



**Cisco 1841 and Cisco 2801 Integrated Services Router
FIPS 140-2 Security Policy**

**FIPS 140-2 Non Proprietary Security Policy
Level 2 Validation**

Version 1.8

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1 Introduction

Purpose

This document is the non-proprietary Cryptographic Module Security Policy for the Cisco 1841 and 2801 Integrated Services Router without an AIM card installed. This security policy describes how the Cisco 1841 and 2801 Integrated Services Router (Hardware Version: 1841 or 2801; Firmware Version: IOS 12.4 (15) T3 and 12.4 (15) T10) meet the security requirements of FIPS 140-2, and how to operate the router in a secure FIPS 140-2 mode. This policy was prepared as part of the Level 2 FIPS 140-2 validation of the Cisco 1841 or 2801 Integrated Services Router.

FIPS 140-2 (Federal Information Processing Standards Publication 140-2 — *Security Requirements for Cryptographic Modules*) details the U.S. Government requirements for cryptographic modules. More information about the FIPS 140-2 standard and validation program is available on the NIST website at <http://csrc.nist.gov/groups/STM/index.html>.

References

This document deals only with operations and capabilities of the 1841 and 2801 routers in the technical terms of a FIPS 140-2 cryptographic module security policy. More information is available on the routers from the following sources:

The Cisco Systems website contains information on the full line of Cisco Systems routers. Please refer to the following website:

<http://www.cisco.com/en/US/products/hw/routers/index.html>

For answers to technical or sales related questions please refer to the contacts listed on the Cisco Systems website at www.cisco.com.

The NIST Validated Modules website

(<http://csrc.nist.gov/groups/STM/cmvp/validation.html>) contains contact information for answers to technical or sales-related questions for the module.

1.3 Terminology

In this document, the Cisco 1841 or 2801 routers are referred to as the router, the module, or the system.

1.4 Document Organization

The Security Policy document is part of the complete FIPS 140-2 Submission Package. In addition to this document, the Submission Package contains:

Vendor Evidence document

Finite State Machine

Other supporting documentation as additional references

This document provides an overview of the routers and explains their secure configuration and operation. This introduction section is followed by Section 2, which details the general features and functionality of the router. Section 3 specifically addresses the required configuration for the FIPS-mode of operation.

With the exception of this Non-Proprietary Security Policy, the FIPS 140-2 Validation Submission Documentation is Cisco-proprietary and is releasable only under appropriate non-disclosure agreements. For access to these documents, please contact Cisco Systems.

2 Cisco 1841 and 2801 Routers

Branch office networking requirements are dramatically evolving, driven by web and e-commerce applications to enhance productivity and merging the voice and data infrastructure to reduce costs. The Cisco 1841 and 2801 routers provide a scalable, secure, manageable remote access server that meets FIPS 140-2 Level 2 requirements. This section describes the general features and functionality provided by the routers. The following subsections describe the physical characteristics of the routers.

2.1 The Cisco 1841 Cryptographic Module Physical Characteristics

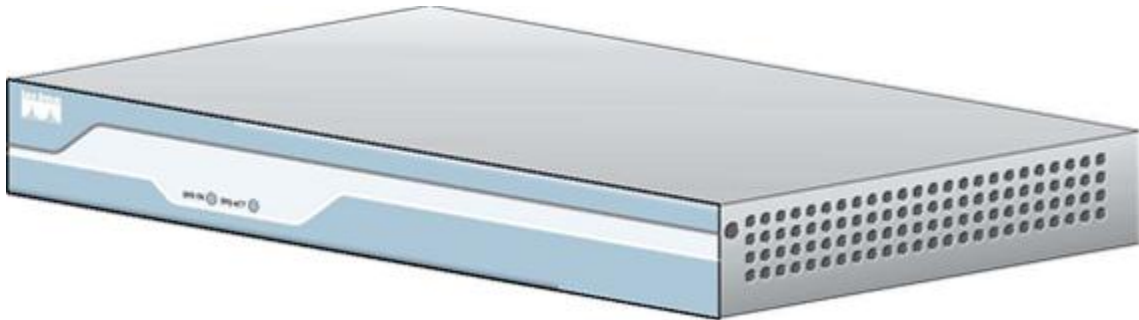


Figure 1 – The Cisco 1841 router case

The 1841 Router is a multiple-chip standalone cryptographic module. The router has a processing speed of 240MHz. Depending on configuration, either the internal Giove FPGA or the IOS software is used for cryptographic operations.

The cryptographic boundary of the module is the device's case, shown in Figure 1. All of the functionality discussed in this document is provided by components within this cryptographic boundary.

The interface for the router is located on the rear panel as shown in Figure 2.

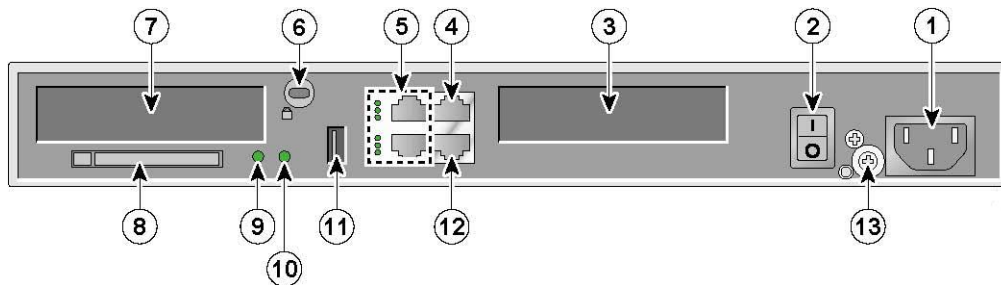


Figure 2 – Rear Panel Physical Interfaces

The Cisco 1841 router features a console port, an auxiliary port, Universal Serial Bus (USB) port, two high-speed WAN interface card/WAN interface card/Voice interface card (HWIC/WIC/VIC) slots, two 10/100 Fast Ethernet RJ45 ports, and a Compact Flash (CF) drive.

The Cisco 1841 router supports an internal advanced integration module (AIM)¹ and two fast Ethernet connections. Figure 2 shows the rear panel. The front panel contains 2 LEDs that output status data about the system status (SYS OK) and system activity (SYS ACT). The back panel consists of 8 LEDs: two duplex LEDs, two speed LEDs, two link LEDs, CF LED and AIM LED.

The rear panel contains the following:

- (1) Power inlet
- (2) Power switch
- (3) HWIC/WIC/VIC slot 0
- (4) Console port
- (5) FE ports
- (6) Lock
- (7) HWIC/WIC/VIC slot 1
- (8) CF drive
- (9) CF LED
- (10) AIM LED
- (11) USB port
- (12) Auxiliary port
- (13) Ground connector

The following tables provide more detailed information conveyed by the LEDs on the front and rear panel of the router:

Name	State	Description
System OK	Solid Green	Router has successfully booted up and the software is functional.
	Blinking Green	Booting or in ROM monitor (ROMMON) mode.
System Activity	Solid Green	System is actively transferring packets.
	Blinking Green	System is servicing interrupts.
	Off	No interrupts or packet transfer occurring.

Table 1 – Cisco 1841 Front Panel Indicators

Name	State	Description
AIM	Solid Green	AIM installed and initialized.
	Solid Orange	AIM installed and initialized error.
	Off	AIM not installed.
Compact Flash	Solid Green	Indicates that the flash is busy and should not be removed.
	Off	OK to remove flash card.

¹ However, an AIM module may not be installed in accordance with this security policy. There is a separate security policy covering the 1841 and 2801 routers with AIM module installed.

Table 2 – Cisco 1841 Rear Panel Indicators

The following table describes the meaning of Ethernet LEDs on the rear panel:

Name	State	Description
Duplex	Solid Green Off	Full-Duplex Half-Duplex
Speed	Solid Green Off	100 Mbps 10 Mbps
Link	Solid Green Off	Ethernet link is established No link established

Table 3 – 1841 Ethernet Indicators

The physical interfaces are separated into the logical interfaces from FIPS 140-2 as described in the following table:

Router Physical Interface	FIPS 140-2 Logical Interface
10/100 Ethernet LAN Ports HWIC/WIC/VIC Ports Console Port Auxiliary Port USB port	Data Input Interface
10/100 Ethernet LAN Ports HWIC/WIC/VIC Ports Console Port Auxiliary Port USB Port	Data Output Interface
10/100 Ethernet LAN Ports HWIC/WIC/VIC Ports Power Switch Console Port Auxiliary Port	Control Input Interface
10/100 Ethernet LAN Port LEDs AIM LED System OK LED System Activity LED Compact Flash LED Console Port Auxiliary Port USB Port	Status Output Interface
Power Plug	Power Interface

Table 4 – Cisco 1841 FIPS 140-2 Logical Interfaces

The CF card that stored the IOS image is considered an internal memory module, because the IOS image stored in the card may not be modified or upgraded. The card itself must never be removed from the drive. Tamper evident seal will be placed over the card in the drive.

2.2 The Cisco 2801 Cryptographic Module Physical Characteristics



Figure 3 – The Cisco 2801 router case

The 2801 router is a multiple-chip standalone cryptographic module. The router has a processing speed of 240MHz. Depending on configuration, either the internal Giove FPGA or the IOS software is used for cryptographic operations.

