

McAfee LLC

Network Security Platform Sensor

NS-3100, NS-3200, NS-5100 and NS-5200

Non-Proprietary Security Policy

Version 2.1

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1 Module Overview

The Network Security Platform Sensor NS-3100, NS-3200, NS-5100 and NS-5200 consist of the following multi-chip standalone platforms/configurations:

- NS-3100 (HW P/N IPS-NS3100 Version 1.00 FIPS Kit P/N IAC-FIPS-KT2)
- NS-3200 (HW P/N IPS-NS3200 Version 1.00; FIPS Kit P/N IAC-FIPS-KT2)
- NS-5100 (HW P/N IPS-NS5100 Version 1.00; FIPS Kit P/N IAC-FIPS-KT2)
- NS-5200 (HW P/N IPS-NS5200 Version 1.00; FIPS Kit P/N IAC-FIPS-KT2)

The following minor differences exist between the hardware configurations:

- Number of CPUs
- Memory configuration
- The NS-3100/NS-3200 have Intel Atom C2538 processors
- The NS-5100/NS-5200 have Intel Xeon E5-2620 processors
- The NS-5100/NS-5200 have an increased number of monitoring ports

All module configurations include FW Version 8.1.17.32

They are Intrusion Prevention Systems (IPS) and Intrusion Detection Systems (IDS) designed for network protection against zero-day, DoS/DDoS, encrypted and SYN Flood attacks, and real-time prevention of threats like spyware, malware, VoIP vulnerabilities, phishing, botnets, network worms, Trojans, and peer-to-peer applications.

The cryptographic boundary of each platform is the outer perimeter of the enclosure, including the power supplies and fan trays (removable and non-removable), as described below:

- The NS-3100 and NS-3200 do not have removable fans.
- The NS-5100 and NS-5200 have removable fan trays and they are protected by tamper seals.
- For all modules, the removable power supplies are excluded from FIPS 140-2 requirements as they are non-security relevant.

Figure 1 - Figure 4 show the module configurations and the cryptographic boundaries.

Figure 1 – Image of NS-3100



Figure 2 – Image of NS-3200



Figure 3 – Image of NS-5100



Figure 4 – Image of NS-5200



2 Security Level

The cryptographic module meets the overall requirements applicable to Level 2 security of FIPS 140-2. Table 1 specifies the levels met for specific FIPS 140-2 areas.

Table 1 - Module Security Level Specification

Security Requirements Section	Level
Cryptographic Module Specification	2
Module Ports and Interfaces	2
Roles, Services and Authentication	2
Finite State Model	2
Physical Security	2
Operational Environment	N/A
Cryptographic Key Management	2
EMI/EMC	2
Self-Tests	2
Design Assurance	3
Mitigation of Other Attacks	N/A

3 Mode of Operation

3.1 FIPS Approved Mode of Operation

The FIPS Approved mode of operation is defined by the use of only the FIPS Approved and allowed algorithms, modes, and key sizes listed below. The module does allow several algorithms in the Approved mode for which no security is claimed and they are listed below. The operator must also follow the rules outlined in Sections 8 and 9 of this Security Policy.

Approved Algorithms

The module supports the following FIPS Approved algorithms:

- AES (Cert. #4619) CBC and ECB mode with 128 & 256 bits for encryption and decryption
- CKG (Vendor Affirmed)
 - Asymmetric Key Generation (SP 800-133 § 6)
 - Symmetric Key Generation (SP 800-133 § 7.1, 7.2 7.3)

(Note: The resulting symmetric keys and generated seeds are unmodified output from the DRBG)

- (CVL Cert. #1273) TLS v1.2 KDF for TLS session key derivation
- (CVL Cert. #1274) SSH KDF for SSH session key derivation
- (DRBG Cert. #1548) Block Cipher (CTR) DRBG using AES 256
- (HMAC Cert. #3055) HMAC SHA-1, SHA-256, and SHA-512 for message authentication.

(Note: The minimum HMAC key size is 20 bytes.)

- KTS (AES Cert. # 4619 and HMAC Cert #3055; key establishment methodology provides 112 bits of encryption strength)
- (RSA Cert. #2514) FIPS 186-4 RSA PSS with 2048 bit keys for key generation, signature generation with SHA-256, and signature verification with SHA-256
- (RSA Cert. #2525) FIPS 186-4 XYSSL RSA PKCS #1 V1.5 SigVer with 2048 bit keys using SHA-256 for image verification.

