# 



Datacryptor® Gig Ethernet and 10 Gig Ethernet

# FIPS 140-2 Level 3 Security Policy

Firmware Version v5.0 (with MultiPoint license)

#### Hardware Versions

Gig Ethernet 1600X433, Rev. 01, 1600X433, Rev. 02 10 Gig Ethernet 1600X437, Rev. 01, 1600X437, Rev. 02

Thales e-Security, Inc 2200 North Commerce Parkway, Suite 200, Weston, FL 33326, USA TEL: + 1-888-744-4976 FAX: + 1-954-888-6211 http://iss.thalesgroup.com

## DATACRYPTOR® GIG ETHERNET & DATACRYPTOR® 10 GIG ETHERNET

## SECURITY POLICY

# CONTENTS

| 1. IN  | FRODUCTION4  |
|--------|--|
| 2. IDI | ENTIFICATION AND AUTHENTICATION POLICY11           |
| 2.1    | Crypto-Officer Role 11                             |
| 2.2    | User Role11  |
| 2.3    | Authentication                                     |
| 3. AC  | CESS CONTROL POLICY                                |
| 3.1    | Roles and Services                                 |
| 3.2    | Cryptographic Keys, CSPs and Access Rights15       |
| 3.3    | Zeroisation 17                                     |
| 3.4    | Other Security-Relevant Information 17             |
| 4. P⊢  | YSICAL SECURITY POLICY 19                          |
| 4.1    | Inspection/Testing of Physical Security Mechanisms |
| 4.1    | .1 1600X433, Rev. 01 Hardware 19                   |
| 4.1    | .2 1600X433, Rev. 02 Hardware 20                   |
| 4.1    | .3 1600X437, Rev. 01 Hardware 21                   |
| 4.1    | .4 1600X437, Rev. 02 Hardware 23                   |
| 5. Mľ  | TIGATION OF OTHER ATTACKS POLICY 25                |
| ACRO   | IYMS AND ABBREVIATIONS 26                          |
| REFER  | ENCES  |

# Tables

| 7  |
|----|
| 7  |
| 9  |
| 10 |
| 12 |
| 12 |
| 13 |
| 14 |
| 14 |
| 15 |
|    |

# DATACRYPTOR® GIG ETHERNET & DATACRYPTOR® 10 GIG ETHERNET

## SECURITY POLICY

# Figures

| Figure 1-1 Datacryptor® Ethernet Crypto Module Example Network Configuration               | 6    |
|--|------|
| Figure 1-2 Datacryptor® Ethernet Crypto Module Example in Multipoint Network Configuration | 6    |
| Figure 4-1 1600X433, Rev. 01 Front   | . 19 |
| Figure 4-2 1600X433, Rev. 01 Rear  | . 19 |
| Figure 4-3 1600x433, Rev. 01 Top   | . 20 |
| Figure 4-4 1600X433, Rev. 02 Front   | . 20 |
| Figure 4-5 1600X433, Rev. 02 Rear  | . 20 |
| Figure 4-6 1600x433, Rev. 02 Top   | . 21 |
| Figure 4-7 1600X437, Rev. 01 Front   | . 21 |
| Figure 4-8 1600X437, Rev. 01 Rear  | . 22 |
| Figure 4-9 1600x437, Rev. 01 Top   | . 22 |
| Figure 4-10 1600X437, Rev. 02 Front  | . 23 |
| Figure 4-11 1600X437, Rev. 02 Rear   | . 23 |
| Figure 4-12 1600x437, Rev. 02 Top  | . 24 |

### SECURITY POLICY

## 1. INTRODUCTION

Thales e-Security is a global leader in the network security market with over 60,000 network security devices in operation, being one of the first companies to introduce a link encryption product to the market in the early 1980s.

The Datacryptor® family represents Thales' next generation of network security devices for a wide variety of communications environments. It is the culmination of 20 years experience of protecting wide-area network communications for governments, financial institutions and information-critical industries worldwide.

This document is the Security Policy<sup>1</sup> for the Thales e-Security Datacryptor® Gig Ethernet and Datacryptor® 10 Gig Ethernet, conforming to the FIPS140-2 Security Policy Requirements [1]. This Security Policy applies to the Datacryptor® Gig Ethernet and Datacryptor® 10 Gig Ethernet when operated in Multipoint mode with the corresponding license.

Further information on the Datacryptor® family and the functionality provided by the Datacryptor® Gig Ethernet is available from the Thales web site: <u>http://iss.thalesgroup.com</u>

This document is updated to reflect the version 5.0 of the firmware which supports a Multipoint (MP) licensed mode with MPLS capability as well as the Point to Point (PP) licensed mode.

#### Overview

The Datacryptor® Gig Ethernet is a multi-chip standalone cryptographic module which facilitates secure data transmission across Ethernet networks at 1 Gb/s or 10Gb/s.

Operating at primarily at OSI Layer 2, the Data Link Layer of the protocol stack the Datacryptor® Gig Ethernet is targeted at high speed/high data throughput applications between telecommunication facilities introducing virtually no overhead or latency to the network. Unlike Layer 3 IP security devices (IPSEC) the Datacryptor® Gig Ethernet is independent of network configurations resulting in a solution that is simple and inexpensive to manage.

As a solution for high-speed/high-bandwidth data transport over LANs and WANs, the Datacryptor® Gig Ethernet enables customers to take advantage of the most cost effective transport services available while ensuring the confidentiality of the information carried through these connections.

Version 4.5 and later allow the Datacryptor® Gig Ethernet to be licensed for use in a MP mode with one central unit and up to 199 remote units, Multipoint Label Switching mode (MPLS) is also supported. The mode of the unit, PP or MP, can be set using a management PC and Front Panel Viewer software. The internally stored license file dictates which mode can be set.

The Datacryptor® Gig Ethernet uses the strongest commercially available and government approved cryptography. It is designed to easily fit into a variety of network configurations supporting multiple modes of operation including bulk, tunnel and Virtual LAN (VLAN).

This Security Policy defines the Datacryptor® Gig Ethernet cryptographic module for two hardware versions, 1600X433 (low speed module) which supports data transmission at 1Gb/s and 1600X437 (high speed module) which supports data transmission at 10Gb/s. These variants utilize

<sup>&</sup>lt;sup>1</sup> This document is non-proprietary and may be reproduced freely in its entirety but not modified or used for purposes other than that intended.