The cryptographic boundary of the module is the device's case Figure 3. All of the functionality discussed in this document is provided by components within this cryptographic boundary.

The interfaces for the router are located on the front and rear panel as shown in Figure 4 and Figure 5, respectively.

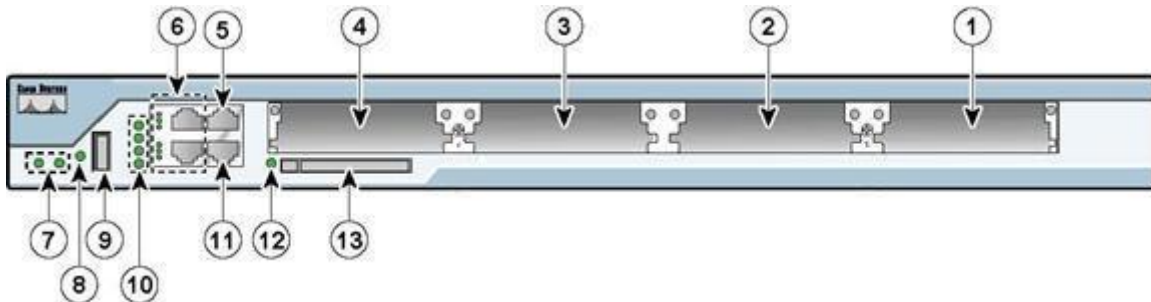


Figure 4 – Cisco 2801 Front Panel Physical Interfaces

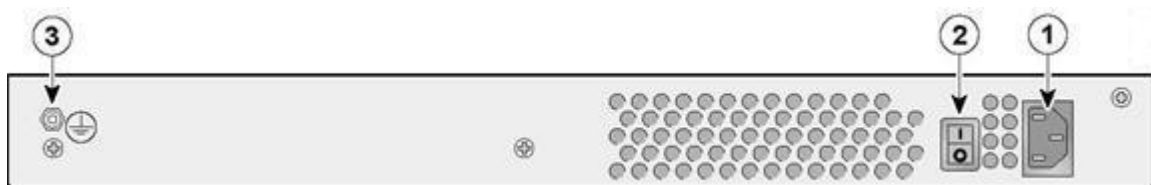


Figure 5 – Cisco 2801 Rear Panel Physical Interfaces

The Cisco 2801 router features a console port, an auxiliary port, Universal Serial Bus (USB) port, two high-speed WAN interface card (HWIC) slots, Voice interface card (VIC) slot, WIC/VIC slot, two 10/100 Fast Ethernet RJ45 ports, and a Compact Flash (CF) drive. The Cisco 2801 router supports two internal advanced integration modules (AIMs)², two internal packet

² However, an AIM module may not be installed in accordance with this security policy. There is a separate security policy covering the 1841 and 2801 routers with AIM module installed.

voice data modules (PVDMs), and two fast Ethernet connections. Figure 4 and Figure 5 show the front and rear panels of the router. The front panel consists of 14 LEDs: two duplex LEDs, two speed LEDs, two link LEDs, two PVDM LEDs, two AIM LEDs, system status LED (SYS OK), system activity (SYS ACT) LED, inline power LED, and CF LED. The back panel has the power inlet and on/off switch.

The front panel contains the following:

- (1) VIC slot
- (2) HWIC/WIC/VIC slot 0
- (3) WIC/VIC slot
- (4) HWIC/WIC/VIC slot 1
- (5) Console port
- (6) FE ports
- (7) System status and activity LEDs
- (8) Inline power LED
- (9) USB port
- (10) FE LEDs
- (11) Auxiliary port
- (12) CF LED
- (13) CF drive

The rear panel contains the following:

- (1) Power inlet
- (2) Power switch
- (3) Ground connector

The following tables provide more detailed information conveyed by the LEDs on the front and rear panel of the router:

Name	State	Description
System OK	Solid Green	Router has successfully booted up and the software is functional.
	Blinking Green	Booting or in ROM monitor (ROMMON) mode.
Inline Power	Solid Green	Inline power supply is working properly.
	Amber	Inline power failure.
	Off	Inline power supply is not present.
System Activity	Solid Green	System is actively transferring packets.
	Blinking Green	System is servicing interrupts.
	Off	No interrupts or packet transfer occurring.
Compact Flash	Solid Green	Indicates that the flash is busy and should not be removed.
	Off	OK to remove flash card.
PVDM1	Solid Green	PVDM1 installed and initialized.
	Solid Orange	PVDM1 installed and initialized error.
	Off	PVDM1 not installed.
PVDM0	Solid Green	PVDM0 installed and initialized.
	Solid Orange	PVDM0 installed and initialized error.

	Off	PVDM0 not installed.
AIM1	Solid Green Solid Orange Off	AIM1 installed and initialized. AIM1 installed and initialized error. AIM1 not installed.
AIM0	Solid Green Solid Orange Off	AIM0 installed and initialized. AIM0 installed and initialized error. AIM0 not installed.

Table 5 – Cisco 2801 Front Panel Indicators

The following table describes the meaning of Ethernet LEDs on the front panel:

Name	State	Description
Duplex	Solid Green Off	Full-Duplex Half-Duplex
Speed	Solid Green Off	100 Mbps 10 Mbps
Link	Solid Green Off	Ethernet link is established No link established

Table 6 – Cisco 2801 Ethernet Indicators

The physical interfaces are separated into the logical interfaces from FIPS 140-2 as described in the following table:

Router Physical Interface	FIPS 140-2 Logical Interface
10/100 Ethernet LAN Ports HWIC/WIC/VIC Ports Console Port Auxiliary Port USB Port	Data Input Interface
10/100 Ethernet LAN Ports HWIC/WIC/VIC Ports Console Port Auxiliary Port USB Port	Data Output Interface
10/100 Ethernet LAN Ports HWIC/WIC/VIC Ports Power Switch Console Port Auxiliary Port	Control Input Interface
10/100 Ethernet LAN Port LEDs AIM LEDs PVDM LEDs Inline Power LED System Activity LED System OK LED Compact Flash LED Console Port Auxiliary Port USB Port	Status Output Interface
Power Plug	Power Interface

Table 7 – 2801 FIPS 140-2 Logical Interfaces

The CF card that stored the IOS image is considered an internal memory module. The reason is the IOS image stored in the card cannot be modified or upgraded. The card itself must never be removed from the drive. Tamper evident seal will be placed over the card in the drive.

2.3 Roles and Services

Authentication in Cisco 1841 and 2801 is role-based. There are two main roles in the router that operators can assume: the Crypto Officer role and the User role. The administrator of the router assumes the Crypto Officer role in order to configure and maintain the router using Crypto Officer services, while the Users exercise only the basic User services. The module supports RADIUS and TACACS+ for authentication. A complete description of all the management and configuration capabilities of the router can be found in the *Performing Basic System Management* manual and in the online help for the router.

2.3.1. User Services

Users enter the system by accessing the console port with a terminal program or via IPSec protected telnet or SSH session to a LAN port. The IOS prompts the User for username and password. If the password is correct, the User is allowed entry to the IOS executive program.

The services available to the User role consist of the following:

Status Functions	View state of interfaces and protocols, version of IOS currently running.
Network Functions	Connect to other network devices through outgoing telnet, PPP, etc. and initiate diagnostic network services (i.e., ping, mtrace).
Terminal Functions	Adjust the terminal session (e.g., lock the terminal, adjust flow control).
Directory Services	Display directory of files kept in flash memory.
SSL-TLS/VPN	Negotiation and encrypted data transport via SSL/TLS.
EASY VPN	Negotiation and encrypted data transport via EASY VPN.
Get VPN	Negotiation and encrypted data transport via Get VPN.

2.3.2 Crypto Officer Services

During initial configuration of the router, the Crypto Officer password (the “enable” password) is defined. A Crypto Officer can assign permission to access the Crypto Officer role to additional accounts, thereby creating additional Crypto Officers.

The Crypto Officer role is responsible for the configuration and maintenance of the router. The Crypto Officer services consist of the following:

Configure the router	Define network interfaces and settings, create command aliases, set
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	the protocols the router will support, enable interfaces and network services, set system date and time, and load authentication information.
Define Rules and Filters	Create packet Filters that are applied to User data streams on each interface. Each Filter consists of a set of Rules, which define a set of packets to permit or deny based on characteristics such as protocol ID, addresses, ports, TCP connection establishment, or packet direction.
View Status Functions	View the router configuration, routing tables, active sessions, use gets to view SNMP MIB statistics, health, temperature, memory status, voltage, packet statistics, review accounting logs, and view physical interface status.
Manage the router	Log off users, shutdown or reload the router, erase the flash memory, manually back up router configurations, view complete configurations, manager user rights, and restore router configurations.
Set Encryption/Bypass	Set up the configuration tables for IP tunneling. Set keys and algorithms to be used for each IP range or allow plaintext packets to be set from specified IP address.

Bypass Mode

The routers implement an alternating bypass capability, in which some connections may be cryptographically authenticated and encrypted while others may not. Two independent internal actions are required in order to transition into each bypass state: First, the bypass state must be configured by the Crypto Officer using "match address <ACL-name>" sub-command under crypto map which defines what traffic is encrypted. Second, the module must receive a packet that is destined for an IP that is not configured to receive encrypted data. The configuration table uses an error detection code to detect integrity failures, and if an integrity error is detected, the module will enter an error state in which no packets are routed. Therefore, a single error in the configuration table cannot cause plaintext to be transmitted to an IP address for which it should be encrypted.

