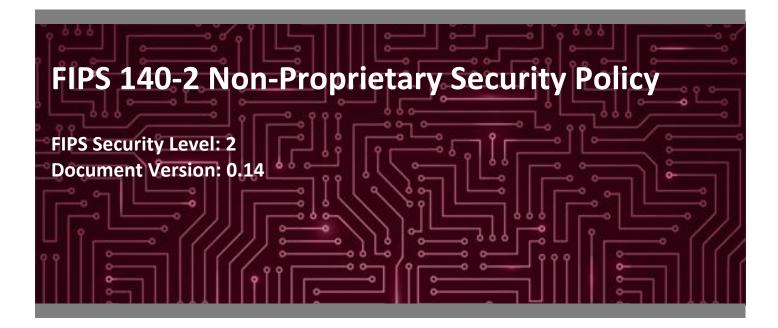
Siemens Canada Ltd.

RUGGEDCOM Ethernet Switches

Hardware Models: M2100F, M2200F, M969F, RS900F, RS900GF, RS940GF, RSG2100F, RSG2200F, and RSG2488F Firmware Version: 4.2.1.F

RUGGEDCOM Serial Device Server

Hardware Model: RS416F Firmware Version: 4.2.1.F



Prepared for:

SIEMENS

Siemens Canada Ltd. 300 Applewood Crescent Concord, Ontario L4K 5C7 Canada

Phone: +1 905 856 5288 <u>www.siemens.com</u> Prepared by:



Corsec Security, Inc. 13291 Park Center Road Suite 460 Herndon, VA 20171 United States of America

Phone: +1 703 267 6050 www.corsec.com

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1. Introduction

1.1 Purpose

This is a non-proprietary Cryptographic Module Security Policy for the RUGGEDCOM Ethernet Switches (Hardware Models: M2100F, M2200F, M969F, RS900F, RS900GF, RS940GF, RSG2100F, RSG2200F, and RSG2488F; Firmware Version: 4.2.1.F) and RUGGEDCOM Serial Device Server (Hardware Model: RS416F; Firmware Version: 4.2.1.F) from Siemens Canada Ltd. (Siemens). This Security Policy describes how the RUGGEDCOM Ethernet Switches and RUGGEDCOM Serial Device Server meet the security requirements of Federal Information Processing Standards (FIPS) Publication 140-2, which details the U.S. and Canadian government requirements for cryptographic modules. More information about the FIPS 140-2 standard and validation program is available on the National Institute of Standards and Technology (NIST) and the Communications Security Establishment (CSE) Cryptographic Module Validation Program (CMVP) website at http://csrc.nist.gov/groups/STM/cmvp.

This document also describes how to run the modules in a secure FIPS-Approved mode of operation. This policy was prepared as part of the Level 2 FIPS 140-2 validation of the module. The RUGGEDCOM Ethernet Switches and RUGGEDCOM Serial Device Server are referred to in this document as "RUGGEDCOM ROS-F Devices" or "modules".

1.2 References

This document deals only with operations and capabilities of the modules in the technical terms of a FIPS 140-2 cryptographic module security policy. More information is available on the modules from the following sources:

- The Siemens website (<u>www.siemens.ca</u>) contains information on the full line of products from Siemens.
- The CMVP website (<u>http://csrc.nist.gov/groups/STM/cmvp/documents/140-1/140val-all.htm</u>) contains contact information for individuals to answer technical or sales-related questions for the module.

1.3 Document Organization

The Security Policy document is organized into two (2) primary sections. Section 2 provides an overview of the validated modules. This includes a general description of the modules' capabilities and their use of cryptography as well as a presentation of the validation level achieved in each applicable functional areas of the FIPS standard. It also provides high-level descriptions of how the modules meet FIPS requirements in each functional area. Section 3 documents the guidance needed for the secure use of the modules, including initial setup instructions, management methods, and applicable usage policies.

2. RUGGEDCOM ROS-F Devices

2.1 RUGGEDCOM ROS-F Devices Overview

The RUGGEDCOM Ethernet Switches and RUGGEDCOM Serial Device Server are utility-grade, fully-managed Ethernet devices designed to operate reliably in electrically harsh and climatically demanding environments. The devices' rugged hardware design, coupled with the embedded Rugged Operating System (ROS[®]) version 4.2.1.F, provides improved system reliability and advanced cybersecurity and networking features. This makes them ideally suited for creating secure Ethernet networks for mission-critical, real-time control applications.

• The **RUGGEDCOM RS416F** (Figure 1) is a fully-managed serial device server featuring a modular design. The RS416F can be equipped with up to 16 serial ports, and up to 4 switched Ethernet ports.



Figure 1 – RUGGEDCOM RS416F Serial Device Server

• The **RUGGEDCOM M2100F** (Figure 2) and **RUGGEDCOM M2200F** (Figure 3) are fully-managed, modular, MIL-STD¹ hardened Ethernet switches. The M2100F can be equipped with up to 19 switched Ethernet ports, while the M2200F can be equipped with up to 9 Gigabit Ethernet ports.



Figure 2 – RUGGEDCOM M2100F Ethernet Switch

¹ MIL-STD – Military Standard



Figure 3 – RUGGEDCOM M2200F Ethernet Switch

The RUGGEDCOM M969F (Figure 4) is a 10-port, fully-managed Ethernet switch, providing dual fiber optical Gigabit Ethernet ports and up to 8 Fast Ethernet copper ports in a MIL-STD 901D-rated package. It is IP66²/IP67³-rated for protection against strong jets of water (IP66) and temporary immersion in water (IP67).



Figure 4 – RUGGEDCOM M969F Ethernet Switch

 The RUGGEDCOM RS900F and RUGGEDCOM RS900GF (both in Figure 5) are fully-managed utility-grade Ethernet switches. The RS900F offers 6 10/100BaseTX ports with an option for 3 additional fiber or copper ports, while the RS900GF provides dual fiber optical Gigabit Ethernet ports and 8 Fast Ethernet copper ports. Both switches provide a high level of immunity to electromagnetic interference and heavy electrical surges typical of environments found on electric utility substations, plant floors, or in curbside traffic control cabinets. An operating temperature range of -40°C to +85°C (-40°F to +185°F), together with Hazardous Location certification (Class 1 Division 2), allows the RS900F and RS900GF to be placed in almost any location.

² IP66 – Ingress Protection Rating 66

³ IP67 – Ingress Protection Rating 67



Figure 5 – RUGGEDCOM RS900F (left) and RS900GF Ethernet Switches

• The **RUGGEDCOM RS940GF** (Figure 6) is a fully-managed Ethernet switch, providing 6 or 8 ports of Gigabit Ethernet. Six 10/100/1000BaseTX triple-speed copper ports are standard. An additional two Gigabit fiber or copper ports can be added. The RS940GF provides a way of connecting a cluster of field devices to a Gigabit Ethernet backbone. The RS940GF provides two fiber optical Gigabit Ethernet ports for creating a fiber optical backbone with high noise immunity and long haul connectivity.



Figure 6 – RUGGEDCOM RS940GF Ethernet Switch

• The **RUGGEDCOM RSG2100F** (Figure 7) and **RUGGEDCOM RSG2200F** (Figure 8) are modular Ethernet switches. The RSG2100F features up to 3 Gigabit Ethernet ports and up to 16 Fast Ethernet ports, while the RSG2200F offers up to 9 Gigabit Ethernet ports. Support for front or rear mount connectors, coupled with multiple fiber connector types (including SFP⁴, GBIC⁵, LC⁶, and SC⁷) without loss of port density, makes the RSG2100F and RSG2200F highly versatile and suitable for any application.

- ⁶ LC Lucent Connector
- ⁷ SC Subscriber Connector

⁴ SFP – Small Form-Factor Pluggable

⁵ GBIC – Gigabit Interface Converter



Figure 7 – RUGGEDCOM RSG2100F Ethernet Switch



Figure 8 – RUGGEDCOM RSG2200F Ethernet Switch

The RUGGEDCOM RSG2488F (Figure 9) is the first utility-grade, field-upgradable, Layer 2 Ethernet switch with hot-swappable dual redundant power supplies. The RSG2488F's modular flexibility provides up to 28 non-blocking ports that can be configured as 10/100/1000TX copper or 100FX/1000SX fiber. With its 1U⁸ form factor and vertical Gigabit loading design, the RSG2488F provides users with the flexibility and field maintenance simplicity needed to efficiently implement, maintain, and evolve a broadband local area network.



Figure 9 – RUGGEDCOM RSG2488F Ethernet Switch

Device management can be accomplished using the following three management interfaces:

- Web Interface An interactive web-based Graphical User Interface (GUI) over HTTPS⁹ accessible remotely over HTTPS via Ethernet ports and optical fiber ports
- Console Interface An interactive menu-based GUI accessible directly via a direct RS-232 serial console port or over SSH via Ethernet ports and optical fiber ports

⁸ U – Unit

⁹ HTTPS – Hypertext Transfer Protocol Secure

Console CLI¹⁰ – A full-featured command-based interface accessible after accessing the Console Interface • and pressing <CTRL+S>.

The RUGGEDCOM ROS-F Devices are validated at the FIPS 140-2 section levels shown in Table 1.

Section	Section Title	Level
1	Cryptographic Module Specification	2
2	Cryptographic Module Ports and Interfaces	2
3	Roles, Services, and Authentication	2
4	Finite State Model	2
5	Physical Security	2
6	Operational Environment	N/A
7	Cryptographic Key Management	2
8	EMI/EMC ¹¹	2
9	Self-tests	2
10	Design Assurance	2
11	Mitigation of Other Attacks	N/A

Table 1 – Security Level per FIPS 140-2 Section

2.2 **Module Specification**

The RUGGEDCOM ROS-F Devices are hardware cryptographic modules with a multiple-chip standalone embodiment. The overall security level of the modules is 2. The cryptographic modules consist of firmware and hardware components enclosed in a secure, industrially-hardened metal case. The hardware components include a main circuit board and power supplies, with some models being equipped with port interface boards. For all devices, the cryptographic boundary is defined as the outer edge of the chassis (illustrated by the red-dotted line shown in Figure 10 below).



Figure 10 – RUGGEDCOM ROS-F Device Cryptographic Boundary

¹⁰ CLI – Command Line Interface

¹¹ EMI/EMC – Electromagnetic Interference / Electromagnetic Compatibility

Each module is primarily composed of the following components:

- Processor
- SDRAM¹²
- Flash memory
- Ethernet switch chip
- LEDs¹³
- Failsafe relay

				_
Model	Processor	SDRAM	Flash	Ethernet Switch Chip
RS416F	1x Freescale ColdFire MCF5272 (66MHz ¹⁴ processor core)	32MB ¹⁵	8MB	1x Marvell 88E6095F
M2100F	1x Freescale ColdFire MCF5272 (66MHz processor core)	16MB	4MB	2x Marvell 88E6097F
M2200F	1x Freescale ColdFire MCF5272 (66MHz processor core)	16MB	4MB	1x Marvell 88E6185
M969F	1x Freescale ColdFire MCF5272 (66MHz processor core)	16MB	4MB	1x Marvell 88E6095F
RS900F	1x Freescale ColdFire MCF5272 (66MHz processor core)	16MB	4MB	1x Marvell 88E6095F
RS900GF	1x Freescale ColdFire MCF5272 (66MHz processor core)	16MB	4MB	1x Marvell 88E6095F
RS940GF	1x Freescale ColdFire MCF5272 (66MHz processor core)	16MB	4MB	1x Marvell 88E6185
RSG2100F	1x Freescale ColdFire MCF5272 (66MHz processor core)	16MB	4MB	2x Marvell 88E6097F
RSG2200F	1x Freescale ColdFire MCF5272 (66MHz processor core)	16MB	4MB	1x Marvell 88E6185
RSG2488F	1x Freescale PowerPC MPC8308 (400MHz processor core)	256MB	32MB	1x Broadcom BCM56143

Table 2 – RUGGEDCOM ROS-F Devices Hardware Components

In addition to the primary components listed above, the devices feature a modular design that makes them highly configurable. Each one is specially built according to customer specifications. Because of the modular design, the devices have numerous combinations of interfaces and networking capabilities. However, these customer-orderable components do not provide any additional cryptography-related services or logic. Instead, these

¹² SDRAM – Synchronous Dynamic Random Access Memory

¹³ LED – Light Emitting Diode

¹⁴ MHz – Megahertz

¹⁵ MB – Megabyte

components provide options for power and flexible network connectivity. Each available slot must be filled with a line card (or blank) in order to maintain the modules' physical security posture. The selection and configuration of components has no impact on the FIPS-related behavior of the modules. Validation testing was performed on the specific configuration(s) of each device as listed in Table 3 below.