(Note: SHA-1 is CAVP tested but not used.)

- (SHA Cert. #3783) SHA-1, SHA-256, and SHA-512 for hashing
- (SHA Cert. #3791) XYSSL SHA-256 for hashing and for use with image verification

(Note: SHA-1 is CAVP tested but not used.)

Allowed Algorithms and Protocols

The module supports the following FIPS allowed algorithms and protocols:

- RSA with 2048-bit keys (key wrapping; key establishment methodology provides 112 bits of encryption strength)
- Diffie-Hellman with 2048-bit keys (key agreement; key establishment methodology provides 112 bits of encryption strength)
- NDRNG for seeding the Block Cipher (CTR) DRBG. The NDRNG is limited to instantiating the DRBG with 213 bits of security. The module generates cryptographic keys whose strengths are modified by available entropy.

- TLS v1.2 with the following algorithm tested cipher suites. The protocol algorithms have been tested by the CAVP (see certificate #s above) but the protocol implementation itself has not been reviewed or tested by the CAVP or CMVP.
 - TLS_RSA_WITH_AES_128_CBC_SHA for communication with Network Security Platform (NSP) Manager
(Note: This is restricted to RSA-2048)
- SSH v2 with the following algorithm tested cipher suites. The protocol algorithms have been tested by the CAVP (see certificate #s above) but the protocol implementation itself has not been reviewed or tested by the CAVP or CMVP.
 - Key Exchange methods (i.e., key establishment methods): Diffie-hellman-group14-SHA1
 - Public Key methods (i.e., authentication methods): SSH-RSA
(Note: This is restricted to RSA-2048)
 - Encryption methods: AES128-CBC, AES256-CBC
 - MAC methods: HMAC-SHA1, HMAC-SHA-256, HMAC-SHA-512

Algorithms and Protocols with No Security Claimed

The module supports the following algorithms and protocols in the Approved mode for which no security is claimed (per FIPS IG 1.23):

- MD5 (used internal to the module only). No Security Claimed algorithms: MD5 (no security claimed)
- SNMPv3 is used as a plaintext transport mechanism. All CSP content in this SNMPv3 channel is additionally key wrapped and signed by NSM to ensure integrity and decrypted in sensor using the sensor TLS private key. Non-CSP SNMPv3 content is deemed plaintext. No Security Claimed algorithms: AES (no security claimed), SNMP KDF (no security claimed). Approved algorithms: HMAC (*Cert. #3055*), SHA (*Cert. #3783*).
- The following algorithms are implemented independently from all other cryptographic code in the module and are used to analyze the network stream for malware and malicious network attacks in accordance with the functionality of the product.
 - Decryption - SSLv2
 - Cipher suites:
 - SSL_CK_RC4_128_WITH_MD5
 - SSL_CK_RC4_128_EXPORT40_WITH_MD5
 - SSL_CK_DES_64_CBC_WITH_MD5
 - SSL_CK_DES_192_EDE3_CBC_WITH_MD5
 - No Security Claimed algorithms: Triple-DES (no security claimed), HMAC (no security claimed), RC4 (no security claimed), MD5 (no security claimed), DES (no security claimed)
 - Decryption - SSLv3/TLS
 - Cipher suites:
 - SSL/TLS_NULL_WITH_NULL_NULL
 - SSL/TLS_RSA_WITH_NULL_MD5
 - SSL/TLS_RSA_WITH_NULL_SHA
 - SSL/TLS_RSA_WITH_RC4_128_MD5
 - SSL/TLS_RSA_WITH_RC4_128_SHA
 - SSL/TLS_RSA_WITH_DES_CBC_SHA
 - SSL/TLS_RSA_WITH_3DES_EDE_CBC_SHA
 - SSL/TLS_RSA_WITH_AES_128_CBC_SHA
 - SSL/TLS_RSA_WITH_AES_256_CBC_SHA

- No Security Claimed algorithms: AES (no security claimed), RSA (no security claimed), SHA (no security claimed), Triple-DES (no security claimed), HMAC (no security claimed), RC4 (no security claimed), MD5 (no security claimed), DES (no security claimed)

4 Ports and Interfaces

Figure 5 - Figure 8 show the modules' front and rear panels and Table 2 - Table 5 list the modules' ports and interfaces.

