

Alaris™ PC Unit Model 8015

FIPS 140-2 Level 2

Security Policy

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Alaris Model 8015 FIPS
140-2 Level 2 Security
Policy

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Alaris™ Model 8015 FIPS 140-2 Level 2 Non-Proprietary Security Policy

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Change Record

<i>Revision</i>	<i>Date</i>	<i>Author</i>	<i>Description of Change</i>
00	28May2014	CareFusion	Based on Part Number 12280771. Updated PCU version numbers in section 2 to 9.7.40, 9.12.40 and 9.17. Updated cleaning agents web link in section 8.2.1.
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1. Introduction

1.1. References

[FIPS 140-2] FIPS Publication 140-2 *Security Requirements for Cryptographic Modules*

[FIPS 180-2] FIPS Publication 180-2 *Secure Hash Standard*

[FIPS 197] FIPS Publication 197 *Advanced Encryption Standard*

FIPS Official Web Site: <http://www.nist.gov/itl/fips.cfm>

1.2. Definitions and Acronyms

Advanced Encryption Standard (AES)	A cryptographic algorithm; a symmetric block cipher that can encrypt (encipher) and decrypt (decipher) information.
Alaris System Maintenance Software	A PC-based software tool that allows a hospital to perform routine maintenance, firmware upgrade, and data log downloads from the Alaris PC unit Model 8015 and attached expansion devices.
Critical security parameter (CSP)	From FIPS 140-2: security-related information (for example, secret and private cryptographic keys, and authentication data such as passwords and PINs) whose disclosure or modification can compromise the security of a cryptographic module.
Cryptographic boundary	From FIPS 140-2: an explicitly defined continuous perimeter that establishes the physical bounds of a cryptographic module and contains all the hardware, software, and/or firmware components of a cryptographic module.
Cryptographic module (CM)	From FIPS 140-2: the set of hardware, software, and/or firmware that implements approved security functions (including cryptographic algorithms and key generation) and is contained within the cryptographic boundary.
Cryptographic officer (CO)	From FIPS 140-2: an operator or process (subject), acting on behalf of the operator, performing cryptographic initialization or management functions.
Cryptographic user (CU)	From FIPS 140-2: an individual or process (subject) acting on behalf of the individual that accesses a cryptographic module in order to obtain cryptographic services.
FIPS	Federal Information Processing Standards
FIPS Approved	From FIPS 140-2: FIPS-Approved and/or NIST-recommended.
FIPS-Approved mode of operation	From FIPS 140-2: a mode of the cryptographic module that employs only approved security functions.
FIPS-Approved security function	From FIPS 140-2: a security function (e.g., cryptographic algorithm, cryptographic key management technique, or authentication technique) that is one of the following: <ul style="list-style-type: none">• Specified in an Approved standard• Adopted in an Approved standard and specified either in an appendix of the Approved standard or in a document referenced by the Approved standard• Specified in the list of Approved security functions
Inter-unit interface (IUI) port	Proprietary physical connector for data and power supply connection.
NIST	National Institute of Standards and Technology

PC unit	 <p>BD Alaris PC unit, Model 8015</p>  <p>Alaris PC unit, Model 8015</p>
Random Number Generator	<p>From FIPS 140-2: Random Number Generators (RNGs) used for cryptographic applications typically produce a sequence of zero and one bits that may be combined into sub-sequences or blocks of random numbers.</p> <p>There are two basic classes: deterministic and nondeterministic.</p> <ul style="list-style-type: none"> • Deterministic RNG (DRNG) consists of an algorithm that produces a sequence of bits from an initial value called a seed. • Nondeterministic RNG (NDRNG) produces output that is dependent on some unpredictable physical source that is outside human control.
Secure Hashing Algorithm (SHA)	<p>An algorithm for computing a one-way, condensed representation of electronic data with secure properties.</p>
Tamper evidence	<p>From FIPS 140-2: the external indication that an attempt has been made to compromise the physical security of a cryptographic module. The evidence of the tamper attempt should be observable by an operator subsequent to the attempt.</p>
Zeroization	<p>From FIPS 140-2: a method of erasing electronically stored data, cryptographic keys, and CSPs by altering or deleting the contents of the data storage to prevent recovery of the data.</p>

1.3. Cryptographic Module Overview

The CareFusion Alaris PC unit Model 8015 (hereafter referred to as the cryptographic module or CM) is the central point-of-care unit, which is the main component of the Alaris System. The Alaris™ System is a modular system intended for adult, pediatric, and neonatal care in a professional healthcare environment. The Alaris System brings a higher level of medication error prevention to the point of patient care. The CM is multi-chip standalone embodiment validated to FIPS 140-2 Level 2.

Figure 1-1 depicts the CM (outlined in red) in an operational context. The Alaris Systems™ Manager software controls communication and data transfer between the server and other systems and software resident on the network including the Alaris System, CareFusion Coordination Engine-Regulated (CCE-R), and Alaris Guardrails™ Continuous Quality Improvement (CQI) database. This browser-based software interface allows the hospital's Data Sets to be uploaded to the Alaris System while providing device data reporting on successful uploads and downloads of CQI log data. The Alaris Systems Manager also provides data communication support for the CCE-R by providing interface capability to other hospital systems. CCE-R can provide subscription services to a broad range of hospital applications, including Pharmacy, MAR, Clinical Information Systems, and other monitoring/patient tracking systems.

The CM cryptographic functions provide:

- Strong authentication of the Alaris Systems Manager by the CM
- AES encryption of traffic from the CM to the Alaris Systems Manager
- AES decryption of traffic from the Alaris Systems Manager to the CM

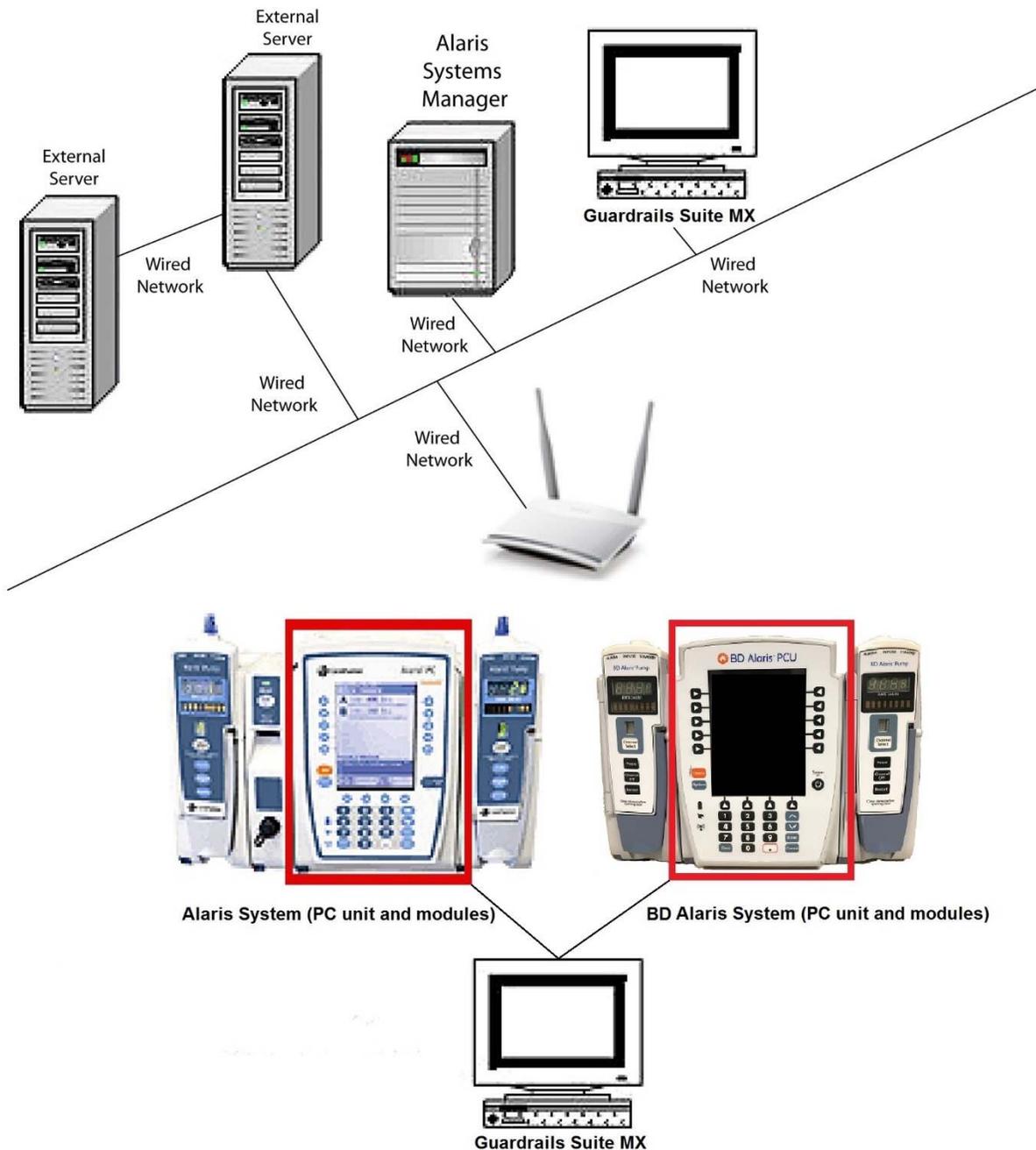


Figure 1-1: Operational Context for the Alaris PC unit Model 8015 and BD Alaris PC unit Model 8015.