Model	Component Configuration	Component Description
RS416F	A03, B03, C03, D03	4 x Fiber Serial Interface (ST Connector)
	A04, B04, C04, D04	4 x RS232/RS422/RS485 & IRIG-B via DB9 1
	A05, B05, C05, D05	4 x RS232/RS422/RS485 & IRIG-B via RJ45 1
	E01, F01	2 x 10/100Tx RJ45
	E14	1 x IRIG-B in, BNC, 1 x IRIG-B out, BNC (Slot 5 only)
M2100F	A02, B02, C02, D02, G02, H02, J02, K02	2 x 10FL - Multimode, 850nm, ST
	A03, B03, C03, D03, G03, H03, J03, K03	2 x 100FX - Multimode, 1310nm, ST
	A05, B05, C05, D05, G05, H05, J05, K05	2 x 100FX - Singlemode, 1310nm, ST, 20km
	E01	2 x 10/100/1000Tx, Micro-D
M2200F	A01, B01, C01, D01	2 x 10/100/1000Tx, Micro-D
	A02, B02, C02, D02	2 x 1000SX - Multimode, 850nm, LC, 500m
	A04, B04, C04, D04	2 x 1000LX - Singlemode, 1310nm, LC connectors, 25km
	A05, B05, C05, D05	2 x 10/100/1000Tx, Micro-D, with special short jackscrews
M969F	A09	2 x 1000SX Multimode, LC connectors 850nm
RS900F	A09 (Port 7/Port 8)	2 x 100FX - Multimode, 1300nm, ST connector, and 1 x 100FX - Singlemode, Standard 20km
	B03 (Port 9)	1 x 100FX - Multimode, 1300nm, SC connector
RS900GF	A04 (Port 9/Port 10)	Dual 1000LX Singlemode, LC 1310nm, 25km
RS940GF	A03	Dual 1000SX Multimode, LC 850nm 500m
RSG2100F	A01, B01, C01, D01, G01, H01, J01, K01	2 x 10/100Tx RJ45
	A02, B02, C02, D02, G02, H02, J02, K02	2 x 10FL- Multimode, 850nm, ST
	A03, B03, C03, D03, G03, H03, J03, K03	2 x 100FX- Multimode, 1300nm, ST
	A13, B13, C13, D13, G13, H13, J13, K13	2 x 100FX - Singlemode, 1310nm, LC, 90km
	A14, B14, C14, D14, G14, H14, J14, K14	2 x 10/100Tx micro-D
	E01	2 x 10/100/1000Tx RJ45

Table 3 – RUGGEDCOM ROS-F Devices Tested Configurations

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Model	Component Configuration	Component Description
	F02	1 x 1000SX - Multimode, 850nm, LC, 500m
RSG2200F	A01, B01, C01, D01	2 x 10/100/1000Tx RJ45
	A02, B02, C02, D02	2 x 1000SX - Multimode, 850nm, LC, 500m
	A06, B06, C06, D06	2 x 1000LX - Singlemode, 1310nm, LC connectors, 25 km
	A08, B08, C08, D08	2 x 1000SX SFP - Multimode, 850nm, LC, 500m
	E20	1 x 100FX - Multimode, 1300nm, MTRJ
RSG2488F	A01, B01, C01, D01, E01, F01	4 x 10/100/1000Tx RJ45
A04, B04, C04, D04, E04, F04 4 x 10/100/1000		4 x 10/100/1000Tx M12 X-Coded
	A05, B05, C05, D05, E05, F05	4 x 1000SX - Multimode, 850nm, LC, 500m
	A09, B09, C09, D09, E09, F09	4 x 1000SX SFP - Multimode, 850nm, LC, 500m
	A24, B24, C24, D24, E24, F24	4 x 1000LX Singlemode, 1310nm, LC, 25km
	A59	1 x Precision Time Protocol (PTP) - Module: GPS in, IRIG-B AM/TTL, IN/OUT
	G61, H61	2 x 10/100/1000Tx RJ45
	G64, H64	2 x 10/100/1000Tx M12 X-Coded

Appendix A specifies the non-security relevant line card components of the remaining configurations for each device that are excluded from FIPS 140-2 requirements. Each component of the line card, except the faceplate, is excluded from FIPS 140-2 requirements because they do not provide any security relevant functionality. Since tamper evident seals are applied and necessary for physical security protection, the faceplates are security relevant are required to meet the FIPS 140-2 requirements.

The modules implement the FIPS-Approved algorithms listed in Table 4 below.

CAVP Certificate		6 la ouith us	Chandard	Nada /Nathad	Key Lengths, Curves	llas	
PowerPC	ColdFire	Algorithm	Standard	Mode/Method	or Moduli	Use	
<u>4030</u> <u>403</u>	4037	4037		FIPS 197 NIST SP 800-38D	ECB ¹⁷ , CBC ¹⁸ , GCM ¹⁹	128, 256	Encryption/
			FIPS 197	CTR ²⁰	256	Decryption	
<u>2078</u>	<u>2072</u>	RSA ²¹	FIPS 186-4	-	2048, 3072	Key Generation	

Table 4 – FIPS-Approved Algorithm Implementations

¹⁶ AES – Advance Encryption Standard

¹⁷ ECB – Electronic Code Book

¹⁸ CBC – Cipher Block Chaining

¹⁹ GCM – Galois Counter Mode

²⁰ CTR – Counter

²¹ RSA – Rivest, Shamir, Adleman

CAVP Certificate		Algorithm	Standard Mode/Method		Key Lengths, Curves	Use
PowerPC	ColdFire	Aigorithm	Standard	widde/wiethidd	or Moduli	Use
				SHA-1, SHA-224, SHA-256, SHA-384, and SHA-512 (PKCS ²² #1 v1.5)	2048, 3072	Signature Generation and Verification
				SHA-256 (PSS ²³)	2048, 3072	Signature Verification
<u>899</u>	<u>903</u>	ECDSA	FIPS 186-4	-	P-256, P-384, P-521	Public Key Generation
<u>3336</u>	<u>3329</u>	SHS ²⁴	FIPS 180-4	SHA ²⁵ -1, SHA-224, SHA- 256, SHA-384, SHA-512	-	Message Digest
<u>2631</u>	<u>2635</u>	HMAC ²⁶	FIPS 198-1	SHA-1, SHA-224, SHA-256, SHA-384, and SHA-512	-	Message Authentication
<u>1204</u>	<u>1207</u>	DRBG ²⁷	NIST SP ²⁸ 800-90A	CTR_based	-	Deterministic Random Bit Generation
Vendor Affirmed	Vendor Affirmed	СКБ	NIST SP 800-133	-	-	Key Generation
<u>858</u>	<u>863</u>	CVL ²⁹ for ECC CDH ³⁰	NIST SP 800-56A	-	P-521	Key Agreement
<u>859</u>	<u>861</u>	CVL for Transport Layer Security (TLS) 1.0/1.1, 1.2	NIST SP 800-135	-	-	Key Derivation
		CVL for Secure Shell (SSH)	Rev.1			
<u>876</u>	<u>862</u>	CVL for RSA Decryption Primitive	FIPS 186-4	-	2048	Data Decryption

Note 1: The TLS and SSH protocols have not been reviewed or tested by the CAVP³¹ or CMVP.

Note 2: The CVL for TLS 1.0/1.1 does not allow testing of only one version of TLS. Because of this, TLS 1.0 is listed on the CAVP certificate but is not supported by the RUGGEDCOM ROS-F Devices.

Note 3: CAVP testing was performed on AES ECB mode but is not implemented in the module.

Note 4: SHA-1 shall not be used for digital signature generation with the exception as specified in SP 800-52 REV1 and SP 800-57 Part 3 REV1.

Note 5: CAVP testing was performed on HMAC with SHA-224 and SHA-512 but is not implemented in the module.

Note 6: In accordance with FIPS 140-2 IG D.12, the cryptographic module performs Cryptographic Key Generation (CKG) as per SP800-133 (vendor affirmed). The resulting generated symmetric key and the seed used in the asymmetric key generation are the unmodified output from SP800-90A DRBG.

The modules also employ the non-FIPS-Approved algorithms listed in Table 5 below (all of which are allowed for use in a FIPS-Approved mode of operation).

Table 5 – FIPS Allowed Algorithm Implementations

Algorithm	Caveat	Use
Diffie-Hellman (DH)	Key agreement; Key establishment methodology provides 112 bits of encryption strength	Used for key agreement during SSH and TLS (2048-bit keys)

²² PKCS – Public Key Cryptography Standard

²³ PSS – Probabilistic Signature Scheme

²⁴ SHS – Secure Hash Standard

²⁶ HMAC – (keyed-) Hashed Message Authentication Code

²⁷ DBRG – Deterministic Random Bit Generator

²⁸ SP – Special Publication

²⁹ CVL – Component Validation List

³⁰ ECC CDH – Elliptic Curve Cryptography Cofactor Diffie-Hellman ³¹ CAVP – Cryptographic Algorithm Validation Program

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²⁵ SHA – Secure Hash Algorithm

Algorithm	Caveat	Use
ECDH ³²	Key agreement; Key establishment methodology provides between 128 and 256 bits of encryption strength	Used for key agreement during SSH and TLS (supported curves of P-256, P-384, P-521)
RSA	Key encapsulation; Key establishment methodology provides 112 or 128 bits of encryption strength	Used for key establishment during TLS (2048-bit and 3072-bit keys)
Non-Deterministic Random Number Generator (NDRNG)	-	Used for gathering entropy (the module generates 384 bits of entropy for key generation) All operations requiring entropy are blocked and made to wait until at least 4,096 bits have been collected in the entropy pool. If 4,096 bits are present in the entropy pool, the bits will be extracted, hashed using SHA-384, and then the CTR_DRBG is seeded with 384 bits of entropy.

2.3 Module Interfaces

The modules' physical ports can be categorized into the following logical interfaces defined by FIPS 140-2:

- Data Input Interface
- Data Output Interface
- Control Input Interface
- Status Output Interface

Table 6 lists the physical ports/interfaces available in the switches and also provides the mapping from the physical ports/interfaces to logical interfaces as defined by FIPS 140-2.

Device	Physical Port/Interface	Quantity	FIPS 140-2 Logical Interface
	Serial port	up to 16	Data Input, Data Output
	Ethernet port	up to 4	Data Input, Data Output, Control Input, Status Output
	IRIG-B port (BNC connectors)*	2 or 4	Data Input, Data Output
	Mode button	1	Control Input
DC44CE	Failsafe relay	1	Status Output
RS416F	Port status indicator LED	20	Status Output
	Display mode LED (status, duplex, and speed)	3	Status Output
	Power LED	2	Status Output
	Alarm LED	1	Status Output
	Power supply port	2	Power
1424005	Serial console port	1	Data Input, Data Output, Control Input, Status Output
M2100F	Gigabit Ethernet port (copper or fiber)	up to 3	Data Input, Data Output, Control Input, Status Output

Table 6 – FIPS 140-2 Logical Interface Mappings

³² ECDH – Elliptic Curve Diffie-Hellman

Device	Physical Port/Interface	Quantity	FIPS 140-2 Logical Interface		
	Fast Ethernet port (copper or fiber)	up to 16	Data Input, Data Output, Control Input, Status Output		
	Mode button	1	Control Input		
	Failsafe relay	1	Status Output		
	Port status indicator LED	32	Status Output		
	Display mode LED (status, duplex, and speed)	3	Status Output		
	Power LED	2	Status Output		
	Alarm LED	1	Status Output		
	Power supply port	2	Power		
	Serial console port	1	Data Input, Data Output, Control Input, Status Output		
	Gigabit Ethernet port (copper or fiber)	up to 9	Data Input, Data Output, Control Input, Status Output		
	Mode button	1	Control Input		
	Failsafe relay	1	Status Output		
M2200F	Port status indicator LED	32	Status Output		
	Display mode LED (status, duplex, and speed)	3	Status Output		
	Power LED	2	Status Output		
	Alarm LED	1	Status Output		
	Power supply port	2	Power		
	Serial console port	1	Data Input, Data Output, Control Input, Status Output		
	Fast Ethernet port (copper)	8	Data Input, Data Output, Control Input, Status Output		
	Gigabit Ethernet port (fiber)	2	Data Input, Data Output, Control Input, Status Output		
MOCOF	Failsafe relay	1	Status Output		
M969F	Link LED	10	Status Output		
	Power LED	2	Status Output		
	Alarm LED	1	Status Output		
	M23 power supply connector	1	Power		
	Serial console port	1	Data Input, Data Output, Control Input, Status Output		
	Fast Ethernet port (copper)	6	Data Input, Data Output, Control Input, Status Output		
	Reset button	1	Control Input		
RS900F	Failsafe relay	1	Status Output		
	Power LED	1	Status Output		
	Alarm LED	1	Status Output		
	Power supply terminal block	1	Power		
	Serial console port	1	Data Input, Data Output, Control Input, Status Output		
DS000CT	Fast Ethernet port (copper)	8	Data Input, Data Output, Control Input, Status Output		
RS900GF	Gigabit Ethernet port (fiber)	2	Data Input, Data Output, Control Input, Status Output		
	Failsafe relay	1	Status Output		

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Device	Physical Port/Interface	Quantity	FIPS 140-2 Logical Interface		
	Power LED	1	Status Output		
	Alarm LED	1	Status Output		
	Power supply terminal block	1	Power		
	Serial console port	1	Data Input, Data Output, Control Input, Status Output		
	Gigabit Ethernet port (copper)	6	Data Input, Data Output, Control Input, Status Output		
	Gigabit Ethernet port (fiber or copper)*	2	Data Input, Data Output, Control Input, Status Output		
RS940GF	Failsafe relay	1	Status Output		
	Power LED	1	Status Output		
	Alarm LED	1	Status Output		
	Power supply terminal block	1	Power		
	Serial console port	1	Data Input, Data Output, Control Input, Status Output		
	Fast Ethernet port (copper or fiber)	up to 16	Data Input, Data Output, Control Input, Status Output		
	Gigabit Ethernet port (copper or fiber)	up to 3	Data Input, Data Output, Control Input, Status Output		
	Mode button	1	Control Input		
DCC24005	Failsafe relay	1	Status Output		
RSG2100F	Port status indicator LED	32	Status Output		
	Display mode LED (status, duplex, and speed)	3	Status Output		
	Power LED	2	Status Output		
	Alarm LED	1	Status Output		
	Power supply port	2	Power		
	Serial console port	1	Data Input, Data Output, Control Input, Status Output		
	Gigabit Ethernet port (copper and/or fiber)	up to 9	Data Input, Data Output, Control Input, Status Output		
	Mode button	1	Control Input		
	Failsafe relay	1	Status Output		
RSG2200F	Port status indicator LED	32	Status Output		
	Display mode LED (status, duplex, and speed)	3	Status Output		
	Power LED	2	Status Output		
	Alarm LED	1	Status Output		
	Power supply port	2	Power		
	Serial console port	1	Data Input, Data Output, Control Input, Status Output		
	Ethernet console port	1	Data Input, Data Output, Control Input, Status Output		
	Gigabit Ethernet port (copper and/or fiber)	up to 28	Data Input, Data Output, Control Input, Status Output		
RSG2488F	IRIG-B port (BNC connectors)*	4	Data Input, Data Output		
	GPS port (BNC connectors)*	1	Data Input		
	Failsafe relay	1	Status Output		
	Power module indicator LED	4	Status Output		

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Device	Physical Port/Interface	Quantity	FIPS 140-2 Logical Interface
	Alarm LED	1	Status Output
	Speed LED	1	Status Output
	Link/activity/sync LED	28	Status Output
	Power supply port	2	Power

* - optional

As described above, the modules have a number of LEDs that indicate various states and conditions. The descriptions for the LEDs are listed in Table 7 below.

