FIPS 140-2 Non-proprietary Security Policy
LogRhythm 6.0.4 or 6.3.4 Windows System Monitor Agent

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The Security Intelligence Company
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## Table of Contents

1. Introduction ........................................................................................................................ 4  
2. Overview ............................................................................................................................. 5  
   2.1. Ports and Interfaces ...................................................................................................... 8  
   2.2. Modes of Operation ..................................................................................................... 9  
   2.3. Module Validation Level ............................................................................................ 10  
3. Roles .................................................................................................................................. 11  
4. Services .............................................................................................................................. 12  
   4.1. User Services ................................................................................................................ 12  
   4.2. Crypto Officer Services .............................................................................................. 13  
5. Policies ............................................................................................................................... 15  
   5.1. Security Rules ............................................................................................................... 15  
   5.2. Identification and Authentication Policy ......................................................................... 16  
   5.3. Access Control Policy and SRDIs ............................................................................. 16  
   5.4. Physical Security .......................................................................................................... 18  
6. Crypto Officer Guidance ................................................................................................ 19  
   6.1. Secure Operation Initialization Rules ........................................................................ 19  
   6.2. Approved Mode .......................................................................................................... 20  
7. Mitigation of Other Attacks ............................................................................................. 21  
8. Terminology and Acronyms ............................................................................................ 22  
9. References .......................................................................................................................... 23
1. Introduction

LogRhythm is an integrated log management and security information event management (SIEM) solution. It is a distributed system containing several cryptographic modules, which support secure communication between components. A LogRhythm deployment is made up of System Monitor Agents, Log Managers, Advanced Intelligence (AI) Engine Servers, Event Manager, and Consoles. Each System Monitor Agent collects log data from network sources. Each Log Manager aggregates log data from System Monitor Agents, extracts metadata from the logs, and analyzes content of logs and metadata. A Log Manager may forward log metadata to an AI Engine Server and may forward significant events to Event Manager. An AI Engine Server analyzes log metadata for complex events, which it may forward to Event Manager. Event Manager analyzes events and provides notification and reporting. LogRhythm Console provides a graphical user interface (GUI) to view log messages, events, and alerts. Console also is used to manage LogRhythm deployments. LogRhythm relies on Microsoft SQL Server. LogRhythm stores log data in SQL Server databases on Log Manager and Event Manager. It stores configuration information in SQL Server databases on Event Manager. System Monitor Agent, Log Manager, AI Engine Server, Event Manager, and Console each include a cryptographic module.

This document describes the security policy for the LogRhythm Windows System Monitor Agent cryptographic module. It covers the secure operation of the System Monitor Agent cryptographic module including initialization, roles, and responsibilities for operating the product in a secure, FIPS-compliant manner. This module is validated at Security Level 1 as a multi-chip standalone module. The module relies on the Microsoft Windows Server 2008 R2 Cryptographic Primitives Library (bcryptprimitives.dll) (certificate #1336) cryptographic module.
2. Overview

The LogRhythm Windows System Monitor Agent cryptographic module provides cryptographic services to a Windows System Monitor Agent. In particular, these services support secure communication with a LogRhythm Log Manager component.

A Windows System Monitor Agent is a service that collects log data and forwards the data to a Log Manager for processing and analysis. Remote hosts and devices can send logs to an Agent (for example, as syslog messages). An Agent also can collect log data (for example, from Windows Event Logs and SQL Trace files). System Monitor Agent runs on a general purpose computer (GPC). The System Monitor Agent operating system is Windows Server 2008 R2 SP1. The System Monitor Agent cryptographic module was tested on an x64 processor.