Figure 5 – NS-3100/3200 Front Panel



Table 2 – NS-3100/3200 Front Panel Ports and Connectors

Item	Description	Input/Output Type
1	RS232 Console port (1)	Control Input, Status Output
2	RJ-45 10/100/1000 Management port (MGMT) (1)	Control Input, Data Output, Status Output
3	RJ-45 10/100/1000 Response port (R1) (1)	Data Output
4	USB port (1)	Data Input
5	RJ-45 10/100/1000 Mbps Ethernet Monitoring ports (8)	Data Input/Output
Temp LED	Green – Inlet air temperature measured inside the module is normal Amber – Inlet air temperature measured inside the module is too high	Status Output
Fan LED	Green – All the fans are operating Amber – One or more of the fans has failed	Status Output
Status LED	Green – Indicates that Sensor is in good health Amber – System is booting up or something is not in good health status	Status Output
Management Port Speed LED	Green – The port speed is 1000 Mbps Amber – The port speed is 100 Mbps Off – The port speed is 10 Mbps	Status Output
Management Port Link LED	Green – The link is up Off – The link is down	Status Output
Response Port Speed LED	Green – The port speed is 1000 Mbps Amber – The port speed is 100 Mbps Off – The port speed is 10 Mbps	Status Output
Response Port Link LED	Green – The link is up Off – The link is down	Status Output

Item	Description	Input/Output Type
Normal/Bypass* LEDs	Green – The port pair is in Inline Fail-Open/Inline Fail-Close/Span/Tap Mode Amber – The Port Pair is in Bypass Mode	Status Output
Ethernet Ports Link LEDs	Green – The link is up Off – The link is down	Status Output
Ethernet Ports Speed LED	Green – The port speed is 1000 Mbps Amber – The port speed is 100 Mbps Off – The port speed is 10 Mbps	Status Output

*Bypass does not refer to FIPS 140-2 bypass capabilities

Figure 6 – NS-5100/5200 Front Panel

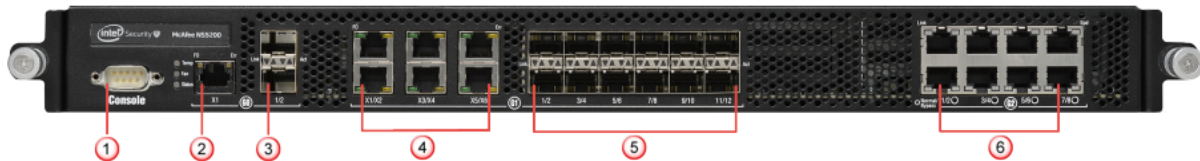


Table 3 – NS-5100/5200 Front Panel Ports and Connectors

Item	Description	Input/Output Type
1	RS232 Console port (1)	Control Input, Status Output
2	RJ-11 port (1) for fail-open control of two built-in SFP+ ports in slot G0. The RJ-11 ports support 1 Gbps (SFP) fiber and 10 Gbps (SFP+) (SR and LR)	Status Output
3	SFP/SFP+ 1/10 fiber Gigabit or SFP 1 Gbps copper Ethernet monitoring ports (2)	Data Input/Output
4	RJ-11 port (6) for external passive fail-open control of twelve SFP ports in slot G1. The RJ-11 ports support 1 Gbps (SFP) fiber (SR and LR)	Status Output
5	SFP 1 Gbps copper or fiber Gigabit Ethernet monitoring ports (12)	Data Input/Output
6	RJ-45 10/100/1000 Mbps Ethernet Monitoring ports (8)	Data Input/Output
Status LED	Green – Indicates that Sensor is in good health Amber – System is booting up or something is not in good health status	Status Output
Fan LED	Green – All the fans are operating Amber – One or more of the fans has failed	Status Output
Temp LED	Green – Inlet air temperature measured inside the module is normal Amber – Inlet air temperature measured inside the module is too high	Status Output
Gigabit Ports Act LEDs	Amber – Data is received or transmitted Off – No data is being transferred	Status Output

Item	Description	Input/Output Type
Gigabit ports Link LEDs	Green – The link is up Off – The link is down	Status Output
Normal/Bypass* LEDs	Green – The port pair is in Inline Fail-Open/Inline Fail-Close/Span/Tap Mode Amber – The Port Pair is in Bypass Mode	Status Output
Gigabit Ports Speed (Spd) LEDs	Green – Port speed is 1Gbps Amber – Port speed is 100 Mbps Off – Port speed is 10 Mbps	Status Output

