

Nokia VPN Appliance

FIPS 140-2 Cryptographic Module Security Policy Level 2 Validation

Version 1.7
Aug 2007



Module Hardware Versions:

IP260, IP265, IP1220, and IP1260

Firmware Versions:

IPSO v3.9 and v4.1

Check Point VPN-1 NGX (R60) [HFA-03]

Table of Contents

1	INTRODUCTION	3
1.1	PURPOSE	3
1.2	REFERENCES	3
2	NOKIA VPN APPLIANCE	4
2.1	OVERVIEW	4
2.2	CRYPTOGRAPHIC MODULE	5
2.3	MODULE INTERFACES	7
2.4	ROLES AND SERVICES	8
2.4.1	<i>Crypto Officer Role</i>	8
2.4.2	<i>User Role</i>	14
2.4.3	<i>Authentication Mechanisms</i>	14
2.5	ELECTROMECHANICAL INTERFERENCE/COMPATIBILITY (FCC COMPLIANCE)	16
2.6	PHYSICAL SECURITY	16
2.7	OPERATIONAL ENVIRONMENT	16
2.8	CRYPTOGRAPHIC KEY MANAGEMENT	17
2.8.1	<i>Key Generation</i>	23
2.8.2	<i>Key Establishment</i>	23
2.8.3	<i>Key Entry and Output</i>	23
2.8.4	<i>Key Storage</i>	23
2.8.5	<i>Key Zeroization</i>	23
2.9	SELF-TESTS	24
2.10	DESIGN ASSURANCE	25
2.11	MITIGATION OF OTHER ATTACKS	25
3	SECURE OPERATION (APPROVED MODE)	26
3.1	CRYPTO OFFICER GUIDANCE	26
3.1.1	<i>Hardware Setup</i>	26
3.1.2	<i>Installing, Upgrading or Downgrading the Module Firmware</i>	28
3.1.3	<i>Initializing Check Point Modules</i>	31
3.1.4	<i>Setting the Module to FIPS Mode</i>	32
3.1.5	<i>Initializing the Remote Management of the Module</i>	32
3.1.6	<i>Management and Monitoring</i>	33
3.2	USER GUIDANCE	39
	APPENDIX A – DISABLED MECHANISMS	41
	APPENDIX B – ALGORITHM VALIDATION CERTIFICATE NUMBERS	42
	APPENDIX C – ACRONYM DEFINITIONS	43

1 INTRODUCTION

1.1 Purpose

This document is a nonproprietary Cryptographic Module Security Policy supporting the Nokia VPN Appliance family. This security policy describes the Nokia VPN Appliance and describes how it meets the security requirements of FIPS 140-2. It also describes how to run the module in an Approved FIPS 140-2 mode of operation. This document was prepared as part of the FIPS 140-2 Level 2 validation of the module.

Previous versions of the Nokia VPN Appliance (including hardware versions IP350, IP355, IP380 and IP385) running IPSO version 3.9 and the identical Check Point VPN firmware version as the modules presented in this document (see Section 2.2) are separately validated under FIPS 140-2 (FIPS 140-2 Certificate numbers 450 and 834).

This Security Policy adds additional hardware versions to the family of Nokia FIPS 140-2 tested cryptographic modules. The additional hardware platforms included in this document simply support different numbers of network interfaces and different processor performance characteristics than the previously validated modules and introduce different physical housings that require retesting under FIPS 140-2.

This Security Policy supports the addition of Nokia's IPSO firmware version 4.1. There are no FIPS security relevant changes introduced between IPSO firmware version 3.9 and 4.1.

The Nokia VPN Appliances are referenced collectively in this document as *IP security platforms*, *security platforms*, *platforms*, and *the module(s)*. Specific differences between module hardware versions are pointed out where relevant.

1.2 References

This document deals only with operations and capabilities of the module in the technical terms of a FIPS 140-2 cryptographic module security policy. The Nokia Web site (<http://www.nokia.com/>) contains information on the full line of products from Nokia.