Switch	LED	State	Description		
M2100F	Port Status	Green (Solid)	Link detected		
M2200F RSG2100F	Indicators (Status Mode)	Green (Blinking)	Link activity		
RSG2200F		Off	No link detected		
	Port Status	Green (Solid)	Full duplex mode		
	Indicators (Duplex Mode)	Orange (Solid)	Half-duplex mode		
		Off	No link detected		
	Port Status	Green (Solid)	The port is operating at 1000 Mbps ³³		
	Indicators (Speed Mode)	Green (Blinking)	The port is operating at 100 Mbps		
		Orange (Solid)	The port is operating at 10 Mbps		
		Off	No link detected		
	Display Mode	On	When Status mode is selected (ON), the Port Status Indicator LEDs		
	(Status)	Off	indicate when ports are active. When Status mode is not selected (OFF), these Port Status Indicator LEDs display either Duplex or Speed outputs.		
	Display Mode	On	When Duplex mode is selected (ON), the Port Status Indicator LEDs		
	(Duplex)	Off	indicate when ports are operating in full or half-duplex mode. When Duplex mode is not selected (OFF), these Port Status Indicator LEDs display either Status or Speed outputs.		
	Display Mode	On	When Speed mode is selected (ON), the Port Status Indicator LEDs		
	(Speed)	Off	indicate the port speed. When Speed mode is not selected (OFF), these Port Status Indicator LEDs display either Duplex or Status outputs.		
	Power	Green (On)	The power supply is installed and supplying power		
		Red (On)	The power supply fails		
		Off	No power supply is installed		
	Alarm	On	An alarm condition exists		
		Off	No alarm condition exists		

Table 7 – Module LED Descriptions

³³ Mbps – Megabits per second

Switch	LED	State	Description	
RS900F	Power	On	Power is being supplied to the device	
RS900GF RS940GF		Off	No power is being supplied to the device	
	Alarm	On	An alarm condition exists	
V1969F		Off	No alarm condition exists	
M969F	Link	Yellow (Solid)	Link detected	
		Yellow (Blinking)	Link activity	
		Off	No link detected	
	Power	On	Power is being supplied to the device	
		Off	No power is being supplied to the device	
	Alarm	Red (On)	An alarm condition exists	
		Off	No alarm condition exists	
RSG2488F	Power Module	On	Power is being supplied to the device	
	Indicator (Top)	Off	No power is being supplied to the device	
	Power Module	On	Power is being received by the module	
	Indicator (Bottom)	Off	No power is being received by the module	
	Alarm	On	An alarm condition exists	
		Off	No alarm condition exists	
	Speed (RJ45)	Yellow (Solid)	The port is operating at 1000 Mbps	
		Off	The port is operating at 10 or 100 Mbps	
	Link/Activity	Yellow (Solid)	Link established	
	(RJ45)	Yellow (Blinking)	Link activity	
		Off	No link detected	
	Link/Activity	Green (Solid)	Link established	
	(M12)	Green (Blinking)	Link activity	
		Off	No link detected	
	Sync (BNC)	Green (On)	Signal locked	
		Amber/Yellow (On)	Holdover	
		Red (On)	Error	
		Off	No signal detected	
RS416F	Port Status	Green (Solid)	Link detected	
	Indicators (Status Mode for	Green (Blinking)	Link activity	
	Ethernet ports)	Off	No link detected	
	Port Status	Green (Solid)	Full duplex mode	
	Indicators (Duplex Mode for	Orange (Solid)	Half-duplex mode	
	Ethernet ports)	Off	No link detected	
		Green (Solid)	The port is operating at 100 Mbps	

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Switch	LED	State	Description
	Port Status	Orange (Solid)	The port is operating at 10 Mbps
	Indicators (Speed Mode for Ethernet ports)	Off	No link detected
	Port Status	Green (Blinking)	Traffic detected
	Indicators (Status Mode for serial ports)	Off	No traffic
	Port Status	Green (Solid)	Full duplex mode
	Indicators (Duplex Mode for serial	Orange (Solid)	Half-duplex mode
	ports)	Off	No link detected
	Port Status	Green (Solid)	>19200 to <57600 bps
	Indicators (Speed Mode for serial	Green (Blinking)	57600 bps or higher
	ports)	Orange (Solid)	<19200 bps
		Off	No link detected
	Display Mode	On	When Status mode is selected (ON), the Port Status Indicator LEDs
	(Status)	Off	indicate when ports are active. When Status mode is not selected (OFF), these Port Status Indicator LEDs display either Duplex or Speed outputs.
	Display Mode	On	When Duplex mode is selected (ON), the Port Status Indicator LEDs
	(Duplex)	Off	indicate when ports are operating in full or half-duplex mode. When Duplex mode is not selected (OFF), these Port Status Indicator LEDs display either Status or Speed outputs.
	Display Mode	On	When Speed mode is selected (ON), the Port Status Indicator LEDs
	(Speed)	Off	indicate the port speed. When Speed mode is not selected (OFF), these Port Status Indicator LEDs display either Duplex or Status outputs.
	Power	Green (On)	The power supply is installed and supplying power
		Red (On)	The power supply fails
		Off	No power supply is installed
	Alarm	On	An alarm condition exists
		Off	No alarm condition exists
	RJ45 port (Speed)	Yellow	The port is operating at 1000 Mbps
		Off	The port is operating at 10 or 100 Mbps
	RJ45 port	Yellow (Solid)	Link established
	(Link/Activity)	Yellow (Blinking)	Link activity
		Off	No link detected
	Serial port	Green	Link activity detected
		Off	No link detected
		Off	No IRIG-B signal detected
		Red	Errors detected in received IRIG-B signal

Switch	LED	State	Description
	IRIG-B (BNC connections' Sync	Amber/Yellow	Holdover (GPS lock has been achieved, but the receiver no longer sees the minimum number of required satellites)
	LED)	Green	Received IRIG-B signal is good

2.4 Roles, Services, and Authentication

The sections below describe the modules' roles and services and define the authentication methods employed.

2.4.1 Authorized Roles

The modules support four roles that operators may assume: Crypto Officer (CO), User, Guest, and Maintenance.

<u>Crypto Officer</u> – The CO role is responsible for initializing the modules for first use (including the configuration of passwords, certificates, public and private keys, and other CSPs³⁴). The CO is also responsible for the management and zeroization of all keys and CSPs. The CO is the only operator that can configure the modules into FIPS-Approved mode of operation. (NOTE: This role designation maps to the modules' "Admin" account.)

The CO also has access to all User and Guest services.

- <u>User</u> The User role has the privileges to change basic settings, show module statistics, show the current status of the modules, clear statistics, and reset alarms. (**NOTE**: This role designation maps to the modules' "Operator" account.)
- <u>Guest</u> The Guest role has the read-only privileges and can view only a limited selection of settings. (**NOTE**: This role designation maps to the modules' "Guest" account.)
- <u>Maintenance</u> The Maintenance role has access to the module's hardware testing and diagnostics services. Please see Section 2.4.3 below for details.

Module operators can connect to the modules remotely via secure TLS and SSH sessions, while local sessions occur over the serial console port. The modules are capable of supporting multiple CO and multiple User/Guest operator sessions at any given time. Each remote session is secured by the session protocol and the operating system (OS) process and memory management functions, and is distinguished by session information. Local sessions are secured via the direct connection over the seral console port.

2.4.2 Operator Services

Descriptions of the services available to module operators in the normal operational mode are provided in the Table 8 below. The keys and CSPs listed in Table 8 indicate the type of access required using the following notation:

- R Read: The CSP is read.
- W Write: The CSP is established, generated, modified, or zeroized.
- X Execute: The CSP is used within an Approved or Allowed security function or authentication mechanism.

³⁴ CSP – Critical Security Parameter

		Operato	or				
Service	со	User	Guest	Description	Input	Output	Key/CSP and Type of Access
Manage the flash file system	~			View information about files in flash; defragment the flash file system	Command	Command response/ Status output	None
View product information	~	~	~	View information about the device	Command	Command response/ Status output	None
View CPU diagnostics	√	~	~	View CPU diagnostic information	Command and parameters	Command response/ Status output	None
View power supply status	~	~	~	View the current status of the power supplies	Command and parameters	Command response/ Status output	None
Restore factory defaults	~			Restore the module to its original factory default settings	Command and parameters	Command response	CO Password – W User Password – W Guest Password – W
Manage SSL ³⁵ certificates	~			Manage SSL certificates	Command and parameters	Command response/ Status output	CA Public Key – R/W TLS RSA Public Key – R/W TLS RSA Private Key – W
Manage SSH host key pairs	~			Add or update SSH host keys	Command and parameters	Command response/ Status output	SSH RSA Public Key – R/W SSH RSA Private Key – R/W
Manage SSH public keys	~			Add, view, update, or delete SSH public keys	Command and parameters	Command response/ Status output	SSH Public Key – R/W
Upload/download files	v			Transfer files between the module and a host computer using XMODEM via serial console	Command and parameters	Command response/ Status output	None
Manage logs	~			View, clear, configure, and manage local logs and logging	Command and parameters	Command response/ Status output	None
Manage Ethernet ports	~	~		View and clear Ethernet port status and statistics; configure, and reset Ethernet ports	Command and parameters	Command response/ Status output	None
Manage IP Interfaces	~			Configure the Management or Switch IP interfaces	Command and parameters	Command response/ Status output	None

Table 8 – Operational Mode Services

³⁵ SSL – Secure Sockets Layer

FIPS 140-2 Non-Proprietary Security Policy, Version 0.14

	Operator		or				
Service	со	User	Guest	Description	Input	Output	Key/CSP and Type of Access
Manage IP gateways	•			View, add, and delete IP gateways	Command and parameters	Command response/ Status output	None
Configure IP services	~			Configure the Management or Switch IP interfaces	Command and parameters	Command response/ Status output	None
Manage remote monitoring	v			Collect and view historical statistics related to the performance and operation of Ethernet ports	Command and parameters	Command response/ Status output	None
Reboot/Reset module	v	v		Reboot/reset the module via CLI (zeroizes keys/CSPs stored in SDRAM and performs self- tests)	Command	Command response/ Status output	All keys and CSPs stored in SDRAM – W
Clear data	~			Clear banner file, system log, and configuration data and zeroize keys/CSPs in flash	Command	Command response/ Status output	All keys and CSPs stored in flash and SDRAM (except the Firmware Load Authentication Key, CA ³⁶ Public Key, and SSH Public Key) – W
Configure system information	~			Configure basic information used to identify the device, its location, and its owner	Command and parameters	Command response/ Status output	None
Customize login screen	~			Set a custom welcome message	Command and parameters	Command response/ Status output	None
Manage users	~			Configure the three pre-defined user accounts	Command and parameters	Command response/ Status output	CO Password – R/W/X User Password – R/W/X Guest Password – R/W/X
Change password	~			Modify existing passwords	Command and parameters	Command response/ Status output	CO Password – R/W User Password – R/W Guest Password – R/W
Enable/disable the web interface	~			Enable/disable the web interface	Command and parameters	Command response/ Status output	None
Manage alarms	~	~		Set up, view, and reset device alarms	Command and parameters	Command response/ Status output	None
Manage configuration file	~			Download, store, and update device configuration file	Command and parameters	Command response/ Status output	None

FIPS 140-2 Non-Proprietary Security Policy, Version 0.14

	Operator						
Service	со	User	Guest	Description	Input	Output	Key/CSP and Type of Access
Configure DHCP Relay Agent	~			Set the DHCP server address and client ports	Command and parameters	Command response/ Status output	None
Manage VLANs ³⁷	~	~		View, configure, and manage VLANs	Command and parameters	Command response/ Status output	None
Manage Spanning Tree Protocol (STP)	v	~		Configure STP; view and clear STP statistics; manage multiple STP instances	Command and parameters	Command response/ Status output	None
Manage Classes of Service (CoS)	~			Configure CoS mappings	Command and parameters	Command response/ Status output	None
Manage Media Access Control (MAC) addresses	~	~		View MAC addresses; configure address learning and flooding options; manage static MAC addresses; purge dynamic MAC addresses	Command and parameters	Command response/ Status output	None
Manage time services	~			Manage time services	Command and parameters	Command response/ Status output	None
Manage network discovery	~	~		Configure LLDP ³⁸ ; enable/disable RCDP ³⁹ ; view LLDP statistics	Command and parameters	Command response/ Status output	None
Manage multicast filtering	~	~		View multicast groups; configure IGMP ⁴⁰ and GMRP ⁴¹ ; view, add, and delete static multicast groups	Command and parameters	Command response/ Status output	None
Manage port security	~	~		View authorized MAC address list; configure port security parameters; configure IEEE parameters	Command and parameters	Command response/ Status output	None

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³⁷ VLAN – Virtual Local Area Network

³⁸ LLDP – Link Layer Discovery Protocol

³⁹ RCDP – RUGGEDCOM Discovery Protocol

⁴⁰ IGMP – Internet Group Management Protocol

⁴¹ GMRP – Generic Attribute Registration Protocol (GARP) Multicast Registration Protocol

FIPS 140-2 Non-Proprietary Security Policy, Version 0.14

		Operat	or					
Service	со	User	Guest	Description	Input	Output	Key/CSP and Type of Access	
Manage link aggregation	~	~		View, add, and delete port trunks	Command and parameters	Command response/ Status output	None	
Enter maintenance mode (zeroize)	×			Reboot into the modules' maintenance mode (also zeroizes all keys and CSPs in flash and SDRAM except the Firmware Load Authentication Key, CA Public Key, and SSH Public Key)	Command and parameters	Command response	All keys and CSPs stored in flash and SDRAM (except the Firmware Load Authentication Key, CA Public Key, and SSH Public Key) – W	
Upgrade/downgrade firmware	~			Load new firmware and perform an integrity test using an RSA digital signature	Command	Command response/ Status output	Firmware Load Authentication Key – R/X	
Perform self-tests on-demand	~			Perform self-tests on-demand via CLI (zeroizes keys/CSPs stored in SDRAM)	Command	Command response/ Status output	All keys and CSPs stored in SDRAM – W	
Show FIPS operational mode	~	~	~	Show the operational mode of module via LEDs or remote terminal window	None	None	None	
Show settings/status	~	~	~	Show the system status, Ethernet status, alarms, system identification and configuration settings of the module	Command	Command response/ Status output	None	
Terminate sessions	~	~	~	Terminate an operator's own user session	Command	Command response/ Status output	None	
Run commands/scripts	~			Run commands or script files (text files containing a list of CLI commands to execute in sequence)	Command	Command response/ Status output	None	
Perform network diagnostics	~	~	~	Monitor connections, Ethernet ports, STP, and VLANs	Command	Command response/ Status output	None	