### SECURITY POLICY

a different hardware platform but are functionally identical therefore all references to Datacryptor® Gig Ethernet or module refer to both variants unless explicitly stated otherwise.

*Figure 1-1* shows a typical Datacryptor® Gig Ethernet configuration where 2 LANs are securely linked across a public domain Ethernet network in PP licensed mode and Figure 1-2 a MP licensed mode network configuration.

#### Modes of Operation

The Datacryptor® Gig Ethernet can only operate in an FIPS 140-2 Approved mode (this includes cryptographic services and bypass services). The modes of operation are detailed below:

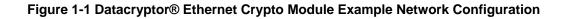
- Standby Mode The module transmits/receives no data via either its Host or Network interfaces on that channel. This mode is automatically entered if the module detects an error state or at start-up. This mode is indicated by the flashing green Encrypt LED.
- Plain Text Mode<sup>2</sup> All data received through the Host interface on that channel is transmitted through the Network interface as plain text. Similarly, all data received through the Network interface on that channel is transmitted through the Host interface with no decryption applied. This mode should only be used for diagnostic purposes, or if there is no security risk to the data if it is transferred unencrypted. This mode is indicated by the solid red Plain LED. The module does not support an alternating plaintext mode.
- Encrypt Mode All data received through the Host interface on that channel is encrypted using the transmit Data Encryption Key (DEK) and then the encrypted data is transmitted through the Network interface. Similarly, all data received through the Network interface on that channel is decrypted using the receive DEK and then the decrypted data is transmitted through the Host interface. This mode is indicated by the solid green Encrypt LED. Note: transmit and receive DEKs are identical when Multipoint mode is selected.

The mode of operation is selectable by the Crypto Officer using the Secure Remote Management facility and the current mode of operation is displayed using either the Front Panel LEDs or the Secure Remote Management (Element Manager PC) facility. Refer to the User Manual [3] for further details.

<sup>&</sup>lt;sup>2</sup> This is the bypass mode.

DATACRYPTOR® GIG ETHERNET & DATACRYPTOR® 10 GIG ETHERNET

SECURITY POLICY



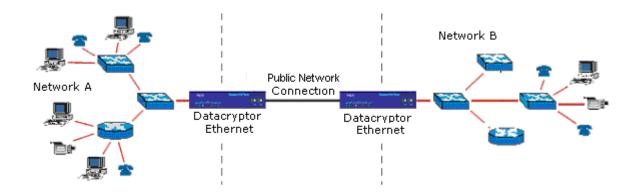
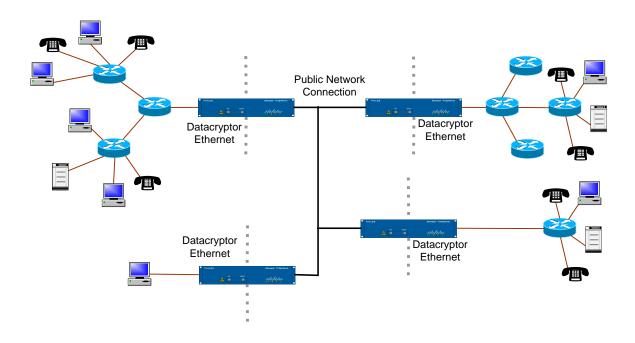


Figure 1-2 Datacryptor® Ethernet Crypto Module Example in Multipoint Network Configuration



### Physical Ports

Both variants of the Datacryptor® Gig Ethernet provide the same set of physical ports with the exception of the host and network line interfaces, which use Small Form Factor Pluggable (SFP) for the Gig Ethernet module (1600X433) and 10 Gigabit Small Form Factor Pluggable (XFP) for the 10 Gig Ethernet module (1600X437).

The physical ports are described below in Table 1-1 Physical Ports and Status Indicators:

#### SECURITY POLICY

#### Table 1-1 Physical Ports and Status Indicators

| Port                | Description   |
|---------------------|---|
| Network             | Connects to the public network for send and receiving encrypted user data and inter-module key exchange data.   |
| Host                | Connects to the private network for send and receiving plaintext user data.   |
| RS-232              | Connects to a local terminal for initialization of the module and also<br>allows remote management from the Element Manager application<br>utilizing the Point-to-Point (PPP) protocol. |
| Ethernet            | Allows the remote management of a unit using the Element Manager<br>application and status report using an SNMP management<br>application.  |
| Front Panel LEDs    | Indicates the operational state of the unit, including Alarm state, Error state, Plain or Encrypt mode and Host and Network line status.  |
| Line Interface LEDs | Indicates module present and laser input detected.  |
| PSU LEDs            | Indicates the status of the PSUs (powered/unpowered)  |
| Power               | Dual redundant power interface supporting customer options of AC or DC and international power cord standards.  |

The physical ports are mapped to four logical ports defined by FIPS 140-2 as described below in Table 1-2 Physical Port to Logical Port Mapping:

#### Table 1-2 Physical Port to Logical Port Mapping

| Logical Interface | Description and Mapping to Physical Port |
|-------------------|--|
| Data Input        | Host Line Interface                      |
|                   | Network Line Interface                   |
| Data Output       | Host Line Interface                      |
|                   | Network Line Interface                   |
| Control           | RS-232 Interface                         |
|                   | Ethernet Interface                       |
| Status            | RS-232 Interface                         |
|                   | Ethernet Interface                       |
|                   | Front Panel LEDs                         |
|                   | Line Interface LEDs                      |
|                   | PSU LEDs                                 |

### SECURITY POLICY

#### User Data Security

The communications channel between two or more Datacryptor® Gig Ethernets is assumed to be vulnerable and therefore the Datacryptor® Gig Ethernet encrypts the entire user data stream<sup>3</sup>.

