (NDRNG) (allowed for seeding FIPS-approved RNG)
- SSH protocol*
- 802.11 Access Point security: WPA2/WPA/WEP protocols*
- MD5 with TLS*
- MD5*

* No security is claimed from the use of these protocols/algorithms.

The module supports the following algorithms which are Disallowed as of January 1, 2014 per the NIST SP 800-131A algorithm transitions:

Table 5 – Cryptographic Functions Disallowed per NIST SP 800-131A Algorithm Transitions

<table>
<thead>
<tr>
<th>Algorithm Type</th>
<th>Algorithm</th>
<th>Standard</th>
<th>CAVP Cert.</th>
<th>Use</th>
</tr>
</thead>
<tbody>
<tr>
<td>Asymmetric Key</td>
<td>FIPS 186-2 DSA: SigGen: 1024 bits (with SHA-1) PQGGen: 1024 bits KeyGen: 1024 bits</td>
<td></td>
<td>Digital Signature Standard</td>
<td>409</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>FIPS 186-2 RSA: KeyGen: 1024, 1536 bits SigGen: 1024, 1536 bits (any SHA); 2048, 3072, 4096 bits (SHA-1)</td>
<td></td>
<td>PKCS1.5</td>
<td>595</td>
</tr>
</tbody>
</table>

Algorithms providing less than 112 bits of security strength (Disallowed per NIST SP 800-131A) are not allowed in the FIPS Approved mode of operation for use by Federal agencies. It is the responsibility of the module operator to ensure that algorithms, modes, and key sizes Disallowed per NIST SP 800-131A are not used.
## 2.3 Module Interfaces

The interfaces for the cryptographic boundary include physical and logical interfaces. The physical interfaces provided by each module are mapped to four FIPS 140-2 defined logical interfaces: Data Input, Data Output, Control Input, and Status Output. The mapping of logical interfaces to module physical interfaces is provided in the following table:

<table>
<thead>
<tr>
<th>FIPS 140-2 Logical Interface</th>
<th>QRS Module Physical Interface</th>
<th>MPU2 Module Physical Interface</th>
<th>MPU3S Module Physical Interface</th>
<th>MPU3D Module Physical Interface</th>
<th>MPU4 Module Physical Interface</th>
</tr>
</thead>
<tbody>
<tr>
<td>Data Input</td>
<td>Ethernet ports (5), Wireless Radio ports (4), GPS antenna port, Serial port</td>
<td>Ethernet port, Wireless Radio port, GPS antenna port</td>
<td>I/O port, Wireless Radio port, GPS antenna port</td>
<td>I/O port, Wireless Radio ports (2), GPS antenna port</td>
<td>I/O port, Wireless Radio port, GPS antenna port, Battery Status port</td>
</tr>
<tr>
<td>Data Output</td>
<td>Ethernet ports (5), Wireless Radio ports (4), Serial port</td>
<td>Ethernet port, Wireless Radio port</td>
<td>I/O port, Wireless Radio port</td>
<td>I/O port, Wireless Radio ports (2)</td>
<td>I/O port, Wireless Radio port, Battery Status port</td>
</tr>
<tr>
<td>Status Output</td>
<td>Ethernet ports (5), Wireless Radio ports (4), Serial port Status LED</td>
<td>Ethernet port, Wireless Radio port, Green LED (unit power on), Red LED (battery power low)</td>
<td>I/O port, Wireless Radio port, Status LED</td>
<td>I/O port, Wireless Radio ports (2), Status LED</td>
<td>I/O port, Wireless Radio port, Status LED</td>
</tr>
<tr>
<td>Power</td>
<td>Power supply plane</td>
<td>Power supply plane</td>
<td>Power supply plane</td>
<td>Power supply plane</td>
<td>Power supply plane</td>
</tr>
</tbody>
</table>

Table 6 – Logical Interface / Physical Interface Mapping
FIPS 140-2 Non-Proprietary Security Policy: Persistent Systems Wave Relay Quad Radio Router and Man Portable Unit (Generation 2, Generation 3 Single/Dual, and Generation 4)

2.4 Roles, Services, and Authentication

Each module only supports a FIPS-Approved mode. The modules are accessed via Web browser over HTTPS/TLS. As required by FIPS 140-2, each module supports a Crypto Officer role and a User role. In addition each module supports a Network Management role where an operator indirectly controls the module through another module. The modules support role-based authentication, and the respective services for each role are described in the following sections.