The CM uses an off-the-shelf 802.11 device to communicate with system wireless access points. No FIPS 140-2 security claim is made for 802.11-related functionality.

Traffic is secured by AES encryption and decryption between the CM and the Alaris Systems Manager independent of the 802.11 protocol choices made on the intervening wireless access point.

Table 1-1 indicates the levels for each FIPS 140-2 area.

Table 1-1: FIPS 140-2 Security Levels.

Security Requirements Section	Level
Cryptographic Module Specification	3
Module Ports and Interfaces	2
Roles, Services and Authentication	2
Finite State Model	2
Physical Security	2
Operational Environment	N/A
Cryptographic Key Management	2
EMI/EMC	2
Self-Tests	2
Design Assurance	3
Mitigation of Other Attacks	N/A

The CM implements a limited modifiable operational environment. FIPS 140-2 Area 6 Operational Environment requirements are not applicable.

The CM operates as a radio, in the sense that it implements 802.11 communications. The CM does not implement the APCO OTAR rekeying protocol described in [FIPS 140-2].

The CM does not implement mitigation of other attacks outside the scope of [FIPS 140-2].

The following figure depicts the CM. The CM's cryptographic boundary is the external housing, excluding the IUI ports and battery pack.

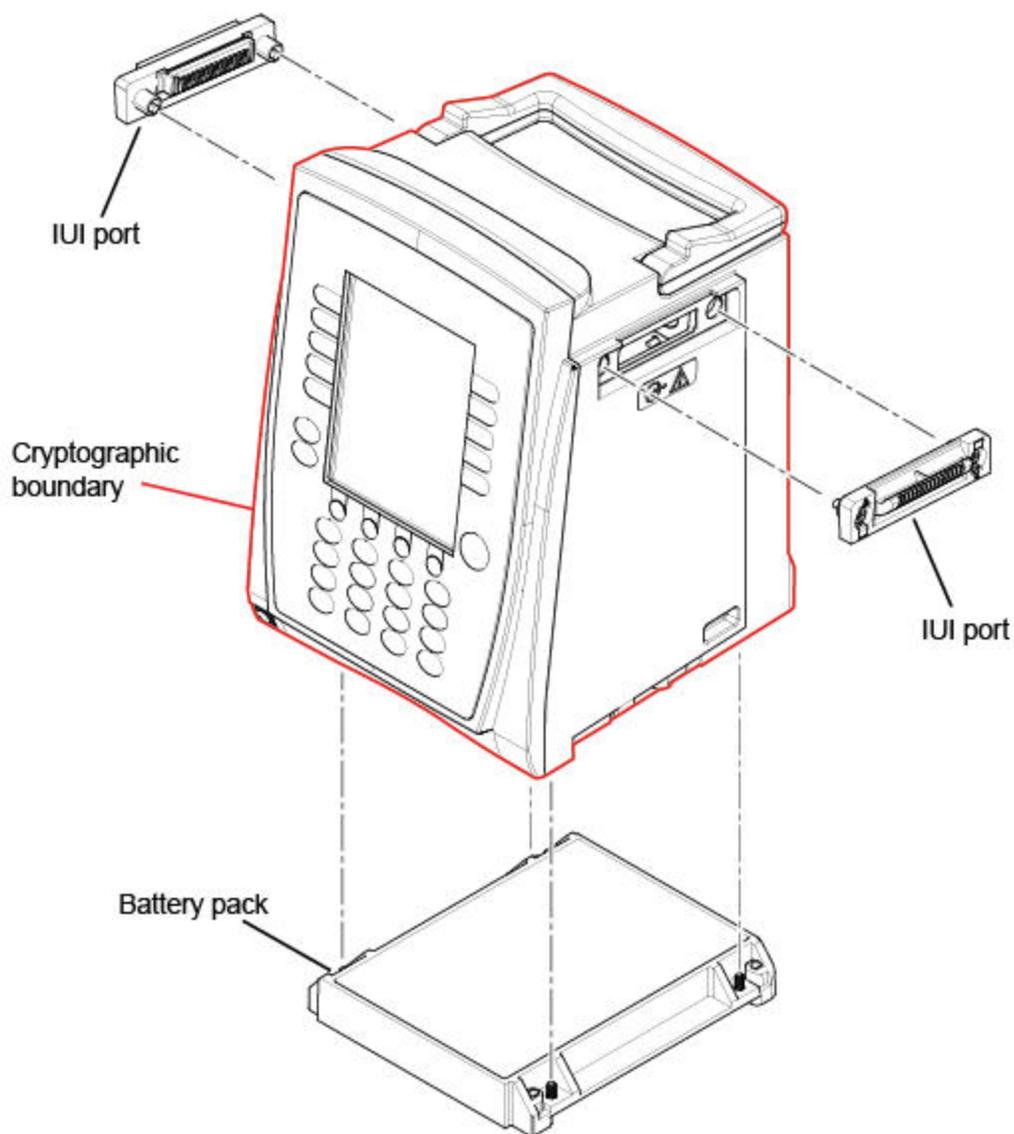


Figure 1-2: CM Front and Side View.

The following figure depicts a rear view of the CM.

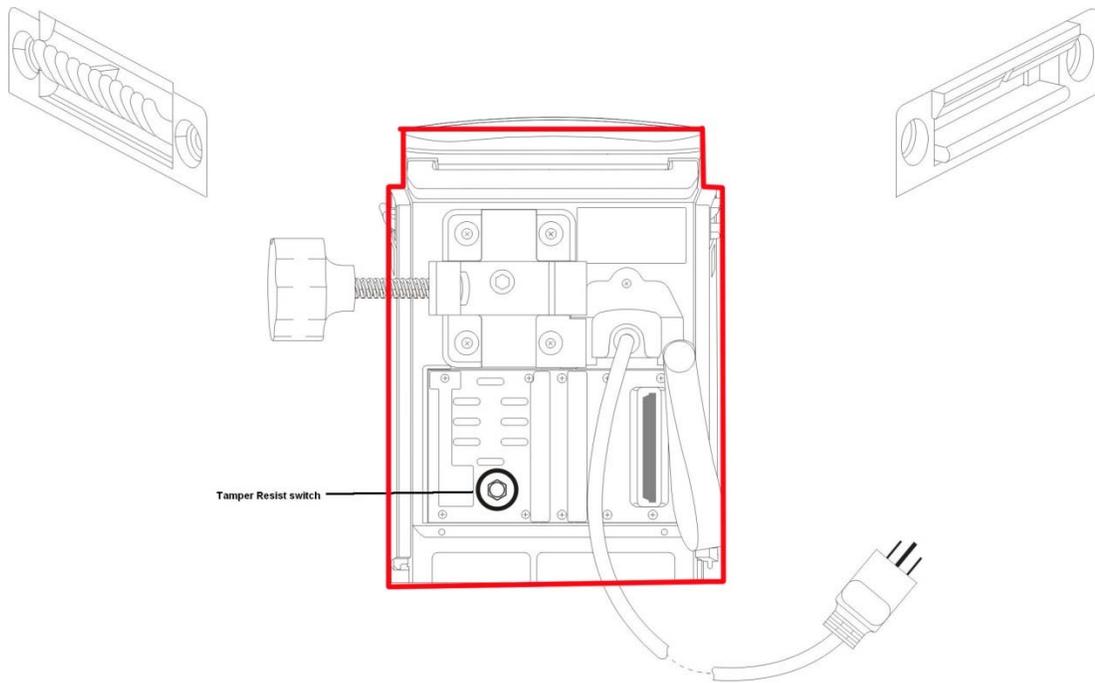


Figure 1-3: CM Rear View. (The rear panel may look slightly different depending on model.)