Additional information regarding the Check Point VPN-1 firmware that is used inside the Nokia VPN Appliances, including specific configuration instructions for the firmware can be found by referencing the Check Point VPN-1 FIPS 140-2 security policy, available at the following URL:

<http://csrc.nist.gov/cryptval/140-1/140sp/140sp722.pdf>

2 NOKIA VPN APPLIANCE

2.1 Overview

The Nokia VPN Appliances are IP security platforms designed to provide a secure, reliable, and manageable integrated security solution for secure Internet communication and access control for networks. The security platforms combine the security-hardened operating system, IPSO, with the market-leading Check Point VPN-1 firmware suite on a purpose-built security hardware platform. As network devices, the Nokia VPN Appliances support a comprehensive suite of IP-routing functions and protocols, including RIPv1/RIPv2, IGRP, OSPF and BGP4 for unicast traffic and DVMRP for multicast traffic.

Some highlighted security features of the Nokia VPN Appliances are:

- Read/write and read-only access modes
- Screening of all incoming communications to ensure authorized user access
- SSH-secured remote management of the modules (IPSO)
- SSHv1 and SSHv2 supported
- TLS-secured remote management of Check Point applications
- Secure VPN between subsystems
- Multiple layers of authentication required when accessing the remote management interface for IPSO

The Nokia VPN Appliances are rack mounted devices that are differentiated through their internal CPU processors and performance levels. The modules are designed to efficiently support real-world, mixed traffic solutions. As VPN platforms, all modules greatly accelerate the embedded Check Point VPN-1/FireWall-1 performance by using the Nokia Firewall Flows. VPN performance is enhanced through the use of internal hardware cryptographic acceleration. The following chart illustrates the performance differences of the modules covered by this Security Policy:

Model	CPU Type	Firewall Speed	VPN Speed (AES, TDES)
IP260	Celeron	272Mbps	113Mbps
IP265	Celeron	272Mbps	113Mbps
IP1220	Xeon	2.2Gbps	1.2Gbps
IP1260	Xeon	3.9Gbps	1.7Gbps

2.2 Cryptographic Module

The Nokia VPN Appliances were tested as multi-chip standalone cryptographic modules. Each module's metal enclosure physically encloses the complete set of hardware and firmware components, and represents the cryptographic boundary of each module. The cryptographic module supports the following hardware versions:

- IP260 / IP265 – half-width 1U rack mount
- IP1220 / IP1260 – full width 2U rack mount

The IP1220 and IP1260 hardware chassis include support for Field Replaceable Unit (FRU) upgrades to internal network interface cards, hard drives, FLASH memory, and other components (e.g., fans and power supplies replaced with identical components). All FRU upgrades are performed by the factory or a reseller prior to delivery of the module to the end user. The end-user has no option to service or install these internal components. All component slots are secured with Tamper seals (see Section 3.1.1.1) for FIPS mode. The IP260 and IP265 hardware versions do not support FRU options.

The Nokia VPN Appliances run the Nokia proprietary, security-hardened IPSO operating system along with a binary image of the Check Point VPN-1 cryptographic firmware for VPN and firewall functionalities.

The IPSO OS and the module's physical hardware chassis and computing platform provide the operational environment upon which the Check Point VPN-1 application binary executes. The following firmware combinations were used for the FIPS 140-2 validation testing covered by this Security Policy:

- **IPSO v3.9 [build 045] with Check Point VPN-1 NGX (R60) [HFA-03]**
- **IPSO v4.1 [build 020] with Check Point VPN-1 NGX (R60) [HFA-03]**

The cryptographic modules implement the same version of Check Point firmware that has been previously validated under FIPS 140-2 by both Check Point and Nokia. However, the Nokia IPSO operating system and VPN Appliance hardware combination constitute different operational environments for the Check Point firmware; therefore the Check Point module binary image was packaged into each of the Nokia VPN Appliance configurations and was retested as part of the complete Nokia VPN Appliance FIPS 140-2 solution.