The Datacryptor® Gig Ethernet uses public key cryptography for authentication and key agreement<sup>4</sup>. Symmetric key cryptography is used for data confidentiality. The authentication mechanism employs signed X.509 v3 certificates using the Elliptic Curve Digital Signature Algorithm (ECDSA) for signature verification. The Elliptic Curve Diffie-Hellman protocol is used to establish a Key Encryption Key (KEK) between modules. Data Encryption Keys (DEKs), used for encrypting and decrypting data traffic, are derived from the KEK in PP licensed mode, however in Multipoint Mode DEKs are generated internally in the central units from its RBG and are transmitted to remote units wrapped with the KEK. Multipoint licensed mode uses the GCM cryptographic mode provides authentication between communicating units on the network data path.

#### Random Number Generation

This consists of a hardware random number source which provides entropy to a NIST SP800-90 Section 10.1 [2] approved deterministic random bit generator (DRBG).

Establishment of the module's generated private and secret keys (Elliptic Curve Diffie-Hellman static/ephemeral and central unit DEK generation in MP mode) uses the above random bit generation mechanism.

#### Algorithm Support

The Datacryptor® Gig Ethernet contains the following algorithms:

- AES-256 for data encryption
- AES-256KW used for Key Wrapping
- AES-256 for GCM mode
- ECDSA using the P-384 curve for signature verification
- SHA-384 hashing algorithm
- ECDH using the P-384 curve for key agreement

#### Physical Security

The multi-chip standalone embodiment of the circuitry within the Datacryptor® Gig Ethernet is contained within a strong metal production-grade enclosure that is opaque within the visible spectrum to meet FIPS 140-2 Level 3. The enclosure completely covers the module to restrict unauthorized physical access to the module. The physical security includes measures to provide both tamper evidence and tamper detection and response. In the case of tamper response all sensitive information stored within the module will be zeroised.

<sup>&</sup>lt;sup>3</sup> Providing the module is configured to operate in Encrypt mode.

<sup>&</sup>lt;sup>4</sup> This key agreement method provides 192-bits of encryption strength.

#### SECURITY POLICY

The Datacryptor® Gig Ethernet's cryptographic boundary (FIPS 140-2 [1], section 2.1) is the physical extent of its enclosure but excludes the dual redundant power supplies which are external to this boundary and may be hot-swapped by a customer and does not require a "return to factory" operation.

#### Secure Remote Management

The Datacryptor® Gig Ethernet may be remotely and securely managed using the Element Manager.

#### SNMP Status Management

The Datacryptor® Gig Ethernet can also be managed (for status only) using an SNMP v1, v2c or v3 management application. Only one management session is permitted at a time with a Datacryptor® Gig Ethernet.

#### Diagnostics

A variety of diagnostics are available to maintain secure operation. These diagnostics include cryptographic mechanisms, critical functions and environmental monitoring. In addition the module supports a local loop back mode to aid in diagnosing network connectivity. Log files are maintained in the Datacryptor® Gig Ethernet and can be viewed or printed.

If the Datacryptor® Gig Ethernet is faulty, as indicated by the failure of a self-test diagnostic, it will render itself inoperable until the fault is rectified.

• **Power-Up Tests** On power-up known answer tests (KAT) are performed on all cryptographic algorithms and the pseudo-random number generator. In addition the integrity of all firmware is checked.

| Function Checked  | Description                                   |
|---|---|
| ECDSA (CA Algorithm)  | KAT Test                                      |
| AES-256-S/W (KEK Algorithm)   | KAT Test                                      |
| AES-256 – H/W (Traffic Encrypt Algorithm)                           | KAT Test                                      |
| AES-256 – S/W, wrap mode (DEK wrapping )                            | KAT Test                                      |
| AES-256 GCM (MP authenticated Traffic<br>Encrypt/Decrypt Algorithm) | KAT Test                                      |
| Primitive "Z" Computation   | KAT Test                                      |
| SHA-384   | KAT Test                                      |
| Deterministic Random Bit Generator                                  | KAT Test                                      |
| Firmware Integrity  | 16 bit Error Detection<br>Code (EDC) Checksum |

#### Table 1-3 Power-Up Tests

### SECURITY POLICY

#### • Conditional Tests

- The output of both the hardware random number generator and the pseudo-random number generator are checked whenever random data is requested by the module. Subsequent random numbers are compared against the last generated value to verify that these values are not the same.
- The module performs periodic health tests on the instantiate, generate, reseed and uninstantiate functions of the deterministic random bit generator. The tests performed are known answer tests (KATs) designed to ensure the deterministic random bit generator is functioning as expected.
- The module also performs a bypass test before entering an encrypted channel mode. When switching from a plain to an encrypted channel mode the module issues an encrypted challenge to its peer using the Data Encryption Key (DEK). The challenge is then decrypted by the peer using its DEK, and if verified, an encrypted response is returned to the module (using the DEK). The response is decrypted by the module (using the DEK) and verified. If successful the channel is established as being in an encrypted state with matching DEKs in each module.
- In the case of a firmware upgrade, this is digitally signed by a CA using ECDSA allowing the module to verify the image so preventing unauthorized firmware upgrades. After loading firmware onto this module it may no longer be a FIPS 140-2 validated module unless the firmware has been FIPS 140-2 validated. This feature is used as an upgrade path for future FIPS 140-2 approved modules.
- The module performs a public key validation routine during ECDH and ECDSA operations which check all the arithmetic properties of the specified ECC public key.
- The module performs a pair-wise consistency test on the modules own ECDSA key pair that the module generates. This key pair is used to generate and verify digital signatures so the pair-wise consistency test consists of the generation and verification of a digital signature.

| Function Checked                   | Description    |
|------------------------------------|----------------|
| Hardware RBG                       | CRNG           |
| Deterministic Random Bit Generator | CRNG           |
| Deterministic Random Bit Generator | Health Tests   |
| Bypass                             | Bypass Test    |
| Firmware Upgrade Authentication    | Verify (ECDSA) |
| Public Key Validation              | ECDH and ECDSA |
| Pair-wise Consistency Test         | Sign / Verify  |

#### **Table 1-4 Conditional Tests**

SECURITY POLICY

## 2. IDENTIFICATION AND AUTHENTICATION POLICY

The two roles associated with the Datacryptor® Gig Ethernet are:

**Crypto-Officer** Commissioning and configuration of the Datacryptor® Gig Ethernet.

User This role occurs when two Datacryptor® Gig Ethernets are communicating with each other.