The Windows System Monitor Agent cryptographic module is a software module. Its physical boundary is the enclosure of the standalone GPC on which the Agent runs. The software within the logical cryptographic boundary consists of all software assemblies for the System Monitor Agent component and cryptographic service provider from the operating system. The System Monitor Agent software consists of the following files in “C:\Program Files (x86)\LogRhythm\LogRhythm System Monitor Agent”:

- nsoftware.IPWorksSSNMP.dll
- scscomn.dll
- scmessage.dll
- scopsec.dll
- scshared.dll
- scsmeng.dll
- scsm.exe
- scsm.hsh
- scusbmon.dll
- scvbcmon.dll
- lrqualys.dll
- lrnessus.dll
- lrvulncommon.dll
- Xceed.Compression.dll
- Xceed.Compression.Formats.dll
- Xceed.FileSystem.dll
- Xceed.GZip.dll
- Xceed.Tar.dll
- Xceed.Zip.dll
- LRAgentEvents.dll
- LRAgentMFLib.dll
- LRAgentMFInterface.dll
- LRAgentMFInterop.dll

Other files and subdirectories of “C:\Program Files (x86)\LogRhythm\LogRhythm System Monitor Agent” are outside the logical cryptographic boundary. The excluded files are:

- EULA.rtf
- lrconfig.exe
- lrsmwperf.dll
- scsm.exe.config
- AppUtils.dll
- ckpssl.dll
- ComUtils.dll
- cpbcrypt.dll
- c pca.dll
- cpcert.dll
- cpcryptutil.dll
- CPMIBase501.dll
- CPMIClient501.dll
- CPMIClient501.lib
- cpopenssl.dll
- cp_policy.dll
- cpprng.dll
- cpprod50.dll
- cpsic.dll
- CPSrv1S.dll
- CPSrv1S.lib
- CP_version_info.dll
- DataStruct.dll
- Encode.dll
- EventUtils.dll
- fwadb.dll
- fwsetdb.dll
- fwsmtobj.dll
- logfilter.dll
- lrcrypt.exe
- mastersapi.dll
- messaging.dll
- ndb.dll
- objlibclient.dll
• objlib.dll
• opsec.dll
• opsecext.dll
• opsecext.lib
• opsec.lib
• OS.dll
• reg.dll
• Resolve.dll
• sccsuicomn.dll
• sicauth.dll
• sic.dll
• sicobj.dll
• skey.dll

The excluded directories (along with their subdirectories) are:

• config
• logs
• state

The Windows System Monitor Agent cryptographic module relies on a cryptographic service provider from the operating system, namely, BCRYPTPRIMITIVES.DLL. The cryptographic service provider from the operating system is the following FIPS 140-2 validated cryptographic module:

Microsoft Windows Server 2008 R2 Cryptographic Primitives Library Certificate #1336
Figure 1 Cryptographic Module Boundaries illustrates the relationship between the Windows System Monitor Agent cryptographic module and the System Monitor Agent as a whole. It shows physical and logical cryptographic boundaries of the module.

![Figure 1 Cryptographic Module Boundaries](image)

### 2.1. Ports and Interfaces

The Windows System Monitor Agent cryptographic module ports consist of one or more network interface cards (NIC) on the System Monitor Agent GPC. NIC are RJ45 Ethernet adapters, which are connected to IP network(s).

All data enters the Windows System Monitor Agent physically through the NIC and logically through the GPC’s network driver interfaces to the module or through the Windows file system. Hence, the NIC and Windows file system correspond to the data input, data output, control input, and status output interfaces defined in [FIPS 140-2]. Although located on the same GPC as the cryptographic module, the Windows operating system file system and Windows Event Log are outside the logical cryptographic boundary. Hence, the file system and Windows Event Log also present data input, data output, control input, and status output logical interfaces.

Data input to System Monitor Agent is made up of log messages. An Agent may pull data, for example, from flat files, Windows Event Logs, and database log message sources. Other log sources such as syslog and Netflow devices send log messages to an Agent. Data output
from a System Monitor Agent is the log data it sends to a Log Manager over a TLS socket connection. The Console provides a graphical user interface to configure the Windows System Monitor Agent cryptographic module, but the configuration information reaches the module indirectly. (The console is a separate and distinct component of a LogRhythm deployment.) The Console connects to Event Manager SQL Server databases, which propagate configuration information to Log Manager. In turn a Log Manager passes configuration data to its Windows System Monitor Agents as control input. Hence, the TLS connection to the Log Manager serves as the control interface. The status output interface comprises the TLS connection to the Log Manager, the local file system, and the Windows Event Log. A System Monitor Agent sends status information to its Log Manager using TLS, which relays status information to the Event Manager SQL Server. The Console reads status information from the Event Manager SQL Server. In addition, the Windows System Monitor Agent writes status information to log files in the file system and the Windows Event Log.