2.3.3 Unauthenticated Services

The services available to unauthenticated users are:

- Viewing the status output from the module's LEDs
- Powering the module on and off using the power switch
- Sending packets in bypass

2.3.4 Strength of Authentication

The security policy stipulates that all user passwords must be 8 alphanumeric characters, so the password space is 2.8 trillion possible passwords. The possibility of randomly guessing a password is thus far less than one in one million. To exceed a one in 100,000 probability of a

successful random password guess in one minute, an attacker would have to be capable of 28 million password attempts per minute, which far exceeds the operational capabilities of the module to support.

When using RSA based authentication, RSA key pair has modulus size of 1024 bit to 2048 bit, thus providing between 80 bits and 112 bits of strength. Assuming the low end of that range, an attacker would have a 1 in 280 chance of randomly obtaining the key, which is much stronger than the one in a million chance required by FIPS 140-2. To exceed a one in 100,000 probability of a successful random key guess in one minute, an attacker would have to be capable of approximately 1.8×10^{21} attempts per minute, which far exceeds the operational capabilities of the modules to support.

When using preshared key based authentication, the security policy stipulates that all preshared keys must be 8 alphanumeric characters, so the key space is 2.8 trillion possible combinations. The possibility of randomly guessing this is thus far less than one in one million. To exceed a one in 100,000 probability of a successful random guess in one minute, an attacker would have to be capable of 28 million attempts per minute, which far exceeds the operational capabilities of the module to support.

2.4 Physical Security

The router is entirely encased by a metal, opaque case. The rear of the unit contains HWIC/WIC/VIC connectors, LAN connectors, a CF drive, power connector, console connector, auxiliary connector, USB port, and fast Ethernet connectors. The front of the unit contains the system status and activity LEDs. The top, side, and front portion of the chassis can be removed to allow access to the motherboard, memory, AIM slot, and expansion slots.

The Cisco 1841 and 2801 routers require that a special opacity shield be installed over the side air vents in order to operate in FIPS-approved mode. The shield decreases the surface area of the vent holes, reducing visibility within the cryptographic boundary to FIPS-approved specifications.

Install the opacity shields and tamper evident labels as specified in the pictures below:



Figure 6 Tamper evident labels attached on the opacity shield of Router 1841



Figure 7 Tamper evident labels attached on the opacity shield of Router 1841



Figure 8 Opacity shield attached on the side panel of router 2801



Figure 9 Tamper evident label attached on the opacity shield of Router 2801



Figure 10 Tamper evident label attached on the opacity shield of Router 2801

Once the router has been configured in to meet FIPS 140-2 Level 2 requirements, the router cannot be accessed without signs of tampering. To seal the system, apply serialized, tamper-evidence labels as follows:

For Cisco 1841 router:

1. Clean the cover of any grease, dirt, or oil before applying the tamper evidence labels. Alcohol-based cleaning pads are recommended for this purpose. The temperature of the router should be above 10°C.
2. The tamper evidence label should be placed over the CF card in the slot so that any attempt to remove the card will show sign of tampering.
3. The tamper evidence label should be placed so that the one half of the label covers the enclosure and the other half covers the port adapter slot.
4. The tamper evidence label should be placed so that the one half of the label covers the enclosure and the other half covers the rear panel.
5. Place tamper evident labels on the opacity shield as shown in Figures 6 and 7.
6. The labels completely cure within five minutes.

Figures 11 and 12 show the additional tamper evidence label placements for the Cisco 1841.



Figure 11 – Cisco 1841 Tamper Evident Label Placement (Back View)

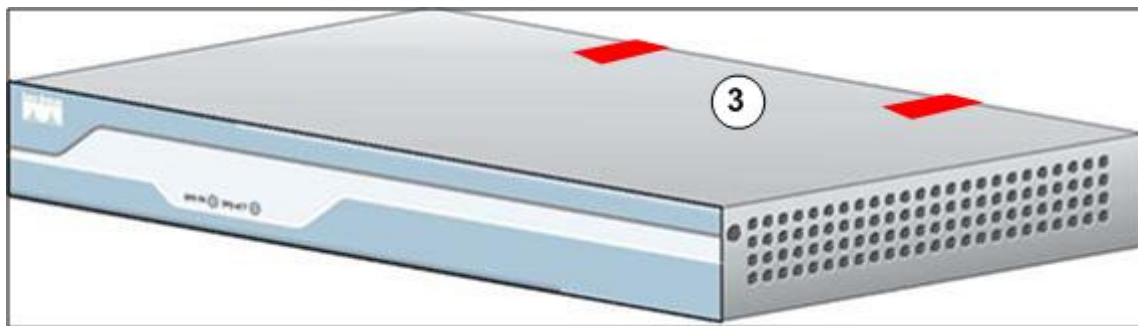


Figure 12 – Cisco 1841 Tamper Evident Label Placement (Front View)

For Cisco 2801 router:

1. Clean the cover of any grease, dirt, or oil before applying the tamper evidence labels. Alcohol-based cleaning pads are recommended for this purpose. The temperature of the router should be above 10°C.
2. The tamper evidence label should be placed so that one half of the label covers the front panel and the other half covers the enclosure.
3. The tamper evidence label should be placed over the CF card in the slot so that any attempt to remove the card will show sign of tampering.
4. The tamper evidence label should be placed so that the one half of the label covers the enclosure and the other half covers the port adapter slot.
5. Place tamper evident labels on the opacity shield as shown in Figures 9 and 10.
6. The labels completely cure within five minutes.

Figures 13 and 14 show the additional tamper evidence label placements for the 2801.

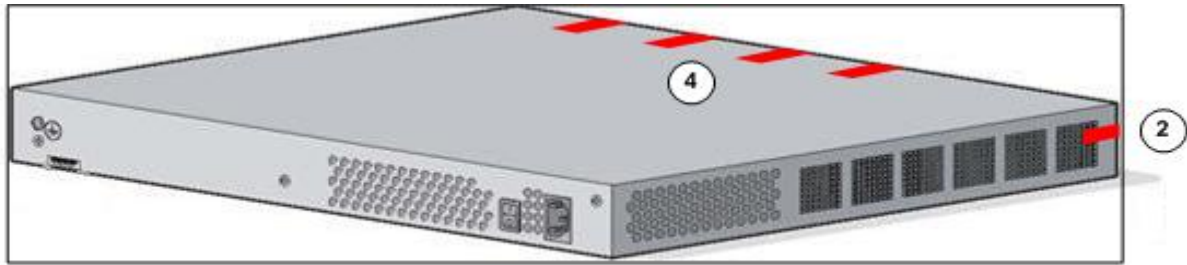


Figure 13 – Cisco 2801 Tamper Evident Label Placement (Back View)

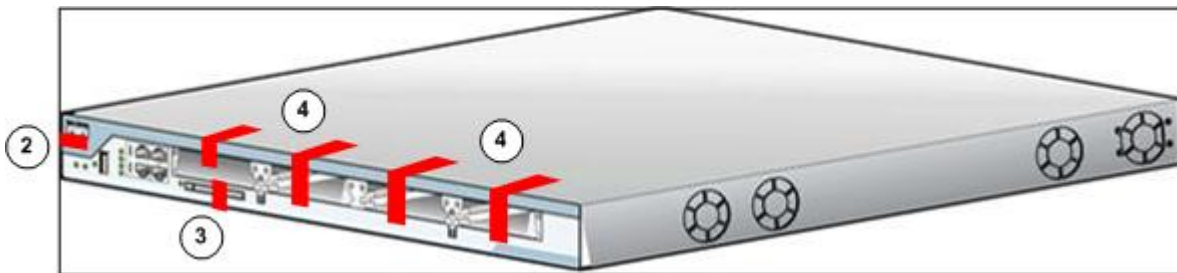


Figure 14 – Cisco 2801 Tamper Evident Label Placement (Front View)

The tamper evidence seals are produced from a special thin gauge vinyl with self-adhesive backing. Any attempt to open the router will damage the tamper evidence seals or the material of the module cover. Since the tamper evidence seals have non-repeated serial numbers, they can be inspected for damage and compared against the applied serial numbers to verify that the module has not been tampered. Tamper evidence seals can also be inspected for signs of tampering, which include the following: curled corners, bubbling, crinkling, rips, tears, and slices. The word “OPEN” will appear if the label was peeled back.

2.5 Cryptographic Key Management

The router securely administers both cryptographic keys and other critical security parameters such as passwords. The tamper evidence seals provide physical protection for all keys. All keys are also protected by the password-protection on the Crypto Officer role login, and can be zeroized by the Crypto Officer. All zeroization consists of overwriting the memory that stored the key. Keys are exchanged and entered electronically or via Internet Key Exchange (IKE) or SSL handshake protocols.