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Constan	Operator Description		Include	0.1			
Service	со	User	Guest	Description	Input	Output	Key/CSP and Type of Access
Establish TLS session	¥	¥	V	Establish web session using TLS and perform any of the services listed above	Command	Command response/ Status output	CO Password – X User Password – X Guest Password – X CA Public Key – R/X TLS RSA Public Key – R/W/X TLS RSA Private Key – W/X TLS DH Public Key – R/W/X TLS DH Private Key – W/X TLS ECDH Public Key – R/W/X TLS ECDH Private Key – W/X TLS Session Key – R/W/X TLS ECDSA Public Key – R/W/X TLS Authentication Key – R/W/X DRBG Key – R/W/X DRBG Seed – R/W/X Entropy Input String – R/W/X
Establish SSH session	¥	¥	¥	Establish remote session using SSH protocol and perform any of the services listed above	Command	Command response/ Status output	CO Password – X User Password – X Guest Password – X SSH Public Key – R/X SSH Authentication Key – R/W/X SSH Session Key – R/W/X SSH RSA Public Key – R/W/X SSH RSA Private Key – W/X SSH DH Public Key – R/W/X SSH DH Private Key – W/X SSH ECDH Public Key – R/W/X SSH ECDH Public Key – R/W/X SSH ECDA Public Key – R/W/X DRBG Key – R/W/X DRBG Seed – R/W/X Entropy Input String – R/W/X
Perform encryption/ decryption service	~	~	V	Encrypt or decrypt user data, keys, or management traffic	Command and parameters	Command response	TLS Session Key – X SSH Session Key – X IV – R/W DRBG Key – R/W/X DRBG 'V' Value – R/W/X DRBG Seed – R/W/X Entropy Input String – R/W/X
Authenticate data traffic	~	~	~	Authenticate user data or management traffic	Command and parameters	Command response	TLS Authentication Key – X SSH Authentication Key – X

All services listed in Table 8 above require the operator to assume an authorized role, and the operator must authenticate to the module prior to being granted access to any of these services. For a full listing of module services, please refer to the *Siemens RUGGEDCOM ROS-F v4.2.1.F User Guide available online and upon request from Siemens Customer Service.*

2.4.3 Maintenance Mode

The modules support a maintenance mode, which can only be accessed via the Console CLI shell. Maintenance mode consists of hardware testing and diagnostics services. The modules automatically boot into maintenance mode as a result of experiencing a critical error (see Section 2.9.4).

As part of the transition to maintenance mode, all configuration files, server certificates, and SSH host key pairs stored in flash are automatically zeroized. The module then performs a reboot into maintenance mode, automatically zeroizing all keys (excluding the SSH Public Key and CA Public Key) and CSPs stored in SDRAM. Further, all networking ports are placed in loopback, inhibiting all Ethernet traffic. The Web Interface will not respond, and remote logging will no longer occur.

Table 9 lists the services available while in the maintenance mode.

							Dev	vice	1			1		
Service	Description	Input	M2100F	M2200F	M969F	RS900F	RS900GF	RS940GF	RSG2100F	RSG2200F	RSG2488F	RS416F	Output	CSP and Type of Access
Test hardware	Run the built in hardware self-tests; verify all previously read hardware IDs remain the same	Command	~	~	~	~	~	~	~	~	~	~	Command response/ Status output	None
Clear device data	Clear the user data and logs (system and crash logs) from the device	Command	~	~	~	~	~	~	~	~	~	~	Command response/ Status output	None
Clear screen	Clear the screen	Command	~	~	~	~	~	~	~	~	~	~	Command response/ Status output	None
View file directory	Print a list of the file directories to the screen	Command	~	~	~	~	~	~	~	~	~	~	Command response/ Status output	None
Ethernet management	Manipulates Ethernet port PHY and forwarding capabilities; start a loopback test on the specified Ethernet port(s)	Command	~	~	~	~	~	~	~	~	~	~	Command response/ Status output	None
Terminate session	Terminate this command line session	Command	~	~	~	~	~	~	~	~	~	~	Command response/ Status output	None
Flash files	Flash file system commands	Command	~	~	~	~	~	~	~	~	~	~	Command response/ Status output	None
Help information	Print a list of all commands and their information	Command	~	~	~	~	~	~	~	~	~	~	Command response/ Status output	None
Test crypto	Execute cryptographic algorithm self-tests	Command									~		Command response/ Status output	None
Test BCM	BCM diagnostic shell commands	Command									~		Command response/ Status output	None

Table 9 – Maintenance Mode Services

Siemens RUGGEDCOM Ethernet Switches and RUGGEDCOM Serial Device Server

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							Dev	vice						
Service	Description	Input	M2100F	M2200F	M969F	RS900F	RS900GF	RS940GF	RSG2100F	RSG2200F	RSG2488F	RS416F	Output	CSP and Type of Access
Monitor BCM	Monitor BCM statistic counters	Command									~		Command response/ Status output	None
Test FPGA	Timesync FPGA debug interface; test Timesync FPGA	Command									~		Command response/ Status output	None
Test JTAG chain	Invoke self-tests on jtag chain; programs the PFGA	Command	~	~					~	~		~	Command response/ Status output	None
Test Marvell switch	Marvell Ethernet Switch register diagnostics	Command	~	~	~	~	~	~	~	~		~	Command response/ Status output	None
Display SFP information	Displays SFP device data	Command								~	~		Command response/ Status output	None
Test SPI	Run self-tests on SPI Flash device; read/write via SPI	Command	~		~	~	~	~	~	~	~	~	Command response/ Status output	None
Show user spurious interrupt count	Display user spurious interrupt count	Command	~		~	~	~	~	~	~	~	~	Command response/ Status output	None
Read file	Display the contents of a text file	Command	~		~	~	~	~	~	~	~	~	Command response/ Status output	None
Show version	Print software versions	Command	~		~	~	~	~	~	~	~	~	Command response/ Status output	None
Test watchdog	Provides the ability to test watchdog(s)	Command	~		~	~	~	~	~	~	~	~	Command response/ Status output	None
Test clock	Run clock synthesizer diagnostics; execute clock synthesizer self-test; run Real-Time Clock (RTC) register diagnostics and test the RTC memory	Command	~	~	~	~	~	~	~	~	~	~	Command response/ Status output	None
Test memory	Run EEPROM ⁴² diagnostics; execute EEPROM self-test; execute memory read/write tests; execute flash device self-test	Command	~	~	~	~	~	~	~	~	~	~	Command response/ Status output	None
Test temperature sensors	Execute temperature sensor self-test	Command	~		~	~	~	~	~	~	~	~	Command response/ Status output	None

⁴² EEPROM – Electrically Erasable Programmable Read-Only Memory

							Dev	vice						
Service	Description	Input	M2100F	M2200F	M969F	RS900F	RS900GF	RS940GF	RSG2100F	RSG2200F	RSG2488F	RS416F	Output	CSP and Type of Access
Test power supply	Execute power supply self- tests; clear data log in power supply board; run power supply sequencer register diagnostics; execute power supply sequencer self-test	Command	~	~	~	v	~	~	~	~	v	•	Command response/ Status output	None
Test LEDs	Execute LED self-tests; read and display LED Panel control registers	Command	~	~					~	~	~	~	Command response/ Status output	None
Test I2C43	Execute I2C self-tests; read/write via I2C	Command	~	~					~	~	~	~	Command response/ Status output	None
Test I/O ⁴⁴ pins	Execute I/O self-tests	Command	~	~	~	~	~	~	~	~	~	~	Command response/ Status output	None
Test SMI ⁴⁵	Use the SMI interface diagnostics; test SMI interface by writing and reading SMI device registers	Command	~		~	~	~	~	~	~	~	~	Command response/ Status output	None
Reset switch	Perform a hard reset of the module	Command	~	~	~	~	~	~	~	~	~	~	Command response/ Status output	None

As none of the available services modify, substitute, or disclose keys and CSPs, module operators assume a maintenance role implicitly when transitioning to the maintenance mode. However, the Crypto Officer can also directly access the maintenance mode. Direct access to this mode requires the use of the Crypto Officer's username and password, and is accomplished by doing the following:

- 1. Connect to the device via the Console Interface.
- 2. Log in to the device as a CO via the Console Interface.
- 3. Press **<CTRL+S>** to access the Console CLI shell.
- 4. At the Console CLI prompt, enter "factory".
- 5. When prompted, answer "yes" and enter the CO password.
- 6. At the Console CLI prompt, enter "maintenance".

To exit from the maintenance mode, the module must be reset/rebooted normally, and the CO must recommission the module to bring it back to a normal operational state.

⁴³ I2C – Inter-Integrated Circuit

⁴⁴ I/O – Input/Output

⁴⁵ SMI – Serial Management Interface

2.4.4 Additional Services

The modules provide a limited number of services for which the operator is not required to assume an authorized role. Table 10 lists the services for which the operator is not required to assume an authorized role. None of the services listed in the table modify, disclose, or substitute cryptographic keys and CSPs or otherwise affect the security of the modules.

Service	Description	Input	Output	Key/CSP and Type of Access
Authenticate operator	Log into the module	Command	Status output	CO Password – R/X User Password – R/X Guest Password – R/X
Reboot module	Reboot the module (zeroizes keys/CSPs stored in SDRAM and performs self-tests on demand)	Power cycle the module using power connectors or reset/mode button (where available)	Status output	All keys and CSPs stored in SDRAM – W

Table 10 – Additional Services

2.4.5 Authentication

The modules support role-based authentication. Role assumption is explicit, and is based on the authentication credential employed. Module operators must authenticate to the module to assume an authorized role and access module services. When changing roles, the operator must first log out of their current role, and then re-authenticate to the module to assume the new role.

The modules enforce protection mechanisms against brute force attacks. For each operator interface (TLS, SSH, and serial), the modules allow 10 password authentication failures in a period of 5 minutes (the first authentication attempt starts a 5-minute timer). Every authentication attempt on that interface from the 11th onward is rejected for the lockout period of 60 minutes, even if the password is correct. The failure counter resets to 0 once the timer expires. Thus, an operator can make a maximum of 30 total authentication attempts in 5 minutes. If all 30 attempts fail, then the operator account is locked out across all interfaces.

Operators can also authenticate to the module using RSA public keys when connecting via SSH or TLS. This key can be 2048 or 3072 bits in length. Table 11 provides the strength of the authentication mechanisms used by the modules.

Authentication Type	Strength
Password	Once properly configured, the minimum length of the password is 8 characters, with 94 different case-sensitive alphanumeric characters and symbols possible for usage. Assuming a minimum password length of 8 characters, the chance of a random attempt falsely succeeding is:
	1: (94 ⁸), or
	1: 6,095,689,385,410,816
	Which is less than 1:1,000,000 as required by FIPS 140-2.
	In a 5-minute window, the module limits the number of failed authentication attempts to 30 (10 per interface) before locking the account across all interfaces for 60 minutes. Thus, assuming all 30 attempts are made in one minute, the probability that a random attempt will succeed or a false acceptance will occur in one minute is: 1: (94 ⁸ / 30), or 1: 203,189,646,180,360
	Which is less than 1:100,000 as required by FIPS 140-2.
Public Key	The RSA public key used for public key authentication can be 2048 or 3072 bits, yielding an equivalent 112 or 128 bits of strength (respectively). Assuming the minimum key size, the chance of a random authentication attempt falsely succeeding is:
	1: (2 ¹¹²), or
	1: 5.1922968585348276285304963292201e+33
	Which is less than 1:1,000,000 as required by FIPS 140-2.
	The number of authentication attempts per minute is limited by the bandwidth available over the serial connection, which is a maximum of 115,200 bits per second (or 6,912,000 bits per minute). For a 112-bit key, this results in no more than 61,714 authentication attempts per minute. Thus, the probability that a random attempt will succeed or a false acceptance will occur in one minute is: 1: $(2^{112} / 61,714)$, or
	1: 84,134,829,350,468,736,891,637,170,321
	Which is less than 1:100,000 as required by FIPS 140-2.

Table 11 – Authenticatio	n Mechanism I	Used by	the Modules

The feedback of authentication data to a module operator is obscured during authentication. The modules provide feedback by displaying a "rounded dot" (\bullet) symbol when an operator is entering his password via the Web GUI, and an "x" symbol when using the Console Interface or Console CLI over a serial port. No feedback is provided when authenticating via the Console Interface or Console CLI over an SSH connection.

The modules provide the ability for an operator to change roles and require re-authentication of an operator to assume a new role. In order to change roles, an operator is required to first log out and then log in with an account with appropriate permissions for the desired role.

The modules do not allow the disclosure, modification, or substitution of authentication data to unauthorized operators. Only an authenticated CO can modify operator authentication credentials.

2.5 Physical Security

Each of the RUGGEDCOM ROS-F Devices consists of production-grade components that include standard passivation techniques. Each device is encased in a hard metal enclosure (the M969F and RSG2488F enclosures are cast aluminum, while the remaining switch/server enclosures are galvanized steel).

There are only a limited set of ventilation holes provided in the module enclosures. Internal baffles cover the ventilation holes, which makes it impossible to view internal components of the module. Tamper-evident seals are applied to the enclosures to provide physical evidence of unauthorized attempts to open the enclosure or remove module components. The tamper-evident seals must be inspected periodically for signs of tampering. The placement of the tamper-evident seals can be found in the Secure Operation section of this document.

Note that no additional labels are provided upon receipt. Further additional labels cannot be ordered from Siemens. Thus, if any evidence of tampering is observed on the module enclosures or tamper-evident seals, the modules shall be considered to be in a non-compliant state. Upon such discovery, the CO shall immediately take the module out of operation and return to Siemens.

2.6 **Operational Environment**

The modules employ a non-modifiable operating environment. Only the modules' ROS-F firmware is executed by their processors. The modules do not provide a general-purpose operating system to module operators.

Only the modules' firmware image can be executed. A method to update the firmware with a new digitally-signed image is provided. Prior to installing the new image, its associated 2048-bit RSA signature is checked. If the signature check is failed, the new firmware is ignored and the current firmware remains loaded. If the signature check is passed, the new image will be installed and executed after the device is reset. Any firmware loaded into this module that is not listed in this document is out of the scope of this validation and will mean that the module is not operating in a FIPS-Approved mode of operation.

