STS Secure
for Windows XP, Embedded XP
Security Policy
Document Version 1.2

Inter-4
A Division of Sierra Nevada Corporation

February 21, 2007
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1. Module Overview

The Inter-4 STS Secure for Windows XP, Embedded XP (Software Version 1.0) is a software module, comprised of the Security Manager Application Service (SMA), the AES NDIS Filter Driver and the AES key generator utility (KeyGen) that runs on a general purpose computer with the Windows XP or Windows XP Embedded operating systems. The primary purpose for the STS Secure software module is to provide data security for network wireless and/or wired traffic. The physical boundary is defined as being the outer perimeter of the general purpose computer on which the software module is installed. The logical boundary is defined as being the Security Manager Application service executable file (SMA.exe), the AES key generator executable (KeyGen.exe), and the AES NDIS Filter Driver (Windows platforms) file.

The STS Secure for Windows XP, Embedded XP shall be referred to as the “module” or “STS Secure” throughout this document.
2. Security Level

The cryptographic module meets the overall requirements applicable to Level 1 security of FIPS 140-2.

<table>
<thead>
<tr>
<th>Security Requirements Section</th>
<th>Level</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cryptographic Module Specification</td>
<td>1</td>
</tr>
<tr>
<td>Module Ports and Interfaces</td>
<td>1</td>
</tr>
<tr>
<td>Roles, Services and Authentication</td>
<td>1</td>
</tr>
<tr>
<td>Finite State Model</td>
<td>1</td>
</tr>
<tr>
<td>Physical Security</td>
<td>N/A</td>
</tr>
<tr>
<td>Operational Environment</td>
<td>1</td>
</tr>
<tr>
<td>Cryptographic Key Management</td>
<td>1</td>
</tr>
<tr>
<td>EMI/EMC</td>
<td>1</td>
</tr>
<tr>
<td>Self-Tests</td>
<td>1</td>
</tr>
<tr>
<td>Design Assurance</td>
<td>1</td>
</tr>
<tr>
<td>Mitigation of Other Attacks</td>
<td>N/A</td>
</tr>
</tbody>
</table>

3. Modes of Operation

**Approved mode of operation**

The module only supports a FIPS mode of operation. The following FIPS Approved algorithms are supported:

- DSA with 1024 bit keys for digital signature verification (Cert. #157)
- AES 256 bit encryption/decryption (Cert. #350)
- SHA-1 for hashing (Cert. #425)
- DRNG for AES key generation. (Cert. #167)

The module also implements a non-FIPS Approved NDRNG for the purpose of IV generation.
4. Ports and Interfaces
The physical ports of the module are provided by the general purpose computer on which the module is installed. The module supports the following logical interfaces: data input, data output, control input, and status output interface.

5. Identification and Authentication Policy

Assumption of roles
STS Secure shall support two distinct operator roles: User and Site Security Officer (SSO), who acts as the FIPS 140-2 Cryptographic-Officer. The module does not provide any identification or authentication means of its own. The SSO and the User are procedurally allocated specific services.

Table 2 - Roles and Required Identification and Authentication

<table>
<thead>
<tr>
<th>Role</th>
<th>Type of Authentication</th>
<th>Authentication Data</th>
</tr>
</thead>
<tbody>
<tr>
<td>User</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>SSO</td>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>

Table 3 – Strengths of Authentication Mechanisms

<table>
<thead>
<tr>
<th>Authentication Mechanism</th>
<th>Strength of Mechanism</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>

6. Access Control Policy

Roles and Services

Table 4 – Services Authorized for Roles

<table>
<thead>
<tr>
<th>Role</th>
<th>Authorized Services</th>
</tr>
</thead>
<tbody>
<tr>
<td>User:</td>
<td>• Firewall Processing: This service processes data packages based on the network configuration. The module accepts encrypted packets by default, but may be configured to receive plaintext data packages from specified IP addresses; all other plaintext</td>
</tr>
</tbody>
</table>
Data packages received from unknown IP addresses will be rejected. If the AES Network Key is present, then all data output is encrypted.

| Site Security Officer: | • Import AES Network Key: Imports the AES Network Key into the module for use with data encryption.  
• Remote Zeroization: Zeroize a specified neighbor device. Invoking this service causes the neighbor device module to transition into a Zeroized state where no encrypted traffic is supported.  
• Zeroize: Actively destroys all CSPs contained within the module. Invoking this service causes the module to transition into a Zeroized state where no traffic is supported.  
• Generate AES Network Key: Generates the AES Network Key that can be imported. |

Other Services:
The cryptographic module supports the following services that do not require an operator to assume an authorized role:

• Show status: This service provides the current status of the cryptographic module.  
• Self-tests: This service executes the suite of self-tests required by FIPS 140-2 and is invoked by reloading the library.

**Definition of Critical Security Parameters (CSPs)**
The following is a description of the CSPs contained in the module:

• AES Network Key: This is an AES key used to encrypt/decrypt network messages. 
• DRNG Seed Key: This is the seed key used to generate the AES Network Key.