*Bypass does not refer to FIPS 140-2 bypass capabilities

Figure 7 – NS-3100/3200 Rear Panel



Table 4 – NS-3100/3200 Rear Panel Ports and Connectors

Item	Description	Input/Output Type
1	Power Port (1)	Power Input
2	Cooling Fans (3)	N/A – for identification only
LEDs	Note: There are no LEDs on the NS-3100/3200 Rear Panel	N/A

Figure 8 – NS-5100/5200 Rear Panel



Table 5 – NS-5100/5200 Rear Panel Ports and Connectors

Item	Description	Input/Output Type
1	Power Port (2) – second power supply is optional	Power Input
2	USB ports (2)	Data Input
3	RJ-45 10/100/1000 Management port (MGMT) (1)	Control Input, Data Output, Status Output
4	RJ-45 10/100/1000 Response port (R1) (1)	Data Output
Power LED(s)	Green – Power supply has power feed and is functioning Amber – Power supply is not functioning	Status Output
Management Port Speed LED	Green – The port speed is 1000 Mbps Amber – The port speed is 100 Mbps Off – The port speed is 10 Mbps	Status Output

Item	Description	Input/Output Type
Management Port Link LED	Green – The link is up Off – The link is down	Status Output
Response Port Speed LED	Green – The port speed is 1000 Mbps Amber – The port speed is 100 Mbps Off – The port speed is 10 Mbps	Status Output
Response Port Link LED	Green – The link is up Off – The link is down	Status Output

The module supports the following communication channels with the Network Security Platform (NSP) Manager:

- Install channel: Only used to associate a Sensor with the NSM. They use a “shared secret”. NSM listening on port 8501.
- Trusted Alert/Control channel (TLS): NSM listening on port 8502
- Trusted Packet log channel (TLS): NSM listening on port 8503
- Command channel (SNMPv3, plaintext): Sensor listening to NSM and 3rd Party SNMP clients on port 8500
- Bulk transfer channel (All output is encrypted): NSM listening on port 8509
- Trusted Authentication Gateway channel (TLS): uses same crypto context as Alert/Control channel. NSM listening on port 8502.

5 Identification and Authentication Policy

The cryptographic module supports three (3) distinct “User” roles (Admin, Sensor Operator(s), and 3rd Party SNMP Client(s)) and one “Cryptographic Officer” role (Network Security Platform Manager). Table 6 lists the supported operator roles along with their required identification and authentication techniques. Table 7 outlines each authentication mechanism and the associated strengths.

Table 6 - Roles and Required Identification and Authentication

Role	Type of Authentication	Authentication Data
Admin	Role-based operator authentication	Username and Password
Sensor Operator(s)	Role-based operator authentication	Username and Password
Network Security Platform Manager (Cryptographic Officer)	Role-based operator authentication	Digital Signature or Username, Privacy and Authentication Key
3rd Party SNMP Client(s)	Role-based operator authentication	Username, Privacy and Authentication key

Table 7 – Strengths of Authentication Mechanisms

Authentication Mechanism	Strength of Mechanism
Username and Password	<p>The password is an alphanumeric string of a minimum of fifteen (15) characters chosen from the set of ninety-three (93) printable and human-readable characters. Whitespace and “?” are not allowed. New passwords are required to include 2 uppercase characters, 2 lowercase characters, 2 numeric characters, and 2 special characters. The fifteen (15) character minimum is enforced by the module.</p> <p>The probability that a random attempt will succeed or a false acceptance will occur is $1/\{(10^2)*(26^4)*(31^2)*(93^7)\}$ which is less than 1/1,000,000.</p> <p>After three (3) consecutive failed authentication attempts, the module will enforce a one (1) minute delay prior to allowing retry.</p> <p>Additionally, the module only supports 5 concurrent SSH sessions.</p> <p>Thus, the probability of successfully authenticating to the module within one minute through random attempts is $(3*5)/\{(10^2)*(26^4)*(31^2)*(93^7)\}$, which is less than 1/100,000.</p>
Digital Signature	<p>RSA 2048-bit keys using SHA-256 are used for the signing (in isolated McAfee laboratory) and verification (by sensor) of digital signatures.</p> <p>The probability that a random attempt will succeed or a false acceptance will occur is $1/2^{112}$, which is less than 1/1,000,000.</p> <p>The module can only perform one (1) digital signature verification per second. The probability of successfully authenticating to the module within one minute through random attempts is $60/2^{112}$, which is less than 1/100,000.</p>