The Tamper Resist Switch is designed as a clinical feature and does not refer to FIPS 140-2 physical security requirements. This Tamper Resist Switch is used to inhibit a non-clinical person from making clinical changes to a programmed infusion. This switch is also used by biomedical engineers for software maintenance features unrelated to cryptographic functionality.

The CM's cryptographic boundary is indicated by the solid red line in the logical diagrams that follow. Figure 1-4 illustrates Model 8015 a/b/g. Figure 1-5 illustrates Model 8015 a/b/g/n and BD Alaris Model 8015 a/b/g/n.

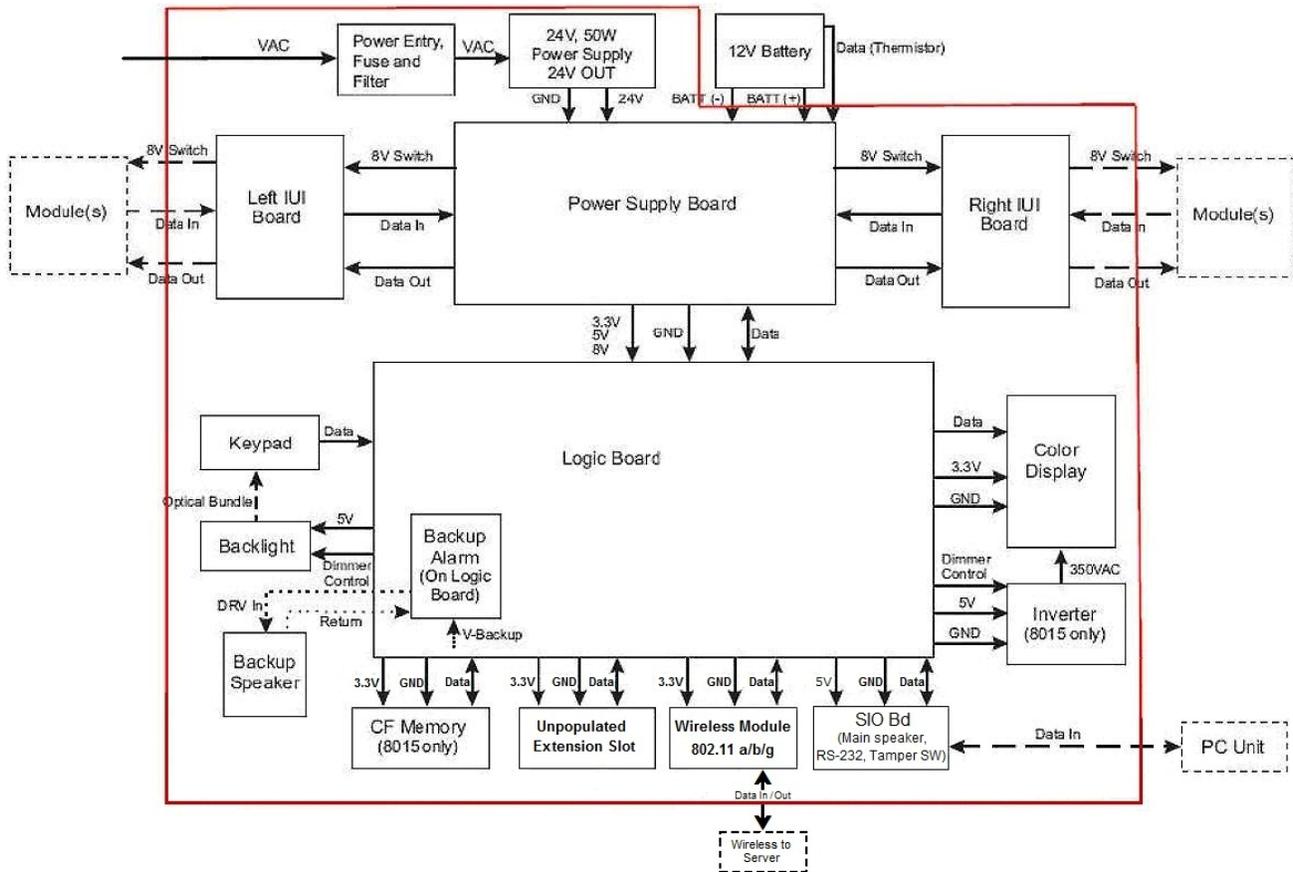


Figure 1-4: Block Diagram of CM Logic – Model 8015 a/b/g.

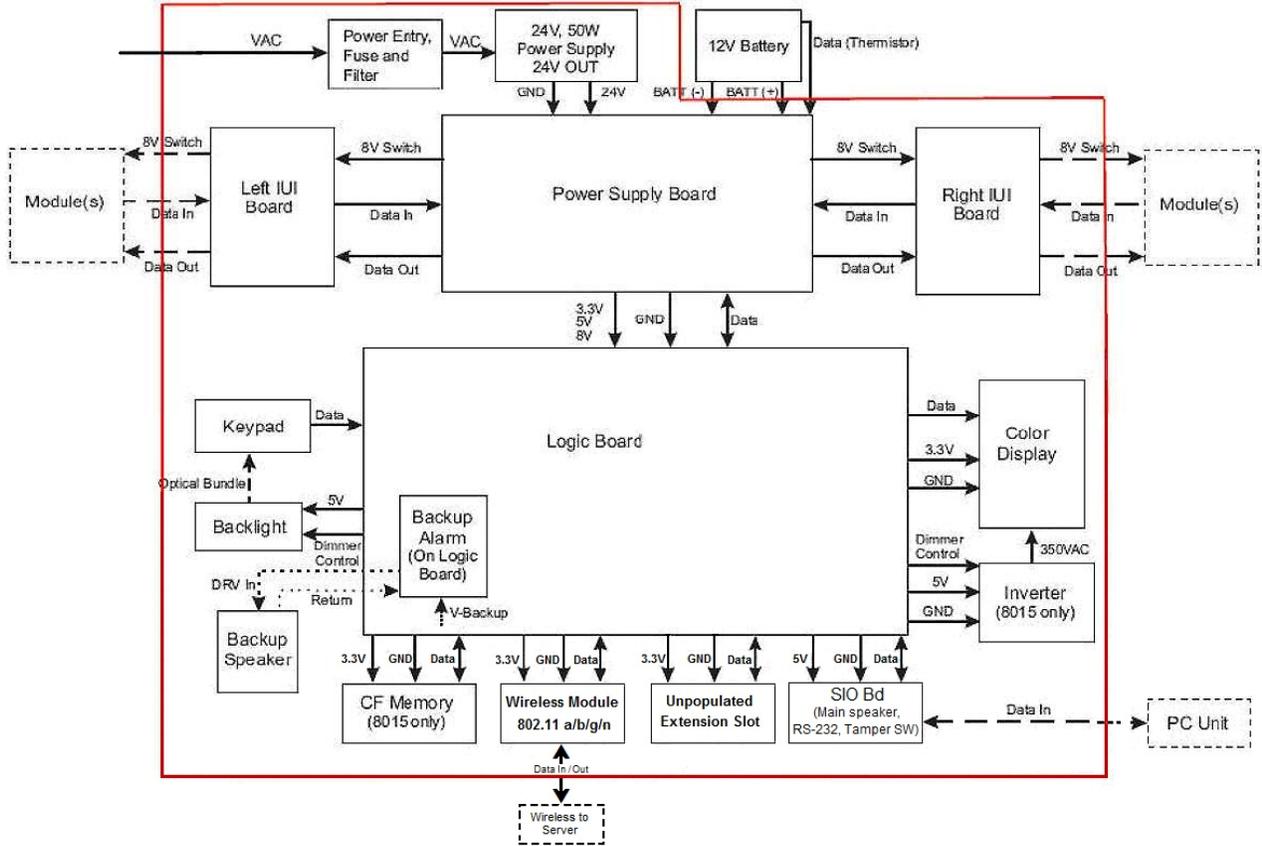


Figure 1-5: Block Diagram of CM Logic – Model 8015 a/b/g/n and BD Alaris Model 8015 a/b/g/n.

The CM comprises the components shown in the block diagrams above.