2.2. Modes of Operation

The Windows System Monitor Agent cryptographic module has two modes of operation: Approved and non-Approved. Approved mode is a FIPS-compliant mode of operation. The module provides the cryptographic functions listed in Table 1 and Table 2 below. While the functions in Table 2 are not FIPS-Approved, they are allowed in Approved mode of operation when used as part of an approved key transport scheme where no security is provided by the algorithm.

Table 1 FIPS Approved Cryptographic Functions

<table>
<thead>
<tr>
<th>Label</th>
<th>Approved Cryptographic Function</th>
<th>Standard</th>
</tr>
</thead>
<tbody>
<tr>
<td>AES</td>
<td>Advanced Encryption Algorithm</td>
<td>FIPS 197</td>
</tr>
<tr>
<td>HMAC-SHA-1</td>
<td>Keyed-Hash Message Authentication Code SHA-1</td>
<td>FIPS 198-1</td>
</tr>
<tr>
<td>DRBG</td>
<td>Deterministic Random Bit Generator</td>
<td>SP 800-90A</td>
</tr>
<tr>
<td>RSA</td>
<td>Rivest Shamir Adleman Signature Algorithm</td>
<td>FIPS 186-2 (PKCS#1 v2.1 and ANSI X9.31-1998)</td>
</tr>
<tr>
<td>SHS</td>
<td>Secure Hash Algorithm</td>
<td>FIPS 180-4</td>
</tr>
</tbody>
</table>

Table 2 FIPS Non-Approved Cryptographic Functions

<table>
<thead>
<tr>
<th>Label</th>
<th>Non-Approved Cryptographic Function</th>
</tr>
</thead>
<tbody>
<tr>
<td>MD5</td>
<td>Message-Digest Algorithm 5</td>
</tr>
<tr>
<td>HMAC-MD5</td>
<td>Keyed Hash Message Authentication Code MD5</td>
</tr>
</tbody>
</table>

The Windows System Monitor Agent cryptographic module does not implement a bypass capability.
2.3. **Module Validation Level**

The module meets an overall FIPS 140-2 compliance of Security Level 1.

**Table 3 FIPS 140-2 Non-proprietary Security Policy**

<table>
<thead>
<tr>
<th>LogRhythm 6.0.4 or 6.3.4 Windows System Monitor Agent Security Levels</th>
<th>Level</th>
</tr>
</thead>
<tbody>
<tr>
<td>Security Requirements Section</td>
<td>Level</td>
</tr>
<tr>
<td>Cryptographic Module Specification</td>
<td>1</td>
</tr>
<tr>
<td>Cryptographic Module Ports and Interfaces</td>
<td>1</td>
</tr>
<tr>
<td>Roles, Services, and Authentication</td>
<td>1</td>
</tr>
<tr>
<td>Finite State Model</td>
<td>1</td>
</tr>
<tr>
<td>Physical Security</td>
<td>N/A</td>
</tr>
<tr>
<td>Cryptographic Key Management</td>
<td>1</td>
</tr>
<tr>
<td>Electromagnetic Interference/Electromagnetic Compatibility (EMI/EMC)</td>
<td>1</td>
</tr>
<tr>
<td>Self-Tests</td>
<td>1</td>
</tr>
<tr>
<td>Design Assurance</td>
<td>1</td>
</tr>
<tr>
<td>Mitigation of Other Attacks</td>
<td>N/A</td>
</tr>
<tr>
<td>Operational Environment</td>
<td>1</td>
</tr>
</tbody>
</table>
3. Roles

In Approved mode, Windows System Monitor Agent cryptographic module supports two roles: User and Crypto Officer. Roles are assumed implicitly, since the module does not provide user authentication.

1. User Role: Operators with the User role are other components of a LogRhythm deployment configured to interact with the Windows System Monitor Agent, namely Log Managers. The Log Manager executes the Mediator Server as a Windows service under an account defined by an operator in the Crypto Officer role.

