

## Public Randomness

### Goals:

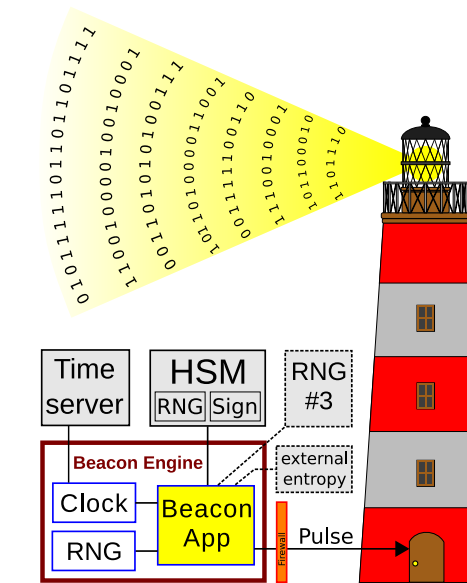
- Investigate the value of public randomness as a public good.
- Foster applications that use public randomness with the NIST format.

### Sources of public randomness:

- Natural: earthquakes, solar storms, fire patterns, ..., quantum processes
- Social: lottery results, stock market prices, twitter feeds, ..., blockchains
- Custom: cryptographic **Randomness Beacons** (like the NIST Beacon)

## A Randomness Beacon

- Periodically publishes a randomness *pulse*
- Each pulse contains a *fresh* sequence of 512 random bits
- The pulses are *indexed*, have a *time stamp* and a *digital signature*
- Past pulses are publicly available
- The sequence of pulses forms a hash chain



NIST has a project about Interoperable Randomness Beacons, with four tracks:

- Beacons Reference:** promote a reference for randomness beacons;
  - NIST Beacon:** maintain a NIST Beacon implementation;
  - External beacons:** promote the deployment of other Beacons by multiple organizations;
  - Uses of public randomness:** foster applications that use beacon-issued randomness.
- This poster is about track D, with a focus on public auditability.

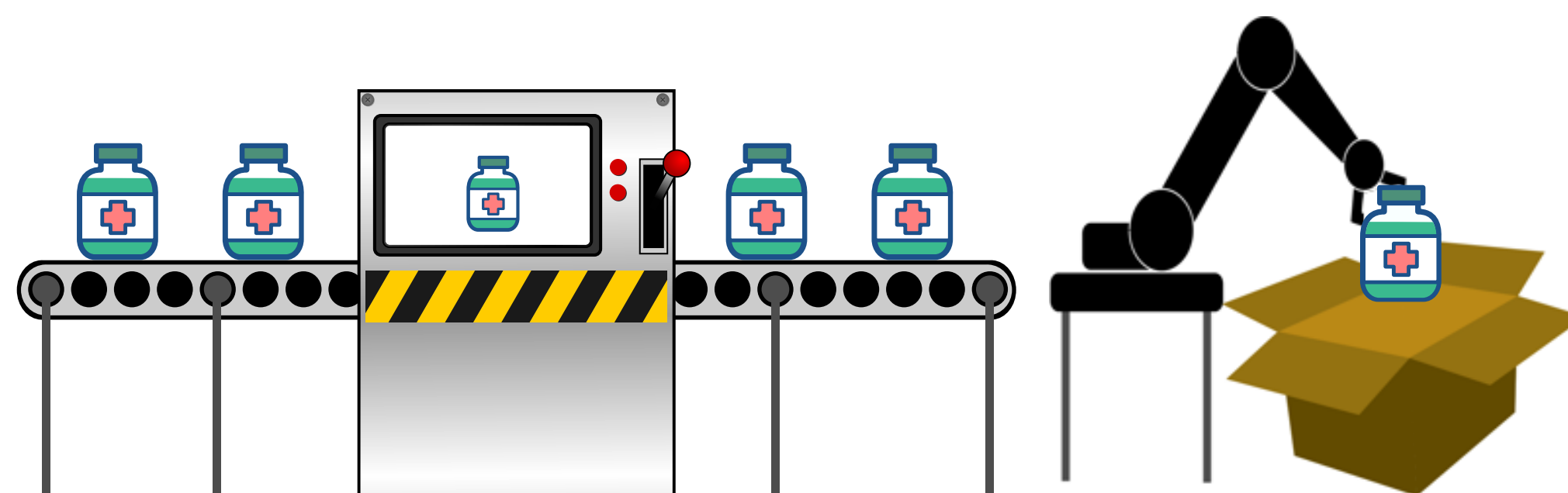
## Generic applications

### Public auditability

- Setting:** You need to make a random choice from a set  $\{C_1, \dots, C_n\}$  of possibilities.
- Challenge:** At a later time you would like to prove to a judge that all choices were equally likely when you made the selection.
- Solution:** Cryptographic commitments, time stamps, and a public source of randomness are enough to solve your problem.