2.7 Cryptographic Key Management

Table 12 below describes the keys and CSPs supported by the modules.

Key/CSP	Key/CSP Type	Generation / Input	Output	Storage	Zeroization	Use
Initialization Vector (IV) ⁴⁶	128-bit value	For encryption: Generated internally (using an Approved DRBG with a cryptographically- strong entropy source)	For encryption: exits the module in encrypted form	Plaintext in SDRAM	Reboot or session termination; on factory reset	Used with AES-CTR for encrypting or decrypting payload data between an authorized external entity and the module
		For decryption: Generated externally and enters the module in encrypted form	For decryption: never exits the module			
SSH Public Key	2048, 3072-bit RSA key	Public key of an external entity: Enters the module in plaintext	Never exits the module	Stored in a file on the flash memory in plaintext form	Command via CLI or when updated with a new one	Used for public key- based authentication
SSH Session Key	128, 256-bit AES key	Generated internally via DH or ECDH key agreement	Never exits the module	Plaintext in SDRAM	Reboot or session termination; on factory reset	Used for encryption or decryption of SSH session packets
SSH Authentication Key	160-bit HMAC key	Generated internally via DH or ECDH key agreement	Never exits the module	Plaintext in SDRAM	Reboot or session termination; on factory reset	Used for authentication of SSH session packets
TLS Session Key	128, 256-bit AES key	Generated internally via FIPS-Approved DRBG or entered into the module in encrypted form during TLS session negotiation	Never exits the module	Plaintext in SDRAM	Reboot or session termination; on factory reset	Used for encryption or decryption of TLS session packets

Table 12 – Cryptographic Keys, Cryptographic Key Components, and CSPs

⁴⁶ Generation of the IV follows technique #1 described in FIPS Implementation Guidance A.5.

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Key/CSP	Key/CSP Type	Generation / Input	Output	Storage	Zeroization	Use
TLS Authentication Key	160, 256, 384-bit HMAC key	Generated internally via FIPS-Approved DRBG or entered into the module in encrypted form during TLS session negotiation	Never exits the module	Plaintext in SDRAM	Reboot or session termination; on factory reset	Used for authentication of TLS session packets
CA Public Key	2048, 3072-bit RSA key	Enters the module in plaintext	Never exits the module	Stored in a file on the flash memory in plaintext form	When updated with a new one	Used to provide the chain of authority and authenticity for enabling SSL communications
TLS RSA Public Key	2048, 3072-bit key	The module's public key is generated internally or enters the module encrypted through an SFTP transfer; public key of an external entity enters the module in plaintext	The module's public key exits the module in plaintext; public key of an external entity never exits the module	Stored in a file on the flash memory in plaintext form	Command via CLI; when updated with a new one; on factory reset	Used for TLS key negotiation; TLS authentication, signature verification, and certificate generation
TLS RSA Private Key	2048, 3072-bit key	Internally generated using DRBG, entered into the module in encrypted form	Never exits the module	Stored in a file on flash memory with write- only permissions	Command via CLI or when updated with a new one; on factory reset	Used for TLS key negotiation; TLS authentication, signature and certificate generation
SSH RSA Public Key	2048, 3072-bit key	The module's public key is generated internally or enters the module encrypted through an SFTP transfer; public key of an external entity enters the module in plaintext	The module's public key exits the module in plaintext; public key of an external entity never exits the module	Stored in a file on the flash memory in plaintext form	Command via CLI; when updated with a new one	Used for SSH and SFTP key negotiation; SSH authentication, signature verification, and certificate generation

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Key/CSP	Key/CSP Type	Generation / Input	Output	Storage	Zeroization	Use
SSH RSA Private Key	2048, 3072-bit key	Internally generated using DRBG, entered into the module in encrypted form	Never exits the module	Stored in a file on flash memory with write- only permissions	Command via CLI or when updated with a new one	Used for SSH and SFTP key negotiation; SSH authentication, signature and certificate generation
TLS DH Public Key	2048-bit key	The module's public key is generated internally; public key of an external entity enters the module in plaintext	The module's public key exits the module in plaintext; public key of an external entity never exits the module	Plaintext in SDRAM	Reboot or session termination; on factory reset	Used for generation of TLS Session and Authentication keys
TLS DH Private Key	2048-bit key	Generated internally	Never exits the module	Plaintext in SDRAM	Reboot or session termination; on factory reset	Used for generation of TLS Session and Authentication keys
SSH DH Public Key	2048-bit key	The module's public key is generated internally; public key of an external entity enters the module in plaintext	The module's public key exits the module in plaintext; public key of an external entity never exits the module	Plaintext in SDRAM	Reboot or session termination	Used for generation of SSH Session and Authentication keys
SSH DH Private Key	2048-bit key	Generated internally	Never exits the module	Plaintext in SDRAM	Reboot or session termination	Used for generation of SSH Session and Authentication keys
TLS ECDH Public Key	Public key of ECDH protocol (supported curves of P-256, P-384, P-521)	The module's public key is generated internally; public key of an external entity enters the module in plaintext	The module's public key exits the module in plaintext; public key of an external entity never exits the module	Plaintext in SDRAM	Reboot or session termination; on factory reset	Used for generation of TLS Session and Authentication keys
TLS ECDH Private Key	Private key of ECDH protocol (supported curves of P-256, P-384, P-521)	Generated internally	Never exits the module	Plaintext in SDRAM	Reboot or session termination; on factory reset	Used for generation of TLS Session and Authentication keys

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Key/CSP	Key/CSP Type	Generation / Input	Output	Storage	Zeroization	Use
TLS ECDSA Public Key		The module's public key is generated internally; public key of an external entity enters the module in plaintext	exits the module in plaintext; public key of	Plaintext in SDRAM	Reboot or session termination; on factory reset	Used for generation of TLS Session and Authentication keys
SSH ECDH Public Key	Public key of ECDH protocol (supported curves of P-256, P-384, P-521)	The module's public key is generated internally; public key of an external entity enters the module in plaintext	The module's public key exits the module in plaintext; public key of an external entity never exits the module	Plaintext in SDRAM	Reboot or session termination	Used for generation of SSH Session and Authentication keys
SSH ECDH Private Key	Private key of ECDH protocol (supported curves of P-256, P-384, P-521)	Generated internally	Never exits the module	Plaintext in SDRAM	Reboot or session termination	Used for generation of SSH Session and Authentication keys
SSH ECDSA Public Key	Public key of ECDSA protocol (supported curves of P-256, P-384, P-521)	The module's public key is generated internally; public key of an external entity enters the module in plaintext	The module's public key exits the module in plaintext; public key of an external entity never exits the module	Plaintext in SDRAM	Reboot or session termination; on factory reset	Used for generation of SSH Session and Authentication keys
DRBG Seed	384-bit value	Generated internally using entropy input string	Never exits the module	Plaintext in SDRAM	Reboot or session termination; on factory reset	Used for generation of random numbers
Entropy Input String	256-bit value	Continually polled from various system resources to accrue entropy by NDRNG	Never exits the module	Plaintext in SDRAM	Reboot or session termination; on factory reset	Used for generation of random numbers
DRBG Key	256-bit AES key	Generated internally during DRBG instantiation	Never exits the module	Plaintext in SDRAM	Reboot; on factory reset	Internal state value used with the CTR_DRBG

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Key/CSP	Key/CSP Type	Generation / Input	Output	Storage	Zeroization	Use
DRBG 'V' Value	128-bit internal state value	Generated internally during DRBG instantiation	Never exits the module	Plaintext in SDRAM	Reboot; on factory reset	Internal state value used with the CTR_DRBG
CO Password	String of 8 – 19 characters (alphanumeric and special characters)	Initial password are hardcoded into the module; password changes entered into module via console, SSH, or TLS	Initially hardcoded password never exits the module; changed password never exits the module	Plaintext (hashed ⁴⁷) in flash memory and in SDRAM	Zeroized when the password is updated with a new one; changed password zeroized on factory reset	Used for authentication of the Crypto Officer
User Password	String of 8 – 19 characters (alphanumeric and special characters)	Initial password are hardcoded into the module; password changes entered into module via console, SSH, or TLS	Initially hardcoded password never exits the module; changed password never exits the module	Plaintext (hashed) in flash memory and in SDRAM	Zeroized when the password is updated with a new one; changed password zeroized on factory reset	Used for authenticating the User
Guest Password	String of 8 – 19 characters (alphanumeric and special characters)	Initial password are hardcoded into the module; password changes entered into module via console, SSH, or TLS	Initially hardcoded password never exits the module; changed password never exits the module	Plaintext (hashed) in flash memory and in SDRAM	Zeroized when the password is updated with a new one; changed password zeroized on factory reset	Used for authenticating the Guest
Firmware Load Authentication Key	Hardcoded RSA 2048- bit public key with SHA- 256	Hardcoded in release image	Never exits the module	Image in flash memory	The Flash location is write-protected in hardware at the factory (i.e., not writeable by end user) and is not zeroized.	Used for verification of RSA signature of firmware image digest

⁴⁷ Passwords are hashed and stored in the Flash memory. They are temporarily loaded into the memory in hashed form for comparison during a login.

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2.8 EMI / EMC

The RUGGEDCOM ROS-F Devices were tested and found conformant to the EMI/EMC requirements specified by 47 Code of Federal Regulations, Part 15, Subpart B, Unintentional Radiators, Digital Devices, Class A (business use).

2.9 Self-Tests

Cryptographic self-tests are performed automatically (without operator intervention) by each module during the boot sequence (at power-up, upon hot reboots, and after power cycles) and during runtime as certain conditions exist. While the module is in a self-test condition, all data output via the module's data output interfaces is inhibited.

The following sections list the self-tests performed by the modules, their expected error status, and error state recovery.

2.9.1 Power-Up Self-Tests

The RUGGEDCOM ROS-F Devices perform the following self-tests at power-up:

- Firmware integrity check with an Error Detection Code (SHA-256 hash)
- Known Answer Tests (KATs)
 - AES-CBC encrypt KAT
 - AES-CBC decrypt KAT
 - o AES-GCM encrypt KAT
 - AES-GCM decrypt KAT
 - AES-CTR encrypt KAT
 - AES-CTR decrypt KAT
 - o SHA-1, SHA-224, SHA-256, SHA-384, SHA-512 KAT
 - HMAC (with SHA-1, SHA-224, SHA-256, SHA-384, and SHA-512) KAT
 - Counter DRBG KAT
 - o RSA signature generation/verification KAT
 - Primitive "Z" computation KAT

As tests pass, a success message is written to the system log file (syslog.txt).

2.9.2 Conditional Self-Tests

The modules perform the following conditional self-tests:

- Continuous RNG test for NDRNG
- Continuous RNG test for DRBG
- RSA pairwise consistency test
- Firmware load test using RSA signature verification
- ECDH public key assurance test

2.9.3 Critical Functions Self-Tests

The DRBG Instantiate, Generate, Reseed, and Uninstantiate tests (described in Section 11.3 of NIST SP 800-90A) are performed by the modules at start-up or anytime the DRBG is instantiated.

In addition, the modules perform a series of entropy tests against their NDRBG to verify the correct operation of the entropy collection mechanism during module operation. This test suite consists of the following tests (performed at the frequency indicated):

- Repetition Count Test (at power-up, conditionally, and on-demand)
- Adaptive Proportion Test (at power-up and on-demand)
- Arithmetic Mean Value Test (at power-up and on-demand)
- Entropy Value Test (at power-up and on-demand)
- "Stuck-at-constant-failure" Test (conditionally, when seeding or reseeding the DRBG)

2.9.4 Self-Test Error Behavior and Recovery

If one of the power-up self-test fails, the module will enter a soft error state, and the following will occur:

- An error message appears.
- A failure message is written to the system log.
- An alarm indicator LED (if equipped) will blink 5 times.
- The device is automatically rebooted, clearing all keys and CSPs in SDRAM.

If the conditional firmware load test fails, the device will ignore the new image and continue operating with the currently-loaded image.

If one of the other conditional self-test fails, the module will enter a soft error state, and the following will occur:

- A failure message is written to the system log.
- An alarm indicator LED (if equipped) will blink 5 times.
- All open files are closed.
- The database is closed.
- The device is automatically rebooted, clearing all keys and CSPs in SDRAM.

Upon reboot, all power-up self-tests will be executed. If the failed self-test is not one of the power-up self-tests, the device will automatically perform the failed conditional self-test as well. Successful execution of the failed self-test will clear the soft error state, and the module will return to normal operation. Another self-test failure will trigger another reboot and recovery attempt.

The module will perform a maximum of 10 attempts at recovery. If no resolution is found after 10 attempts, the modules will reboot into a critical error state, where all cryptographic operations are halted and none of the modules' data output services are available for use. Additionally, the module is reset to the factory default configuration. Upon reset, the following data is zeroized:

- all configuration files
- server certificates and SSH host key pairs stored in flash
- keys and CSPs stored in SDRAM

However, if the module runs for an hour without experiencing an error or is power-cycled, the failure counter is reset to zero.

To clear the critical error state, the Crypto Officer must access the module via the local Console Interface. At this point, the CO can only view system logs or run hardware tests in an attempt to determine the cause of the self-test failure. If the CO cannot determine the cause of the critical error condition, they must contact Siemens Customer Support to resolve the issue and return the module to a normal operational state.

2.10 Mitigation of Other Attacks

This section is not applicable. The modules do not claim to mitigate any attacks beyond the FIPS 140-2 Level 2 requirements for this validation.

3. Secure Operation

The RUGGEDCOM ROS-F Devices meet Level 2 requirements for FIPS 140-2. The sections below describe how to place and keep the modules in the FIPS-Approved mode of operation.

3.1 Initial Setup

The modules are delivered in an operational state, but require initialization steps to be placed in the FIPS-Approved mode of operation. The CO is responsible for inspection, initialization, and security-relevant configuration and management activities for each module. To configure the modules for their FIPS-Approved mode of operation, the CO must:

- 1. Inspect all physical security mechanisms
- 2. Ensure insecure protocols are disabled
- 3. Replace the default passwords for all operator types
- 4. Provision SSH public key(s)
- 5. Replace the default SSH host key pair
- 6. Provision an SSL server certificate
- 7. Reboot the device

Detailed guidance for performing these configuration tasks can be found in the *Siemens RUGGEDCOM ROS-F* v4.2.1.F User Guide and in this FIPS 140-2 Security Policy. To initialize each module, follow the steps below to complete the initial setup.