The Datacryptor® Gig Ethernet does not support multiple concurrent roles.

### 2.1 Crypto-Officer Role

The Datacryptor® Gig Ethernet can be managed by the Crypto-Officer using either of the following two methods:

- **Element Manager** This PC-based software application enables a Crypto-Officer to commission and administer the module.
- **SNMP Management Station** This is limited to requesting and obtaining status information from the Datacryptor® Gig Ethernet.

The Crypto-Officer role utilizes the Element Manager to commission and configure the module via the dedicated Ethernet or serial management port.

Commissioning a module installs a X.509 certificate (containing the CA public key, certificate name, unit serial number and certificate life time) and the required Elliptic Curve Diffie-Hellman parameters to allow the Datacryptor® Gig Ethernet to generate a corresponding Elliptic Curve Diffie-Hellman key set. This information is digitally signed allowing the unit to authenticate the certificate's signature using the issuing CA Public key held within the module. The module must be commissioned before it may be administered.

When administering the module the Element Manager establishes a secure connection (connection authentication and data confidentiality) to the module. This connection is established and protected in the same manner as a module to module connection. To establish the secure connection the Crypto-Officer uses a removable media key-material set containing the Crypto-Officer's name and access rights, Elliptic Curve Diffie-Hellman key set and own certificate. To access the key-material set the Crypto-Officer's own password of at least 8 ASCII printable characters. This allows the Element Manager to verify the identity of a Crypto-Officer before establishing a secure connection using the key material set.

#### 2.2 User Role

The Crypto-Officer can download one or more signed X.509 User Certificates to the Datacryptor® Gig Ethernet. Each User Certificate gives a Datacryptor® Gig Ethernet an identity.

Identity-based authentication is implemented between two communicating Datacryptor® Gig Ethernets. The modules are then operating in the User role. This identity can be authenticated to another module which verifies the User Certificate's signature using the issuing CA Public key held within the module.

#### SECURITY POLICY

If the issuing CA Public key is not held within the authenticating module then verification cannot be undertaken. Therefore no communications channel can be established between the two Datacryptor® Gig Ethernets.

### 2.3 Authentication

The types and strengths of authentication for each Role identified for the Datacryptor® Gig Ethernet are given in *Table 2-1* and *Table 2-2* below.

| Table 2-1 | <b>Roles and Rec</b> | uired Identification | and Authentication |
|-----------|----------------------|----------------------|--------------------|
|-----------|----------------------|----------------------|--------------------|

| Role           | Type of Authentication | Authentication Data              |
|----------------|------------------------|----------------------------------|
| Crypto-Officer | Identity based         | Signed X.509 Digital Certificate |
| User           | Identity based         | Signed X.509 Digital Certificate |

The identity of each entity performing a role that requires authentication is held within the X.509 Digital Certificate allowing the identity and authorization of the operator to be validated by checking the signature (ECDSA) of the certificate.

#### Table 2-2 Strengths of Authentication Mechanisms

| Authentication<br>Mechanism         | Strength of Mechanism  |
|-------------------------------------|--|
| Signed X.509<br>Digital Certificate | The strength depends upon the size of the private key space. The Datacryptor® Gig Ethernet uses ECDSA with the P-384 curve, which is a FIPS Approved algorithm. Therefore the probability of successfully guessing the private key (384 bits), and hence correctly signing an X.509 certificate, is significantly less than one in 1,000,000 ( $2^{384}$ ).  |
|                                     | Multiple attempts to use the authentication mechanism during a one-minute period do not constitute a threat for secure operation of the Datacryptor® Gig Ethernet. This is because each attempt requires the Datacryptor® Gig Ethernet to check the signature on the certificate that is to be loaded. Therefore the total number of attempts that can be made in a one-minute period will be limited by the Datacryptor® Gig Ethernet signature verification and response operation, which takes on average approximately 30 seconds. The majority of this time is accounted for by the communications overheads since the signature checking operation within the module is relatively fast. |
|                                     | Given the very large size (384 bits) of the private key space used by the FIPS Approved signature algorithm (ECDSA) loaded in the Datacryptor® Gig Ethernet it follows that the probability that an intruder will be able to guess the private key, and thereby gain authentication, by making multiple attempts is significantly less than one in 100,000 ( $2^{384}$ / 2).   |
|                                     | There is no feedback of authentication data to the Crypto-Officer or User that might serve to weaken the authentication mechanism.   |

DATACRYPTOR® GIG ETHERNET & DATACRYPTOR® 10 GIG ETHERNET SECURITY POLICY

# 3. ACCESS CONTROL POLICY

#### 3.1 Roles and Services

Table 3-1 Services Authorized for Crypto Officer lists the authorized services available for each role within the Datacryptor® Gig Ethernet. All services require authentication to the module.

For further details of each operation refer to the Datacryptor® Gig Ethernet User Guide [3].

| Table 3-1 Services Authorized for Crypto Officer | Table 3-1 | Services | Authorized | for Crypto | o Officer |
|--|-----------|----------|------------|------------|-----------|
|--|-----------|----------|------------|------------|-----------|

| Service               | Description   | Input  | Output  | Access  |
|-----------------------|---|--|---|---|
| Access module         | Login/logout of the module  | password, crypto<br>officer public<br>key, crypto<br>officer certificate | Command response  | Peer Module Certificate - read  |
| Manage Key Material   | Loads module's key<br>material, deletes<br>module's key material  | module public<br>key, module<br>certificate                              | Command response  | CA Public Key – read/write,<br>Module Certificate – read/write                  |
| General Configuration | Display/edit module's name, description, time and interface settings.                                       | Commands and parameters  | Command response  | None  |
| Diagnostics           | Reboot or erase key<br>material. Configure<br>loopback mode   | Commands and parameters  | Command response  | None  |
| IP Management         | Display/edit module's ports, Ethernet and serial, configuration.  | Commands and parameters  | Command response  | None  |
| SNMP                  | Display/edit general<br>information, SNMP<br>version, SNMP<br>communities and<br>SNMP traps.                | Commands and parameters  | Command response  | None  |
| IP Routes             | Display/edit IP routing information   | Commands and parameters  | Command response  | None  |
| Security              | Display/edit key<br>lifetimes, and general<br>key exchange<br>parameters                                    | Commands and parameters  | Command<br>response;<br>key<br>exchange if<br>forced.           | Key Encryption Key – write<br>(delete), Data Encryption Key –<br>write (delete) |
| RIP                   | Display/edit RIP version and RIP password   | Commands and parameters  | Command response  | None  |
| Communications        | Display/edit Ethernet<br>mode (bulk, tunneling),<br>interface mode  | Commands and parameters  | Command response  | None  |
| Encryption            | Display current<br>connection mode - one<br>of standby, plain or<br>encrypt and ping the<br>connected unit. | Commands and parameters  | Command<br>response,<br>ping packet<br>to<br>connected<br>peer. | None  |