- Power components: Power entry, fuse and filter; 24V power supply; 12V battery; Power Supply board (power conversion and distribution)
- Communications: Wireless Module (802.11 a/b/g or 802.11 a/b/g/n); Left and right IUI boards (communication with add-on clinical modules)
- Keypad, switches and annunciators: keypad, backlight, backup speaker, color display and inverter (display power); Tamper Resist Switch (used to lock keyboard from accidental key presses)
- Multi-function I/O board: SIO board, with serial communications to Alaris System Maintenance (marked “PC Unit” in the diagram above)
- Processing and associated memory: Logic Board, with CPU, RAM and Flash memory; Compact Flash memory card

The battery pack and outer replaceable IUI connectors are excluded from the cryptographic boundary. The IUI subsystem and battery subsystem do not implement any cryptographic function; they do not provide any access to CSPs. The IUI connectors and battery pack are replaceable as part of routine maintenance; removal does not affect CM opacity or provide unprotected entry points for probing or other access.

2. Versions and Modes of Operation

The configuration of hardware and firmware for this validation is:

- Hardware: Model 8015 a/b/g, Model 8015 a/b/g/n, or BD Alaris Model 8015 a/b/g/n
- Firmware: Versions 9.7.40, 9.12.40, 9.17.1, 9.19, 9.19.1, 9.33, or 9.33.1

Model 8015 supports both 802.11 a/b/g and 802.11 a/b/g/n. BD Alaris Model 8015 supports 802.11 a/b/g/n.

The firmware version is displayed on the Software Versions page. To display the firmware version, from the main page, press the Options button, then the Page Down soft key once to display the page titled “Systems Option 2 of 3.” Select the Software Versions option and then press View on the following page. The page titled “Software Rev. Review” is displayed containing software versions of various components. The three most significant digits corresponding to “Main Processor” provide the firmware version number of CM.

2.1. FIPS Approved Mode of Operation

The CM only provides a FIPS Approved mode of operation, comprising all services described in the section Roles and Services.

The CM always performs all FIPS 140-2 required cryptographic self-tests at power up. The CM may perform Public Operator (unauthenticated) role services for the operation of the pump at any time following successful power on self-tests and configuration checks.

The CM becomes FIPS 140-2 module when the following conditions are met:

- The cryptographic self-tests performed at power on passed.
- The tamper-evident seals (physical security) have been applied.
- CSPs have been installed on the CM.

The operator can verify that the module is in FIPS mode on the display page titled “System Options 3 of 3.” To display FIPS mode, from the main page, press the Options button, then the Page Down soft key twice. The page titled “Systems Options 3 of 3” containing FIPS mode status is displayed. The CM displays “FIPS 140-2 Mode Enabled” only if FIPS mode is enabled. The FIPS 140-2 mode indicator will display “Disabled” otherwise.

FIPS 140-2 mode is set using the Alaris System Maintenance Enable FIPS Mode service. Once FIPS 140-2 mode is enabled, it cannot be set back to Disabled without a Maintenance mode operation.

2.2. Maintenance Mode of Operation

The CM uses a maintenance mode of operation when performing hardware maintenance activities. To be FIPS compliant, the firmware must be installed by using CareFusion cards on Alaris System Maintenance. The associated maintenance procedures require zeroization of CSPs if the passwords in the CM and maintenance software do not match, and any of the following tasks are performed:

- Clear Network Configuration
- Clear Network Configuration (Silent)
- Disable Wireless Network Configuration
- Network Connectivity
- Transfer Network Configuration
- Transfer Network Configuration (Silent).

New tamper seals should be applied as needed prior to returning CM to service after performing maintenance.

Maintenance activity may include firmware replacement. The module is a FIPS 140-2 module only when FIPS 140-2 verified firmware is present.

3. Ports and Interfaces

The CM implements the ports and interfaces shown in the following table.

Table 3-1: FIPS 140-2 Ports and Interfaces

Port	Name and Description	FIPS 140-2 Designation
LCD display	LCD display located on the front panel, used to provide visual feedback to public operator (unauthenticated user).	Status output
Alaris System Maintenance Serial port	Rear panel RJ-45 connector used for administrative control of the CM.	<ul style="list-style-type: none"> • Data input • Data output • Control input • Status output
IUI ports	The IUI Right and IUI Left ports on the sides of the CM provide control over pump expansion devices. These interfaces provide no cryptographic function nor access to CSPs.	<ul style="list-style-type: none"> • Data input • Data output • Control input • Status output • Power/ground
802.11 card antenna	Wireless communications interface, enabling communications with external wireless access point devices.	<ul style="list-style-type: none"> • Data input • Data output • Control input • Status output
Tamper resist switch	Rear panel button used to deter casual changes to infusion pump settings by disabling the front panel buttons. Tamper resist in this context is not related to cryptographic functions. No CSPs are affected by this switch.	Control input
Status LEDs	<ul style="list-style-type: none"> • Front panel status LEDs: AC power, battery, and communications. • Rear panel: 802.11 card LED for 802.11 status. These LEDs have no cryptographic relevance.	Status output
Speakers	Audio status output to indicate alarm status.	Status output
Keypad	Front panel keypad for local control of the CM.	Control input
Power port	AC power input.	Power/ground
Battery port	Battery pack connector.	Power/ground

The CM requires an external device (the Alaris System Maintenance) for module administrative configuration, including CSP entry. All CSP entry is performed via the Alaris System Maintenance Serial port. The Alaris System Maintenance software connects only to the serial port depicted in Figure 1-4. No other connection port is used by the Alaris System Maintenance software.

4. Cryptographic Functionality

The CM performs FIPS 140-2 Approved cryptography in two services:

- The authentication handshake between the CM and an Alaris Systems Manager, using SHA-256 and externally established shared secret.
- Encryption and decryption of communications taking place over the network connection between the CM and the Alaris Systems Manager.

4.1. Approved and Allowed Algorithms

The CM supports the following FIPS Approved algorithms.

Table 4-1: FIPS Approved Algorithms

FIPS Approved Algorithm	CAVP Cert. #
AES 128, 192, and 256-bit ECB encryption and decryption	1436
SHA-256	1301

The CM implements a non-FIPS approved but allowed third-party supplied DRNG to generate challenge values for CO authentication.

4.2. Non-FIPS Approved Algorithms

The CM includes an embedded off-the-shelf 802.11 wireless module. The 802.11 module implements uncertified cryptographic algorithms to satisfy wireless communications protocols in the deployment environment; no FIPS 140-2 security claims are made for 802.11 communications cryptography. The wireless module and the library used for the 802.11 communications contain the non-approved algorithm implementations listed below. None of these algorithms are used by the module for any other purpose.

Table 4-2: Non-FIPS Approved Algorithms

Non-FIPS Approved Algorithm
Uncertified cryptographic functions implemented by the 802.11 RF module: <ul style="list-style-type: none">• AES 128/192/256 (non-compliant)• RC4• MD5• SHA-1 (non-compliant)• SHA-256 (non-compliant)• RIPEMD• DES—ECB, CFB, CBC, & OFB modes• Triple DES—ECB, CFB, CBC & OFB modes (non-compliant)• RC2-CBC, RC2-ECB, RC2-CFB64, RC2-OFB64• Blowfish• CAST• RSA (non-compliant)• DSA (non-compliant)• DH

4.3. Critical Security Parameters (CSPs)

The CM implements the CSPs listed in the following table:

Table 4-3: Critical Security Parameters (CSPs)

CSP Name	Length and Type
ENC	AES 128-bit symmetric key used to encrypt and decrypt all traffic between the module and the Alaris Systems Manager when the CM is in FIPS mode.
CU-AUTH	Shared secret for CU authentication. A character string used in the CU authentication handshake with the Alaris Systems Manager hashed by SHA-256 along with a nonce. The module supports eight instances of this CSP. A six-character minimum string length is enforced by the CM.
CM-AUTH	A 6-20 character shared secret for optional authentication of CM to the Alaris Systems Manager.
CO-AUTH	User name and password strings used in the CO authentication when communicating with the Alaris System Maintenance over the Alaris System Maintenance serial port for use in CSP-related transactions. A six-character minimum string length for user name and for password is enforced by the CM.

All CSPs are entered in plain text over the serial port by the CO using the Alaris System Maintenance tool. The CSP entity association is the network configuration profile identifier; each network configuration profile includes host name and port. The ENC key, CO-AUTH, CM-AUTH, and CU-AUTH shared secret values are generated externally to the CM, then manually distributed, and entered into the CM electronically via the Alaris Systems Manager.