2. Crypto Officer Role: Operators with the Crypto Officer role have direct access to the cryptographic module. Responsibilities of the Crypto Officer role include initial configuration, on-demand self-test, and status review.
4. Services
In Approved mode, the services available to an operator depend on the operator's role. Roles are assumed implicitly.

4.1. User Services

4.1.1. Write Log Data
This service supports remote hosts and devices that send logs to a Windows System Monitor Agent. An Agent can accept log messages sent via syslog, Netflow, sFlow, and SNMP.

4.1.2. Collect Log Data
An Agent also can collect log data from local and remote sources. Examples of local sources include files in the Windows file system and the Windows Event Log. Remote sources include:

- Databases (via open database connectivity),
- Check Point devices (via Open Platform for Security Log Export API),
- Cisco intrusion detection system devices (via Security Device Event Exchange), and
- Remote Windows Event Logs (via remote procedure call)
- QualysGuard Security and Compliance suite servers, and
- Nessus vulnerability scanner servers.

This service does not use cryptographic functions of the Windows System Monitor Agent cryptographic module. All log messages are considered plain text messages.

4.1.3. Log Manager Read Log Data
This service provides a protected communication channel to transfer log data collected by the System Monitor Agent to a Log Manager. An operator in the Crypto Officer role sets up communication between the System Monitor Agent and the Log Manager. (See service Configure Agent Communication.) The channel is established in accordance with the System Monitor Agent configuration (See service Write Agent Configuration). The connection uses TLS 1.0 with cipher suite based on RSA key agreement with AES 128-bit encryption for confidentiality and SHA-1 for integrity protection (TLS_RSA_WITH_AES_128_CBC_SHA).

LogRhythm displays log data through the Console after the data is processed by Log Manager, Event Manager, and (optionally) an AI Engine Server.
4.1.4. Write Agent Configuration

This service provides a protected communication channel to transfer configuration data from a Log Manager to the System Monitor Agent. An operator in the Crypto Officer role sets up communication between the System Monitor Agent and the Log Manager. (See service Configure Agent Communication.) After set up, an operator in the User role (that is, the Log Manager) uses this service to write configuration changes to the System Monitor Agent. The connection uses TLS 1.0 with cipher suite based on RSA key agreement with AES 128-bit encryption for confidentiality and SHA-1 for integrity protection (TLS_RSA_WITH_AES_128_CBC_SHA).

System Monitor Agent’s configuration originates from the Console. The Console transfers the configuration information to the Event Manager SQL Server, which relays the information to the Log Manager.

4.2. Crypto Officer Services

4.2.1. Configure Agent Communication

After the Windows System Monitor Agent has been installed, this service provides an operator in the Crypto Officer role with the capability to configure the System Monitor Agent to communicate with Log Manager. This consists of setting the IP address for the Log Manager. System Monitor Agent authenticates the Log Manager server for TLS sessions. Optionally, a Crypto Officer may pre-place a user-provided certificate on the System Monitor Agent for mutual authentication of TLS sessions. The Log Manager provides all other configuration information. (See service Write Agent Configuration.)

4.2.2. Perform Self-Tests

System Monitor Agent module performs a (start-up) power-on software integrity test to verify the integrity of the component software. If the module fails a software integrity test, it reports status indicating which failure occurred and transitions to an error state, in which the module ceases to continue processing. The System Monitor Agent will not be able to receive logs and cannot output data to a Log Manager when it is in an error state.

An operator in the Crypto Officer role can run the software integrity test on demand by stopping and starting the module. The system integrity test will always run at startup regardless of FIPS Mode.
4.2.3. Show FIPS Status

System Monitor Agent provides status information about the cryptographic module mode of operation through System Manager Agent log file. When the System Monitor Agent component is started, the agent service writes a message to the log indicating the mode of operation, for example:

Agent running in FIPS mode: YES

To determine whether a System Monitor Agent is in Approved mode, an operator in the Crypto Officer role checks the agent service log, scsm.log.