All three roles can access all services in each module. The modules do not support a Maintenance role. The “Unauthenticated” role indicates services that the modules perform automatically after POST and services that an operator may perform without authentication (e.g. by pressing a button on case).

2.4.1 Operator Services and Descriptions

The services available to roles in the modules are as follows:

<table>
<thead>
<tr>
<th>Service</th>
<th>Description</th>
<th>Roles</th>
</tr>
</thead>
<tbody>
<tr>
<td>Initialize and configure</td>
<td>Initializes and configures the module</td>
<td>Crypto Officer User Network Management</td>
</tr>
<tr>
<td>Packet Forwarding</td>
<td>Provides packet forwarding and receipt. Forwarded packets are encrypted and signed, and incoming packets are decrypted and verified</td>
<td>Provided on behalf of an authenticated role</td>
</tr>
<tr>
<td>Generate Keys</td>
<td>Generates AES keys for encrypt/decrypt operations</td>
<td>Crypto Officer User Network Management</td>
</tr>
<tr>
<td>Firmware Upgrade</td>
<td>Upgrade firmware to newer release Note: If non-FIPS validated firmware is loaded, the module is no longer a FIPS validated module.</td>
<td>Crypto Officer User Network Management</td>
</tr>
<tr>
<td>Self Test</td>
<td>Performs self tests on critical functions of module</td>
<td>Crypto Officer User Network Management</td>
</tr>
<tr>
<td>Status</td>
<td>Status of the module</td>
<td>Crypto Officer User Network Management</td>
</tr>
<tr>
<td>Zeroize</td>
<td>Zeroize keys and CSPs in the module</td>
<td>Crypto Officer User Network Management</td>
</tr>
</tbody>
</table>

Table 7 – Operator Services and Descriptions

The modules support multiple concurrent operators. Each “view” or “set” of configuration by a user is a separate action, and the actual configuration is determined by the latest “set.” The Web GUI will indicate that a User/Crypto Officer role has logged themselves in. As specified in Section 3 – Guidance and Secure Operation section of this document, only one operator can configure the module at one time. In the event that two operators are authenticated at one time for configuration, the module will save/store the parameters of the last operation.
2.4.2 Operator Authentication

Crypto Officer and User password must be a minimum of 8 characters (see Section 3 – Guidance and Secure Operation section of this document). The password can consist of alphanumeric values, a – z A – Z 0 – 9, yielding 62 choices per character. The probability of a successful random attempt is 1/62⁸, which is less than 1/1,000,000. Assuming 10 attempts per second via a scripted or automatic attack, the probability of a success with multiple attempts in a one-minute period is 600/62⁸, which is less than 1/100,000.

The Network Management Role authenticates via a MAC on network management packets (listed in Table 8 – Key/CSP Management Details.) The MAC on each packet is 96-bits and computed with a minimum key size of 256-bits. The probability of a successful random attempt is 1/2⁹⁶, which is less than 1/7.9e28. Even at maximum theoretical 100 Mbps Ethernet packet rate (around 130,000 packets per second), the probability of a success with multiple attempts in a one-minute period is 1/1.0e22, which is less than 1/100,000.

2.5 Physical Security

The physical security of the cryptographic modules meets FIPS 140-2 Level 2 requirements. Each cryptographic module consists of production-grade components encased within an opaque and hard production-grade enclosure that includes standard passivity techniques. The physical boundary of each cryptographic module is the same as the physical boundary of the device. Tamper evidence is provided by the use of a tamper evident colored adhesive covering the module access screws and nuts. Any attempt to remove the cover will leave evidence of tampering.