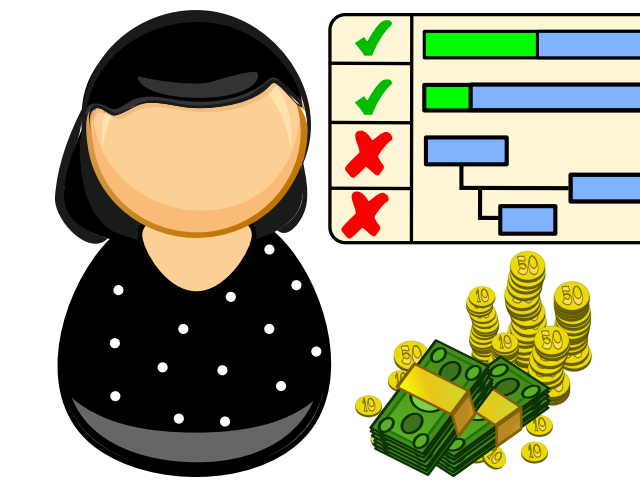
### Quality control

- Setting:** Randomized sampling is used for quality control in manufacturing processes. The process can be compromised if the random samples are chosen by a worker in the factory floor (or insiders in general).
- Application:** With public randomness, a phone app can instead do the sampling. The factory can also keep an audit trail for later verification.



## Use-case 1: Random officials for financial audit

- Setting:** The government of Chile selects **random** public officials for financial audits. Each official has a *risk score* based on public and private information (e.g., stock holdings of spouse). The probability of selection should be proportional to the risk score.
- Challenge:** Enable **public auditability** of the selection, along with **privacy of the data** used to compute the score.
- Solution:** Use public randomness from a **beacon** along with **zero-knowledge proofs**. Note: Chile has implemented a Beacon following the NIST reference for randomness beacons.

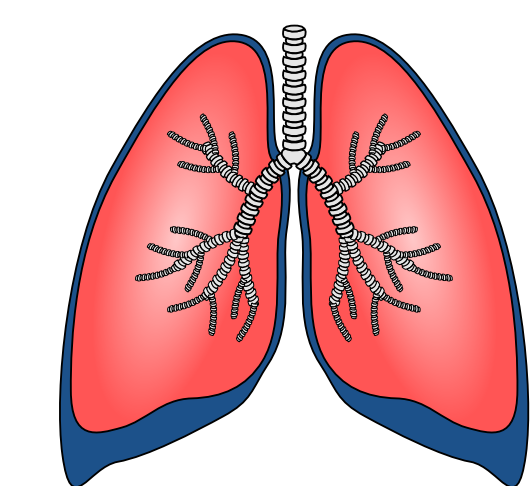


## Use-case 2: Randomized clinical trials

- Example setting:** a placebo-controlled clinical trial assigns patients to either the **treatment** group or the **control** group.
- Goal:** After the study, it is possible to convince others that the trial was properly randomized.

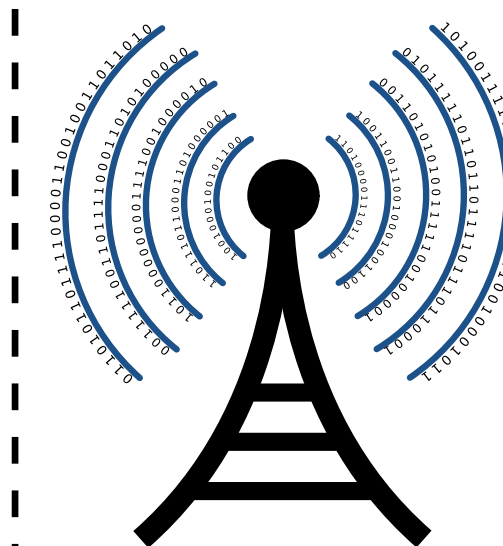


### Prepare clinical trial



Timestamp and post the list of patients and the time to perform the random assignment.

