

ROLLO - Rank-Ouroboros, LAKE & LOCKER

NIST Second Post-Quantum Cryptography Standardization
Conference

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- 1 Recap on the schemes
- 2 NIST's comments after 1st round for ROLLO and modifications for the 2nd round
- 3 Comments on NIST's questions
- 4 Optimized implementation

Rationale



- **ROLLO: merging of three original schemes** which have in common the same decoding/decryption algorithm based on LRPC codes
- Each scheme possess its own features:
 - ◇ **ROLLO-I (ex LAKE)** : optimized for key exchange and bandwidth
 - ◇ **ROLLO-II (ex LOCKER)** : optimized for encryption and low DFR
 - ◇ **ROLLO-III (ex OUROBOROS-R)**: optimized for key exchange, bandwidth and security reduction

Rank Metric

We only consider codes with coefficients in \mathbb{F}_{q^m} .

Let β_1, \dots, β_m be a basis of $\mathbb{F}_{q^m}/\mathbb{F}_q$. To each vector $\mathbf{x} \in \mathbb{F}_{q^m}^n$ we can associate a matrix $\mathbf{M}_\mathbf{x}$

$$\mathbf{x} = (x_1, \dots, x_n) \in \mathbb{F}_{q^m}^n \leftrightarrow \mathbf{M}_\mathbf{x} = \begin{pmatrix} x_{11} & \dots & x_{1n} \\ \vdots & \ddots & \vdots \\ x_{m1} & \dots & x_{mn} \end{pmatrix} \in \mathbb{F}_q^{m \times n}$$

such that $x_j = \sum_{i=1}^m x_{ij} \beta_i$ for each $j \in [1..n]$.

Definition

$d_R(\mathbf{x}, \mathbf{y}) = \text{Rank}(\mathbf{M}_\mathbf{x} - \mathbf{M}_\mathbf{y})$ and $|\mathbf{x}|_r = \text{Rank } \mathbf{M}_\mathbf{x}$.

Support of a Word

Definition

The support of a word is the \mathbb{F}_q -subspace generated by its coordinates:

$$\text{Supp}(\mathbf{x}) = \langle x_1, \dots, x_n \rangle_{\mathbb{F}_q}$$

Number of supports of weight w :

Rank	Hamming
$\left[\begin{matrix} m \\ w \end{matrix} \right]_q \approx q^{w(m-w)}$	$\binom{n}{w} \leq 2^n$

Best known complexity for combinatorial attacks:
 quadratically exponential for Rank Metric
 simply exponential for Hamming Metric

Difficult problems in rank metric

Problem (Rank Syndrome Decoding problem)

Given $\mathbf{H} \in \mathbb{F}_{q^m}^{(n-k) \times n}$, $\mathbf{s} \in \mathbb{F}_{q^m}^{n-k}$ and an integer r , find $\mathbf{e} \in \mathbb{F}_{q^m}^n$ such that:

$$\mathbf{H}\mathbf{e}^T = \mathbf{s}^T$$

$$|\mathbf{e}|_r = r$$

Probabilistic reduction to the NP-Complete SD problem
[Gaborit-Zémor, IEEE-IT 2016].

LRPC basic scheme

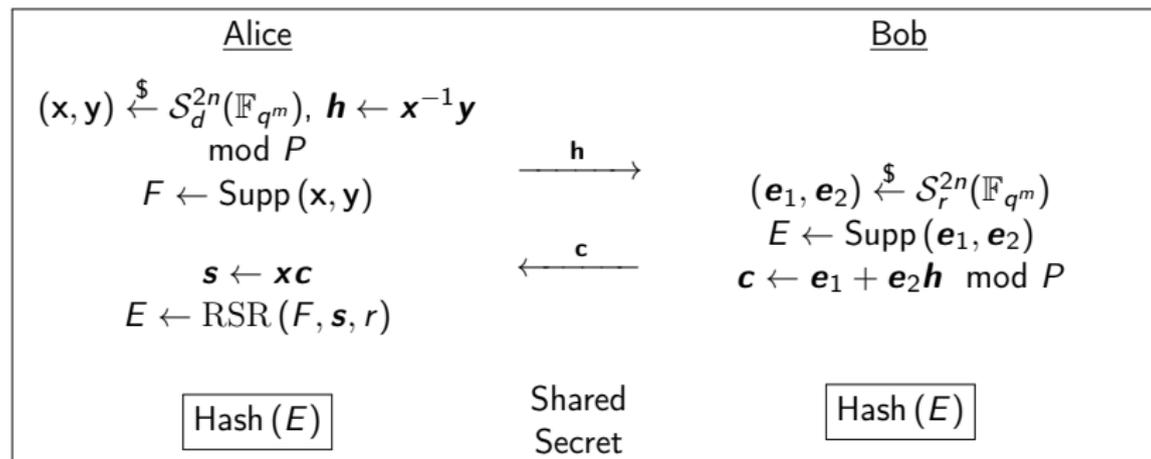


Figure 1: Informal description of ROLLO-I. \mathbf{h} constitutes the public key.

