Identity Proofing, Registration and Credential Issuance

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Separation of Duties

- Registration process design requires independent roles to minimize fraudulent issuance
 - o Request
 - o Approval
 - o Checks
 - o Issuance



Players and Process

- Applicant completes SF 85, provides source identification documents
- PIV Requesting Official requests PIV token for applicant
- PIV Authorizing Official approves the request for PIV token
- Registration Authority performs the identity proofing and background checks
- PIV Issuing Authority issues the identity credential to the Applicant after identity proofing, background checks and related approvals have been completed



Facets of Identity Proofing

- Identity Source Documents
 - Two I-9 identity sources
 - Must include a government-issued picture ID and fingerprints (10 for identification and two for verification)
- Background Checks
 - o Use of SF 85
 - Required investigations based on position sensitivity level



Proofing and Background Checks

1	Two I-9 Identity Sources including one gov issued picture ID and fingerprints	Authentication of source documents by PIV card issuer Law enforcement check
2	Two I-9 Identity Sources including one gov issued picture ID and fingerprints	National Agency Check and Inquiries (NACI) using info from SF 85 or equiv
3	Two I-9 Identity Sources including one gov issued picture ID and fingerprints	National Agency Check and Inquiries and Credit Check (NACIC) using info from SF 85 P or equiv
4	Two I-9 Identity Sources including one gov issued picture ID and fingerprints	Limited Background Investigation (LBI) and Background Investigation (BI) using info from SF 85 P or equiv



Balancing Requirements

- Interoperability of "Trust" across agencies vs Cost of modifying legacy issuing practices
- Background checks to support identity proofing with source documents vs Time and cost of checks
- Addition of biometrics to support authentication vs Cost of biometrics capture
- Minimizing potential for fraudulent issue vs labor/process costs