Authentication Mechanism	Strength of Mechanism
Username, Privacy and Authentication key	<p>The privacy key and authentication key together make an alphanumeric string of a minimum of sixteen (16) characters chosen from the set of sixty-two (62) numbers, lower case letters, and upper case letters.</p> <p>The probability that a random attempt will succeed or a false acceptance will occur is $1/62^{16}$, which is less than $1/1,000,000$.</p> <p>The module will allow approximately one (1) attempt per millisecond, meaning that 60,000 attempts can be made per minute. The probability of successfully authenticating to the module within one minute through random attempts is $60,000/62^{16}$, which is less than $1/100,000$.</p>

6 Access Control Policy

6.1 Roles and Services

Table 8 lists each operator role and the services authorized for each role.

For additional information of operation of the module, see the [Network Security Platform 8.1 CLI Guide](#).

Table 8 – Services Authorized for Roles

Authorized Services	Admin	Sensor Operator(s)	NSP Manager	3rd Party SNMP Client(s)
Show Status: Provides the status of the module, usage statistics, log data, and alerts.	X	X	X	
Sensor Operator Management: Allows Admin to add/delete Sensor Operators, set their service authorization level, set their session timeout limit, and unlock them if needed.	X			
Network Configuration: Establish network settings for the module or set them back to default values.	X	X*	X	
Administrative Configuration: Other various services provided for admin, private, and support levels.	X	X*	X	
Firmware Update: Install an external firmware image through SCP or USB	X	X*	X	
Install with NSM: Configures module for use. This step includes establishing trust between the module and the associated management station.	X	X*		
Install with 3rd Party SNMP Client: Configures module for 3 rd Party SNMPv3 use. This step includes establishing trust between the module and the associated 3 rd Party SNMP Client. Trust is provided by NSM.			X	
Change Passwords: Allows Admin and Sensor Operators to change their associated passwords. Admin can also change/reset Sensor Operators passwords.	X	X*		
Zeroize: Destroys all plaintext secrets contained within the module. The “Reset Config” command is used, followed by a reboot.	X	X*		
Intrusion Detection/Prevention Management: Management of intrusion detection/prevention policies and configurations through SNMPv3 and TLS.			X	
Intrusion Detection/Prevention Monitoring: Limited monitoring of Intrusion Detection/Prevention configuration, status, and statistics through SNMPv3.			X	X
Disable SSH/Console Access: Disables SSH/Console access.	X	X*		

* Depending on the authorization level granted by the Admin

Unauthenticated Services:

Table 9 lists the unauthenticated services supported by the module.

Table 9 – Unauthenticated Services

Unauthenticated Services
Self-Tests: This service executes the suite of self-tests required by FIPS 140-2. Self-tests can be initiated by power cycling the module or through the CLI.
Intrusion Prevention Services: Offers protection against zero-day, DoS/DDoS, encrypted and SYN Flood attacks, and real-time prevention of threats like spyware, malware, VoIP vulnerabilities, phishing, botnets, network worms, Trojans, and peer-to-peer applications. <i>Note:</i> This service utilizes the no security claimed algorithms listed above. This includes an MD5 hash to identify the “fingerprint” of malware and decryption of SSL-encrypted streams for the purpose of detecting malware and network attacks. See the list above.
Zeroize: Destroys all plaintext secrets contained within the module. The Internal Rescue process is used.

6.2 Definition of Critical Security Parameters (CSPs)

The following are CSPs contained in the module:

- **Administrator Passwords:** Password used for authentication of the “admin” role through Console and SSH login. Extended permissions are given to the “admin” role by using the “support” or “private” passwords.
- **Sensor Operator Passwords:** Passwords used for authentication of “user” accounts through Console and SSH login. Extended permissions are given to the “user” account by using the “support” or “private” passwords.
- **3rd Party SNMP Client Privacy and Authentication Keys:** Passwords used for authentication of 3rd Party SNMP Clients.
- **NSM SNMP Client Privacy and Authentication Keys:** Passwords used for authentication of NSM SNMP Clients.
- **NSM Initialization Secret (i.e., NSM Shared Secret):** Password used for mutual authentication of the sensor and NSM during initialization.
- **Bulk Transfer Channel Session Key:** AES 128 bit key used to encrypt data packages across the bulk transfer channel.
- **SSH Host Private Keys:** RSA 2048 bit key used for authentication of sensor to remote terminal for CLI access, generated during initialization
- **SSH Session Keys:** Set of ephemeral Diffie-Hellman (2048 bit), AES (128 or 256 bit), and HMAC (>=112 bit) keys created for each SSH session.