- ◇ ROLLO II and ROLLO III are variations on this basic scheme with their own features

Instance	pk size	sk size	ct size	ss size	Security level
ROLLO-I-128	465	40	465	64	1
ROLLO-I-192	590	40	590	64	3
ROLLO-I-256	947	40	947	64	5

Table 1: Resulting sizes in bytes for ROLLO-I using NIST seed expander initialized with 40 bytes long seeds.

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NIST's comments after 1st round for ROLLO

Points of interest:

- small size parameters
- adds diversity

Questions:

- security of difficult problems in rank metric
- security reduction for quasi-cyclic/ideal structures
- decryption failure attacks

Modifications for 2nd round

- ◇ All reductions are now done in the ideal setting (modulo an irreducible polynomial rather than modulo $X^n - 1$)
- ◇ Parameters have been smoothed so that the rank error weight increases with the security level

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Security of rank based problems: combinatorial attacks

Combinatorial attacks:

- ◇ Have been studied for more than 28 years
- ◇ Best attack [AGHT18]

→ to go beyond: inherent difficulty resulting from the difference between support and coordinates in rank metric to generalize birthday paradox attacks

Algebraic attacks

- ◇ For a long time thought to be too costly
- ◇ Recent progress [[VBC⁺19] PQCrypto '19] in the Kipnis-Shamir setting for the **MinRank problem**: through added syzygies first degree fall / solving degree in $r+2$ → still very high complexities because of the setting.
- ◇ Very recently: [Bardet, Briaud, Bros, Gaborit, Neiger, Ruatta and Tillich - ongoing work '19], new optimized SCSS setting for the **RSD problem** : first degree fall through syzygies in $r+1$ and a priori lower bounded by r .

Less unknowns than Kipnis-Shamir setting → for high parameters better than combinatorial attacks, **but not speeded up by quantum computer**, does not impact Lvl 3 and 5 but may need to slightly modify Level 1 parameters in the worst case scenario.

Advantage: better understanding of how algebraic attacks work, seems difficult to do better.

◇ **Security reductions for quasi-cyclicity**

Same type of configuration than Hamming/Euclidean metrics

◇ **Reaction attack**

Reaction attacks against LRPC-based cryptosystem have been studied recently in [AG19] and [SSPB].

ROLLO negates both of these attacks for the following reasons :

- ROLLO-I and ROLLO-III use ephemeral keys
- The $DFR < 2^{-128}$ in ROLLO-II makes the complexity of the attacks too high in practice

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AVX2 implementation

Performance comparison between:

- 1 : Reference implementation submitted to the second round
- 2 : AVX2 implementation sent to NIST on July, 1st, 2019
- 3 : Current AVX2 implementation

Parameter	Keygen			Encaps			Decaps		
	1	2	3	1	2	3	1	2	3
ROLLO-I-128	2.00	0.36	0.36	0.46	0.095	0.080	1.65	1.00	0.65
ROLLO-I-256	3.42	0.71	0.70	0.73	0.15	0.10	4.78	4.45	2.47
ROLLO-II-128	9.62	2.46	2.46	1.52	0.35	0.29	4.96	3.00	1.90
ROLLO-II-256	11.41	2.84	2.84	2.39	0.43	0.34	7.94	5.00	3.03
ROLLO-III-128	2.71	0.10	0.10	0.55	0.19	0.16	2.57	0.81	0.51
ROLLO-III-256	3.58	0.18	0.18	0.60	0.32	0.26	3.77	4.23	2.30

Figure 2: Measures in millions of cycles

Constant time

- ◇ Decoding algorithm is designed to be constant time while still reaching announced DFR.
- ◇ A full constant-time implementation of ROLLO-I-128 is done in [AMBC⁺] with small overhead.

Take away for ROLLO

Advantages:

- ◇ Very small key size
- ◇ Increases diversity of problems
- ◇ Fast encryption/decryption
- ◇ Reduction to : decoding a random ideal code (ROLLO-III) or distinguishing LRPC (ROLLO I-II).
- ◇ Combinatorial/algebraic attacks better/well understood by now
- ◇ Optimized implementations in AVX2

On going work for public constant time implementation.



References I

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References II

-  Simona Samardjiska, Paolo Santini, Edoardo Persichetti, and Gustavo Banegas, *A reaction attack against cryptosystems based on Irpc codes*.
-  Javier A. Verbel, John Baena, Daniel Cabarcas, Ray A. Perlner, and Daniel Smith-Tone, *On the complexity of "superdetermined" minrank instances*, Post-Quantum Cryptography - 10th International Conference, PQCrypto 2019, Chongqing, China, May 8-10, 2019 Revised Selected Papers, 2019, pp. 167–186.