The System Monitor Agent cryptographic module may enter an error state and stop (for example, when a self test fails). An operator in the Crypto Officer role checks the agent log file (scsm.log) and the Windows Event Log for error messages to determine the cause of the cryptographic module’s error state.
5. Policies

5.1. Security Rules

In order to operate the Windows System Monitor Agent cryptographic module securely, the operator should be aware of the security rules enforced by the module. Operators should adhere to rules required for physical security of the module and for secure operation.

The Windows System Monitor Agent cryptographic module enforces the following security rules when operating in Approved mode (its FIPS compliant mode of operation). These rules include both security rules that result from the security requirements of FIPS 140-2 and security rules that LogRhythm has imposed.

1. Approved mode is supported on Window Server 2008 R2 SP1 in a single-user environment.

2. The Windows System Monitor Agent cryptographic module operates in Approved mode only when used with the FIPS approved version of Microsoft Windows Server 2008 R2 Cryptographic Primitives Library (bcryptprimitives.dll) validated to FIPS 140-2 under certificate #1336 operating in FIPS mode.

3. The Windows System Monitor Agent cryptographic module is in Approved mode only when it operates in the environment of BCRYPTPRIMITIVES, namely:
   
i) FIPS approved security functions are used and Windows is booted normally, meaning Debug mode is disabled and Driver Signing enforcement is enabled;

   ii) One of the following DWORD registry values is set to 1:

      (1) HKLM\SYSTEM\CurrentControlSet\Control\Lsa\FIPSAlgorithmPolicy\Enabled

      (2) HKLM\SYSTEM\CurrentControlSet\Policies\Microsoft\Cryptography\Configuration\SelTestAlgorithms

4. When installed on a system where FIPS is enabled, Windows System Monitor Agent runs in a FIPS-compliant mode of operation. When communicating with Log Manager, a System Monitor Agent encrypts communication.

5. In accordance with [SP 800-57 P3] and [SP 800-131A] (key length transition recommendations), the size of TLS public/private keys provided for Windows System Monitor Agent and Log Manager shall be at least 2048 bits.

6. In accordance with [SP 800-57 P3] (key length transition recommendations), the size of public/private keys for the CA issuing Windows System Monitor Agent and Log Manager certificates shall be at least 2048 bits.
7. The module does not support unidirectional mode in Approved mode.

8. Windows System Monitor Agent supports encrypted communication from log sources: Check Point firewalls, Cisco intrusion detection systems, QualysGuard Security and Compliance suite, and Nessus vulnerability scanners. The cryptography used to support encrypted communication from log sources is not within the scope of the Windows System Monitor Agent cryptographic module. Consequently, encrypted communication from log sources is considered plain text for this validation.

5.2. **Identification and Authentication Policy**

The Windows System Monitor Agent cryptographic module does not provide operator authentication. Roles are assumed implicitly. Operating system and SQL Server authentication mechanisms were not within the scope of the validation.

5.3. **Access Control Policy and SRDIs**

This section specifies the LogRhythm System Monitor Agent’s Security Relevant Data Items (SRDIs) as well as the access control policy enforced by the LogRhythm.
### 5.3.1. Cryptographic Keys, CSPs, and SRDIs

While operating in a FIPS-compliant manner, the LogRhythm System Monitor Agent contains the following security relevant data items:

<table>
<thead>
<tr>
<th>ID</th>
<th>Key type</th>
<th>Size</th>
<th>Description</th>
<th>Origin</th>
<th>Storage</th>
<th>Zeroization Method</th>
</tr>
</thead>
<tbody>
<tr>
<td>Secret and Private Keys</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TLS private key</td>
<td>RSA</td>
<td>2048-bits, 3072-bits, 4096-bits</td>
<td>Used for TLS session establishment</td>
<td>N/A (entered through Windows operating system)</td>
<td>Volatile memory and the operating system</td>
<td>As per guidance for bound module [Win BCRYPT] and Windows operating system guidance</td>
</tr>
<tr>
<td>TLS session encryption keys</td>
<td>AES</td>
<td>128-bits</td>
<td>Used for TLS communication</td>
<td>Generated through TLS handshake</td>
<td>Plaintext in volatile memory</td>
<td>As per guidance for bound module [Win BCRYPT]</td>
</tr>
<tr>
<td>TLS session integrity keys</td>
<td>HMAC-SHA1</td>
<td>160-bits</td>
<td>Used for TLS communication</td>
<td>Generated through TLS handshake</td>
<td>Plaintext in volatile memory</td>
<td>As per guidance for bound module [Win BCRYPT]</td>
</tr>
<tr>
<td>Public Keys</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TLS public key</td>
<td>RSA</td>
<td>2048-bits, 3072-bits, 4096-bits</td>
<td>Used for TLS communication with Log Manager</td>
<td>N/A (entered through Windows operating system)</td>
<td>Volatile memory and the operating system</td>
<td>As per guidance for bound module [Win BCRYPT] and Windows operating system guidance</td>
</tr>
<tr>
<td>Log Manager public key</td>
<td>RSA</td>
<td>2048-bits, 3072-bits, 4096-bits</td>
<td>Used for TLS communication with Log Manager</td>
<td>N/A (entered through TLS handshake)</td>
<td>Volatile memory</td>
<td>As per guidance for bound module [Win BCRYPT]</td>
</tr>
<tr>
<td>CA public key</td>
<td>RSA</td>
<td>2048-bits, 3072-bits, 4096-bits</td>
<td>Used for TLS communication with Log Manager</td>
<td>N/A (entered through Windows operating system)</td>
<td>Volatile memory and the operating system</td>
<td>As per guidance for bound module [Win BCRYPT] and Windows operating system guidance</td>
</tr>
<tr>
<td>Other Keys/CSPs</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Power-up integrity test key</td>
<td>HMAC-SHA1</td>
<td>160-bits</td>
<td>Used to verify integrity of cryptographic module image</td>
<td>Preplaced in module by LogRhythm</td>
<td>Obscured in volatile memory</td>
<td>Re-initialize module</td>
</tr>
</tbody>
</table>
5.3.2. Access Control Policy

The Windows System Monitor Agent allows controlled access to the SRDIIs contained within it. The following table defines the access that an operator or application has to each SRDI while operating the System Monitor Agent in a given role performing a specific System Monitor Agent service. The permissions are categorized as a set of four separate permissions: read, write, execute, delete (r, w, x, and d, respectively, in the table). If no permission is listed, then an operator outside the System Monitor Agent has no access to the SRDI.