More details, including proper installation of the tamper-evident material, can be found in Section 3 – Guidance and Secure Operation of this document.

The modules do not include a maintenance interface; therefore, the FIPS-140-2 maintenance mode requirements do not apply.

2.6 Operational Environment

Each module runs in a limited, purpose-built operational environment. As such, the requirements of this section do not apply.

2.7 Cryptographic Key Management

The table below provides a complete list of Critical Security Parameters and Public Keys used within the modules:

<table>
<thead>
<tr>
<th>Key/CSP Name</th>
<th>Description / Use</th>
<th>Generation</th>
<th>Storage</th>
<th>Establishment / Export</th>
<th>Privileges</th>
</tr>
</thead>
<tbody>
<tr>
<td>Network Key</td>
<td>AES CTR, CBC, GCM mode with 128, 192, or 256-bit key for encryption / decryption of network traffic</td>
<td>Internal generation by X9.31 RNG Electronic Key Entry via Web-</td>
<td>Storage: Flash in encrypted form Association: The system is the one and only owner.</td>
<td>Agreement: NA Entry: Electronic Key Entry via Web- GUI or imported via encrypted</td>
<td>R W D</td>
</tr>
<tr>
<td>Key/CSP Name</td>
<td>Description / Use</td>
<td>Generation</td>
<td>Storage</td>
<td>Establishment / Export</td>
<td>Privileges</td>
</tr>
<tr>
<td>------------------------------</td>
<td>--------------------------------------------------------</td>
<td>------------</td>
<td>---------</td>
<td>----------------------------------------------------------------------------------------</td>
<td>------------</td>
</tr>
<tr>
<td>Firmware Upgrade Public Key</td>
<td>RSA 4096-bit key for verifying firmware signature before upgrading</td>
<td>GUI</td>
<td>Import via encrypted session to another network node (module)</td>
<td>session to another network node (module) Output: via HTTPS to Web GUI or with legacy Network Key</td>
<td></td>
</tr>
<tr>
<td>Operator passwords</td>
<td>Alphanumeric passwords externally generated by a human user for authentication</td>
<td>GUI</td>
<td>Not generated by the module; built into firmware</td>
<td>Storage: Flash in plaintext Type: Static Association: controlled by the operating environment</td>
<td></td>
</tr>
<tr>
<td>MAC key</td>
<td>HMAC-SHA1, HMAC-SHA-224, HMAC-SHA256, HMAC-SHA384, HMAC-SHA512, GMAC for message verification and integrity check</td>
<td>GUI</td>
<td>Internal generation by X9.31 RNG Electronic Key Entry via Web-GUI Import via encrypted session to another network node (module)</td>
<td>Storage: Flash in encrypted form Type: Static Association: The system is the one and only owner. Relationship is maintained by the operating environment via protected memory.</td>
<td></td>
</tr>
</tbody>
</table>

**Guaranteed Security Policy:**

- **Persistent Systems Wave Relay Quad Radio Router and Man Portable Unit (Generation 2, Generation 3 Single/Dual, and Generation 4)**

  - **Key/CSP Name:** Firmware Upgrade Public Key
  - **Description / Use:** RSA 4096-bit key for verifying firmware signature before upgrading
  - **Generation:** GUI
  - **Storage:** Import via encrypted session to another network node (module)
  - **Establishment / Export:** Session to another network node (module) Output: via HTTPS to Web GUI or with legacy Network Key
  - **Privileges:** None

  - **Key/CSP Name:** Operator passwords
  - **Description / Use:** Alphanumeric passwords externally generated by a human user for authentication.
  - **Generation:** GUI
  - **Storage:** Not generated by the module; defined by the human operator
  - **Establishment / Export:** Storage: Flash in plaintext Type: Static Association: controlled by the operating environment
  - **Privileges:** None