The CM does not display or otherwise provide any user feedback of CSPs. The CM does not implement any form of electronic or automated key establishment. The CM does not generate any keys or output any intermediate key values

The CM does not implement any public keys.

5. Roles and Services

5.1. Identification and Authentication

The CM supports four distinct operator roles as described in Table 5-1.

Table 5-1: Roles and Required Identification and Authentication

ID	Role Description	Authentication Type	Authentication Data
CO	Cryptographic Officer: an Alaris System Maintenance user authorized to load CSPs.	Role-based authentication. See <i>Alaris System Maintenance authentication in Table 5-2.</i>	CO-AUTH
CU	Cryptographic User: the role used for communications between the module and the Alaris Systems Manager.	Role-based authentication See <i>CU Authentication in Table 5-2.</i>	CU-AUTH
PO	Public Operator: an implicit role for unauthenticated services	Not authenticated	N/A
M	Maintenance Operator	Not authenticated	N/A

Two implicit roles are defined for the CM: Public Operator (PO) and Maintenance (M). The PO services are the clinical services accessible from the front panel operator, and the subset of services performed by an authenticated operator using the Alaris System Maintenance tool via the serial port. The M services are performed to perform physical maintenance of the CM.

The CM enforces the separation of roles; the CO and the CU roles require authentication and occur over different interfaces. The CO role is applicable to a subset of commands (see *Table 5-3*) invoked by the Alaris System Maintenance tool. Each invocation of an authenticated service requires authentication of the CO (the Alaris System Maintenance tool). For the CU role, a session is initiated when communication with an Alaris Systems Manager is established. The session is destroyed when communication with Alaris Systems Manager is terminated.

All authenticated sessions are terminated at the CM power-cycle. The CM does not retain authentication across a power-cycle.

A separate set of credentials is required for each role. The CM does not allow switching of authenticated roles, as these roles are available only using a specific interface of the CM.

The CM allows multiple concurrent operators; however, only one operator per type is allowed at a given instance. The Maintenance role is the role assumed to perform physical maintenance.

Each authentication mechanism implemented by the CM is listed in the following table, along with the FIPS 140-2 required strength of authentication rationale.

Table 5-2: Strengths of Authentication Mechanisms

Authentication Mechanism	Strength of Mechanism
<p>Alaris System Maintenance Authentication (authentication of CO via the Alaris System Maintenance Serial port)</p>	<p>CM implements a user name and password-based authentication mechanism to authenticate the CO. A valid password is a minimum of six characters in length and consists of a-zA-Z0-9_~@#% &()-{ } characters. These characters allow a minimum of $3.83E+57$ possible combinations.</p> <p>The probability that a random attempt will succeed or a false acceptance will occur is $1/3.83E+57$, or $2.61E-58$.</p> <p>Based on Alaris Systems Maintenance workflow, it is estimated that the number of maximum number of authentication attempts that can be made is less than 10/minute.</p> <p>The probability of successfully authenticating to the module within one minute is $2.61E-57$.</p>
<p>CU Authentication (authentication of a remote server via a wireless network)</p>	<p>CM implements a shared passphrase-based CHAP mechanism to authenticate the CU. A valid passphrase is a minimum of six characters in length and consists of a-zA-Z0-9_~@#% &()-{ } characters. These characters allow a minimum of $3.83E+57$ possible combinations.</p> <p>The probability that a random attempt will succeed or a false acceptance will occur is $2.61E-58$.</p> <p>Based on the communication protocol used, it is estimated that the maximum number of authentication attempts that can be made is less than 10/minute.</p> <p>The probability of successfully authenticating to the module within one minute is $2.61E-57$.</p>

5.2. Services and Service Usage of CSPs

Table 5-3 lists all services performed by the CM, describing authorized roles and CSPs used for each service. The CM does not implement any service that outputs CSPs.

In the listing below:

- “executes using” means the CSP value is used when performing the service.
- “update” means a new CSP value is entered into the CM.
- “zeroization” means the CSP is overwritten with null values.

Table 5-3: Services and Service Usage of CSPs

Service	Description	CO	CU	PO	M
Self-test	Perform power up self-tests; which are invoked by power cycling the module. Does not access any CSPs. KAT values are not CSPs.			X	
System Manager Authenticate	Performs the authentication of Systems Manager (CU) to the CM, and optionally, the CM to the Systems Manager. CU-AUTH is used for Systems Manager authentication to the CM; CM-AUTH is used for CM authentication to Systems Manager.		X		
Secure communication	Encryption and decryption of data between the module and the Alaris Systems Manager. Executes using ENC.		X		
Update Alaris System Maintenance login data	Change CO user name and password using the Alaris System Maintenance. Updates CO-AUTH.	X			
Server CSP Load	Update ENC and CU-AUTH using the Alaris System Maintenance as a key loader.	X			
Zeroize	Overwrite all CSPs with null values.	X		X	X
Local show status	Performed using the front panel interface within the network configuration function.			X	
Remote show status	Status/health test performed between the CM and the Alaris Systems Manager. Does not access any CSPs.		X		
Clinical device configuration and services	All clinical, non-cryptographic services provided by the CM. No CSPs are accessed by these services.			X	
Enable FIPS mode	Put CM into FIPS mode.			X	

Local show status and *Remote show status* information does not contain CSPs or sensitive data that if misused could lead to a compromise of the CM.

6. Physical Security Policy

6.1. Physical Security Mechanisms

The CM is a multichip standalone embodiment with an opaque covering and tamper-evident seals at all potential access points and seams.

See Appendix—FIPS 140-2 Physical Security Considerations and Tamper Evident Seal Installation for figures that show the placement of the physical tamper-evident seals. These seals are designed to provide tamper evidence and have been tested as a part of the FIPS 140-2 validation process.

6.2. Maintenance Access Interface

The CM is maintained by authorized clinical engineering staff, assuming the maintenance role and accessing the module via the maintenance access interface. CareFusion provides a detailed FIPS 140-2 maintenance procedure. The CM is in the maintenance state once the procedure is initiated and until the procedure is complete.

The maintenance access procedure requires zeroization of all CSPs, using the Zeroize service prior to removal of tamper-evident seals or enclosure covers. Tamper seals must be removed prior to removal of any enclosure cover. See Appendix—FIPS 140-2 Physical Security Considerations and Tamper Seal Installation.

The front and rear panels are removable for maintenance/replacement of the internal components. The device battery is also removable. Battery removal does not provide access to the inside of the device: the battery harness is protected by a tamper-evident seal.

The maintenance procedure requires new seals to be applied and CSP zeroization before the CM exits the maintenance state. Once the CM is no longer in the maintenance state, the CO must authenticate using the default CO authentication value, and then re-initialize all CSPs.

6.3. Operator Required Actions

The following table outlines the inspection and testing of the physical security mechanisms.

Table 6-1: Inspection/Testing of Physical Security Mechanisms

Physical Security Mechanisms	Recommended Frequency of Inspection/Test	Inspection/Test Guidance Details
Tamper-Evident Seals	Annual inspection of the device for tamper evidence. On initial deployment, devices are configured with tamper-evident seals and the device is placed into clinical use.	Processes for inspection of Physical Security can be found in the <i>FIPS 140-2 Compliance Instructions for the Alaris PC Unit, Alaris System Maintenance, and Alaris Systems Manager</i> . This process requires physical inspection of the tamper-evident seals to verify integrity and secure adhesion.

7. Self-Test

Power Up Cryptographic Algorithm Self-Tests and Software Integrity Test.

The operator can command the CM to perform the power-up self-test by cycling power. Power-up self-tests execute without operator action. The CM performs the following self-tests at power up:

- Verification of all CM firmware for integrity using CRC-32
- Verification of AES algorithm function using AES encrypt and decrypt known answer tests
- Verification of SHA-256 algorithm function using a SHA-256 known answer test

7.1 Conditional Cryptographic Tests

The DRNG is tested on each call for a “stuck-fault,” comparing the current DRNG output to the previous value to ensure that the previous value has not been repeated.

7.2 Critical Functions Tests

The CM performs the following tests at power-up.

- Memory test
- Audio-speaker test
- Power-supply test
- Keyboard test

In addition to the power-up tests, the CM performs continuous memory tests.

8. Appendix—FIPS 140-2 Physical Security Considerations and Tamper Seal Installation

This procedure provides the steps for applying the tamper-evident seals on the Alaris PC unit Model 8015 and the BD Alaris PC unit Model 8015.