<table>
<thead>
<tr>
<th>Role/Service</th>
<th>Security Relevant Data Item</th>
<th>TLS private key</th>
<th>TLS public key</th>
<th>Log Manager public key</th>
<th>CA public key</th>
<th>TLS session encryption keys</th>
<th>TLS session integrity keys</th>
<th>Power up integrity test key</th>
</tr>
</thead>
</table>
| LogRhythm Window System Monitor Agent Access Policy | [Key: r: read  
           w: write  
           x: execute  
           d: delete] |                |                |                        |              |                             |                             |                            |
| Role/Service             | User Role                  | Write Log Data  | Collect Log Data| Log Manager Read Log Data | Write Agent Configuration | Crypto-officer Role | Configure Agent Communication | Perform Self Tests | Show FIPS Status |
| Role/Service             | User Role                  | Write Log Data  | Collect Log Data| Log Manager Read Log Data | Write Agent Configuration | Crypto-officer Role | Configure Agent Communication | Perform Self Tests | Show FIPS Status |
| Role/Service             | User Role                  | Write Log Data  | Collect Log Data| Log Manager Read Log Data | Write Agent Configuration | Crypto-officer Role | Configure Agent Communication | Perform Self Tests | Show FIPS Status |
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| Role/Service             | User Role                  | Write Log Data  | Collect Log Data| Log Manager Read Log Data | Write Agent Configuration | Crypto-officer Role | Configure Agent Communication | Perform Self Tests | Show FIPS Status |
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| Role/Service             | User Role                  | Write Log Data  | Collect Log Data| Log Manager Read Log Data | Write Agent Configuration | Crypto-officer Role | Configure Agent Communication | Perform Self Tests | Show FIPS Status |
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| Role/Service             | User Role                  | Write Log Data  | Collect Log Data| Log Manager Read Log Data | Write Agent Configuration | Crypto-officer Role | Configure Agent Communication | Perform Self Tests | Show FIPS Status |
| Role/Service             | User Role                  | Write Log Data  | Collect Log Data| Log Manager Read Log Data | Write Agent Configuration | Crypto-officer Role | Configure Agent Communication | Perform Self Tests | Show FIPS Status |
| Role/Service             | User Role                  | Write Log Data  | Collect Log Data| Log Manager Read Log Data | Write Agent Configuration | Crypto-officer Role | Configure Agent Communication | Perform Self Tests | Show FIPS Status |
| Role/Service             | User Role                  | Write Log Data  | Collect Log Data| Log Manager Read Log Data | Write Agent Configuration | Crypto-officer Role | Configure Agent Communication | Perform Self Tests | Show FIPS Status |
| Role/Service             | User Role                  | Write Log Data  | Collect Log Data| Log Manager Read Log Data | Write Agent Configuration | Crypto-officer Role | Configure Agent Communication | Perform Self Tests | Show FIPS Status |
| Role/Service             | User Role                  | Write Log Data  | Collect Log Data| Log Manager Read Log Data | Write Agent Configuration | Crypto-officer Role | Configure Agent Communication | Perform Self Tests | Show FIPS Status |
| Role/Service             | User Role                  | Write Log Data  | Collect Log Data| Log Manager Read Log Data | Write Agent Configuration | Crypto-officer Role | Configure Agent Communication | Perform Self Tests | Show FIPS Status |
| Role/Service             | User Role                  | Write Log Data  | Collect Log Data| Log Manager Read Log Data | Write Agent Configuration | Crypto-officer Role | Configure Agent Communication | Perform Self Tests | Show FIPS Status |
| Role/Service             | User Role                  | Write Log Data  | Collect Log Data| Log Manager Read Log Data | Write Agent Configuration | Crypto-officer Role | Configure Agent Communication | Perform Self Tests | Show FIPS Status |
| Role/Service             | User Role                  | Write Log Data  | Collect Log Data| Log Manager Read Log Data | Write Agent Configuration | Crypto-officer Role | Configure Agent Communication | Perform Self Tests | Show FIPS Status |
| Role/Service             | User Role                  | Write Log Data  | Collect Log Data| Log Manager Read Log Data | Write Agent Configuration | Crypto-officer Role | Configure Agent Communication | Perform Self Tests | Show FIPS Status |
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| Role/Service             | User Role                  | Write Log Data  | Collect Log Data| Log Manager Read Log Data | Write Agent Configuration | Crypto-officer Role | Configure Agent Communication | Perform Self Tests | Show FIPS Status |
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| Role/Service             | User Role                  | Write Log Data  | Collect Log Data| Log Manager Read Log Data | Write Agent Configuration | Crypto-officer Role | Configure Agent Communication | Perform Self Tests | Show FIPS Status |

5.4. Physical Security

This section is not applicable.
6. Crypto Officer Guidance

6.1. Secure Operation Initialization Rules

The LogRhythm software is delivered with the LogRhythm Appliance or standalone as part of the LogRhythm Solution Software (LRSS).

LRSS is the software-only solution for installation and configuration on your own dedicated custom hardware or a supported virtualization platform. Follow the instructions in [Help] section “Installing the Components” to install LogRhythm, including a Windows System Monitor Agent. Once System Monitor Agent is installed, enable Approve mode as described below. See the LogRhythm Solution Software Installation Guide for more details.

The LogRhythm Windows System Monitor Agent provides the cryptographic functions listed in section Modes of Operation above. The following table identifies the FIPS algorithm certificates for the Approved cryptographic functions along with modes and sizes.