- **TLS Sensor Private Key (for NSM):** RSA 2048 bit key used for authentication of the sensor to NSM.
- **TLS Session Keys (for NSM):** Set of ephemeral AES (128 bit) and HMAC (≥ 112 bit) keys created for each TLS session with the NSM.
- **Seed for RNG:** Seed created by NDRNG and used to seed the Block Cipher (CTR) DRBG. The Nonce is 128 bits and the Entropy Input is 256 bits for a total seed size of 384 bits.
- **DRBG Internal State:** V and Key used by the DRBG to generate pseudo-random numbers

6.3 *Definition of Public Keys*

The following are the public keys contained in the module:

- **McAfee FW Verification Key:** RSA 2048 bit key used to authenticate firmware images loaded into the module.
- **SSH Host Public Key:** RSA 2048 bit key used to authenticate the sensor to the remote client during SSH.
- **SSH Remote Client Public Key:** RSA 2048 bit key used to authenticate the remote client to the sensor during SSH.
- **TLS Sensor Public Key (for NSM):** RSA 2048 bit key used to authenticate the sensor to NSM during TLS connections.
- **TLS NSM Public Key:** RSA 2048 bit key used to authenticate NSM to sensor during TLS connections.

6.4 Definition of CSPs Modes of Access

Table 10 defines the relationship between access to keys/CSPs and the different module services. The types of access used in the table are Use (U), Generate (G), Input (I), Output (O), Store (S), and Zeroize (Z). Z* is used to denote that only the plaintext portion of the CSP is zeroized (i.e., the CSP is also stored using an Approved algorithm, but that portion is not zeroized).

Table 10 – Key and CSP Access Rights within Services

	Administrator Passwords	Sensor Operator Passwords	3rd Party SNMP Client P and A Keys	NSM SNMP Client P and A Keys	NSM Initialization Secret	Bulk Transfer Channel Session Key	SSH Host Private Keys	SSH Session Keys	TLS Sensor Private Key (for NSM)	TLS Session Keys (for NSM)	Seed for RNG	DRBG Internal State	McAfee FW Verification Key	SSH Host Public Key	SSH Remote Client Public Key	TLS Sensor Public Key (for NSM)	TLS NSM Public Key
Authentication – Admin, Sensor Operator	U	U					U	UG				UG		O	IU		
Authentication – NSP Manager –Digital Signature					U				U	UG		UG				O	U
SNMP Authentication – NSP Manager to Sensor - Username, Privacy, and Authentication Key				UIS													
Authentication – 3 rd Party SNMP Client(s)			UIS														
Show Status	U	U					U							U	U		
Sensor Operator Management								U		U							
Network Configuration								U		U							
Administrative Configuration					I		UG	U		U				UG			
Firmware Update						IU		U		U			UI				
Install with NSM				S		IU			G	U	UG	UG	U			G	G
Install with 3 rd Party SNMP Client			S			IU							U				
Change Passwords	IS	IS															
Zeroize (Authenticated)	Z*	Z*	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z
Zeroize (Unauthenticated)	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z
Intrusion Detection/Prevention Management						U			U	U						U	U
Intrusion Detection/Prevention Monitoring			U	U													
Disable SSH/Console Access	U																

	Administrator Passwords																	
	Sensor Operator Passwords																	
	3rd Party SNMP Client P and A Keys																	
	NSM SNMP Client P and A Keys																	
	NSM Initialization Secret																	
	Bulk Transfer Channel Session Key																	
	SSH Host Private Keys																	
	SSH Session Keys																	
	TLS Sensor Private Key (for NSM)																	
	TLS Session Keys (for NSM)																	
	Seed for RNG																	
	DRBG Internal State																	
	McAfee FW Verification Key																	
	SSH Host Public Key																	
	SSH Remote Client Public Key																	
	TLS Sensor Public Key (for NSM)																	
	TLS NSM Public Key																	
Self Tests																		
Intrusion Prevention Services																		

7 Operational Environment

The device supports a limited operational environment.