## DATACRYPTOR® GIG ETHERNET & DATACRYPTOR® 10 GIG ETHERNET

### SECURITY POLICY

| Service   | Description   | Input                    | Output  | Access |
|---|---|--------------------------|---|--------|
| Expert<br>(only applicable to<br>1600X433 module) | Display/edit Cipher Text<br>Stealing mode, enabled<br>or disabled.              | Commands and parameters  | Command response  | None   |
| Environment                                       | Display fan speed,<br>module temperature<br>and unit power status.              | Commands and parameters  | Command response  | None   |
| License   | Display/edit currently<br>loaded license file for<br>the Datacryptor<br>module. | License file             | Command<br>Response   | None   |
| Plaintext   | Enable module to perform bypass.  | Commands and parameters. | Bypass test<br>pass or fail<br>indicated by<br>Front Panel<br>Status LEDs | None   |

#### Table 3-2 Services Authorized for User

| Service | Description   | Input                       | Output                      | Accessed   |
|---------|---|-----------------------------|-----------------------------|------------|
| Encrypt | Encrypt data received<br>from the Host interface<br>and transmit on the<br>Network interface. | User traffic<br>(plain)     | User traffic<br>(encrypted) | DEK – read |
| Decrypt | Decrypt data received<br>from the Network<br>interface and transmit<br>on the Host interface. | User traffic<br>(encrypted) | User traffic<br>(plain)     | DEK - read |

#### **Table 3-3 Unauthenticated Services**

| Service                                    | Description                   | Input                   | Output  | Accessed |
|--|-------------------------------|-------------------------|---|----------|
| Show Status via SNMP                       | View status of the module.    | Commands and parameters | Status<br>information<br>over<br>Element<br>Manager or<br>SNMP<br>Traps | None     |
| Show Status via LEDs                       | View status of the module.    | None                    | Front Panel<br>LEDs Status<br>Indicators                                | None     |
| Operator Callable Self<br>Tests via Reboot | Module performs self-<br>test | Reboot Module           | Front Panel<br>LEDs Status<br>Indicators                                | None     |

SECURITY POLICY

## 3.2 Cryptographic Keys, CSPs and Access Rights

The cryptographic keys and CSPs stored in the Datacryptor® Gig Ethernet module are listed in *Table 3-4*.

All private and secret keys (Elliptic Curve Diffie-Hellman, KEKs and DEKs) are generated internally in the module and may not be either loaded or read by the Crypto Officer or User.

 Table 3-4 Cryptographic Keys and CSPs

| Keys/CSPs   | Description  | KEY/CSP<br>Type and<br>Size | Generated/<br>Established   | Stored  | Zeroised  |
|---|--|-----------------------------|---|---|---|
| Master Key  | Encrypts all non-volatile<br>Keys and CSPs stored on<br>the module.  | AES<br>(256 bits)           | At start-up if not<br>present using the<br>module's hardware<br>random number<br>generator and an<br>approved DRBG (cert<br>#188).  | Battery<br>Backed<br>SRAM<br>(plaintext)  | Upon tamper<br>detect or by user<br>initiated erasure<br>of key material. |
| CA Public Key   | The public key of the CA key pair use to verify subsequent key material loaded into the module.  | ECDSA<br>(384 bits)         | Generated external<br>and loaded as part of<br>the commissioning<br>process.  | Non-volatile<br>memory –<br>Compact<br>Flash<br>(encrypted)   | Upon tamper<br>detect or by user<br>initiated erasure<br>of key material. |
| Own Module<br>Certificate/Elliptic<br>Curve Diffie-<br>Hellman Static Key<br>Pair | An X.509 certificate<br>containing the module<br>name, Elliptic Curve Diffie-<br>Hellman static public key<br>(the static private key is<br>stored separately) and<br>associated parameters.<br>This key pair is used during<br>the establishment of the<br>KEK and the Management<br>KEK. | ECDH<br>(384 bits)          | The Elliptic Curve<br>Diffie-Hellman static<br>key pair is generated<br>locally by the module,<br>using the module's<br>hardware random<br>number generator<br>and an approved<br>DRBG (cert #188)<br>from the parameters<br>supplied during the<br>commissioning<br>process. The module<br>name and Elliptic<br>Curve Diffie-Hellman<br>static public key is<br>then exported to be<br>signed by issuing CA<br>so forming the<br>module certificate. | Own Module<br>Certificate<br>Non-volatile<br>memory –<br>Compact<br>Flash<br>(encrypted)<br>ECDH static<br>private key<br>– Non-<br>volatile<br>memory –<br>FRAM<br>(encrypted) | Upon tamper<br>detect or by user<br>initiated erasure<br>of key material. |
| Elliptic Curve<br>Diffie-Hellman<br>Ephemeral Key<br>Pair                         | The Elliptic Curve Diffie-<br>Hellman ephemeral key<br>pair.   | ECDH<br>(384 bits)          | The Elliptic Curve<br>Diffie-Hellman<br>ephemeral key pair is<br>generated locally by<br>the module, using the<br>module's hardware<br>random number<br>generator and an<br>approved DRBG (cert<br>#188) from the<br>parameters supplied<br>during the<br>commissioning   | Volatile<br>memory –<br>SRAM<br>(encrypted)   | Upon tamper<br>detect or by user<br>initiated erasure<br>of key material. |