<table>
<thead>
<tr>
<th>Algorithm Type</th>
<th>Modes/Mod sizes</th>
<th>Cert No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>BCRYPTPRIMITIVES.DLL Algorithms</td>
<td></td>
<td></td>
</tr>
<tr>
<td>AES</td>
<td>CBC, 128 and 256-bit keys</td>
<td>Cert. #1168</td>
</tr>
<tr>
<td>HMAC</td>
<td>SHA-1</td>
<td>Cert. #686</td>
</tr>
<tr>
<td>SHS</td>
<td>SHA-1/256/384/512</td>
<td>Cert. #1081</td>
</tr>
<tr>
<td>DRBG</td>
<td>SP 800-90A CTR_DRBG (AES-256)</td>
<td>Cert. #23</td>
</tr>
<tr>
<td>RSA</td>
<td>FIPS186-2: ALG[ANSIX9.31]: Key(gen), MOD: 2048, 3072 and 4096 bits modulus</td>
<td>Cert. #559</td>
</tr>
<tr>
<td>RSA</td>
<td>ALG [RSASSA-PKCS1_V1_5]: SIG(gen) 2048, 3072 and 4096 bits modulus, SHS: SHA-256, SHA-384 and SHA-512</td>
<td>Cert. #567</td>
</tr>
<tr>
<td>RSA</td>
<td>SIG (ver): 1024, 1536, 2048, 3072 and 4096 bits modulus, SHS: SHA-1, SHA-256, SHA-384 and SHA-512</td>
<td></td>
</tr>
</tbody>
</table>
6.2. **Approved Mode**

6.2.1. Establishing Approved Mode

Establishing Approved mode entails:

1. Enabling Windows FIPS security policy on the GPC hosting the Windows System Monitor Agent.

Enabling Windows FIPS security policy affects other LogRhythm components installed on the same GPC as the Windows System Monitor Agent. Hence, Windows FIPS security policy should be configured initially for all LogRhythm cryptographic modules in a deployment at the same time. [Help] sections “Running FIPS” and “Enabling FIPS Security Policy” cover the procedures for establishing Windows FIPS security policy across a LogRhythm deployment, including the Windows System Monitor Agent cryptographic module.

If the System Monitor Agent service will perform remote event log collection, then it must be configured to use Windows Integrated Security. See [Help] section “Using Integrated Security 6.0” for steps to enable Integrated Security.

6.2.2. Starting and Stopping the Cryptographic Module

Windows System Monitor Agent cryptographic module runs as a Windows service named LogRhythm System Monitor Service. Starting the LogRhythm System Monitor Service starts the Windows System Monitor Agent cryptographic module. Similarly, stopping the LogRhythm System Monitor Service stops the cryptographic module. Use the LogRhythm Console, Windows Service Control Manager (SCM), or Windows command line to start or stop the cryptographic module. [Help] section “Starting, Stopping, Restarting, Pausing, and Resuming Agent Services” describes Console operation. The Windows commands for starting and stopping the module are ‘net start’ and ‘net stop,’ respectively.
7. Mitigation of Other Attacks

This section is not applicable.
## 8. Terminology and Acronyms

<table>
<thead>
<tr>
<th>Term/Acronym</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>AIE</td>
<td>Advanced Intelligence Engine</td>
</tr>
<tr>
<td>CSP</td>
<td>Critical Security Parameter</td>
</tr>
<tr>
<td>EM</td>
<td>Event Manager</td>
</tr>
<tr>
<td>GPC</td>
<td>General Purpose Computer</td>
</tr>
<tr>
<td>GUI</td>
<td>Graphical User Interface</td>
</tr>
<tr>
<td>LM</td>
<td>Log Manager</td>
</tr>
<tr>
<td>Mediator Server service</td>
<td>System Monitor Agents collect logs and send them to a Mediator Server service, which processes the logs</td>
</tr>
<tr>
<td>SIEM</td>
<td>Security Information Event Management</td>
</tr>
<tr>
<td>SRDI</td>
<td>Security Relevant Data Item</td>
</tr>
<tr>
<td>TLS(^1)</td>
<td>Transport Layer Security</td>
</tr>
</tbody>
</table>

\(^1\) This protocol has not been reviewed or tested by the CAVP and CMVP.
9. References


LogRhythm Help, Version 6.3.4, February 2015