**Definition of Public Keys:**
The following is a description of the two public keys contained in the module:

• STS Secure Software Verification Public Key: This is the public part of the cryptographic module’s DSA Public/Private key pair used to verify DSA signatures over the SMA and AES NDIS Filter Driver software image. 
• KeyGen Secure Software Verification Public Key: This is the public part of the cryptographic module’s DSA Public/Private key pair used to verify DSA signatures over
the KeyGen software image.

**Definition of CSPs Modes of Access**

Table 5 defines the relationship between access to CSPs and the different module services. The modes of access shown in the table are defined as follows:

- **Create**: This operation creates an AES Network Key that can be loaded into the software module.
- **Load**: This operation imports the AES Network Key into the software module.
- **Use**: This operation accesses the AES Network Key for network encryption/decryption.
- **Destroy**: This operation actively erases the AES Network Key that was used for encryption.

<table>
<thead>
<tr>
<th>Role</th>
<th>Service</th>
<th>Cryptographic Keys and CSPs Access Operation</th>
</tr>
</thead>
<tbody>
<tr>
<td>SSO</td>
<td></td>
<td></td>
</tr>
<tr>
<td>X</td>
<td>Firewall Processing</td>
<td>Use AES Network Key</td>
</tr>
<tr>
<td>X</td>
<td>Import AES Network Key</td>
<td>Load AES Network Key</td>
</tr>
<tr>
<td>X</td>
<td>Zeroize</td>
<td>Destroy AES Network Key</td>
</tr>
<tr>
<td>X</td>
<td>Remote Zeroize</td>
<td>Destroy AES Network Key on remote device.</td>
</tr>
<tr>
<td>X</td>
<td>Generate AES Network Key</td>
<td>Create AES Network Key, Load DRNG Seed Key, Destroy DRNG Seed Key</td>
</tr>
</tbody>
</table>

7. **Operational Environment**

STS Secure is a software module that runs on an underlying modifiable operational environment and is installed on a general purpose computer. On all supported operating systems, STS Secure is composed of three components: the Security Manager Application (SMA), the AES NDIS Filter Driver, and the AES key generator application. The SMA component runs as a service and interacts with the AES NDIS Filter Driver to provide data security for network wireless and/or wired traffic. The AES key generator application is a standalone executable that will create an AES Network Key that can be used by SMA and the AES NDIS Filter Driver. The STS Secure was tested on a general purpose computer running Windows XP and a tablet PC running Windows XP Embedded.
8. Security Rules

The STS Secure design corresponds to the module’s security rules. This section documents the security rules enforced by the cryptographic module to implement the security requirements of this FIPS 140-2 Level 1 module.

1. The cryptographic module shall provide two distinct operator roles: User and Site Security Officer.

2. The cryptographic module shall not provide authentication.

3. The cryptographic module shall encrypt wired and/or wireless message traffic using the AES 256 bit algorithm.

4. The cryptographic module shall perform the following tests:
   A. Power up Self-Tests:
      1. Cryptographic Algorithm Tests:
         a. AES Known Answer Test
         b. SHA-1 Known Answer Test
         c. DSA Signature Verification Known Answer Test
         d. DRNG Known Answer Test
      2. Software Integrity Test: DSA signature verification
      3. Critical Functions Tests: N/A
   B. Conditional Self-Tests:
      1. Continuous DRNG Test
      2. Continuous NDRNG Test

5. Data output shall be inhibited during self-tests, zeroization, and error states.

6. Status information shall not contain CSPs or sensitive data that if misused could lead to a compromise of the module.

7. The module shall not support concurrent operators.

8. All components and applications within the module shall not support maintenance mode or manual key entry. Only the AES key generation application shall support the generation of keys.

9. The module shall support a single operator mode of operation.

10. The module shall use a good entropy source for creating seed key values for use with the KeyGen application.
9. Physical Security Policy

Physical Security Mechanisms
The STS Secure module is a software module intended for use with Microsoft Windows XP and Windows XP Embedded; therefore, the physical security requirements of FIPS 140-2 are not applicable.

Table 7 – Inspection/Testing of Physical Security Mechanisms

<table>
<thead>
<tr>
<th>Physical Security Mechanisms</th>
<th>Recommended Frequency of Inspection/Test</th>
<th>Inspection/Test Guidance Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>

10. Mitigation of Other Attacks Policy
The module has not been designed to mitigate specific attacks beyond the scope of FIPS 140-2 requirements.

Table 8 – Mitigation of Other Attacks

<table>
<thead>
<tr>
<th>Other Attacks</th>
<th>Mitigation Mechanism</th>
<th>Specific Limitations</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>

11. References


12. Definitions and Acronyms

AES – Advanced Encryption Standard

CO – Cryptographic Officer

CSP – Critical Security Parameter

DRNG – Deterministic Random Number Generator

DSA – Digital Signature Algorithm

IV – Initialization Vector

NDIS – Network Driver Interface Specification

NDRNG – Non-Deterministic Random Number Generator

RNG - Random Number Generator

SHA – Secure Hash Algorithm

SSO – Site Security Officer

STS – Secure Tactical Software