Part Number	Description
11935165	FIPS Seal Kit (includes tamper-evident seals and orange stick)
11749252	Tamper-evident seals
10927242	Orange stick
N/A	Cotton tip applicator or soft cloth

The tamper-evident seals are provided in quantities of seven seals per sheet (Figure 8-1). When the tamper-evident seals are applied to the Alaris PC unit, they are valid until removed, damaged, or compromised. The shelf life of the tamper-evident seals is printed on the sheet. When applied before the expiration date on the sheet, the seals are valid for intended use.



Figure 8-1: Tamper-Evident Labels sheet.

NOTE:

After applying the tamper-evident seals, allow the seals to cure for 72 hours. Until the 72-hour curing process has occurred, the device is not compliant with recommended security practices. Hospital policy will determine if devices can be placed into clinical service during this curing time. CareFusion makes no claim as to the efficacy of tamper-evident seals that are not allowed to cure for 72 hours.

NOTE:

It is recommended that the tamper-evident seals be replaced if applied incorrectly or if the seals show signs of wear and tear.

Figures 8-2a and 8-2b below, show the location of four tamper evident seals on the rear panel for the Alaris PC unit Model 8015 (a/b/g), Alaris PC unit Model 8015 (a/b/g/n), and the BD Alaris Model 8015 (a/b/g/n). Note that the three models have different back covers and that the seals on the rear panel of the device may be located in slightly different locations depending on the model. Reference the figure with the back cover used on your model.



Figure 8-2a: Back cover view with seal locations: Alaris PC unit Model 8015 (a/b/g).

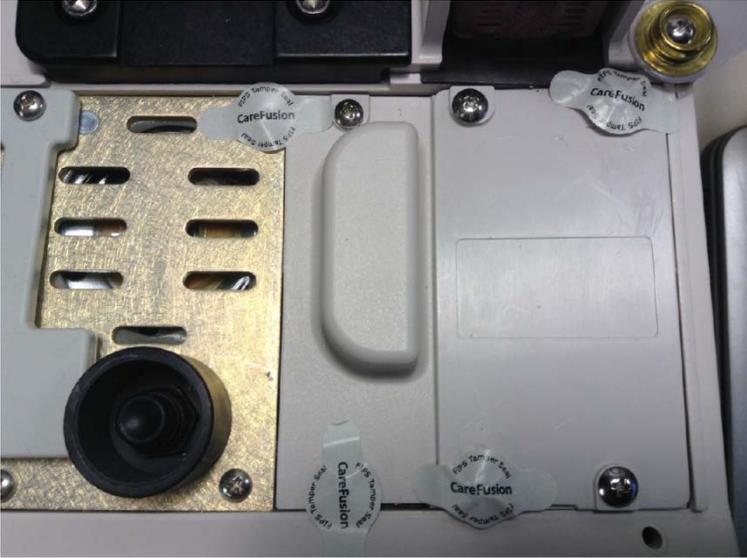


Figure 8-2b: Back cover view with seal locations: Alaris PC unit Model 8015 (a/b/g/n) and the BD Alaris Model 8015 (a/b/g/n).

Figure 8-2c shows the location of the tamper evident seal on the battery connector located on the bottom of the device:



Figure 8-2c: Location of seal on the bottom of the Alaris PC unit Model 8015 and the BD Alaris PC unit Model 8015.

Figure 8-2d and Figure 8-2e show the location of the tamper evident seals on the grooves of both sides of the device:

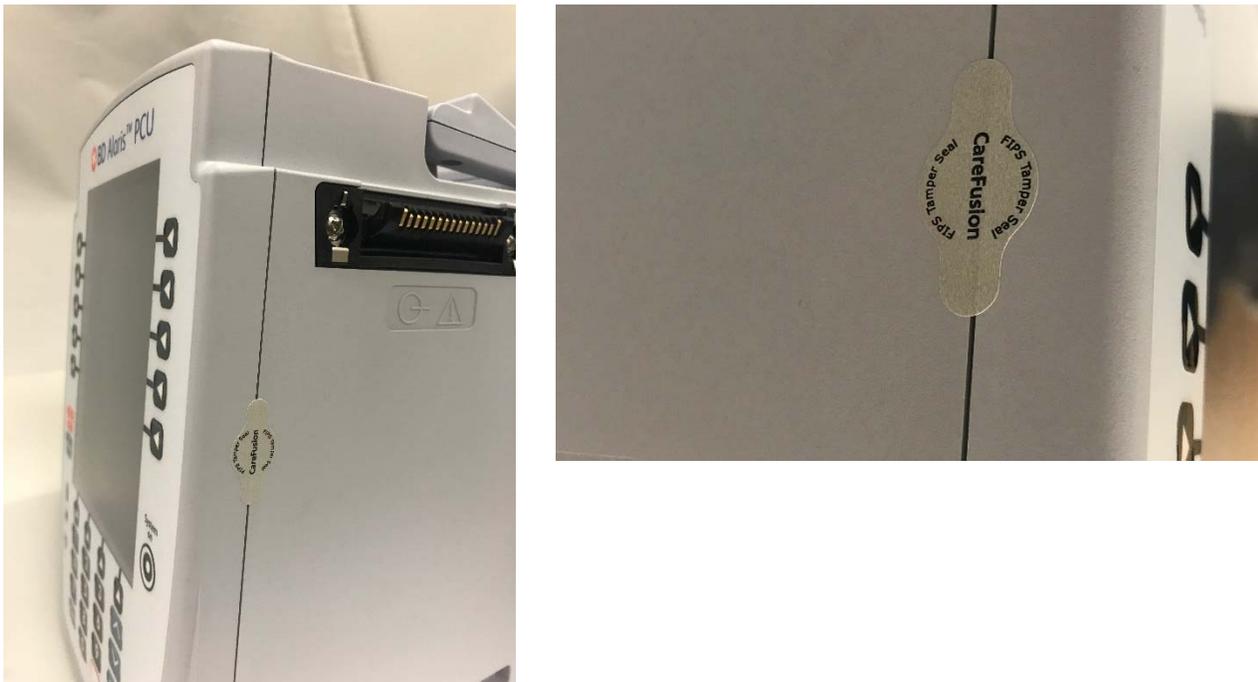


Figure 8-2d: BD Alaris PC unit Model 8015—Location of seals over the grooves in the sides of the device.



Figure 8-2e: Alaris PC unit Model 8015—Location of seals over the grooves in the sides of the device.

8.1 Applying Tamper-Evident Seals

NOTE:

Placing the device face down on a work surface can result in damage to the operation panel. Lay a cloth down before placing the device on its front panel.

1. Before applying the tamper-evident seals, clean the surfaces where the labels will be applied with 70% isopropyl alcohol and allow the surface to dry.
2. Peel a tamper-evident seal from the sheet of seals.
3. Carefully center the tamper-evident seal over the middle screw in the row under the black area (Figure 8-3).



Figure 8-3: Center the seal over middle screw in row beneath black area.

4. Ensure that the tamper-evident seal covers the entire head of the Phillips™ screw (Figure 8-4). An orange stick (P/N 10927242) can be used to conform the tamper-evident seal to the shape of the screw head.



Figure 8-4: Seal must cover entire head of screw.

Incorrect application below (Figure 8-5)—Notice how the tamper-evident seal is incorrectly placed offset from the screw head. The screw head should not be visible.



Figure 8-5: Incorrect seal application.

5. Carefully center and affix the tamper-evident seal over the viewing hole (the small circle where the cover is not opaque) on the wireless card in location 2, Model 8015 (a/b/g) (Figure 8-6a).

NOTE:

The rear cover for Model 8015 (a/b/g/n) does not have a viewing hole. For this device, attach the sticker to the upper right-hand screw as shown in Figure 8-6b.