The routers support the following FIPS-2 approved algorithm implementations:

Algorithm	Algorithm Certificate Number	
Software (IOS) Implementations		
	IOS 12.4 (15) T3	IOS 12.4 (15) T10
AES	795	1199
Triple-DES	683	867
SHA-1, SHA-256, SHA-512	794	1104

HMAC-SHA-1	436	696
X9.31 RNG	456	663
RSA	379	576
Onboard FPGA Implementations		
AES		181
Triple-DES		283
SHA-1		267
HMAC-SHA-1		27

Cryptographic Key Management” to: “The router is in the approved mode of operation only when FIPS 140-2 approved algorithms are used (except DH, RSA key transport, and GDOI key wrapping which are allowed in the approved mode for key establishment despite being non-approved).

Note: The module supports DH key sizes of 1024 and 1536 bits, RSA key sizes of 1024, 1536 and 2048 bits, and AES keys sizes of 128, 192 and 256 bits. Therefore, the Diffie Hellmann Key agreement, key establishment methodology provides between 80-bits and 96-bits of encryption strength per NIST 800-57. RSA Key wrapping, key establishment methodology provides between 80-bits and 112-bits of encryption strength per NIST 800-57. GDOI Key wrapping, key establishment methodology provides between 128 bits and 256 bits of encryption strength per NIST 800-57.

The following are not FIPS 140-2 approved Algorithms: DES, RC4, MD5, HMAC-MD5, RSA key wrapping and DH; however again DH and RSA are allowed for use in key establishment.

The module supports the following types of key management schemes:

1. Pre-shared key exchange via electronic key entry. Triple-DES/AES key and HMAC-SHA-1 key are exchanged and entered electronically.
2. Internet Key Exchange method with support for pre-shared keys exchanged and entered electronically.
 - The pre-shared keys are used with Diffie-Hellman key agreement technique to derive Triple-DES or AES keys.
 - The pre-shared key is also used to derive HMAC-SHA-1 key.
3. RSA digital signatures based authentication is used for IKE, with Diffie-Hellman Key agreement technique to derive AES or Triple-DES keys.
4. RSA encrypted nonces based authentication is used for IKE, with Diffie-Hellman Key agreement technique to derive AES or Triple-DES keys.
5. RSA key transport is used to derive the Triple-DES or AES keys during SSLV3.1/TLS handshake.

The module supports commercially available Diffie-Hellman and RSA key transport for key establishment.

All pre-shared keys are associated with the CO role that created the keys, and the CO role is protected by a password. Therefore, the CO password is associated with all the pre-shared keys. The Crypto Officer needs to be authenticated to store keys. All Diffie-Hellman (DH) keys agreed

upon for individual tunnels are directly associated with that specific tunnel only via the IKE protocol. RSA Public keys are entered into the modules using digital certificates which contain relevant data such as the name of the public key's owner, which associates the key with the correct entity. All other keys are associated with the user/role that entered them.

Key Zeroization:

Each key can be zeroized by sending the “no” command prior to the key function commands. This will zeroize each key from the DRAM, the running configuration.

“Clear Crypto IPsec SA” will zeroize the IPsec Triple-DES/AES session keys (which is derived using the Diffie-Hellman key agreement technique) from the DRAM. This session key is only available in the DRAM; therefore this command will completely zeroize this key. The following command will zeroize the pre-shared keys from the DRAM:

- no set session-key inbound ah spi hex-key-data
- no set session-key outbound ah spi hex-key-data
- no set session-key inbound esp spi cipher hex-key-data [authenticator hex-key-data]
- no set session-key outbound esp spi cipher hex-key-data [authenticator hex-key-data]
- no crypto isakmp key

The DRAM running configuration must be copied to the start-up configuration in NVRAM in order to completely zeroize the keys.

The RSA keys are zeroized by issuing the CLI command “crypto key zeroize rsa”. All SSL/TLS session keys are zeroized automatically at the end of the SSL/TLS session.

The module supports the following keys and critical security parameters (CSPs).

Key/CSP Name	Algorithm	Description	Storage Location	Zeroization Method
RNG Seed	X9.31	This is the seed for X9.31 RNG. This CSP is stored in DRAM and updated periodically after the generation of 400 bytes – after this it is reseeded with router-derived entropy; hence, it is zeroized periodically. Also, the operator can turn off the router to zeroize this CSP.	DRAM	Automatically every 400 bytes, or turn off the router.
RNG Seed Key	X9.31	This is the seed key for the RNG.	DRAM	Turn off the router
Diffie Hellman private exponent	DH	The private exponent used in Diffie-Hellman (DH) exchange as part of IKE. Zeroized after DH shared secret has been generated.	DRAM	Automatically after shared secret generated.
Diffie Hellman public key	DH	The public key used in Diffie-Hellman (DH) exchange as part of IKE. Zeroized after the DH shared secret has been generated.	DRAM	Automatically after shared secret generated.

skeyid	Keyed SHA-1	Value derived from the shared secret within IKE exchange. Zeroized when IKE session is terminated.	DRAM	Automatically after IKE session terminated.
skeyid_d	Keyed SHA-1	The IKE key derivation key for non ISAKMP security associations.	DRAM	Automatically after IKE session terminated.
skeyid_a	HMAC-SHA-1	The ISAKMP security association authentication key.	DRAM	Automatically after IKE session terminated.
skeyid_e	TDES/AES	The ISAKMP security association encryption key.	DRAM	Automatically after IKE session terminated.
IKE session encrypt key	TDES/AES	The IKE session encrypt key.	DRAM	Automatically after IKE session terminated.
IKE session authentication key	HMAC-SHA-1	The IKE session authentication key.	DRAM	Automatically after IKE session terminated.
ISAKMP preshared	Shared secret	The key used to generate IKE skeyid during preshared-key authentication. "no crypto isakmp key" command zeroizes it. This key can have two forms based on whether the key is related to the hostname or the IP address.	NVRAM	"# no crypto isakmp key"
IKE hash key	HMAC-SHA-1	This key generates the IKE shared secret keys. This key is zeroized after generating those keys.	DRAM	Automatically after generating IKE shared secret keys.
IKE RSA Authentication private Key	RSA	RSA private key for IKE authentication. Generated or entered like any RSA key, set as IKE RSA Authentication Key with the "crypto keyring" or "ca trust-point" command.	NVRAM	"# crypto key zeroize rsa"
IKE RSA Authentication Public Key	RSA	RSA public key for IKE authentication. Generated or entered like any RSA key, set as IKE RSA Authentication Key with the "crypto keyring" or "ca trust-point" command.	NVRAM	"# crypto key zeroize rsa"
IKE RSA Encrypted Nonce Private Key	RSA	RSA private key for IKE encrypted nonces. Generated like any RSA, with the "usage-keys" parameter included.	NVRAM	"# crypto key zeroize rsa"
IKE RSA Encrypted Nonce Public Key	RSA	RSA public key for IKE encrypted nonces. Generated like any RSA, with the "usage-keys" parameter included.	NVRAM	"# crypto key zeroize rsa"
IPSec encryption key	TDES/AES	The IPSec encryption key. Zeroized when IPSec session is terminated.	DRAM	"# Clear Crypto IPSec SA"
IPSec authentication key	HMAC-SHA-1	The IPSec authentication key. The zeroization is the same as above.	DRAM	"# Clear Crypto IPSec SA"

Configuration encryption key	AES	The key used to encrypt values of the configuration file. This key is zeroized when the “no key config-key” is issued. Note that this command does not decrypt the configuration file, so zeroize with care.	NVRAM	“# no key config-key”
Router authentication key 1	Shared secret	This key is used by the router to authenticate itself to the peer. The router itself gets the password (that is used as this key) from the AAA server and sends it onto the peer. The password retrieved from the AAA server is zeroized upon completion of the authentication attempt.	DRAM	Automatically upon completion of authentication attempt.
PPP authentication key	RFC 1334	The authentication key used in PPP. This key is in the DRAM and not zeroized at runtime. One can turn off the router to zeroize this key because it is stored in DRAM.	DRAM	Turn off the router.
Router authentication key 2	Shared Secret	This key is used by the router to authenticate itself to the peer. The key is identical to Router authentication key 1 except that it is retrieved from the local database (on the router itself). Issuing the “no username password” zeroizes the password (that is used as this key) from the local database.	NVRAM	“# no username password”
SSH session key	Various symmetric	This is the SSH session key. It is zeroized when the SSH session is terminated.	DRAM	Automatically when SSH session terminated
User password	Shared Secret	The password of the User role. This password is zeroized by overwriting it with a new password.	NVRAM	Overwrite with new password
Enable password	Shared Secret	The plaintext password of the CO role. This password is zeroized by overwriting it with a new password.	NVRAM	Overwrite with new password
Enable secret	Shared Secret	The ciphertext password of the CO role. However, the algorithm used to encrypt this password is not FIPS approved. Therefore, this password is considered plaintext for FIPS purposes. This password is zeroized by overwriting it with a new password.	NVRAM	Overwrite with new password
RADIUS secret	Shared Secret	The RADIUS shared secret. This shared secret is zeroized by executing the “no radius-server key” command.	NVRAM	“# no radius-server key”
secret_1_0_0		The fixed key used in Cisco vendor ID generation. This key is embedded in the module binary image and can be deleted by erasing the Flash.	NVRAM	Deleted by erasing the Flash.
TACACS+ secret	Shared Secret	The TACACS+ shared secret. This shared secret is zeroized by executing the “no tacacs-server key” command.	NVRAM	“# no tacacs-server key”
TLS server private key	RSA	1024/1536/2048 bit RSA private key used for SSLV3.1/TLS.	NVRAM	“# crypto key zeroize rsa”
TLS server public key	RSA	1024/1536/2048 bit RSA public key used for SSLV3.1/TLS.	NVRAM	“# crypto key zeroize rsa”

TLS pre-master secret	Shared Secret	Shared Secret created using asymmetric cryptography from which new TLS session keys can be created	DRAM	Automatically when TLS session is terminated
TLS Encryption Key	AES/TDES	Key used to encrypt TLS session data	DRAM	Automatically when TLS session is terminated
TLS Integrity Key	HMAC-SHA-1	HMAC-SHA-1 used for TLS data integrity protection	DRAM	Automatically when TLS session is terminated
GDOI Key encryption Key (KEK)	AES (128, 192 and 256 bits)	This key is created using the "GROUPKEY-PULL" registration protocol with GDOI. It is used protect GDOI rekeying data."	DRAM (plaintext)	Automatically when session terminated.
GDOI Traffic Encryption Key (TEK)	TDES/AES	This key is created using the "GROUPKEY-PULL" registration protocol and updated using the "GROUPKEY-PUSH" registration protocol with GDOI. It is used to encrypt data traffic between Get VPN peers	DRAM (plaintext)	Automatically when session terminated.