8 Security Rules

The cryptographic module's design corresponds to the module's security rules. This section lists the requirements of this FIPS 140-2 Level 2 module.

1. The cryptographic module provides four (4) distinct operator roles: Admin, Sensor Operator(s), Network Security Platform Manager, and 3rd Party SNMP Client(s).
2. The cryptographic module provides role-based authentication and each change of operator roles shall be authenticated; previous authentication results are cleared when the module transitions to a power-off state.
3. When the module has not been placed in a valid role, the operator does not have access to any cryptographic services.
4. The cryptographic module performs the following tests:

A. Power up Self-Tests are performed without operator input:

1. Firmware Integrity Test: XYSSL RSA 2048 (RSA Cert. #2525) using SHA-256 (SHA Cert. #3791) for hashing
2. Cryptographic algorithm known answer tests (KATs) and pairwise consistency tests (PCT):
 - a. AES ECB 128 Encryption KAT and Decryption KAT (AES Cert. #4619)
 - b. RSA 2048 PSS Key Generation/Sign/Verify with SHA-256 Pairwise Consistency Test (RSA Cert. #2514)
 - c. SHA-1 KAT (SHA Cert. #3783)
 - d. SHA-256 KAT (SHA Cert. #3783)
 - e. SHA-512 KAT (SHA Cert. #3783)
 - f. Block Cipher (CTR) DRBG KAT and SP 800-90A DRBG Section 11.3 Health Checks (DRBG Cert. #1548)
 - g. HMAC SHA-1 KAT (HMAC Cert. #3055)
 - h. HMAC SHA-256 KAT (HMAC Cert. #3055)
 - i. HMAC SHA-512 KAT (HMAC Cert. #3055)
 - j. XYSSL RSA 2048 Signature Verification KAT (RSA Cert. #2525)
(SHA-256 based signatures)
 - k. XYSSL SHA-1 KAT (SHA Cert. #3791)
 - l. XYSSL SHA-256 KAT (SHA Cert. #3791)
 - m. TLS 1.2 KDF KAT (CVL Cert. #1273)
 - n. SSH KDF KAT (CVL Cert. #1274)

If any of these tests fail the following message will be displayed:

```
!!! CRITICAL FAILURE !!!  
FIPS 140-2 POST and KAT...Failed  
REBOOTING IN 15 SECONDS
```

3. Critical Functions Tests: N/A

B. Conditional Self-Tests:

- a. Block Cipher (CTR) DRBG Continuous Test
- b. SP 800-90A DRBG Section 11.3 Health Checks
- c. NDRNG Continuous Test
- d. RSA KeyGen/Sign/Verify Pairwise Consistency Test
- e. External Firmware Load Test – XYSSL RSA 2048 (Cert. #2525) using SHA-256 (Cert. #3791) for hashing

If the firmware load test fails the following message will be displayed: "Load Image with SCP Failed."

5. At any time the cryptographic module is in an idle state, the operator is capable of commanding the module to perform the power up self-test by power cycling.
6. Data output inhibits during self-tests and error states.
 - a. All Power Up Self-Test are run before data output ports are initialized.
 - b. In the case of failed Self Tests, the module enters an error state, inhibits data output, and reboots.
7. Data output is logically disconnected during key generation and zeroization.
8. For both Zeroize services (authenticated and unauthenticated), the operator must remain in control of the module or be physically present with the module to assure that the entire zeroization process completes successfully. This may take up to one minute and 45 seconds.
9. Status information shall not contain CSPs or sensitive data that if misused could lead to a compromise of the module.
10. If a non-FIPS validated firmware version is loaded onto the module, then the module is no longer a FIPS validated module.
11. The module only supports five (5) concurrent SSH operators when SSH is enabled.
12. The cryptographic module shall not be configured to transmit files to McAfee Advanced Threat Detection.