Trial id: 123  
Created: 5 pm  
Will use: pulse issued at 6pm  
List patients:  
1. Ann  
2. Bob  
3. Cai  
4. Dan  
5. Eve  
6. Fae



### Obtain verifiably random groups for clinical trial

Control group:	Treatment group:
2. Bob	1. Ann
4. Dan	3. Cai
5. Eve	6. Fae

Assign

Time flow of a clinical trial protected by the Beacon

## Others example use-cases

### Random judges for court cases



- For years, New Orleans has been struggling with a problem referred to as *forum shopping*: prosecutors were being accused of gaming the court system so as to have friendly judges assigned to certain cases.
- The Criminal District Court judges saw it useful to implement random assignment of judges to cases. Real-life constraints makes this a non-trivial problem. NIST could help if we get a full specification of the problem.

### Eliminating bias in randomized security checks

- Setting:** "You have been randomly chosen for additional security screening."
- Goal:** Allow individuals to confirm that the selection was really random.

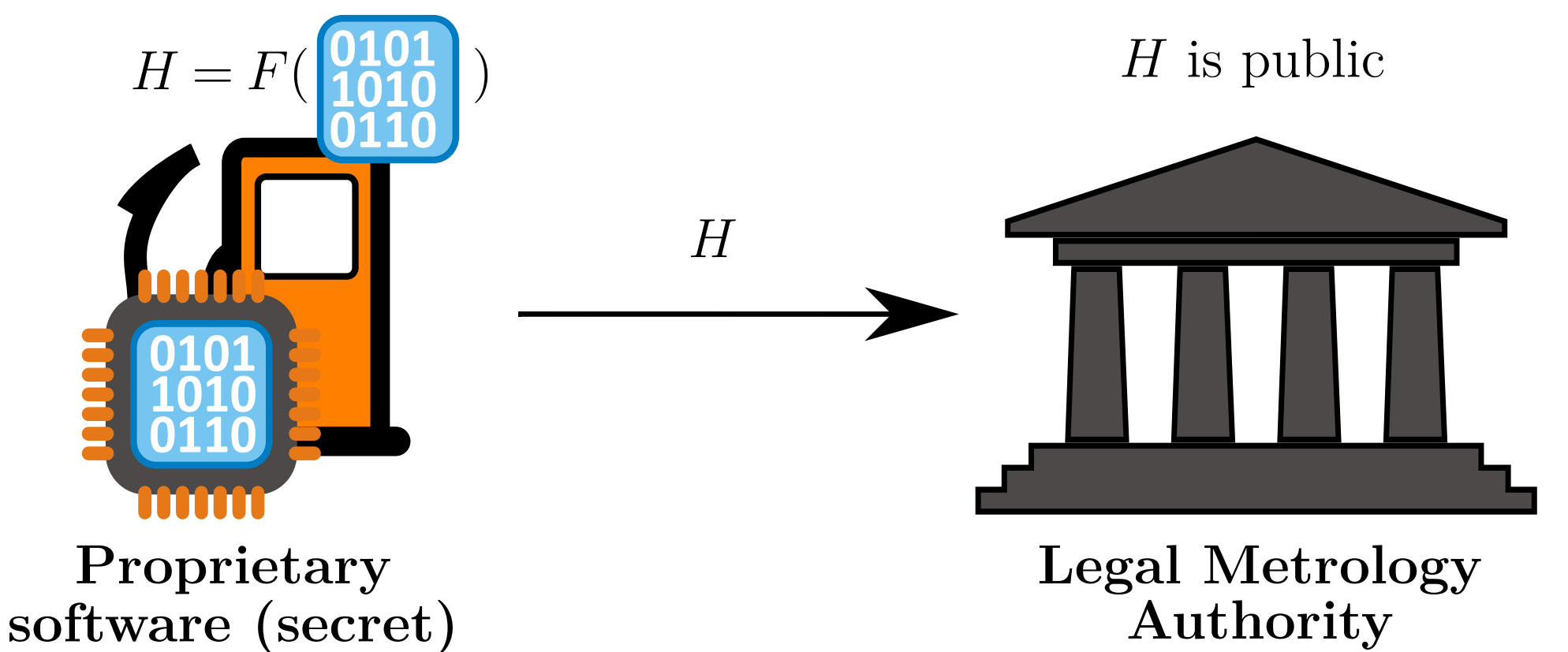
## Use-case 3: Legal metrology

An application motivated by INMETRO (Brazil), using a Beacon with the NIST reference