## DATACRYPTOR® GIG ETHERNET & DATACRYPTOR® 10 GIG ETHERNET

#### SECURITY POLICY

| Keys/CSPs  | Description  | KEY/CSP<br>Type and<br>Size | Generated/<br>Established  | Stored  | Zeroised   |
|--|--|-----------------------------|--|---|--|
|  |  |                             | process. This key pair<br>is used in conjunction<br>with the static key<br>pair to establish the<br>KEK. |   |  |
| Peer Module<br>Certificate/Elliptic<br>Curve Diffie-<br>Hellman Static<br>Public Key | Received during link<br>establishment between two<br>modules to allow<br>authentication of the peer<br>module using signature<br>verification (ECDSA).   | ECDH<br>(384 bits)          | Generated by peer in<br>the same manner as<br>Own Module<br>Certificate.                                 | Non-Volatile<br>memory –<br>Compact<br>Flash<br>(encrypted)   | Upon tamper<br>detect or by user<br>initiated erasure<br>of key material.  |
| Entropy Input<br>String  | Entropy input into the<br>approved DRBG during<br>instantiation  | Entropy<br>(256 bits)       | Generated via<br>internal hardware<br>RBG  | Not stored.   | Not zeroised<br>directly. The<br>memory that<br>holds this CSP is<br>erased upon the<br>tamper<br>response/restart<br>process. |
| Seed   | Used by the approved DRBG  | Seed<br>(888 bits)          | Generated during the<br>instantiation and<br>reseed functions of<br>the DRBG                             | Not stored.   | Not zeroised<br>directly. The<br>memory that<br>holds this CSP is<br>erased upon the<br>tamper<br>response/restart<br>process. |
| C Value  | Internal state value of the approved DRBG  | C Value<br>(888 bits)       | Generated as part of<br>the internal state of<br>the DRBG.   | Not stored.   | Not zeroised<br>directly. The<br>memory that<br>holds this CSP is<br>erased upon the<br>tamper<br>response/restart<br>process. |
| V Value  | Internal state value of the approved DRBG  | V Value<br>(888 bits)       | Generated as part of<br>the internal state of<br>the DRBG.   | Not stored.   | Not zeroised<br>directly. The<br>memory that<br>holds this CSP is<br>erased upon the<br>tamper<br>response/restart<br>process. |
| Data Encryption<br>Keys (DEKs)   | A key used for encryption<br>and decryption of line data.<br>In MP mode the same DEK<br>is used for encrypt and<br>decrypt.<br>In MP mode every central<br>to remote unit link utilizes<br>the same DEK. | AES<br>(256 bits)           | Generated using the<br>internal hardware<br>RBG and an<br>approved DRBG (cert<br>#188).                  | Volatile<br>memory –<br>BRAM &<br>FRAM<br>(encrypted)<br>(up to three<br>stored with<br>CRC<br>integrity<br>protection) | Upon tamper<br>detect or by user<br>initiated erasure<br>of key material.  |

### DATACRYPTOR® GIG ETHERNET & DATACRYPTOR® 10 GIG ETHERNET

#### SECURITY POLICY

| Keys/CSPs   | Description  | KEY/CSP<br>Type and<br>Size | Generated/<br>Established  | Stored  | Zeroised   |
|---|--|-----------------------------|--|---|--|
| Key Encryption<br>Key (KEK)   | Key used by the Central<br>unit to wrap data encryption<br>keys to send to remote<br>units, unique to each<br>central unit to remote link. | AES<br>(256 bits)           | Established during<br>link establishment<br>with Elliptic Curve<br>Diffie-Hellman using<br>the static and<br>ephemeral key pairs.                | Volatile<br>memory –<br>BRAM<br>(encrypted)           | Upon tamper<br>detect or by user<br>initiated erasure<br>of key material.  |
| Management Key<br>Encryption Key<br>(MKEK)                                | Key used to derive<br>management data<br>encryption keys in<br>conjunction with MDEKDD.  | AES<br>(256 bits)           | Established during<br>management link<br>establishment with<br>Elliptic Curve Diffie-<br>Hellman using the<br>static and ephemeral<br>key pairs. | Not Stored.   | Not zeroised<br>directly. The<br>memory that<br>holds this CSP is<br>erased upon the<br>tamper<br>response/restart<br>process. |
| Management Data<br>Encryption Key<br>(MDEK)                               | A pair of keys (one for<br>transmit and one for<br>receive) used for<br>encryption and decryption<br>of management line data.              | AES<br>(256 bits)           | Generated during<br>management link<br>establishment using<br>AES (MKEK),<br>MDEKDD and CMAC<br>KDF operations.                                  | Not Stored.   | Not zeroised<br>directly. The<br>memory that<br>holds this CSP is<br>erased upon the<br>tamper<br>response/restart<br>process. |
| Management Data<br>Encryption Key<br>Derivation<br>Data/Nonce<br>(MDEKDD) | Random data used to<br>derive management data<br>encryption keys in<br>conjunction with MKEK.  | 256 bits                    | Generated during<br>MDEK derivation<br>using the module's<br>hardware random<br>number generator<br>and an approved<br>DRBG (cert #188).         | Not stored.   | Not zeroised<br>directly. The<br>memory that<br>holds this CSP is<br>erased upon the<br>tamper<br>response/restart<br>process. |
| Thales e-Security<br>Firmware Upgrade<br>Public Key                       | A public key embedded<br>within the firmware which is<br>used to verify the integrity<br>of the firmware during<br>firmware upgrade.       | ECDSA<br>(384 bits)         | Generated externally<br>and embedded within<br>the firmware.   | Embedded<br>in the<br>firmware –<br>Compact<br>Flash. | Not zeroised.  |

#### 3.3 Zeroisation

The Crypto Officer can zeroise keys through the Element Manager application. As indicated in the table above, the Crypto Officer has the choice to directly delete keys, establish a new link with another peer module or force the module to generate new keys. Keys that are not zeroised are encrypted by the master key. The module zeroises the master key when the tamper response and zeroisation circuitry responds to an intrusion of the enclosure which renders all other keys indecipherable.

### 3.4 Other Security-Relevant Information

#### FIPS Approved Mode of Operation

The Datacryptor® Gig Ethernet only operates in an Approved mode and does not support any unapproved modes of operation.