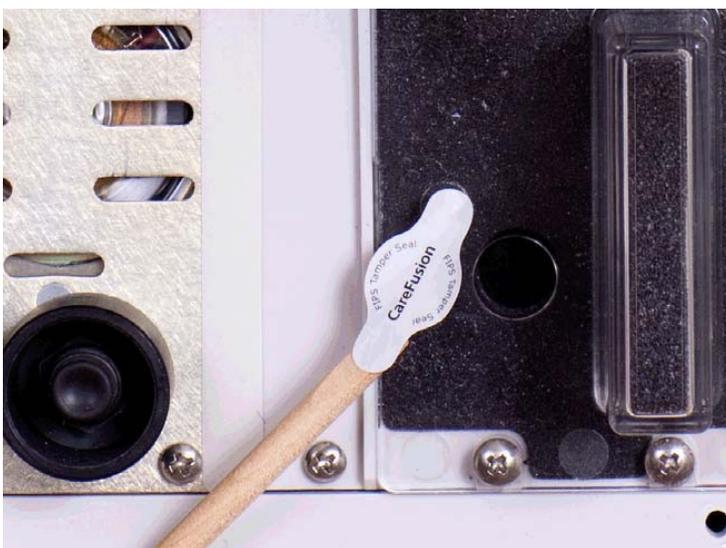


Figure 8-6a: Affix seal over hole in wireless area on Model 8015 (a/b/g) (Note: Rear panel on Model 8015 (a/b/g/n) does not have the hole, see Figure 8-6b).



Figure 8-6b: Model 8015 (a/b/g/n) rear panel: Affix seal over the screw in the upper right-hand corner of the rear cover.

6. Ensure that the tamper-evident seal covers the entire viewing hole or screw depending on the rear panel (Figure 8-7a or 8.7b).



Figure 8-7a: Ensure seal covers entire viewing hole.



Figure 8-7b: Ensure seal covers entire screw.

Figure 8-8a or 8.8b shows incorrect seal placement. Notice that the tamper-evident seal was incorrectly placed so that a portion of the viewing hole or screw is visible. The entire hole or screw must be covered so that no part of it is visible.

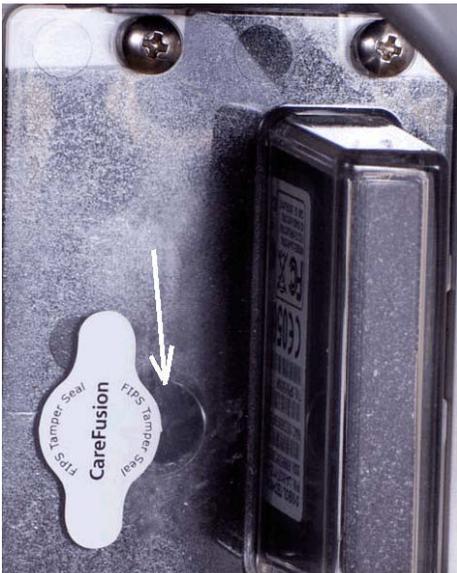


Figure 8-8a: Incorrect application.



Figure 8-8b: Incorrect application over screw.

7. Carefully center and affix the tamper-evident seal over the bottom screw as indicated by the arrow (Figure 8-9). This step applies to both rear panel types.



Figure 8-9: Affix seal over bottom screw.

8. Ensure that the tamper-evident seal covers the entire head of the Phillips screw (Figure 8-10).



Figure 8-10: Ensure seal covers entire head of screw.

Incorrect application below (Figure 8-11)—Notice that the tamper-evident seal was not placed over the entire Phillips screw. The entire screw head must be covered by the tamper-evident seal so that no portion of it is visible.



Figure 8-11: Incorrect application.

- Carefully center and affix the tamper-evident seal over the bottom screw of the wireless card cover (Figure 8-12) this step applies to both rear panel types.



Figure 8-12: Affix seal over bottom screw of wireless card cover.

Incorrect application below (Figure 8-13)—Notice that the tamper-evident seal was not placed over the entire Phillips screw. The screw head must be covered entirely so no part of it is visible.



Figure 8-13: Incorrect application.

10. On the underside of the device with the battery removed, carefully center and affix the tamper-evident seal over the battery connector screw (Figure 8-14).



Figure 8-14: Affix seal over battery connector screw.

11. Ensure that the tamper-evident seal covers the entire head of the Phillips screw (Figure 8-15).



Figure 8-15: Ensure seal covers entire head of screw.

Incorrect application below (Figure 8-16)—Notice that the tamper-evident seal was not placed over the entire Phillips screw. The screw head must be covered entirely so no part of it is visible.



Figure 8-16: Incorrect application.

- Carefully center and affix a tamper-evident seal on the each side of the device, on the groove between the rear and front cases (Figure 8-17a and Figure 8-17b). Apply one tamper-evident seal on the left side and one on the right side of the device.

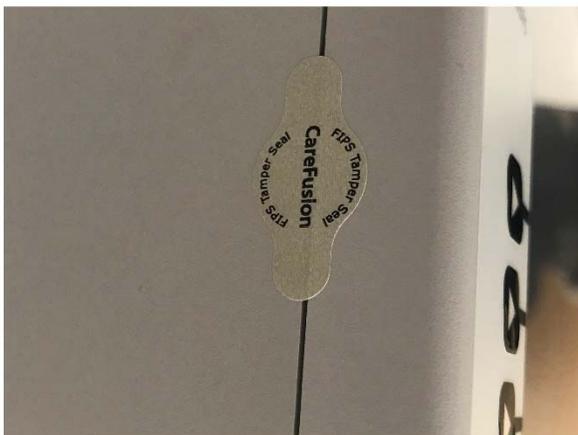


Figure 8-17a: BD Alaris PC unit Model 8015—Affix a seal on each side of the device.



Figure 8-17b: Alaris PC unit Model 8015—Affix a seal on each side of the device.

13. Ensure that the tamper-evident seal is centered over the groove where the two case halves meet (Figure 8-18a and Figure 8-18b).

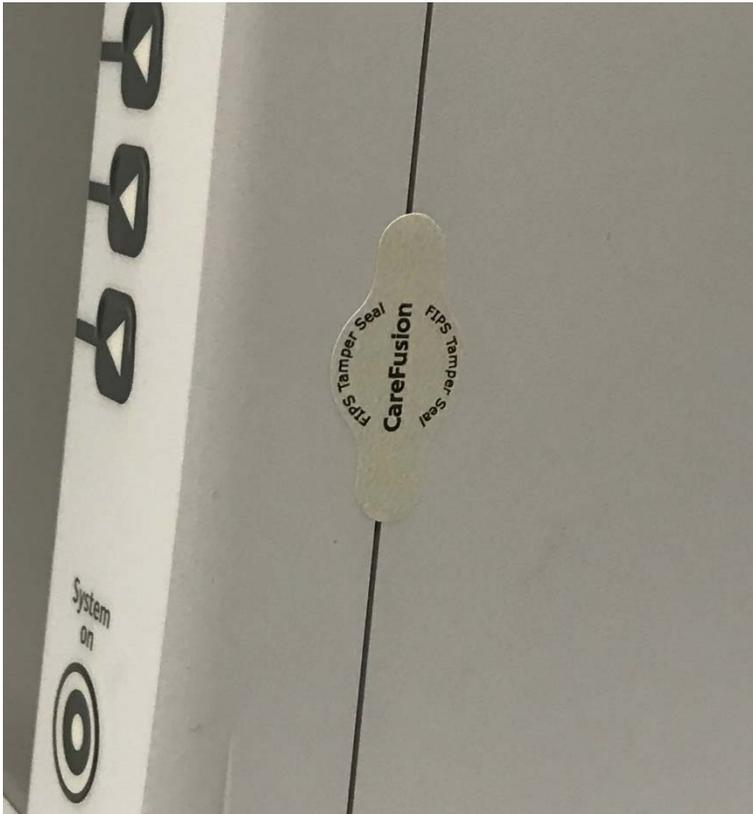


Figure 8-18a: BD Alaris PC unit Model 8015—Ensure seal is centered between grooves.



Figure 8-18b:Alaris PC unit Model 8015—Ensure seal is centered between grooves.

Incorrect application below (Figure 8-19a and Figure 8-19b)—In this image, the tamper-evident seal was not centered over the groove where the two case halves meet. Be sure to center the seal over the groove where the two case halves meet.



Figure 8-19a: BD Alaris PC unit Model 8015—Incorrect application on the device.



Figure 8-19b: Alaris PC unit Model 8015—Incorrect application.

8.2 Removing the Tamper-Evident Seals

NOTE:

CareFusion cannot guarantee the performance of the tamper-evident seals if new seals are placed on top of old seals or if residue or remains of previous seals are not properly removed before the application of new seals.

NOTE:

Do not use a tool that may scratch the surface of the device.

NOTE:

When the tamper-evident seals are applied to the device, it is up to your facility to decide when to replace the seals. They do not need to be replaced unless they show signs of wear and tear and/or tampering. Removal of the tamper-evident seals is also done whenever the device needs physical maintenance. The seals are destroyed when removed and cannot be reused.