Table 8 - Cryptographic Keys and CSPs

SRDI/Role/Service Access Policy (r = read, w = write, d = delete)	Roles/Service	User Role								Crypto Officer Role	Configure the Router	Define Rules and Filters	Status Functions	Manage the Router	Set Encryption/Bypass	Change WAN Interface Cards
	Status Functions	Network Functions	Terminal Functions	Directory Services	SSL-TLS/VPN	EASY VPN	Get VPN									
Security relevant item																
RNG Seed			r												d	r w d
RNG Seed Key			r												d	r w d
Diffie Hellman private exponent			r													r w d

Diffie Hellman public key				r																r	w	d
skeyid				r				r	w	d										r	w	d
skeyid_d				r				r	w	d										r	w	d
skeyid_a				r				r	w	d										r	w	d
skeyid_e				r				r	w	d										r	w	d
IKE session encrypt key				r				r	w	d										r	w	d
IKE session authentication key				r				r	w	d										r	w	d
ISAKMP preshared				r				r	w	d										r	w	d
IKE hash key				r				r	w	d										r	w	d
IKE RSA Authentication private Key				r				r	w	d				r	w					r	w	d
IKE RSA Authentication Public Key				r				r	w	d				r	w					r	w	d
IKE RSA Encrypted Nonce Private Key				r				r	w	d				r	w					r	w	d
IKE RSA Encrypted Nonce Public Key				r				r	w	d				r	w					r	w	d
IPSec encryption key				r				r	w	d										r	w	d
IPSec authentication key				r				r	w	d										r	w	d
Configuration encryption key													r	w	d				r	w	d	
Router authentication key 1				r																r	w	d
PPP authentication key				r																d	r	w

Router authentication key 2			r							r w d					
SSH session key			r										r w d		
User password			r									r w d			
Enable password												r w d			
Enable secret												r w d			
RADIUS secret												r w d			
secret_1_0_0										r w d					
TACACS+ secret													r w d		
TLS server private key			r				r w d			r w			r w d		
TLS server public key			r				r w d			r w			r w d		
TLS pre-master secret			r				r w d						r w d		
TLS Encryption Key			r				r w d						r w d		
TLS Integrity Key			r				r w d						r w d		
GDOI Key Encryption Key (KEK)								r w d							
GDOI Traffic Encryption Key (TEK)								r w d							

Table 9 – Role and Service Access to CSP

2.6 Self-Tests

In order to prevent any secure data from being released, it is important to test the cryptographic components of a security module to insure all components are functioning correctly. The router includes an array of self-tests that are run during startup and periodically during operations. All self-tests are implemented by the software. An example of self-tests run at power-up is a cryptographic known answer test (KAT) on each of the FIPS-approved cryptographic algorithms and on the Diffie-Hellman algorithm. Examples of tests performed at startup are a software integrity test using an EDC. Examples of tests run periodically or conditionally include: a bypass mode test performed conditionally prior to executing IPsec, and a continuous random number generator test. If any of the self-tests fail, the router transitions into an error state. In the error state, all secure data transmission is halted and the router outputs status information indicating the failure.

Examples of the errors that cause the system to transition to an error state:

- IOS image integrity checksum failed
- Microprocessor overheats and burns out
- Known answer test failed
- NVRAM module malfunction.
- Temperature high warning

2.6.1 Self-tests performed by the IOS image

- IOS Self Tests
 - POST tests
 - AES Known Answer Test
 - RSA Signature Known Answer Test (both signature/verification)
 - Software/firmware test
 - Power up bypass test
 - RNG Known Answer Test
 - Diffie Hellman test
 - HMAC-SHA-1 Known Answer Test
 - SHA-1/256/512 Known Answer Test
 - Triple-DES Known Answer Test
 - Conditional tests
 - Pairwise consistency test for RSA signature keys
 - Conditional bypass test
 - Continuous random number generation test for approved and non-approved RNG

2.6.2 Self-tests performed by Giove FPGA

- Giove FPGA Tests
 - POST tests
 - AES Known Answer Test
 - Triple-DES Known Answer Test

- SHA-1 Known Answer Test
- HMAC SHA-1 Known Answer Test

3 Secure Operation of the Cisco 1841 or 2801 router

The Cisco 1841 and 2801 routers meet all the Level 2 requirements for FIPS 140-2. Follow the setting instructions provided below to place the module in FIPS-approved mode. Operating this router without maintaining the following settings will remove the module from the FIPS approved mode of operation.

3.1 Initial Setup

1. The Crypto Officer must apply tamper evidence labels as described in Section 2.4 of this document.
2. The Crypto Officer must disable IOS Password Recovery by executing the following commands:

```
configure terminal
no service password-recovery
end
show version
```

NOTE: Once Password Recovery is disabled, administrative access to the module without the password will not be possible.

3.2 System Initialization and Configuration

1. The Crypto Officer must perform the initial configuration. IOS version 12.4 (15) T3 or 12.4 (15) T10, Advanced Security build (advsecurity) is the only allowable image; no other image should be loaded. Once this image has been installed, no updates to software or firmware are permitted in FIPS mode of operations.
2. The value of the boot field must be 0x0102. This setting disables break from the console to the ROM monitor and automatically boots the IOS image. From the “configure terminal” command line, the Crypto Officer enters the following syntax:

```
config-register 0x0102
```

3. The Crypto Officer must create the “enable” password for the Crypto Officer role. The password must be at least 8 characters (all digits; all lower and upper case letters; and all special characters except ‘?’ are accepted) and is entered when the Crypto Officer first engages the “enable” command. The Crypto Officer enters the following syntax at the “#” prompt:

```
enable secret [PASSWORD]
```

4. The Crypto Officer must always assign passwords (of at least 8 characters) to users. Identification and authentication on the console port is required for Users. From the “configure terminal” command line, the Crypto Officer enters the following syntax:

```
line con 0
```

```
password [PASSWORD]
login local
```

3.3 IPsec Requirements and Cryptographic Algorithms

1. The only type of key management protocol that is allowed in FIPS mode is Internet Key Exchange (IKE), although manual creation of security associations is also permitted.
2. Although the IOS implementation of IKE allows a number of algorithms, only the following algorithms are allowed in a FIPS 140-2 configuration:

```
ah-sha-hmac
esp-sha-hmac
esp-Triple-DES
esp-aes
```

2. The following algorithms are not FIPS approved and should not be used during FIPS-approved mode:

```
DES
MD-5 for signing
MD-5 HMAC
```

3.4 Protocols

1. SNMP v3 over a secure IPsec tunnel may be employed for authenticated, secure SNMP *gets* and *sets*. Since SNMP v2C uses community strings for authentication, only *gets* are allowed under SNMP v2C.

3.5 SSLV3.1/TLS Requirements and Cryptographic Algorithms

- When negotiating TLS cipher suites, only FIPS approved algorithms must be specified.
- All other versions of SSL except version 3.1 must not be used in FIPS mode of operation
- The following algorithms are not FIPS approved and should not be used in the FIPS-approved mode:

```
MD5
RC4
RC2
DES
```

3.5 Remote Access

1. Telnet access to the module is only allowed via a secure IPsec tunnel between the remote system and the module. The Crypto officer must configure the module so that any remote

connections via telnet are secured through IPSec, using FIPS-approved algorithms. Note that all users must still authenticate after remote access is granted.

2. SSH access to the module is only allowed if SSH is configured to use a FIPS-approved algorithm. The Crypto officer must configure the module so that SSH uses only FIPS-approved algorithms. Note that all users must still authenticate after remote access is granted.