- <u>Inspect all physical security mechanisms</u> The modules are delivered with all physical security mechanisms pre-installed. The CO shall ensure that the number of labels applied to each module is as follows:
 - M2100F/RSG2100F: 10
 - M2200F/RSG2200F: **8**
 - RS416F: 6
 - o M969F: 1
 - o RS900F: 5
 - RS900GF: 5
 - RS940GF: 5
 - o RSG2488F: 8

The CO shall inspect the modules to ensure that the proper number of mechanisms is in place and show no signs of tampering. Figure 11 through Figure 18 below show the label locations for each module.

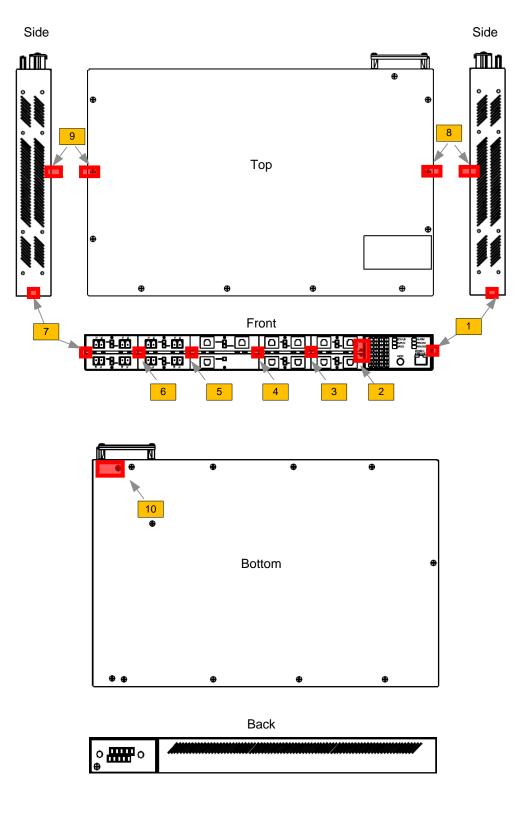
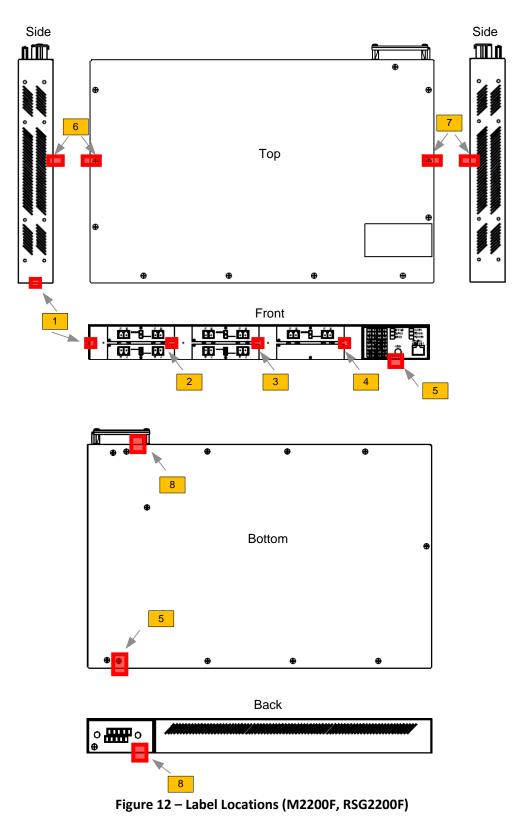
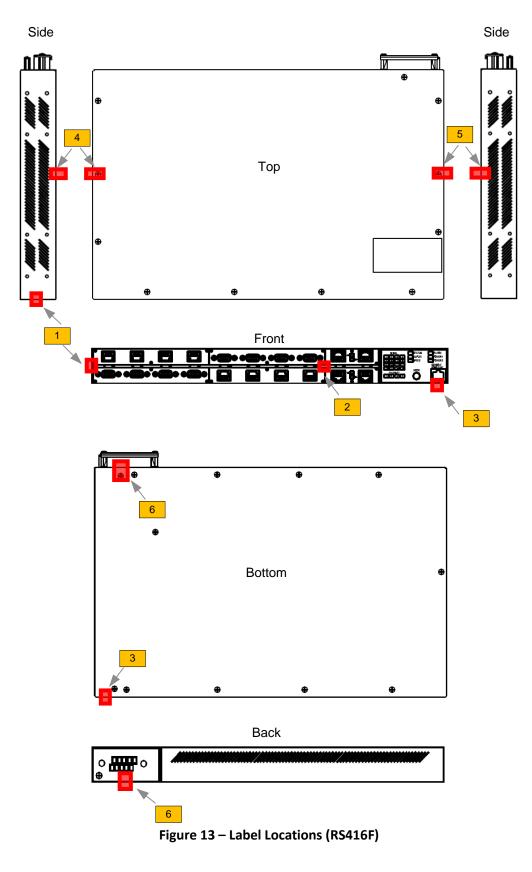


Figure 11 – Label Locations (M2100F, RSG2100F)





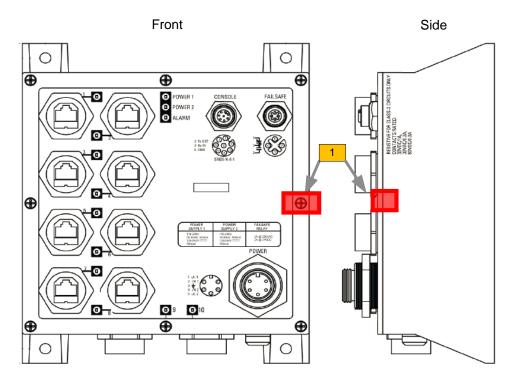


Figure 14 – Label Locations (M969F)

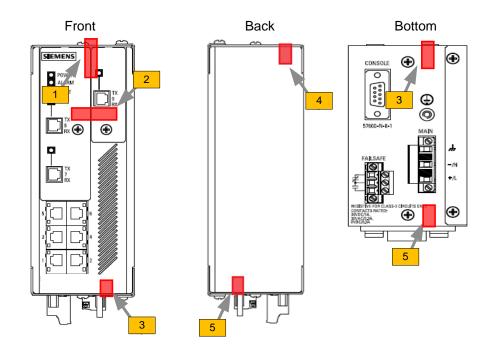


Figure 15 – Label Locations (RS900F)

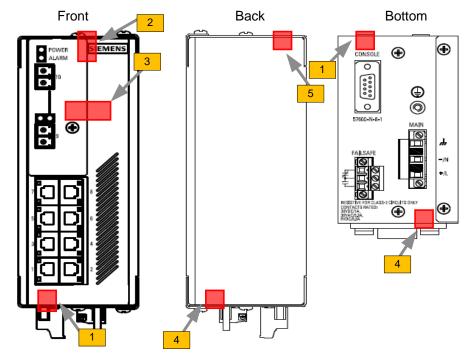


Figure 16 – Label Locations (RS900GF)

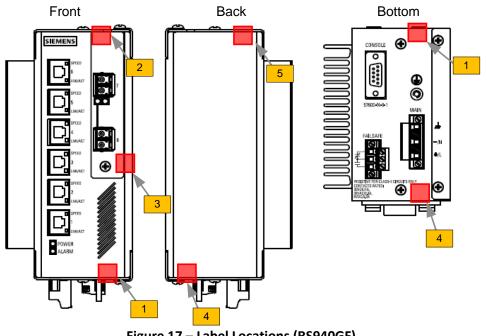
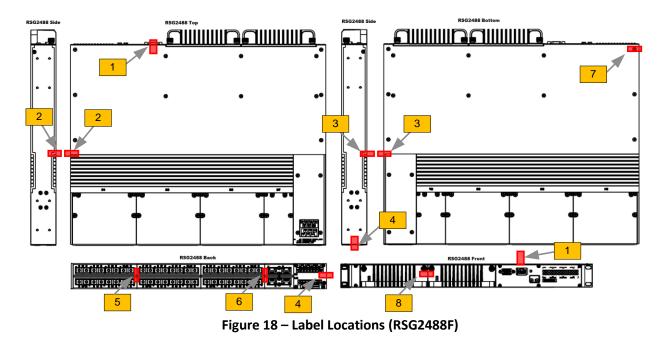


Figure 17 – Label Locations (RS940GF)



- <u>Ensure insecure protocols are disabled</u> The following insecure protocols are disabled by default:
 - RADIUS⁴⁸
 - o TACACS+⁴⁹
 - RSH⁵⁰
 - o Telnet
 - **FTP**⁵¹
 - ModBus management
 - Remote Syslog
 - SNMPv1, SNMPv2, and SNMPv3

The RUGGEDCOM Discovery Protocol (RCDP) is not disabled by default and must be disabled manually. The CO shall disabled RCDP using the following steps:

- 1. Navigate to *Network Discovery* » *RuggedCom Discovery Protocol* » *Configure RCDP Parameters*. The **RCDP Parameters** form appears.
- 2. Select **Disabled** to disable RCDP.
- 3. Click Apply.

The CO shall ensure that these protocols are set to "disabled" while operating in the FIPS-Approved mode.

- <u>Replace the default passwords for all operator types</u> The modules allow for up to three operator types to be configured locally on the device. The modules are delivered with default passwords pre-configured for each operator type. Prior to commissioning the modules, the CO shall replace the default passwords using the following steps:
 - 1. Log on to the device as the CO.

⁵¹ FTP – File Transfer Protocol

⁴⁸ RADIUS – Remote Authentication Dial-In User Service

⁴⁹ TACACS+ – Terminal Access Controller Access-Control System Plus

⁵⁰ RSH – Remote Shell

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- 2. Navigate to Administration » Configure Passwords. The Configure Passwords form appears.
- 3. For "Auth Type", select "local".
- 4. Enter the username and password for the "Guest", "Operator", and "Admin" accounts (see Section 3.2.5 below for password complexity policies).
- 5. For "Clear Private Data Option", select "Enabled".
- 6. For "Password Minimum Length", enter the value "8".
- 7. For "Max Failed Attempts", enter the value "10".
- 8. For "Lockout Time", enter the value "60 min".
- 9. For "Failed Attempts Window", enter the value "5 min".
- 10. Click Apply.
- <u>Provision SSH public key(s)</u> The modules can perform public key user authentication to establish secure remote sessions via SSH. SSH user public key entries are stored in a flash file called *sshpub.keys*. For FIPS mode, the CO shall create a public key file locally via a host computer and upload it directly to the *sshpub.keys* file, which will replace the content in flash with the uploaded content. Alternatively, the CO can upload the locally-created file to the *sshaddpub.keys* file, which will keep any existing entries in the *sshpub.keys* file and append the new entries.

The public key file shall be uploaded to the module using SFTP or Xmodem. To verify that the upload was successful, the CO can log into the CLI and check the system log.

Note that RUGGEDCOM ROS-F v4.2.1.F allows up to 16 key entries to be stored.

• <u>Replace the default SSH host key pair</u> – The modules are delivered with a pre-configured SSH host key pair stored in a flash file called *ssh.keys*. The CO shall replace the default key pair by (1) creating a valid key pair locally and uploading it to the *ssh.keys* file or (2) generating a new key pair.

To generate a new SSH host key pair using the following steps:

- 1. Log on to the device (using the Console Interface) as the CO.
- 2. Press **<CTRL+S>** to access the Console CLI shell.
- 3. At the Console CLI prompt, enter "sshkeygen rsa N", where N is the number of bits in length (2048 or 3072).
- <u>Provision an SSL server certificate</u> The modules use public key cryptography to provide secure Web access via TLS. For FIPS mode, the CO shall provision a CA certificate into the device trust store (*sslpub.certs*). Then, the CO shall create a unique SSL certificate and upload it to the modules. The SSL certificate must be signed by either a trusted third-party Certificate Authority (CA) or by an organization's own CA.

After these changes are complete, the CO shall reboot the device so the changes will go into effect. Once rebooted, the devices are properly configured for their FIPS-Approved mode of operation.

3.2 Crypto Officer Guidance

The Crypto Officer is responsible for ensuring that the modules are operating in their FIPS-Approved mode of operation. When configured according to the Crypto Officer guidance in this Security Policy, the modules only run in their FIPS-Approved mode of operation.

The Crypto Officer shall configure the modules via the Web GUI or Console Interface as prescribed in this Security Policy. Please refer to the Security Recommendations section of the *Siemens RUGGEDCOM ROS-F v4.2.1.F User Guide* for further details regarding this and other security-related guidance.

3.2.1 Monitoring Status

The CO shall be responsible for regularly monitoring the modules' status for FIPS-Approved mode of operation. When configured according to the Crypto Officer's guidance, the modules only operate in the FIPS-Approved mode.

The module's operational status is indicated with LEDs as described in Table 7 above. A CO logged in via the Web Interface or Console Interface can view the operational status on the remote terminal window.

3.2.2 Physical Inspection

For the modules to operate in their FIPS-Approved mode of operation, the pre-installed tamper-evident labels must be in place as specified in Section 3.1. Upon receipt, the CO shall inspect the module to ensure labels have been properly installed. Per FIPS 140-2 Implementation Guidance (IG) 14.4, the CO is also responsible for the following:

- Securing and having control at all times of any unused tamper-evident labels
- Direct control and observation of any changes to the module where the tamper-evident labels are removed or applied to ensure that the security of the module is maintained during such changes and that the module is returned to its Approved state

The CO is also required to periodically inspect the modules for evidence of tampering at intervals specified per end-user policy. The CO must visually inspect the tamper-evident seals for tears, rips, dissolved adhesive, and other signs of tampering. If evidence of tampering is found during periodic inspection, the CO must zeroize the keys and contact Siemens Customer Service for guidance.

3.2.3 On-Demand Self-Test Execution

Although power-up self-tests are performed automatically during module power up, they can also be manually launched on demand. Self-tests can be executed by power-cycling the modules, using the reset button (on devices so equipped), or executing any of the device reboot/reset commands. If one of the power-up self-tests fails, the devices will exhibit the behavior described in Section 2.9.1 above.

Additionally, the cryptographic algorithm self-tests can be launched directly by performing the following steps:

- 1. Log in to the device as a CO via the Console Interface.
- 2. Press **<CTRL+S>** to access the Console CLI shell.
- 3. At the Console CLI prompt, enter "factory".
- 4. When prompted, answer "yes" and enter the CO password.
- 5. At the Console CLI prompt, enter "cryptest".