### SECURITY POLICY

#### 1. FIPS 140-2 Approved and Certified

- AES #2014 (AES-256, PowerPC Core 405)
- AES #2030 (CMAC using AES-256, Generation only)
- AES #2064 (AES-256, GCM, Xilinx XC2VP30 FPGA)
- AES #2066 (AES-256, GCM, Xilinx XC2VP50 FPGA)
- ECDSA #289 (Application)
- ECDSA #304 (Bootstrap)
- Key Agreement Scheme #34 (ECDH, key agreement; key establishment methodology provides 192 bits of encryption strength)
- NIST SP800-90 DRBG #188
- SHS #1764 (SHA-384, Application)
- SHS #1808 (SHA-384, Bootstrap)

#### 2. Non-Approved Allowed

- AES #2014 (Key Wrapping)
- Hardware RBG for generating entropy for approved and certified DRBG

#### Datacryptor® Gig Ethernet FPGA Details

This Security Policy defines the Datacryptor® Gig Ethernet cryptographic module for two hardware versions which utilize different FPGAs as described below:

- 1600X433, Rev. 01 & 02 (low speed module) utilize a Xilinx VirtexII-Pro XC2VP30 FPGA.
- 1600X437, Rev. 01 & 02 (high speed module) utilize two Xilinx VirtexII-Pro XC2VP50 FPGAs.

#### SECURITY POLICY

## 4. PHYSICAL SECURITY POLICY

The Datacryptor® Gig Ethernet is a multiple-chip standalone cryptographic module consisting of production-grade components to meet FIPS 140-2 Level 3.

The Datacryptor® Gig Ethernet is protected by a strong metal production-grade enclosure that is opaque within the visible spectrum with tamper evident labels and tamper response mechanisms. Attempts to access the module without removing the cover will cause visible physical damage to the module and/or tamper evident labels.

The module's ventilation holes on the sides and back on the enclosure are fitted with baffles to prevent physical probing of the enclosure.

The module has a removable top cover which is protected by tamper response circuitry, which zeroises all plaintext CSPs. Access to the internal components of the module requires that these covers are removed.

The module's cryptographic boundary (FIPS 140-2 [1], section 2.1) is the physical extent of its external casing but excludes the field replaceable dual redundant power supply.

#### 4.1 Inspection/Testing of Physical Security Mechanisms

The following guidelines should be considered when producing a Security Policy for the network in which the module is deployed.

The Datacryptor® Gig Ethernet should be periodically checked by the Crypto Officer for evidence of tampering, in particular damage to the clear tamper evident labels (highlighted in outline red) as these are part of the security of the unit. In addition the audit logs should be checked for activation of the tamper response mechanism.

The frequency of a physical inspection depends on the information being protected and the environment in which the unit is located. At a minimum it would be expected that a physical inspection would be made by the Crypto Officer at least monthly and audit logs daily.

#### 4.1.1 1600X433, Rev. 01 Hardware

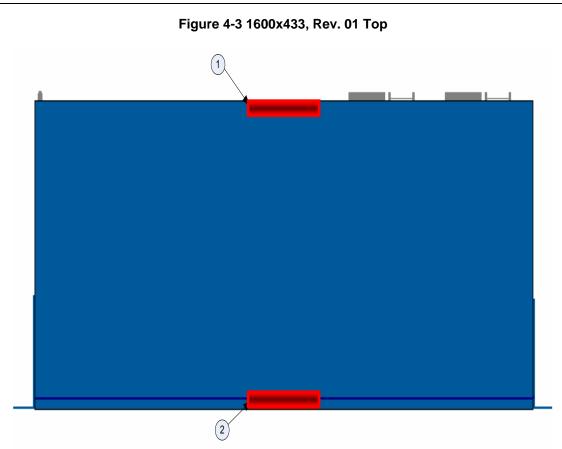
#### Figure 4-1 1600X433, Rev. 01 Front





### DATACRYPTOR® GIG ETHERNET & DATACRYPTOR® 10 GIG ETHERNET

SECURITY POLICY



The tamper evident labels shall only be applied at the Thales facility. Tamper evident labels are not available for order or replacement from Thales.

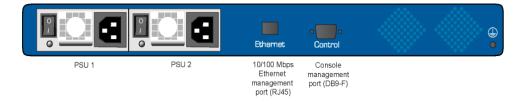
Four tamper evident labels are required to be visible and undamaged for each module to be operated in a FIPs approved mode of operation. They must be in the positions shown in Figure 4-2 and Figure 4-3.

#### 4.1.2 1600X433, Rev. 02 Hardware

#### Figure 4-4 1600X433, Rev. 02 Front

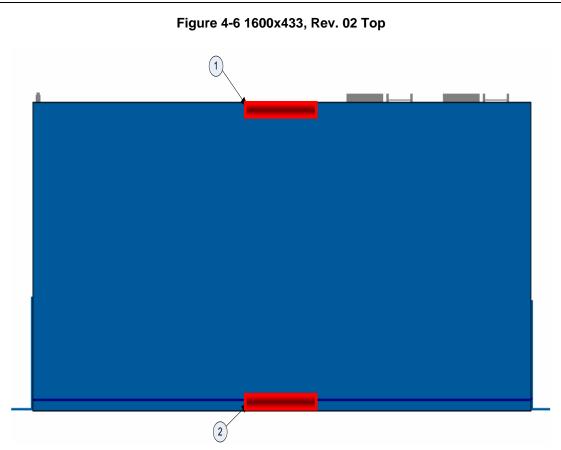


#### Figure 4-5 1600X433, Rev. 02 Rear



### DATACRYPTOR® GIG ETHERNET & DATACRYPTOR® 10 GIG ETHERNET

#### SECURITY POLICY



The tamper evident labels shall only be applied at the Thales facility. Tamper evident labels are not available for order or replacement from Thales.

Two tamper evident labels are required to be visible and undamaged for each module to be operated in a FIPs approved mode of operation. They must be in the positions shown in Figure 4-6.