  - **Key/CSP Name:** MAC key
  - **Description / Use:** HMAC-SHA1, HMAC-SHA-224, HMAC-SHA256, HMAC-SHA384, HMAC-SHA512, GMAC for message verification and integrity check
  - **Generation:** Internal generation by X9.31 RNG Electronic Key Entry via Web-GUI Import via encrypted session to another network node (module)
  - **Storage:** Flash in encrypted form Type: Static Association: The system is the one and only owner. Relationship is maintained by the operating environment via protected memory.
  - **Establishment / Export:** Agreement: NA Entry: Electronic Key Entry via Web-GUI or imported via encrypted session to another network node (module) Output: NA
  - **Privileges:** R W D

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<table>
<thead>
<tr>
<th>Key/CSP Name</th>
<th>Description / Use</th>
<th>Generation</th>
<th>Storage</th>
<th>Establishment / Export</th>
<th>Privileges</th>
</tr>
</thead>
<tbody>
<tr>
<td>TLS Premaster Secret</td>
<td>RSA-Encrypted Premaster Secret Message (48 Bytes)</td>
<td>As part of TLS handshake</td>
<td>Storage: RAM in plaintext</td>
<td>Agreement: NA Entry: Input during TLS negotiation Output: Output to peer encrypted by Public Key</td>
<td>None</td>
</tr>
<tr>
<td>TLS Master Secret</td>
<td>Used for computing the Session Key (48 Bytes)</td>
<td>As part of TLS handshake</td>
<td>Storage: RAM in plaintext</td>
<td>Agreement: NA Entry: NA Output: NA</td>
<td>None</td>
</tr>
<tr>
<td>RNG XKEY</td>
<td>256-bit value to key the FIPS-approved ANSI X9.31 RNG</td>
<td>Hardware NDRNG</td>
<td>Storage: RAM in plaintext</td>
<td>Agreement: NA Entry: NA Output: NA</td>
<td>None</td>
</tr>
<tr>
<td>RNG XSEED</td>
<td>128-bit x-seed</td>
<td>Hardware NDRNG</td>
<td>Storage: RAM in plaintext</td>
<td>Agreement: NA Entry: NA Output: NA</td>
<td>None</td>
</tr>
</tbody>
</table>
### Key/CSP Name | Description / Use | Generation | Storage | Establishment / Export | Privileges |
|-----------------|------------------|------------|---------|------------------------|------------|
| **TLS Public Key** | RSA Public 2048-bit for sign / verify operations and key establishment for TLS sessions. Encryption/Decryption of the Premaster Secret for entry/output | Internal generation by X9.31 RNG | Storage: Flash in encrypted form  
Type: Static  
Association: The operating environment is the one and only owner. Relationship is maintained by the operating environment via protected memory. | Agreement: NA  
Entry: NA  
Output: As part of TLS handshake | R W D |
| **TLS Private Key** | RSA Private 2048-bit for sign / verify operations and key establishment¹ for TLS sessions | Internal generation by X9.31 RNG | Storage: Flash in encrypted form  
Type: Static  
Association: The system is the one and only owner. Relationship is maintained by the operating system via X.509 certificates. | Agreement: NA  
Entry: NA  
Output: NA | R W D |
| **Store Key** | AES CBC 256-bit key for encryption of Flash data store | Internal generation by X9.31 RNG | Storage: Battery backed RAM in plaintext  
Type: Static  
Association: The | Agreement: NA  
Entry: NA  
Output: NA | R W D |

¹ Key establishment methodology provides at least 112-bits of encryption strength
### 2.8 Self-Tests

Each module includes an array of self-tests that are run during startup and periodically during operations to prevent secure data from being released and to ensure all components are functioning correctly. In the event of any self-test failure, the module will output an error and will shutdown. To access status of self-tests, success or failure, the application provides access to the Web-based GUI. No keys or CSPs will be output when the module is in an error state.