9 Physical Security Policy

9.1 Physical Security Mechanisms

The cryptographic module includes the following physical security mechanisms:

- Production-grade components
- Production-grade opaque enclosure with tamper-evident seals. Tamper-evident seals and further instructions are obtained in the FIPS Kits with the following part numbers:
 - NS-3100/3200/5100/5200: IAC-FIPS-KT2

9.2 Operator Required Actions

For the module to operate in a FIPS Approved mode, the tamper seals shall be placed by the Admin role as specified below. The Admin must clean the chassis of any dirt before applying the labels and ensure the labels are allowed to cure for 30 minutes following application. Sets of labels are serialized, however, the usage of the label numbers is not required. Per FIPS 140-2 Implementation Guidance (IG) 14.4, the Admin role is also responsible for the following:

- Securing and having control at all times of any unused seals
- Direct control and observation of any changes to the module, such as reconfigurations, where the tamper-evident seals or security appliances are removed or installed to ensure the security of the module is maintained during such changes and the module is returned to a FIPS Approved state.

The Admin is also required to periodically inspect tamper-evident seals. Table 11 outlines the recommendations for inspecting/testing physical security mechanisms of the module. If a fan tray is removed or replaced, then a new seal must be applied in order to be compliant. If the Admin finds evidence of tampering, then the module is no longer FIPS compliant and must be taken out of service.

Table 11 – Inspection/Testing of Physical Security Mechanisms

Physical Security Mechanisms	Recommended Frequency of Inspection/Test	Inspection/Test Guidance Details
Tamper-Evident Seals	As specified per end user policy, annually at a minimum	Visually inspect the seals for tears, rips, dissolved adhesive, and other signs of malice.
Opaque Enclosure	As specified per end user policy, annually at a minimum	Visually inspect the enclosure for broken screws, bent casing, scratches, and other questionable markings.

Figure 9 depicts the six (6) tamper seal locations (numbered in red) on the cryptographic module for the NS3100/NS3200 platform.

Figure 9 – Tamper Seal Locations (NS3100/NS3200 Sensor – Top Surface)

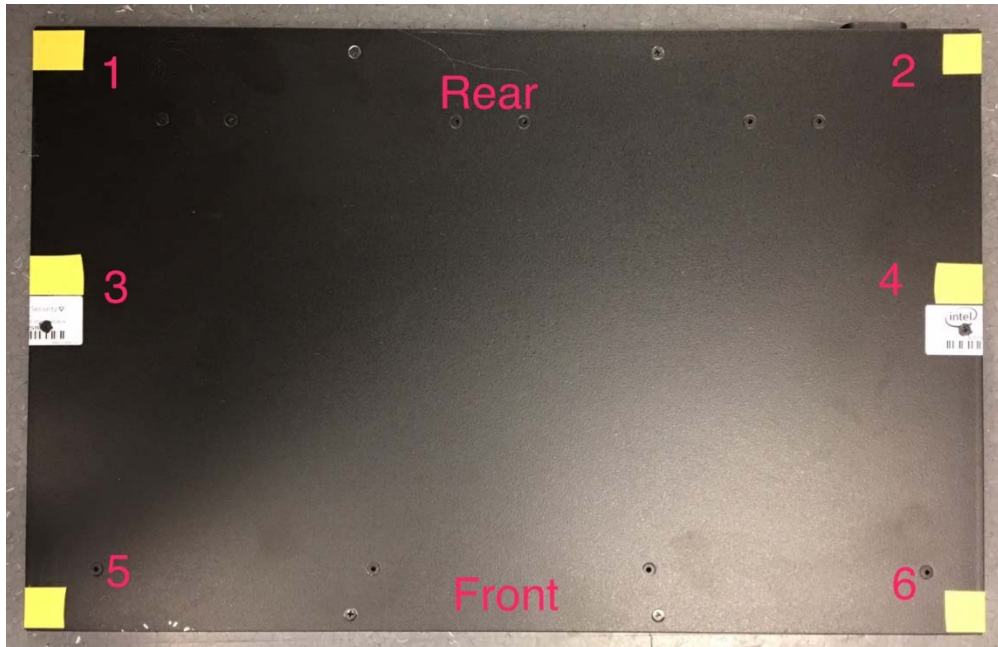


Figure 10 depicts the five (5) tamper seal locations (numbered in red) on the cryptographic module for the NS5100/NS5200 platform.

Figure 10 – Tamper Seal Locations (NS5100/NS5200 Sensor – Top Surface)



Figure 11 below is an example of the tamper-evident seals applied to the modules.

Figure 11 – Tamper-Evident Seal



10 Mitigation of Other Attacks Policy

The module has not been designed to mitigate any specific attacks beyond the scope of FIPS 140-2 requirements.