#### 4.1.3 1600X437, Rev. 01 Hardware

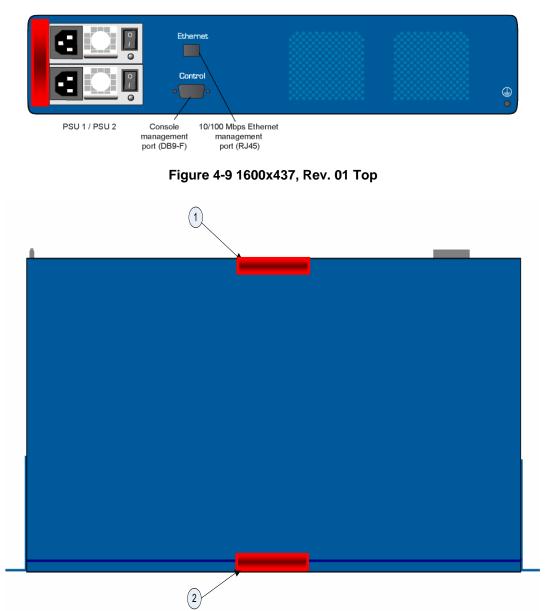
#### Figure 4-7 1600X437, Rev. 01 Front



## DATACRYPTOR® GIG ETHERNET & DATACRYPTOR® 10 GIG ETHERNET

### SECURITY POLICY

#### Figure 4-8 1600X437, Rev. 01 Rear



The tamper evident labels shall only be applied at the Thales facility. Tamper evident labels are not available for order or replacement from Thales.

Three tamper evident labels are required to be visible and undamaged for each module to be operated in a FIPs approved mode of operation. They must be in the positions shown in Figure 4-8 and Figure 4-9.

## DATACRYPTOR® GIG ETHERNET & DATACRYPTOR® 10 GIG ETHERNET

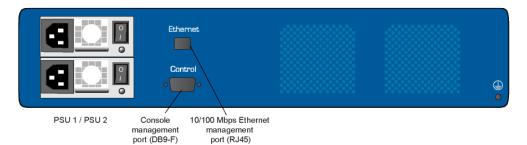
#### SECURITY POLICY

### 4.1.4 1600X437, Rev. 02 Hardware

## Figure 4-10 1600X437, Rev. 02 Front

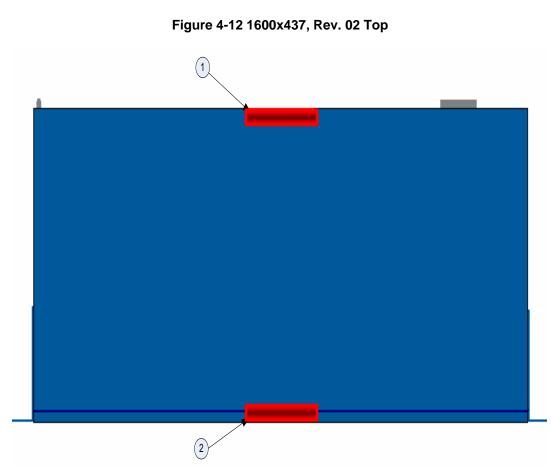
|   | THALES |      |         | Datacryptor 10 Gig Ethernet   |  |
|---|--------|------|---------|---|--|
| - |        | Host | Network | 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 |  |

#### Figure 4-11 1600X437, Rev. 02 Rear



## DATACRYPTOR® GIG ETHERNET & DATACRYPTOR® 10 GIG ETHERNET

#### SECURITY POLICY



The tamper evident labels shall only be applied at the Thales facility. Tamper evident labels are not available for order or replacement from Thales.

Two tamper evident labels are required to be visible and undamaged for each module to be operated in a FIPs approved mode of operation. They must be in the positions shown in Figure 4-12.

# DATACRYPTOR® GIG ETHERNET & DATACRYPTOR® 10 GIG ETHERNET SECURITY POLICY

# 5. MITIGATION OF OTHER ATTACKS POLICY

None.

DATACRYPTOR® GIG ETHERNET & DATACRYPTOR® 10 GIG ETHERNET SECURITY POLICY

# ACRONYMS AND ABBREVIATIONS

| Acronym | Definition                                     |
|---------|--|
| AES     | Advanced Encryption Standard                   |
| ANSI    | American National Standards Institute          |
| CA      | Certification Authority                        |
| CTS     | Cipher Text Stealing                           |
| DEK     | Data Encryption Key                            |
| DRBG    | Deterministic Random Bit Generator             |
| ECDSA   | Elliptic Curve Digital Signature Algorithm     |
| ECDH    | Elliptic Curve Diffie-Hellman                  |
| EDC     | Error Detection Code                           |
| FIPS    | Federal Information Processing Standards       |
| GCM     | Galois Counter Mode                            |
| ITU     | International Telecommunications Union         |
| KAT     | Know Answer Test                               |
| KEK     | Key Encryption Key                             |
| LAN     | Local Area Network                             |
| MAC     | Media Access Control                           |
| NIST    | National Institute of Standards and Technology |
| PPP     | Point-to-Point                                 |
| PRNG    | Pseudo Random Number Generator                 |
| PSU     | Power Supply Unit                              |
| RIP     | Routing Information Protocol                   |
| RBG     | Random Bit Generator                           |
| SDH     | Synchronous Digital Hierarchy                  |
| SFP     | Small Form Factor Pluggable                    |
| SHA     | Secure Hash Algorithm                          |
| SNMP    | Simple Network Management Protocol             |
| VLAN    | Virtual LAN                                    |
| XFP     | 10 Gigabit Small Form Factor Pluggable         |

## DATACRYPTOR® GIG ETHERNET & DATACRYPTOR® 10 GIG ETHERNET

### SECURITY POLICY

## REFERENCES

1. FIPS 140-2 Security Requirements for Cryptographic Modules, Federal Information Processing Standards Publication, 25<sup>th</sup> May 2001. Including Change Notices 2,3,4: 12/03/2002

Available from the NIST web site: <u>http://www.nist.gov/cmvp</u>

2. NIST SP800-90 Recommendation for Random Number Generation Using Deterministic Random Bit Generators, National Institute of Standards and Technology Special Publication, March 2007.

Available from the NIST web site: <u>http://www.nist.gov/cmvp</u>

- 3. Datacryptor® Gig Ethernet User Manual, 1270A450-011, Issue June 2012. Available from Thales e-Security.
- 4. AES Keywrap Specification Nov 2001 NIST

------ END OF DOCUMENT ------