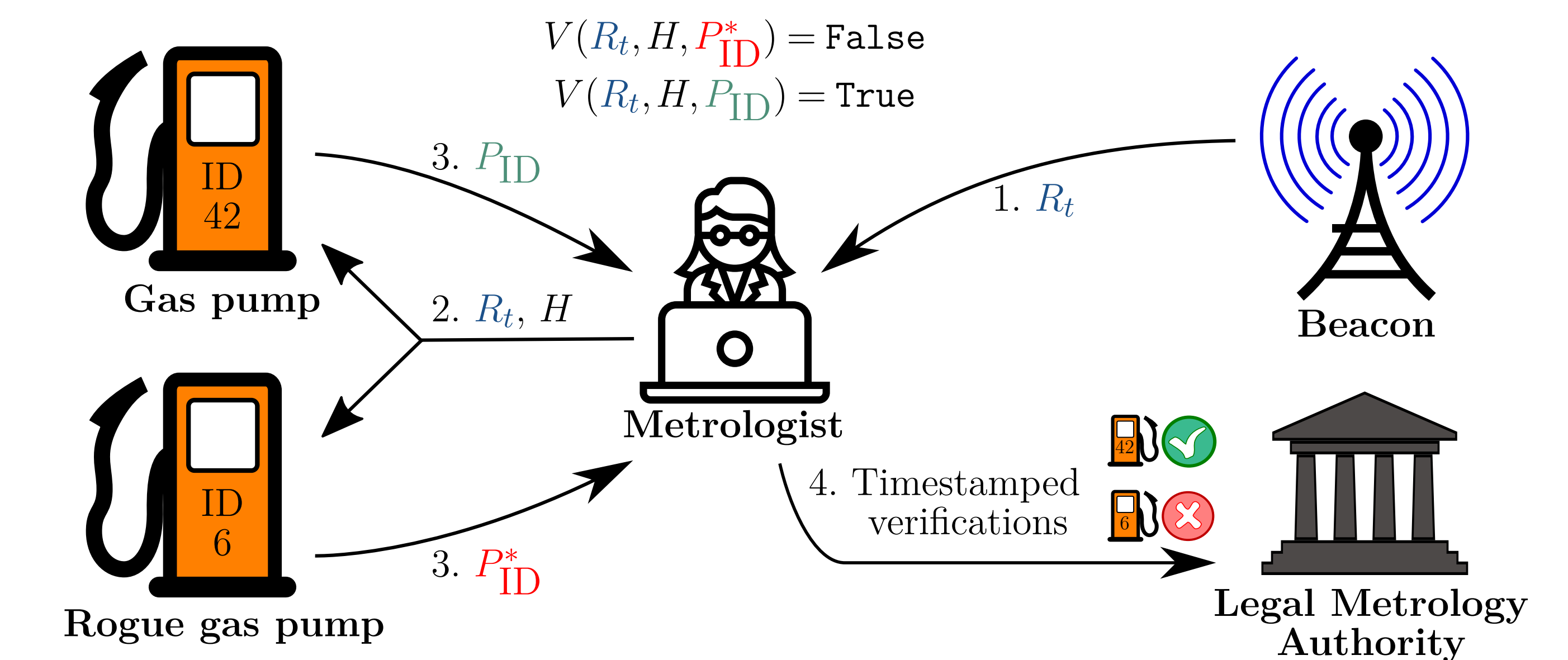
- Goal:** Improve metrological inspections through public randomness
- Challenge:** Gas pumps and other instruments are subject to malicious firmware replacement and counterfeits
- Solution:** Authentication checks using public randomness for metrological verifications

### The Protocol

- Model Registration:** The metrology authority registers the approved gas pump model and publishes the digital fingerprint (hash  $H$ ) of its **secret software**. The hash  $H$  is obtained through a function  $F$  that takes the software (binary code) as input.



- Device Inspection.** The metrologist sends to each pump the timestamped Randomness Beacon pulse  $R_t$  and the hash  $H$ . An honest pump can produce a verifiable proof  $P_{ID}$  while a rogue one cannot. The inspection results are sent to the legal metrology authority.



### The Benefits

#### Watching the watchers:



The legal metrology authority can use  $(R_t, H, P_{ID})$  to spot malicious metrologists.

#### Anti piracy:



Counterfeits without the proprietary software cannot construct the proof  $P_{ID}$ .

#### Power to the people:



Any citizen can use the randomness beacon to check that a pump has the correct software.