If the self-tests succeed, the operator will be presented with a login screen when accessing the module via HTTPS, and attempts to access the module via HTTP will be automatically redirected to HTTPS. If the self-tests fail, any attempt to access the module via HTTPS will fail because TLS is disabled, and any attempt to access the module via HTTP will result in a FIPS error message.
FIPS 140-2 Non-Proprietary Security Policy: Persistent Systems Wave Relay Quad Radio Router and Man Portable Unit (Generation 2, Generation 3 Single/Dual, and Generation 4)

Since the modules only support a FIPS-approved mode of operation the self-tests are always run. On failure the modules will always be non-operational as there is no non-FIPS or bypass mode available.

The following sections discuss the modules’ self-tests in more detail.

2.8.1 Power-On Self-Tests

Power-on self-tests are run upon every initialization of each module and if any of the tests fail, the process will be halted and the module will not initialize. In this error state, no services can be accessed by the users. The modules implement the following power-on self-tests:

- **Hardware Implementation:**
  - KAT for AES
  - KAT for SHA-1, SHA-224, SHA-256, SHA-384, SHA-512
  - KAT for HMAC-SHA1, HMAC-SHA224, HMAC-SHA256, HMAC-SHA384, HMAC-SHA512

- **Firmware Implementation:**
  - Module integrity check via HMAC-SHA256
  - KAT for AES
  - KAT for Triple-DES
  - KAT for DSA and RSA
  - KAT for RNG
  - KAT for HMAC-SHA1, HMAC-SHA224, HMAC-SHA256, HMAC-SHA384, HMAC-SHA512

Each module performs all power-on self-tests automatically when the module is initialized, and successful running of self tests will be indicated via the GUI. All power-on self-tests must be passed before a User/Crypto Officer can perform services. The Power-on self-tests can be run on demand by restarting the module.

2.8.2 Conditional Self-Tests

Conditional self-tests are run continuously when certain conditions are met during operation of each module. The modules perform the following conditional self-tests:

- Pairwise consistency test for RSA
- Pairwise consistency test for DSA
- Continuous RNG test run on output of ANSI X9.31 RNG implementation
- Continuous test to verify that the ANSI X9.31 RNG seed and seed key do not match
- Continuous test on RNG seeding mechanism (output of NDRNG)
- Firmware load / firmware upgrade test (RSA digital signature verification)

Note that each module performs conditional tests for firmware implementations of the algorithms listed in Table 4 – Algorithm Certificates for Wave Relay Firmware Implementation. The modules’ algorithm implementations in hardware are not required to meet any conditional tests. If any of these tests fail,
the module will enter an error state. The module can be re-initialized to clear the error and resume FIPS mode of operation. While in an error state, no services can be accessed by the operators.

2.9 EMI/EMC

Each module meets Federal Communications Commission (FCC) FCC Electromagnetic Interference (EMI) and Electromagnetic Compatibility (EMC) requirements for business use as defined by 47 Code of Federal Regulations, Part 15, Subpart A.

2.10 Mitigation of Other Attacks

The modules do not mitigate other attacks.
3  Guidance and Secure Operation

This section describes how to configure each module for FIPS-approved mode of operation. Operating the module without maintaining the following settings will remove the module from the FIPS-approved mode of operation.

3.1  Crypto Officer and User Guidance

3.1.1  Initialization for FIPS Mode of Operation

The Crypto Officer or User must configure and enforce the following procedures:

1. When setting the password, the Crypto Officer or User must ensure that all passwords are a minimum length of 8 characters consisting of the following alphanumeric values: a-z A-Z 0-9

   Note: Stronger, more secure passwords should have a combination of letters and numbers and should not contain any recognizable words that may be found in a dictionary. The module does not enforce this; the Crypto Officer or User must follow his/her organization’s systems security policies and adhere to the password policies set forth therein.

2. Ensure FW version running is listed in section 2.2 of this document.
3. Follow Section 3.1.3 – Tamper Evidence
4. After following these steps for the initial configuration for FIPS mode, the Crypto Officer or User must reboot the module to run the Power On Self Tests prior to operating in a FIPS mode of operation.