Each cryptographic algorithm self-test will be run in sequence. If all tests pass, the following message will appear:

"Cryptographic algorithm self tests passed"

3.2.4 CSP Zeroization

To zeroize keys/CSPs in SDRAM, Crypto Officers and Users can perform the reset/reboot service by performing the following steps:

- 1. Log on to the device via the Web GUI.
- 2. Navigate to *Diagnostics* » *Reset Device*. The Reset Device form appears.
- 3. Click Confirm.

In order to zeroize all plaintext secret and private keys/CSPs in both flash and SDRAM, the CO shall access the modules using the maintenance mode (refer to Section 2.4.3 above for more details). Entering the "maintenance" CLI command will automatically zeroize all server certificates (*ssl.crt*), SSH host key pairs (*ssh.keys*), and device configuration (*config.csv*) files stored in flash. Upon completion of the deletion process, the module will perform an automatic reboot into maintenance mode, which will zeroize all keys and CSPs stored in SDRAM.

Once the "maintenance" command is invoked, the effect is immediate and will not allow sufficient time to compromise any stored plaintext CSPs.

3.2.5 Upgrading/Downgrading Firmware

The CO shall be responsible for upgrading or downgrading the modules' firmware as necessary. The RUGGEDCOM ROS-F Devices only accept firmware that has been digitally-signed by Siemens. Note the following policies:

- After the new firmware has been uploaded and passed the digital signature test, the CO shall reset the device to complete the installation.
- To verify the correct firmware version was installed, the CO shall access the Console CLI and enter "version" at the Console CLI prompt.
- When downgrading, the CO shall not downgrade the ROS-F firmware to a version prior to 4.2.1.F when encryption is enabled.
- Before downgrading, the CO shall restore the device to factory defaults.

The modules' operational status is indicated with LEDs as described in Table 7 above. A CO logged in via the Web Interface or Console Interface can view the operational status on the remote terminal window.

3.2.6 Password Complexity

Crypto Officers shall follow the password complexity policy below.

- The password must be between 8 and 19 characters in length.
- The password may contain any combination uppercase and lowercase letters, digits, and special characters, allowing for a total of 94 possible characters.
- A password must have:
 - At least one digit
 - At least one lower-case letter
 - At least one upper-case letter
 - At least one special character
- The password must <u>not</u> include the username or any four continuous characters found in the username. For example, if the username is "Subnet25", the password may not be "subnet25admin", "subnetadmin" or "net25admin". However, "net-25admin" and "Sub25admin" are permitted.
- The password must <u>not</u> have more than three continuously incrementing or decrementing numbers. For example, "Sub123" and "Sub19826" are permitted, but "Sub12345" is not.

3.3 User Guidance

While the CO is responsible for ensuring that the modules' physical security mechanisms are in place and that the devices are running in their FIPS-Approved mode of operation, Users should also monitor device status. Any changes in the status of the devices should immediately be reported to the Crypto Officer.

3.4 Additional Guidance and Usage Policies

The notes below provide additional guidance and policies that must be followed by module operators:

- <u>Use of insecure protocols</u> The following insecure protocols are disabled by default: RADIUS⁵², TACACS+⁵³, RSH⁵⁴, Telnet, FTP⁵⁵, ModBus management, Remote Syslog, SNMPv1, SNMPv2, and SNMPv3. To maintain compliance with FIPS requirements, these protocols shall not be enabled.
- <u>Line card replacement</u> As noted earlier, the RUGGEDCOM ROS-F Devices are modular by design. While most device configurations are fixed once they leave the factory, the RUGGEDCOM RSG2488F Ethernet Switch comes equipped with line cards that are field-replaceable. Operators in the field can order the desired line card(s) directly from Siemens Customer Support using the appropriate part numbers.

Because these line cards play a role in maintaining the module's physical security, they are secured in place using tamper-evident labels. Thus, replacing a line card necessitates the replacement of any tamper-evident label affixed to the line card as well. When an operator orders a line card, it will be delivered with the number of tamper-evident labels required for proper installation. Module operators must follow the guidance below to ensure continued compliance with FIPS requirements.

- 1. Zeroize all keys and CSPs on the module.
- 2. Remove power from the module.
- 3. Remove the line card to be replaced.
- 4. Remove any remaining bits of the now-broken tamper-evident label from the module chassis.
- 5. Install the replacement line card in the open slot.
- 6. Using isopropyl alcohol, clean the chassis surface in the area where the replacement tamperevident label will be placed.
- 7. Affix the replacement tamper-evident label to the chassis (refer to Figure 18 above for label locations). Allow 24 hours for the seal to fully cure.
- 8. Apply power to the module.

For more detailed line card removal and installation instructions, please refer to the *Siemens* RUGGEDCOM RSG2488F Hardware Installation Guide.

55 FTP – File Transfer Protocol

⁵² RADIUS – Remote Authentication Dial-In User Service

⁵³ TACACS+ – Terminal Access Controller Access-Control System Plus

⁵⁴ RSH – Remote Shell

3.5 Non-FIPS-Approved Mode

When configured according to the Crypto Officer guidance in this Security Policy, the modules do not support a non-FIPS-Approved mode of operation.

4. Acronyms

Table 13 provides definitions for the acronyms used in this document.

Table 13 – Acronyms			
Acronym	Definition		
AES	Advanced Encryption System		
°C	Celsius		
CA	Certificate Authority		
CAVP	Cryptographic Algorithm Validation Program		
CBC	Cipher Block Chaining		
СКG	Cryptographic Key Generation		
CLI	Command Line Interface		
CMVP	Cryptographic Module Validation Program		
со	Crypto Officer		
CoS	Class of Service		
CSE	Communications Security Establishment		
CSP	Critical Security Parameter		
CTR	Counter		
CVL	Component Validation List		
DHCP	Dynamic Host Control Protocol		
DNS	Domain Name System		
DRBG	Deterministic Random Bit Generator		
EC	Elliptic Curve		
ECB	Electronic Code Book		
ECDH	Elliptic Curve Diffie-Hellman		
EEPROM	Electrically Erasable Programmable Read-Only Memory		
EMC	Electromagnetic Compatibility		
EMI	Electromagnetic Interference		
°F	Fahrenheit		
FTP	File Transfer Protocol		
GB	Gigabytes		
GBIC	Gigabit Interface Converter		
GCM	Galois Counter Method		
GUI	Graphical User Interface		
НМАС	(keyed-) Hash Message Authentication Code		
HTTPS	Hypertext Transfer Protocol Secure		

Table 13 – Acronyms

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Acronym	Definition
I2C	Inter-Integrated Circuit
I/O	Input/Output
IED	Intelligent Electronic Device
IEEE	Institute of Electrical and Electronics Engineers
IP	Internet Protocol
IP66	Ingress Protection Rating 66
IP67	Ingress Protection Rating 67
IRIG	Inter-Range Instrumentation Group
КАТ	Known Answer Test
LC	Lucent Connector
LED	Light Emitting Diode
MAC	Media Access Control
MB	Megabytes
Mbps	Megabits per second
MHz	Megahertz
MIL-STD	Military Standard
NDRNG	Non-Deterministic Random Number Generator
NIST	National Institute of Standards and Technology
NTP	Network Time Protocol
OS	Operating System
PCle	Peripheral Component Interconnect Express
PKCS	Public Key Cryptography Standard
PSS	Probabilistic Signature Scheme
RADIUS	Remote Authentication Dial-In User Service
RCDP	RUGGEDCOM Discovery Protocol
ROS	Rugged Operating System
RSA	Rivest, Shamir, Adleman
RSH	Remote Shell
SC	Subscriber Connector
SDRAM	Synchronous Dynamic Random Access Memory
SFP	Small Form-Factor Pluggable
SHA	Secure Hash Algorithm
SHS	Secure Hash Standard
SMI	Serial Management Interface
SNMP	Simple Network Management Protocol
SP	Special Publication

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Acronym	Definition
SSH	Secure Shell
SSL	Secure Sockets Layer
STP	Spanning Tree Protocol
TACACS+	Terminal Access Controller Access-Control System Plus
TLS	Transport Layer Security
U	Unit
VLAN	Virtual Local Area Network

5. Appendix A

This section lists the specific configurations for each device that were not tested as part of this validation. The following sections specify the non-security relevant line card components and the configurations of each device. Note that these components, except the faceplates, are excluded from FIPS 140-2 requirements.

5.1 RSG2100F

Table 14 below lists RSG2100F Module's excluded configurations.

Component Configuration	Component Description
A04, B04, C04, D04, G04, H04, J04, K04	2 x 100FX - Multimode, 1300nm, SC
A05, B05, C05, D05, G05, H05, J05, K05	2 x 100FX - Multimode, 1300nm, LC
A06, B06, C06, D06, G06, H06, J06, K06	2 x 100FX - Multimode, 1300nm, MTRJ
A07, B07, C07, D07, G07, H07, J07, K07	2 x 100FX - Singlemode, 1310nm, ST, 20km
A08, B08, C08, D08, G08, H08, J08, K08	2 x 100FX - Singlemode, 1310nm, SC, 20km
A09, B09, C09, D09, G09, H09, J09, K09	2 x 100FX - Singlemode, 1310nm, LC, 20km
A10, B10, C10, D10, G10, H10, J10, K10	2 x 100FX - Singlemode, 1310nm, SC, 50km
A11, B11, C11, D11, G11, H11, J11, K11	2 x 100FX - Singlemode, 1310nm, LC, 50km
A12, B12, C12, D12, G12, H12, J12, K12	2 x 100FX - Singlemode, 1310nm, SC, 90km
E02	2 x 1000SX - Multimode, 850nm, LC, 500m
E03	2 x 1000LX - Singlemode, 1310nm, SC connectors, 10km
E04	2 x 1000LX - Singlemode, 1310nm, LC connectors, 10km
E05	2 x 1000LX - Singlemode, 1310nm, SC connectors, 25km
E06	2 x 1000LX - Singlemode, 1310nm, LC connectors, 25km
E07	2 x 1000LX SFP - Blank
E08	2 x 1000SX SFP, Multimode, 850nm, LC, 500m
E09	2 x 1000LX SFP, Singlemode, 1310nm, LC, 10km
E10	2 x 1000LX SFP, Singlemode, 1310nm, LC, 25km
E11	2 x 1000LX SFP, Singlemode, 1550nm, LC, 70km
E12	2 x 1000LX GBIC
E13	2 x 1000LX GBIC, Singlemode, 1310nm, SC, 10km
E14	2 x 1000LX GBIC, Singlemode, 1310nm, SC, 25km
E15	2 x 1000LX GBIC, Singlemode, 1550nm, SC, 70km
E16	2 x 10/100/1000TX micro-D
E17	2 x 1000TX, SFP, RJ45

Table 14 – RSG2100F Excluded Configurations

Siemens RUGGEDCOM Ethernet Switches and RUGGEDCOM Serial Device Server

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Component Configuration	Component Description
F01	1 x 10/100/1000Tx RJ45
F03	1 x 1000LX - Singlemode, 1300 nm, SC connectors, 10km
F04	1 x 1000LX - Singlemode, 1300 nm, LC connectors, 10km
F05	1 x 1000LX - Singlemode, 1300 nm, SC connectors, 25km
F06	1 x 1000LX - Singlemode, 1300 nm, LC connectors, 25km
F07	1 x 1000LX SFP - Blank
F08	1 x 1000LX SFP - Multimode, 850nm, LC, 500m
F09	1 x 1000LX SFP - Singlemode, 1310nm, LC,10km
F10	1 x 1000LX SFP - Singlemode, 1310nm, LC, 25km
F11	1 x 1000LX SFP - Singlemode, 1550nm, LC, 70km
F12	1 x 1000LX GBIC - Blank
F13	1 x 1000LX GBIC - Singlemode, 1310nm, SC, 10km
F14	1 x 1000LX GBIC - Singlemode, 1310nm, SC, 25km
F15	1 x 1000LX GBIC - Singlemode, 1550nm, SC, 70km
F16	1 x 10/100/1000TX micro-D

5.2 M2100F

Table 15 below lists M2100F Module's excluded configurations.

Component Configuration	Component Description
A01, B01, C01, D01, G01, H01, J01, K01	2 x 10/100Tx Micro-D
A04, B04, C04, D04, G04, H04, J04, K04	2 x 100FX - Multimode, 1310nm, LC
A06, B06, C06, D06, G06, H06, J06, K06	2 x 100FX - Singlemode, 1310nm, LC, 20km
A07, B07, C07, D07, G07, H07, J07, K07	2 x 100FX - Singlemode, 1310nm, LC, 50km
A08, B08, C08, D08, G08, H08, J08, K08	2 x 100FX - Singlemode, 1310nm, LC, 90km
E02	2 x 1000SX - Multimode, 850nm, LC, 500m
E03	2 x 1000LX - Singlemode, 1310nm, LC connectors, 10km
E04	2 x 1000LX - Singlemode, 1310nm, LC connectors, 25km
F01	1 x 10/100/1000Tx, Micro-D
F02	1 x 1000SX - Multimode, 850nm, LC, 500m
F03	1 x 1000LX - Singlemode, 1310nm, LC connectors, 10km
F04	1 x 1000LX - Singlemode, 1310nm, LC connectors, 25km

Table 15 – M2100F Excluded Configurations

5.3 RSG2200F

Table 16 below lists RSG2200F Module's excluded configurations.