Addendum 1:

**A1. The L3-GCS SPECIAL OPERATIONS FORCES (SOF) DEPLOYABLE
NODE-LITE VERSION 3 (SDN-L) V(3) Baseband Module (BBM)
Cryptographic Module Physical Characteristics**

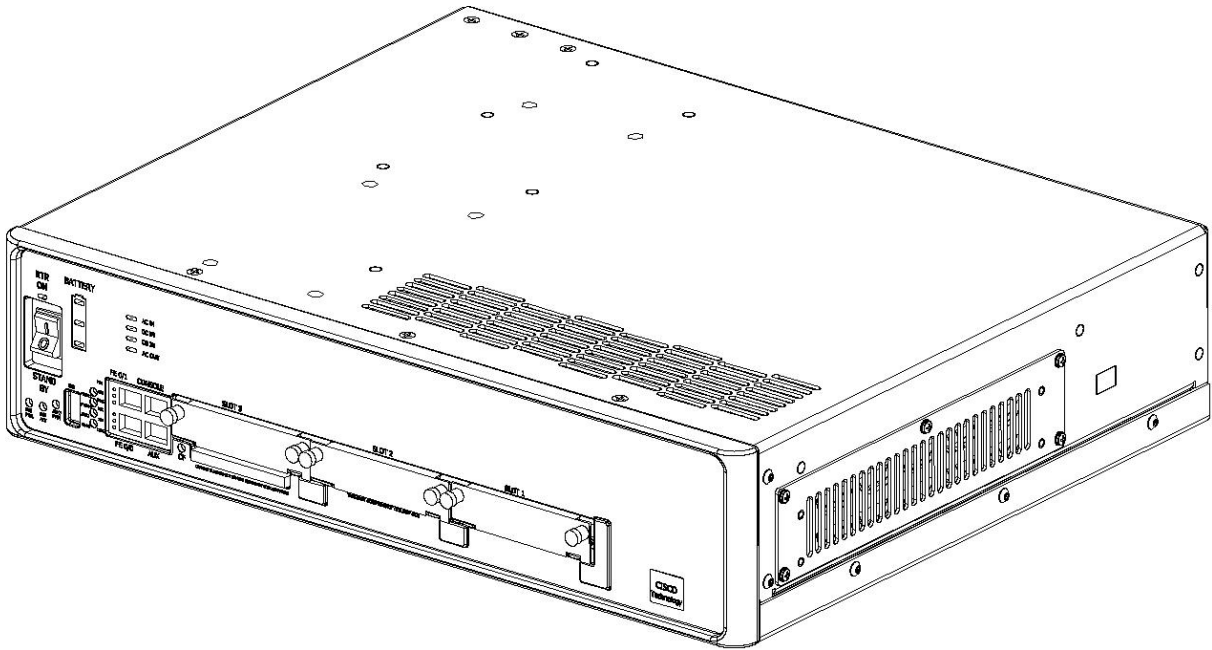


Figure A1 – The L3-GCS SDN-L BBM

The SDN-L BBM is a ruggedized variant of a Cisco 2801 router. The integration of the 2801 into the ruggedized chassis allows operators to use a variety of power inputs, including AC (96-264 VAC 50/60Hz auto-sensing), DC (10-32 VDC), and internal battery backup. The 2801 is a multiple-chip standalone cryptographic module. The router has a processing speed of 240MHz. Depending on configuration, either the internal Giove FPGA or the IOS software is used for cryptographic operations.

The cryptographic boundary of the module is the device's case, shown in Figure 3A1. All of the functionality discussed in the document is provided by components within this cryptographic boundary.

The interfaces for the router are located on the front and rear panel as shown in Figure 4A2 and Figure 5A3, respectively.

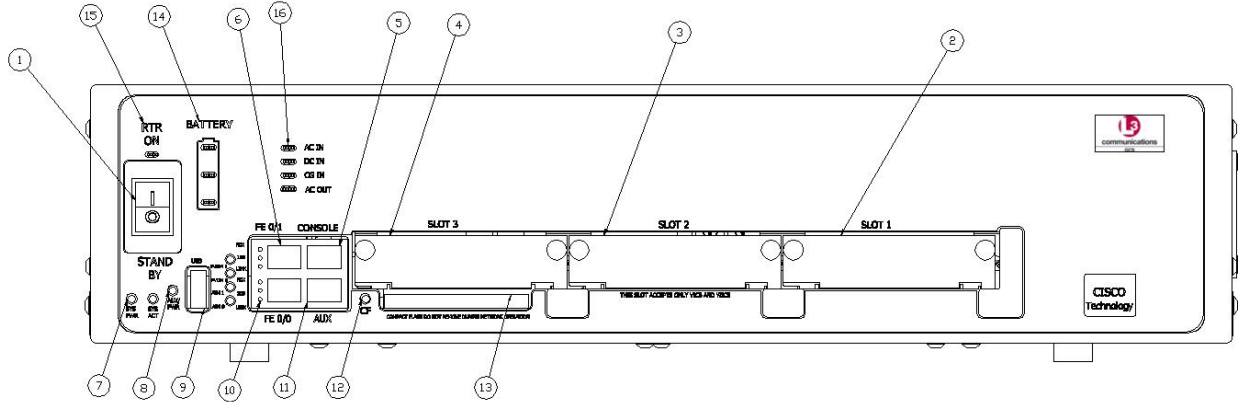


Figure A2– L-3 GCS SDN-L BBM Front Panel Physical Interfaces

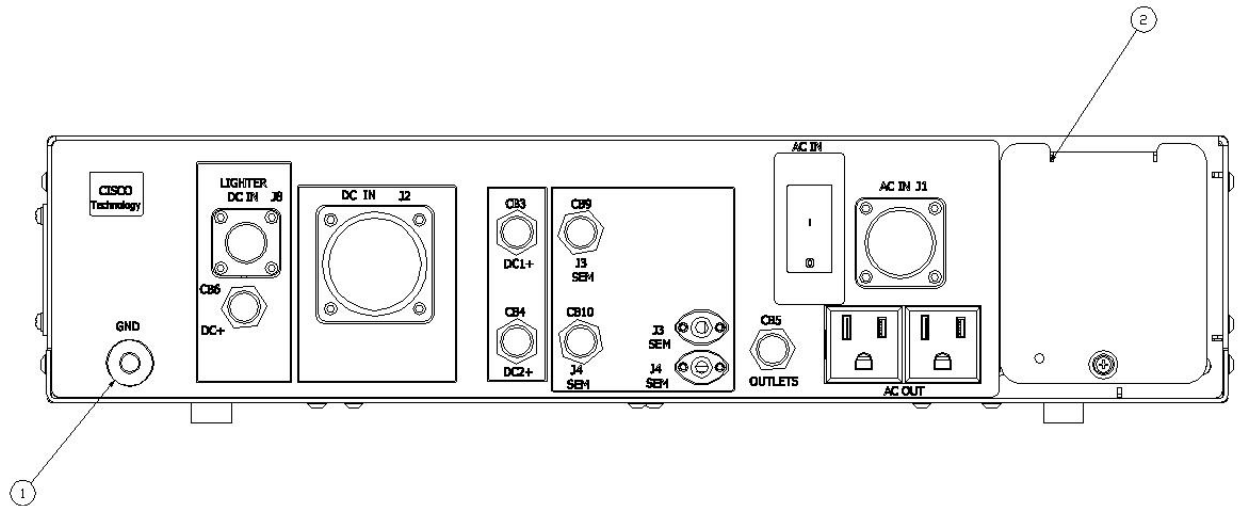


Figure A3 – L-3 GCS SDN-L BBM Rear Panel Physical Interfaces

The Cisco 2801 router features a console port, an auxiliary port, Universal Serial Bus (USB) port, two high-speed WAN interface card (HWIC) slots, Voice interface card (VIC) slot, WIC/VIC slot, two 10/100 Fast Ethernet RJ45 ports, and a Compact Flash (CF) drive. The Cisco 2801 router supports two internal advanced integration modules (AIMs)³, two internal packet voice data modules (PVDMs), and two fast Ethernet connections. Figure A2 and Figure A3 show the front and rear panels of the L-3 GCS BBM. The front panel consists of 22 LEDs: two duplex LEDs, two speed LEDs, two link LEDs, two PVDM LEDs, two AIM LEDs, system status LED (SYS OK), system activity (SYS ACT) LED, inline power LED, CF LED, three battery LEDs, RTR PWR LED, Power status LEDs, and on/off switch. The back panel has multiple power inputs and battery removal door.

³ However, an AIM module may not be installed in accordance with this security policy. There is a separate security policy covering the 1841 and 2801 routers with AIM module installed.