3.1.2  General Crypto Officer and User Guidance

After initialization for FIPS mode, the Crypto Officer and User should follow the guidance below:

1. When entering a network key over the configuration GUI, the operator must ensure that key was generated by FIPS-approved methods and that the key was not previously used.
2. The operator must ensure that all Radio MAC addresses used in a network are unique.
3. The Crypto Officer or User must not disclose passwords and must store passwords in a safe location and according to his/her organization’s systems security policies for password storage.
4. Only the Crypto Officer or User is allowed to remove the case to replace batteries or radio cards. When the case is removed for these services, the Crypto Officer or User must reapply the tamper-evidence mechanism as specified in Section 3.1.3 – Tamper Evidence.
5. The SSH service must not be accessed. Using SSH will violate the authorized use policy.

3.1.3  Tamper Evidence

Access points to the module case are protected with a tamper-evident material such that any attempt to remove the case will show signs of tampering. If tampering is demonstrated, the local Crypto Officer is instructed to perform the zeroize operation prior to discarding the module or returning it to the manufacturer.
Tamper evidence is evident by the presence of any ‘dry joints’ or gaps between the adhesive and the protected components, or other inconsistencies in the applications.

Application of the tamper-evident material for the Man Portable Units is as follows:

1. Turn off system.
2. Clean the access screws of any grease, dirt, or oil before applying the tamper-evident material purchased with the module.
3. Shake the sealant container and open with a diagonal cut at the tip of the applicator.
4. Apply the material as follows; in all cases the sealant should completely cover the access screws.
   a. MPU2
      i. Two drops of the sealant on two of the access screws of the Ethernet Port (as shown in Figure 2 – Tamper-evident material placement for MPU Top (2 locations indicated by red circles)
      ii. Apply one drop of the sealant on the two access screws of the MPU (Bottom) (as shown in Figure 3 – Tamper-evident material placement for MPU Bottom)
   b. MPU3S / MPU3D
      i. Two drops of the sealant on the four corner access screws as shown in Figure 4 – Tamper-evident material placement for MPU3 (4 locations indicated by red circles)
   c. MPU4
      i. Fill the wells of the four access screws as shown in
5. Let dry 6 hours.
6. Inspect screw heads daily for chipped adhesive material. If any damage is present, remove the device from service.

![Figure 2 – Tamper-evident material placement for MPU Top (2 locations indicated by red circles)](image-url)
Figure 3 – Tamper-evident material placement for MPU Bottom (2 locations indicated by red circles)

Figure 4 – Tamper-evident material placement for MPU3 (4 locations indicated by red circles)
Application of the tamper-evident material for the Quad Radio Router is as follows:

1. Turn off system.
2. Clean the unit of grease, dirt, or oil and make sure unit is dry before applying tamper-evident material delivered with the module.
3. Apply the opaque white material to the following locations. Material must contact both the side of the bolt/connector and the metal enclosure.
   a. Four locations on the front cover screws as shown in figure 4
   b. Two location on the Wireless Radio port on the top of the module as shown in figure 5
   c. Nine locations on the bottom removable ports as shown in figure 6 (From left to right: Wireless Radio Port, Power/Zero Button, 2 Ethernet Ports, GPS Antenna Port).
   d. Two locations on the Serial Port on the left side of the module as shown in figure 7
4. Let dry 1 hour.
5. Inspect material daily for any damage. If present, remove the device from service.
Figure 6 – Tamper-evident material placement for Quad Radio Router Cover (4 locations indicated by red circles)

Figure 7 – Tamper-evident material placement for Quad Radio Router Wireless Radio Port (Top – 2 locations indicated by the red circle)
Figure 8 – Tamper-evident material placement for Quad Radio Router Bottom (9 locations indicated by the red circle)

Figure 9 – Tamper-evident material placement for Quad Radio Router Serial Port (Left – 2 locations indicated by the red circle)