Component Configuration	Component Description
A03, B03, C03, D03	2 x 1000LX - Singlemode, 1310nm, SC connectors, 10 km
A04, B04, C04, D04	2 x 1000LX - Singlemode, 1310nm, LC connectors, 10 km
A05, B05, C05, D05	2 x 1000LX - Singlemode, 1310nm, SC connectors, 25 km
A07, B07, C07, D07	2 x 1000LX SFP - Blank
A09, B09, C09, D09	2 x 1000LX SFP - Singlemode, 1310nm, LC, 10km
A10, B10, C10, D10	2 x 1000LX SFP- Singlemode, 1310nm, LC, 25km
A11, B11, C11, D11	2 x 1000LX SFP - Singlemode, 1550nm, LC, 70km(2)
A12, B12, C12, D12	2 x 1000LX GBIC- Blank,
A13, B13, C13, D13	2 x 1000LX GBIC- Singlemode, 1310nm, SC, 10km
A14, B14, C14, D14	2 x 1000LX GBIC - Singlemode, 1310nm, SC, 25km
A15, B15, C15, D15	2 x 1000LX GBIC - Singlemode, 1550nm, SC, 70km(2)
A16, B16, C16, D16	2 x 100FX - Multimode, 1300nm, ST
A17, B17, C17, D17	2 x 100FX - Multimode, 1300nm, SC
A18, B18, C18, D18	2 x 100FX - Multimode, 1300nm, LC
A19, B19, C19, D19	2 x 100FX - Multimode, 1300nm, MTRJ
A20, B20, C20, D20	2 x 100FX - Singlemode, 1310nm, ST, 20km
A21, B21, C21, D21	2 x 100FX - Singlemode, 1310nm, SC, 20km
A22, B22, C22, D22	2 x 100FX - Singlemode, 1310nm, LC, 20km
A23, B23, C23, D23	2 x 100FX - Singlemode, 1310nm, SC, 50km
A24, B24, C24, D24	2 x 100FX - Singlemode, 1310nm, LC, 50km
A25, B25, C25, D25	2 x 100FX - Singlemode, 1310nm, SC, 90km
A26, B26, C26, D26	2 x 100FX - Singlemode, 1310nm, LC, 90km
A27, B27, C27, D27	2 x 1000TX, SFP, RJ45
E01	1 x 10/100/1000 Tx RJ45
E02	1 x 1000SX - Multimode, 850nm, LC, 500m
E03	1 x 1000LX - Singlemode, 1310nm, SC connectors, 10km
E04	1 x 1000LX - Singlemode, 1310nm, LC connectors, 10km
E05	1 x 1000LX - Singlemode, 1310nm, SC connectors, 25km
E06	1 x 1000LX - Singlemode, 1310nm, LC connectors, 25km

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Component Configuration	Component Description
E07	1 x 1000LX SFP - Blank
E08	1 x 1000SX SFP -Multimode, 850nm, LC, 500m
E09	1 x 1000LX SFP -Singlemode, 1310nm, LC, 10km
E10	1 x 1000LX SFP -Singlemode, 1310nm, LC, 25km
E11	1 x 1000LX SFP -Singlemode, 1550nm, LC, 70km
E13	1 x 1000LX GBIC - Blank
E14	1 x 1000LX GBIC -Singlemode, 1310nm, SC, 10km
E15	1 x 1000LX GBIC -Singlemode, 1310nm, SC, 25km
E16	1 x 1000LX GBIC -Singlemode, 1550nm, SC, 70km
E17	1 x 100FX - Multimode, 1300nm, ST
E18	1 x 100FX - Multimode, 1300nm, SC
E19	1 x 100FX - Multimode, 1300nm, LC
E21	1 x 100FX - Singlemode, 1310nm, ST, 20km
E22	1 x 100FX - Singlemode, 1310nm, SC, 20km
E23	1 x 100FX - Singlemode, 1310nm, LC, 20km
E24	1 x 100FX - Singlemode, 1310nm, SC, 50km
E25	1 x 100FX - Singlemode, 1310nm, LC, 50km
E26	1 x 100FX - Singlemode, 1310nm, SC, 90km
E27	1 x 100FX - Singlemode, 1310nm, LC, 90km

5.4 M2200F

Table 17 below lists M2200F Module's excluded configurations.

Table 17 – M2200F Excluded Configurations

Component Configuration	Component Description
A03, B03, C03, D03	2 x 1000LX - Singlemode, 1310nm, LC connectors, 10km
E01	1 x 10/100/1000Tx, Micro-D
E02	1 x 1000SX - Multimode, 850nm, LC, 500m
E03	1 x 1000LX - Singlemode, 1310nm, LC connectors, 10km
E04	1 x 1000LX - Singlemode, 1310nm, LC connectors, 25km
E05	1 x 10/100/1000Tx, Micro-D, with special short jackscrews

5.5 RSG2488F

Table 18 below lists RSG2488F Module's excluded configurations.

Component Configuration	Component Description
A02, B02, C02, D02, E02, F02	4 x 10/100/1000Tx FastConnect
A03, B03, C03, D03, E03, F03	4 x 10/100/1000Tx M12 A-Coded
A06, B06, C06, D06, E06, F06	4 x 1000LX - Singlemode, 1310nm, SC , 10km
A07, B07, C07, D07, E07, F07	4 x 1000LX - Singlemode, 1310nm, LC , 10km
A08, B08, C08, D08, E08, F08	4 x Blank SFP
A10, B10, C10, D10, E10, F10	4 x 1000LX SFP - Singlemode, 1310nm, LC, 10km
A11, B11, C11, D11, E11, F11	4 x 1000LX SFP - Singlemode, 1300nm, LC, 25km
A12, B12, C12, D12, E12, F12	4 x 1000LX SFP - Singlemode, 1550nm, LC, 70km
A13, B13, C13, D13, E13, F13	4 x 100FX - Multimode, 1300nm, ST, 2 km
A14, B14, C14, D14, E14, F14	4 x 100FX - Multimode, 1300nm, SC, 2km
A15, B15, C15, D15, E15, F15	4 x 100FX - Singlemode, 1310nm, ST, 20km
A16, B16, C16, D16, E16, F16	4 x 100FX - Singlemode, 1310nm, SC, 20km
A17, B17, C17, D17, E17, F17	4 x 100FX Singlemode, 1310nm, LC, 20km
A18, B18, C18, D18, E18, F18	4 x 100FX Singlemode, 1310nm, SC, 50km
A19, B19, C19, D19, E19, F19	4 x 100FX - Multimode, 1300nm, LC, 2km
A20, B20, C20, D20, E20, F20	4 x 100FX Singlemode, 1310nm, LC, 50km
A21, B21, C21, D21, E21, F21	4 x 100FX Singlemode, 1310nm, SC, 90km
A22, B22, C22, D22, E22, F22	4 x 100FX Singlemode, 1310nm, LC, 90km
A23, B23, C23, D23, E23, F23	4 x 1000LX Singlemode, 1310nm, SC, 25km
G62, H62	2 x 10/100/1000Tx FastConnect
G63, H63	2 x 10/100/1000Tx M12 A-Coded
G65, H65	2 x Blank SFP
G67, H67	2 x 1000SX SFP - Multimode, 850nm, LC, 500m
G68, H68	2 x 1000LX SFP - Singlemode, 1310nm, LC, 10km
G69, H69	2 x 1000LX SFP - Singlemode, 1310nm, LC, 25km
G70, H70	2 x 1000LX SFP - Singlemode, 1310nm, LC, 70km
G71, H71	2 X 100FX SFP - Multimode, 1310nm, LC 2km

5.6 M969F

Table 19 below lists M969F Module's excluded configurations.

Component Configuration	Component Description
A01	1x100FX Multimode, LC connectors 1300nm - no ports
A02	1x1000SX Multi Mode, LC connectors 850nm -no ports
A03	1x100FX Single Mode, LC connectors 20km -no ports
A04	2x100FX Multimode, LC connectors 1300nm
A05	1x100FX Singlemode, LC connectors 90km -no ports
A06	1x100FX Singlemode LC connectors 50km -no ports
A07	1x1000LX Singlemode, LC connectors 10km -no ports
A08	1x1000LX Singlemode, LC connectors 25km -no ports
A10	2x100FX Singlemode, LC connectors 90km
A11	2x100FX Singlemode, LC connectors 20km
A12	2x100FX Singlemode, LC connectors 50km
A13	2x1000LX Singlemode, LC connectors 10km
A14	2x1000LX Singlemode, LC connectors 25km

Table 19 – M969F Excluded Configuration	Table 19 –	M969F	Excluded	Configuration
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5.7 RS900F

Table 20 below lists RS900F Module's excluded configurations.

Component Configuration	Component Description
A01	2 x 10/100TX,
A02	1 x 100FX - Multimode, 1300nm, MTRJ connector, and 1x no port
A03	2 x 100FX - Multimode, 1300nm, MTRJ connector
A04	1 x 100FX - Multimode, 1300nm, SC connector, and 1x no port
A05	2 x 100FX - Multimode, 1300nm, SC connector
A06	1 x 100FX - Multimode, 1300nm, SC connector, and 1 x 100FX - Singlemode, Standard 20km
A07	1 x 100FX - Multimode, 1300nm, ST connector, and 1x no port
A08	2 x 100FX - Multimode, 1300nm, ST connector
A10	1 x 100FX - Multimode, 1300nm, ST connector, and 1 x 100FX - Singlemode, Standard 20km
A11	1 x 100FX - Multimode, 1300nm, LC connector, and 1x no port
A12	2 x 100FX - Multimode, 1300nm, LC connector

Table 20 – RS900F Excluded Configurations

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Component Configuration	Component Description
A13	1 x 100FX - Multimode, 1300nm, LC connector, and 1 x 100FX - Singlemode, Standard 20km
A14	1 x 100FX - Singlemode, 1310nm, ST connector, Standard 20km, and 1x no port
A15	2 x 100FX - Singlemode,1310nm, ST connector, Standard 20km
A16	1 x 100FX - Singlemode, 1310nm, LC connector, Standard 20km, and 1x no port
A17	2 x 100FX - Singlemode, 1310nm, LC connector, Standard 20km
A18	1 x 100FX - Singlemode, 1310nm, LC connector, Standard 20km, and Intermediate Reach 50km
A19	1 x 100FX - Singlemode, 1310nm, LC connector, Standard 20km, and Long Reach 90km
A20	1 x 100FX - Singlemode, 1310nm, LC connector, Intermediate Reach 50km, and 1x no port
A21	2 x 100FX - Singlemode, 1310nm, LC connector, Intermediate Reach 50km
A22	1 x 100FX - Singlemode, 1310nm, LC connector, Long Reach 90km, and 1x no port
A23	2 x 100FX - Singlemode, 1310nm, LC connector, Long Reach 90km
A24	$1 \times 100 \mbox{FX}$ - Singlemode, 1310nm, SC connector, Standard 20km, and 1x no port
A25	2 x 100FX - Singlemode, 1310nm, SC connector, Standard 20km
A26	1 x 100FX - Singlemode, 1310nm, SC connector, Standard 20km, and Intermediate Reach 50km
A27	1 x 100FX - Singlemode, 1310nm, SC connector, Standard 20km, and Long Reach 90km
A28	1 x 100FX - Singlemode, 1310nm, SC connector, Intermediate Reach 50km, and 1x no port
A29	2 x 100FX - Singlemode, 1310nm, SC connector, Intermediate Reach 50km
A30	1 x 100FX - Singlemode, 1310nm, SC connector, Intermediate Reach 50km, and Long Reach 90km
A31	$1 \ x \ 100 \mbox{FX}$ - Singlemode, 1310nm, SC connector, Long Reach 90km, and 1x no port
A32	2 x 100FX - Singlemode, 1310nm, SC connector, Long Reach 90km
B01	1 x 10/100TX
B02	1 x 100FX - Multimode, 1300nm, MTRJ connector
B04	1 x 100FX - Multimode, 1300nm, ST connector
B05	1 x 100FX - Multimode, 1300nm, LC connector
B06	1 x 100FX - Singlemode, 1310nm, ST connector, Standard 20km
B07	1 x 100FX - Singlemode, 1310nm, LC connector, Standard 20km
B08	1 x 100FX - Singlemode, 1310nm, LC connector, Intermediate Reach 50km
B09	1 x 100FX - Singlemode, 1310nm, LC connector, Long Reach 90km

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Component Configuration	Component Description
B10	1 x 100FX - Singlemode, 1310nm, SC connector, Standard 20km
B11	1 x 100FX - Singlemode, 1310nm, SC connector, Intermediate Reach 50km
B12	1 x 100FX - Singlemode, 1310nm, SC connector, Long Reach 90km

5.8 RS900GF

Table 21 below lists RS900GF Module's excluded configurations.

Table 21 – RS900GF	Excluded	Configurations
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Component Configuration	Component Description
A01	Dual 1000X SFP
A02	Dual 1000SX Multimode, LC 850nm, 500m
A03	Dual 1000LX Singlemode, LC 1310nm, 10km
A05	Dual 1000LX Singlemode, SC 1310nm, 10km
A06	Dual 1000LX Singlemode, SC 1310nm, 25km

5.9 RS416F

Table 22 below lists RS416F Module's excluded configurations.

Component Configuration	Component Description
A01, B01, C01, D01,	4 x RS232/RS422/RS485, via DB9
A02, B02, C02, D02	4 x RS232/RS422/RS485, via RJ45
E02, F02	2 x 10FL - Multimode, 850nm, ST
E03, F03	2 x 100FX - Multimode, 1300nm, ST
E04, F04	2 x 100FX - Multimode, 1300nm, SC
E05, F05	2 x 100FX - Multimode, 1300nm, LC
E06, F06	2 x 100FX - Multimode, 1300nm, MTRJ
E07, F07	2 x 100FX - Singlemode, 1300nm, ST, 20km
E08, F08	2 x 100FX - Singlemode, 1300nm, SC, 20km
E09, F09	2 x 100FX - Singlemode, 1300nm, LC, 20km
E10, F10	2 x 100FX - Singlemode, 1300nm, SC, 50km
E11, F11	2 x 100FX - Singlemode, 1300nm, LC, 50km
E12, F12	2 x 100FX - Singlemode, 1300nm, SC, 90km

Table 22 – RS416F Excluded Configurations

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Component Configuration	Component Description
E13, F13	2 x 100FX - Singlemode, 1300nm, LC, 90km

5.10 RS940GF

Table 23 below lists RS940GF Module's excluded configurations.

Component ConfigurationComponent DescriptionA01Dual 10/100/1000TX RJ45A02Dual 1000X SFP, (Mini-GBIC). Order SFP Optics SeparatelyA04Dual 1000LX Singlemode, LC 1310nm, 10kmA05Dual 1000LX Singlemode, LC 1310nm, 25kmA06Dual 1000LX Singlemode, SC 1310nm, 10kmA07Dual 1000LX Singlemode, SC 1310nm, 25km

Table 23 – RS940GF Excluded Configurations

Prepared by: Corsec Security, Inc.



13921 Park Center Road, Suite 460 Herndon, VA 20171 United States of America

> Phone: +1 703 267 6050 Email: <u>info@corsec.com</u> <u>http://www.corsec.com</u>