The front panel contains the following:

- (1) Power switch
- (2) HWIC/WIC/VIC slot 0
- (3) WIC/VIC slot
- (4) HWIC/WIC/VIC slot 1
- (5) Console port
- (6) FE ports
- (7) System status and activity LEDs
- (8) Inline power LED
- (9) USB port
- (10) FE LEDs
- (11) Auxiliary port
- (12) CF LED
- (13) CF drive
- (14) Battery status LEDs
- (15) RTR ON LED
- (16) Power status LEDs

The rear panel contains the following:

- (1) Ground stud
- (2) Battery door
- J1 AC IN
- J2 DC IN
- J3 SEM
- J4 SEM
- CB3 Circuit Breaker
- CB4 Circuit Breaker
- CB5 Circuit Breaker
- CB6 Circuit Breaker
- AC IN Circuit Breaker
- AC OUT receptacles

The following tables provide more detailed information conveyed by the LEDs on the front panel of the L-3 GCS BBM:

Name	State	Description
System OK	Solid Green	Router has successfully booted up and the software is functional.
	Blinking Green	Booting or in ROM monitor (ROMMON) mode.
Inline Power	Solid Green	Inline power supply is working properly.
	Amber	Inline power failure.
	Off	Inline power supply is not present.
System Activity	Solid Green	System is actively transferring packets.
	Blinking Green	System is servicing interrupts.
	Off	No interrupts or packet transfer occurring.
Compact Flash	Solid Green	Indicates that the flash is busy and should not be removed.
	Off	OK to remove flash card.
PVDM1	Solid Green	PVDM1 installed and initialized.
	Solid Orange	PVDM1 installed and initialized error.
	Off	PVDM1 not installed.
PVDM0	Solid Green	PVDM0 installed and initialized.
	Solid Orange	PVDM0 installed and initialized error.
	Off	PVDM0 not installed.
AIM1	Solid Green	AIM1 installed and initialized.
	Solid Orange	AIM1 installed and initialized error.
	Off	AIM1 not installed.
AIM0	Solid Green	AIM0 installed and initialized.
	Solid Orange	AIM0 installed and initialized error.
	Off	AIM0 not installed.
RTR ON	Blinking Green	Power subsystem is booting
	Solid Green	Power subsystem is operational
	Off	Router is not powered
AC IN	Blinking Green	AC power present and validating
	Solid Green	AC power is present and within range
	Off	No valid AC power present
DC IN	Blinking Green	DC power present and validating
	Solid Green	DC power is present and within range
	Off	No valid DC power present
CG IN	Blinking Green	CG power present and validating
	Solid Green	CG power is present and within range
	Off	No valid CG power present
AC OUT	Blinking Green	Inverter booting
	Solid Green	Inverter ready
	Off	No inverter power

Table A1 – L-3 GCS SDN-L BBM Front Panel Indicators

The following table describes the meaning of Ethernet LEDs on the front panel:

Name	State	Description
Duplex	Solid Green Off	Full-Duplex Half-Duplex
Speed	Solid Green Off	100 Mbps 10 Mbps
Link	Solid Green Off	Ethernet link is established No link established

Table A8 – Cisco 2801 Ethernet Indicators

The physical interfaces are separated into the logical interfaces from FIPS 140-2 as described in the following table:

SDN-L Baseband Module Physical Interface	FIPS 140-2 Logical Interface
10/100 Ethernet LAN Ports HWIC/WIC/VIC Ports Console Port Auxiliary Port USB Port	Data Input Interface
10/100 Ethernet LAN Ports HWIC/WIC/VIC Ports Console Port Auxiliary Port USB Port	Data Output Interface
10/100 Ethernet LAN Ports HWIC/WIC/VIC Ports Power Switch Console Port Auxiliary Port	Control Input Interface
10/100 Ethernet LAN Port LEDs AIM LEDs PVDM LEDs Inline Power LED System Activity LED System OK LED Compact Flash LED Console Port Auxiliary Port USB Port	Status Output Interface
J1 AC IN J2 DC IN J3 SEM J4 SEM AC OUT	Power Interfaces

Table A3 – L-3 GCS SDN-L BBM Physical Interface

A2. Placement of the Tamper Evidence Labels on the L3-GCS SPECIAL OPERATIONS FORCES (SOF) DEPLOYABLE NODE-LITE VERSION 3 (SDN-L) V(3) Baseband Module (BBM)

The SDN-L BBM is required to have tamper evidence labels applied by the user as specified in FIPS 140-2-IG 14.4. This section will show the placement and inspection requirements of the 18 total labels.

A2.1 Tamper Evident Labels

The SDN-L BBM will be supplied with enough Cisco FIPS Kits to ensure all 18 tamper evidence labels can be applied to each unit. It is the responsibility of the Crypto Officer to secure and control any unused tamper evidence labels. The tamper evidence labels shall be installed for the module to operate in a FIPS Approved mode of operation. In the event replacement Cisco FIPS Kits are required, they can be ordered from L3 GCS, using part number 87-00001. Section 2.4 Physical Security describes how to apply the tamper evidence label and Figures A4 – A9 of this section show placement location of the labels on the SDN-L BBM. The tamper evidence labels include non-repeated serial numbers. Upon initial application of the tamper evidence labels, detailed documentation of each BBM recording the label serial numbers and placement is required for subsequent inspection purposes. These inspections verify that the module has not been tampered.

A2.3 Inspection of the Tamper Evidence Labels

Since the tamper evidence labels must be installed to operate in a FIPS approved mode, it is required that the labels be periodically inspected. It is the responsibility of the operator in the Crypto Officer role to inspect the labels prior to, and after operational use. During operational use, it is the responsibility of the operator in the User role to inspect the labels. The table below lists the inspection schedule.

When	Who (Role)	Note
Initial Inspection and Documentation	Crypto Officer	Upon Receipt
Before operational use	Crypto Officer	
During operational use >*Daily	User	Low zone of control *As appropriate for zone of control
During operational use Weekly	User	High zone of control
After operational use	Crypto Officer	

Table A1. Tamper Evidence Label Inspection Schedule

The tamper evidence labels are made from a special thin gauge of vinyl, with self-adhesive backing. Any attempt to open the BBM will damage the tamper evidence labels or the module chassis, or both. Tamper evidence labels are to be inspected for signs of tampering, which include the following: curled corners, bubbling, crinkling, rips, tears, and slices. The word “OPEN” will appear if the label has been peeled back.

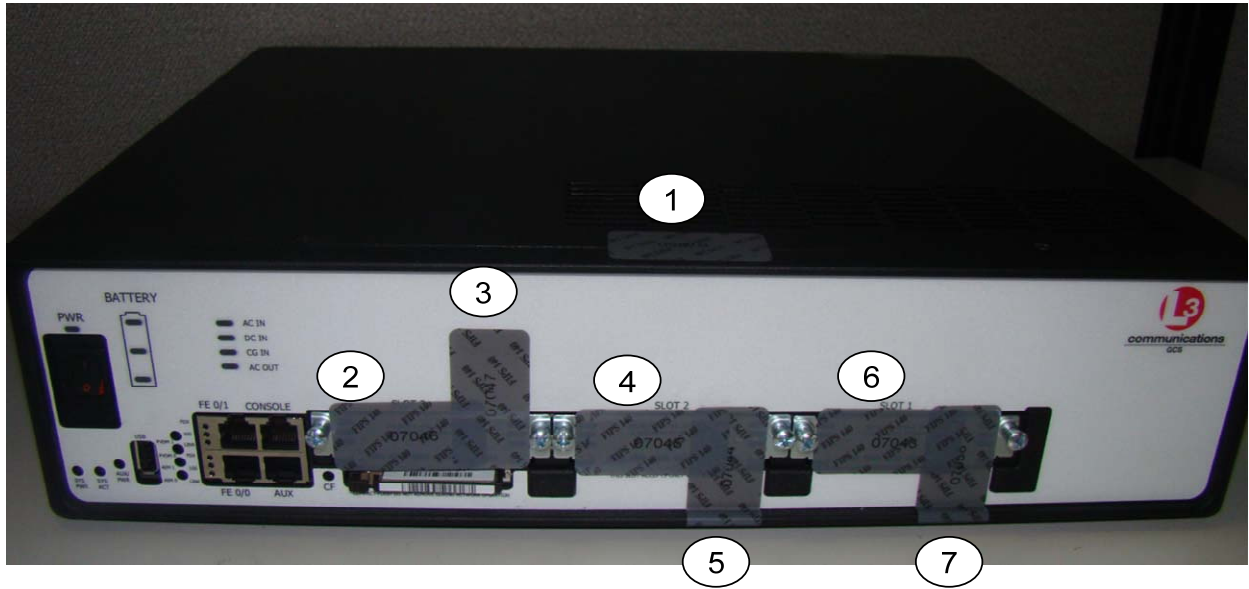


Figure A4. L3 SDN-L BBM Top and Front Panel.

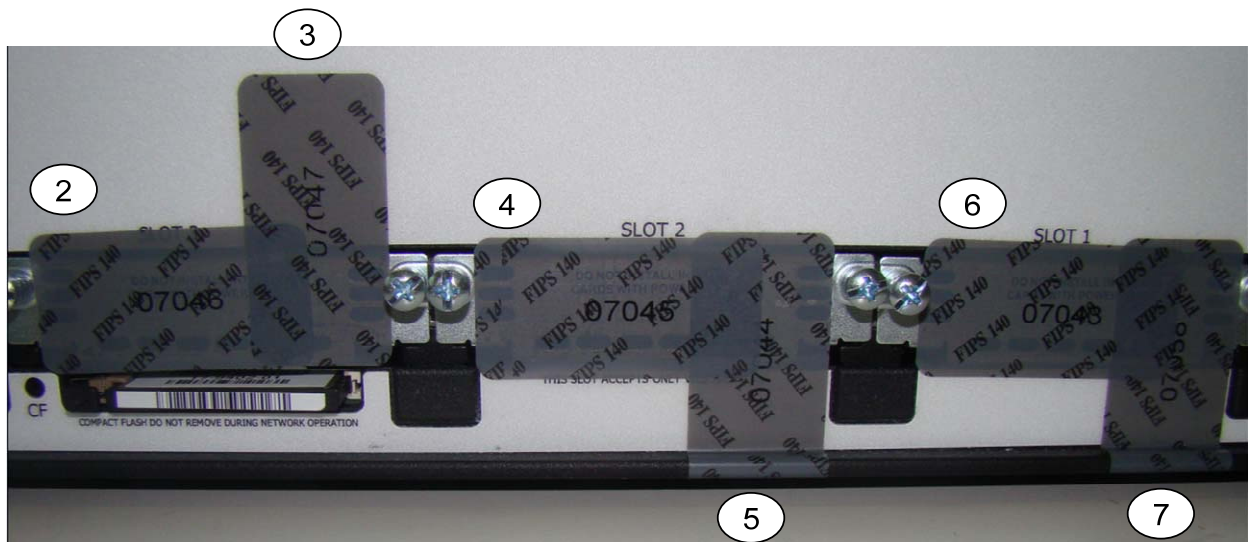


Figure A5. Closer view of the tamper evident labels on the L3 SDN-L BBM Front Panel