Cleaning Products Recommended by CareFusion

CareFusion recommends the use of 70% isopropyl alcohol (IPA) on the Alaris PC unit to clean the residue left behind when the tamper-evident seals are removed.

For more cleaning agents, see the following link:

<http://www.carefusion.com/our-products/infusion/infusion-resource-library?keywords=cleaning>

To Remove the Tamper-Evident Seals

The following procedure explains how to remove the seals.

1. Remove each of the seals. For the tamper-evident seals that cover a screw head, begin by removing the screws first (Figure 8-21).

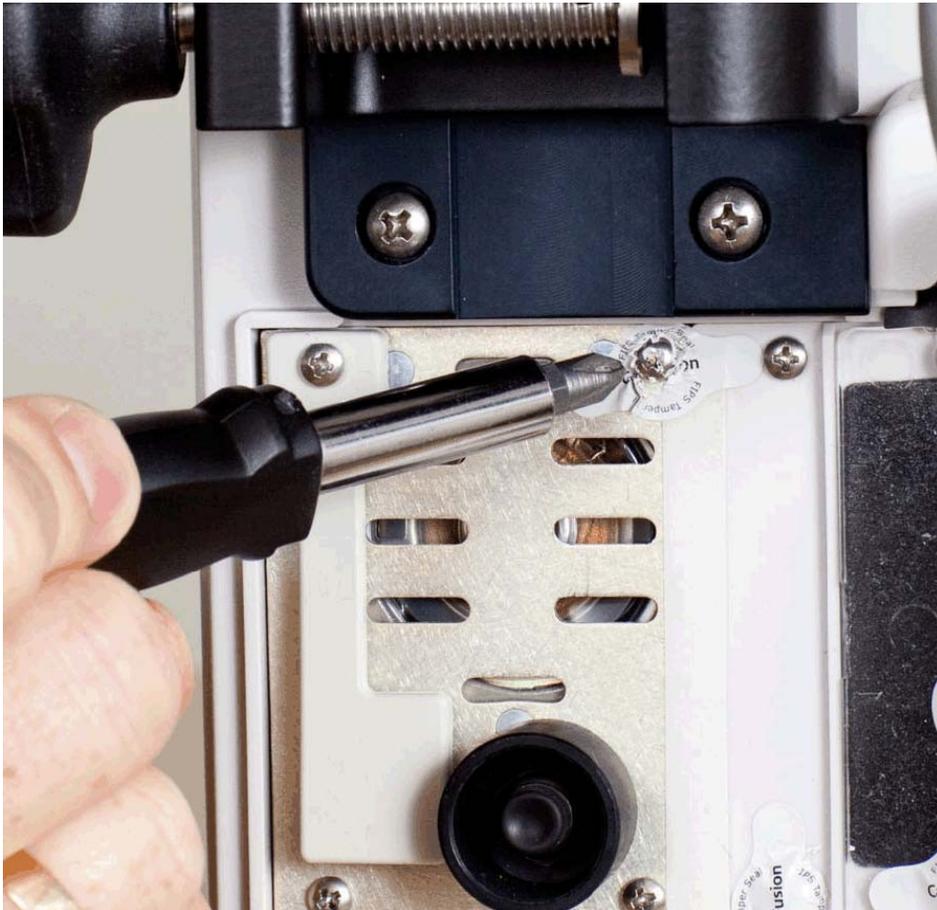


Figure 8-21: Remove seals.

2. Use the orange stick to remove the remaining debris from the back of the Alaris PC unit rear panel, leaving no portion of the tamper-evident seal present (Figure 8-22).



Figure 8-22: Use the orange stick to remove debris.

3. Remove the remaining debris from the head of the uninstalled screw with tweezers, a fingernail, or a soft cloth. Wipe clean with a soft cloth or a cotton tip applicator dampened with 70% IPA (Figure 8-23).



Figure 8-23: Removing remaining debris.

NOTE:

Prior to reinstalling the tamper evident seals, make sure that the screws on the rear panel of the Alaris PC unit are properly torqued to 6 in/lbs. according to the *Alaris Technical Service Manual*.

4. Remove the screw that holds the battery in place, and remove the tamper-evident seal from the battery connector (Figure 8-24). Remove remaining adhesive residue with a soft cloth. Wipe clean with a soft cloth or a cotton tip applicator dampened with 70% IPA.



Figure 8-24: Remove seal over battery connector screw.

5. Scrape off any of the remaining seals that are on the flat surfaces using the orange stick, leaving no portion of the tamper-evident seal present (Figure 8-25a and Figure 8-25b).



Figure 8-25a: BD Alaris PC unit—Leave no portion of seal remaining on the device.



Figure 8-25b: Alaris PC unit—Leave no portion of seal remaining.

6. Clean adhesive residue from the areas where the seals were removed with a soft cloth. Wipe clean with a soft cloth or a cotton tip applicator dampened with 70% IPA (Figure 8-26a and Figure 8-26b).



Figure 8-26a: BD Alaris PC unit—Clean residue with a soft cloth on the device.



Figure 8-26b: Alaris PC unit—Clean residue with a soft cloth.

NOTE:

This concludes the tamper-evident seal removal process. Any remaining alcohol should be allowed to dry before new tamper-evident seals are applied.

8.3 Signs of Tampered Seals

The Alaris PC unit should be inspected on a regularly scheduled basis determined by the security officer of your hospital. If an Alaris PC unit has been verified as tampered with, the hospital security officer should be alerted to the situation. Because hospital network configurations may vary, this breach of security should be evaluated by the security officer and appropriate action should be taken. For assistance with CSP reconfiguration on the Alaris System, please consult with CareFusion technical support.

Below are some examples of tampered seals.

- The tamper-evident seal has been sliced through the groove with a razor or other sharp object (Figure 8-27a and Figure 8-27b).
-

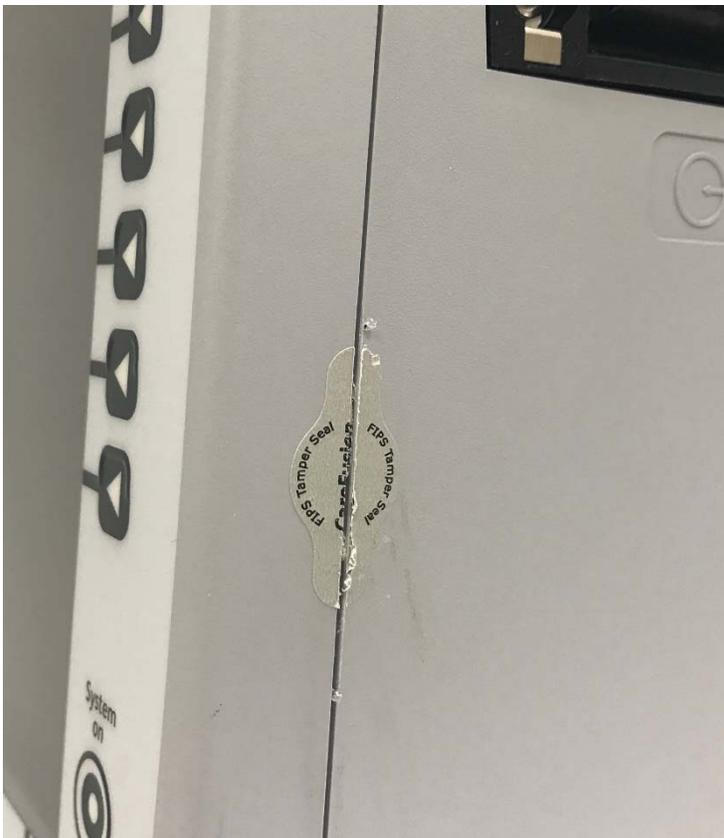


Figure 8-27a: BD Alaris PC unit—Seal has been sliced through on the device.



Figure 8-27b: Alaris PC unit—Seal has been sliced through.

- The tamper-evident seal has been gouged out and pieces of it have been removed from the screw head (Figure 8-28).



Figure 8-28: Seal has been gouged out.

- The tamper-evident seal is showing a sign that attempts to peel it off have occurred (Figure 8-29).



Figure 8-29: Seal has been partially peeled off from the device.

- The tamper-evident seal has not been applied correctly and is not FIPS compliant (Figure 8-30). It is necessary that an incorrectly applied tamper-evident seal be removed and a new one be applied correctly. The device needs to be zeroized anytime a tamper-evident seal is replaced.



Figure 8-30: Seal has not been applied correctly; not FIPS compliant.