Figure A6. L3 SDN-L BBM Right Side

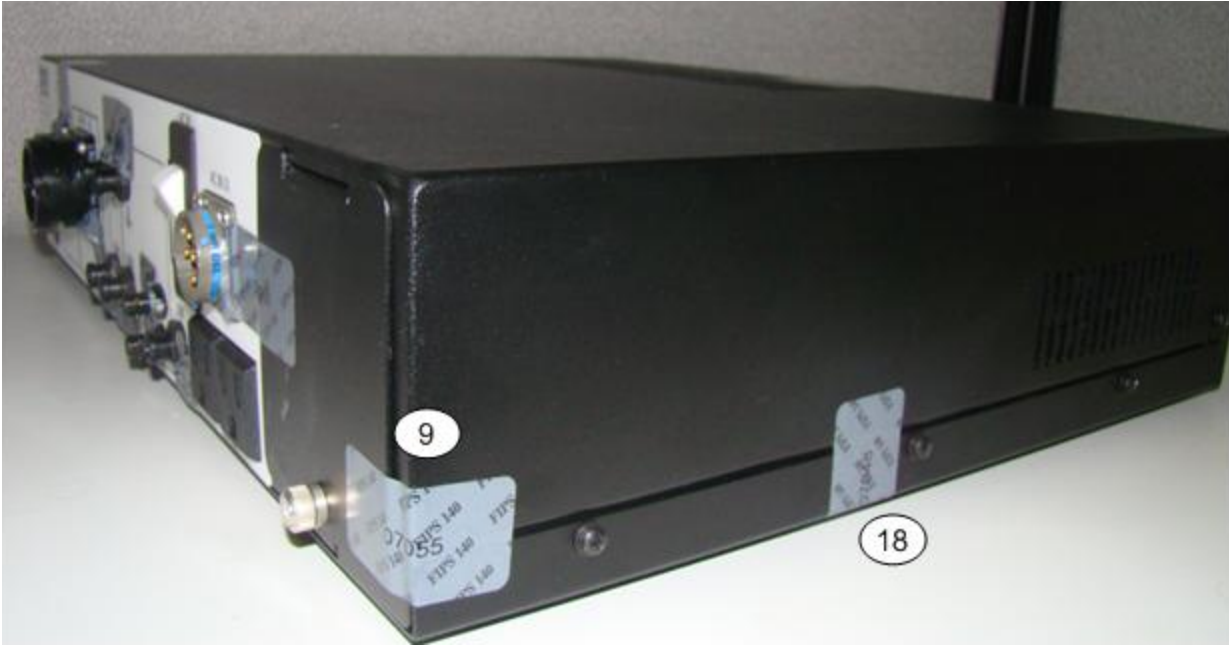


Figure A7. L3 SDN-L BBM Left Side

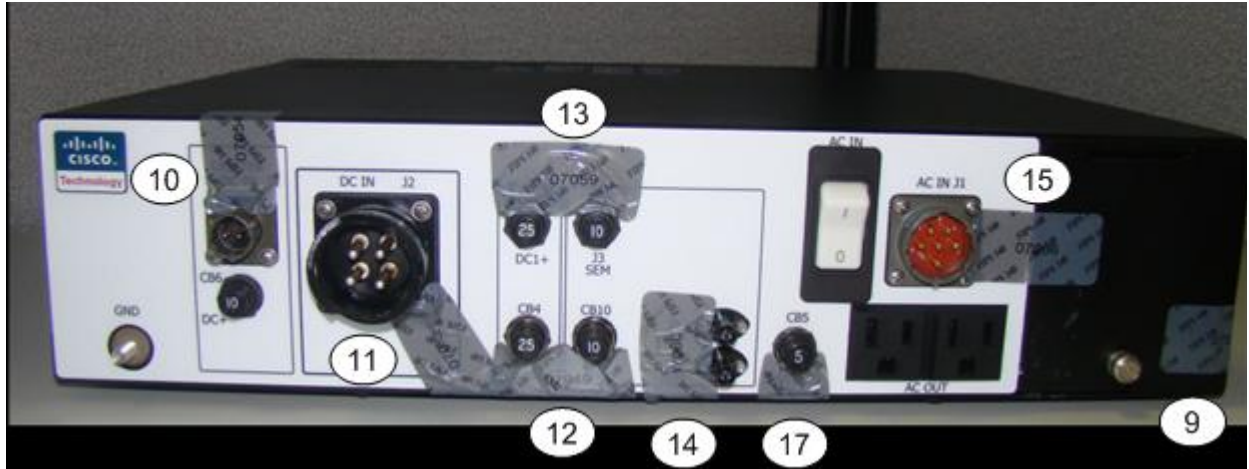


Figure A8. L3 SDN-L BBM Rear Panel

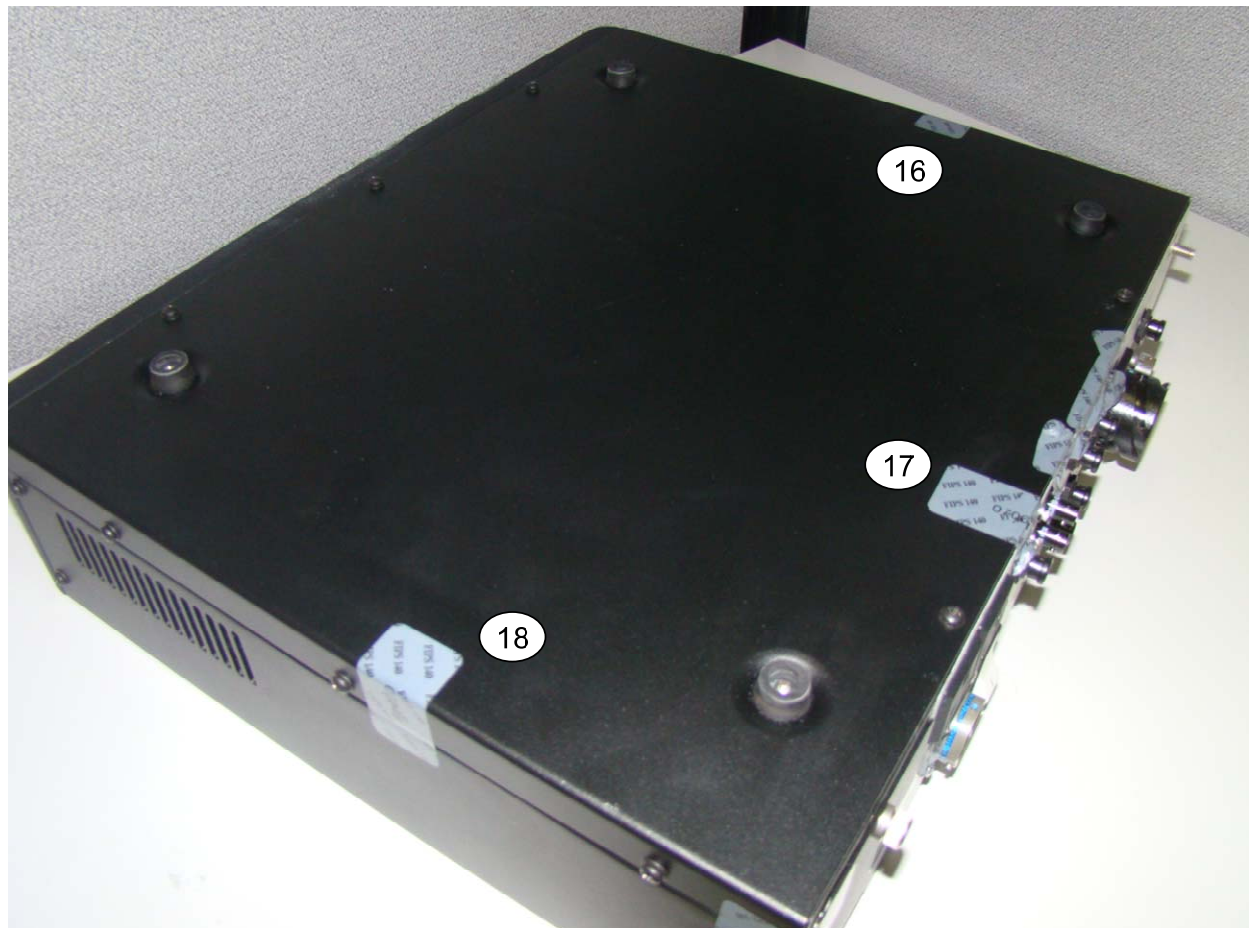


Figure A9. L3 SDN-L BBM Bottom Side

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