FIPS Algorithm validation testing was performed and validation certificates obtained for all Approved cryptographic functions implemented by the modules covering all hardware and firmware configurations listed in this document. This includes separate algorithm validations for algorithms implemented by IPSO, the Check Point VPN-1 firmware, and hardware

accelerator chips. See Section 2.8 for a list of algorithms implemented. See Appendix B for a list of the Approved algorithm validation certificate numbers.

The modules operate in both a non-Approved and Approved FIPS 140-2 mode of operation. Only approved cryptographic algorithms and security functions are allowed in the approved mode of operation. The modules are intended to meet overall FIPS 140-2 Level 2 requirements. The following table presents the individual FIPS 140-2 compliance areas and the Security Levels to which the modules were tested:

FIPS 140-2 DTR Section	Requirements Section Title	Level Tested
1	Cryptographic Module Specification	2
2	Cryptographic Module Ports and Interfaces	2
3	Roles, Services, and Authentication	2
4	Finite State Model	2
5	Physical Security	2
6	Operational Environment	N/A
7	Cryptographic Key Management	2
8	EMI/EMC	2
9	Self-tests	2
10	Design Assurance	2
11	Mitigation of Other Attacks	N/A





Table 1 – Intended Level Per FIPS 140-2

2.3 Module Interfaces

The security platforms provide a number of physical ports:

	IP260/IP265	IP1220/IP1260
Ethernet Ports (standard)	4	4
Auxiliary Port (Disabled)	1	1
Console Port	1	1
I/O Option Slots (for adding 1000 BaseT or 1000 Mbps fiber Ethernet, V.35, or X.21 protocol options)	N/A	3
PCMCIA Slots (secured with Tamper Seals)	2 (enabled)	2 (disabled)
Power Switch	1	1
Reset Switch	1	1
Status LEDs		
Power	1	1 built in, 1 per optional PMC NIC card)
Fault	1	1
Ethernet Port status (green indicates connection, yellow blinking indicates data being transmitted)	1	1 built in, additional depending on number of I/O option cards installed

Descriptions of the status LEDs

Status Indication	Explanation	LED Front Panel Symbol
Solid	Power on	
Solid	Unit is experiencing an internal Voltage problem	
Blinking	The unit is experiencing a temperature problem	
Solid red	One or more fans are not operating properly, or a 5V, 3.3V, or 12V fuse is blown	

The physical ports are separated into logical interfaces defined by FIPS 140-2, as described in Table 2.

Module Physical Port	FIPS 140-2 Logical Interface
Network ports	Data input interface
Network ports	Data output interface
Network ports, console port, power switch, reset switch	Control input interface
Network ports, console port, LEDs	Status output interface
Power plug, Power switch	Power interface

Table 2 – FIPS 140-2 Logical Interfaces

Data input and output, control input, and status output are defined as follows:

- Data input and output are the packets that use the firewall, VPN, and routing functionalities of the modules.
- Control input consists of manual control inputs for power and reset through the power and reset switch. It also consists of all of the data that is entered into the module while using the management interfaces.
- Status output consists of the status indicators displayed through the LEDs and the status data that is output from the modules while using the management interfaces.

The modules distinguish between different forms of data, control, and status traffic over the network ports by analyzing the packets header information and contents.

2.4 Roles and Services

The modules support role-based authentication. The two main roles in the modules (as required by FIPS 140-2) that operators can assume are: a Crypto Officer role and a User role.

2.4.1 Crypto Officer Role

The Crypto Officer role can configure, manage, and monitor the module. Three management interfaces can be used for this purpose:

- CLI – the Crypto Officer can use the CLI to configure and monitor IPSO systems. This can be done locally by using the console port or remotely by using the SSH secured management session.

- SNMP – the Crypto Officer can use SNMPv3 to view MIB values under IPSO 3.9. **SNMP support is not included in IPSO 4.1**
- SmartDashBoard – the Check Point TLS-secured management interface. The Crypto Officer can use this interface after the initial configuration of the Check Point module through the CLI.

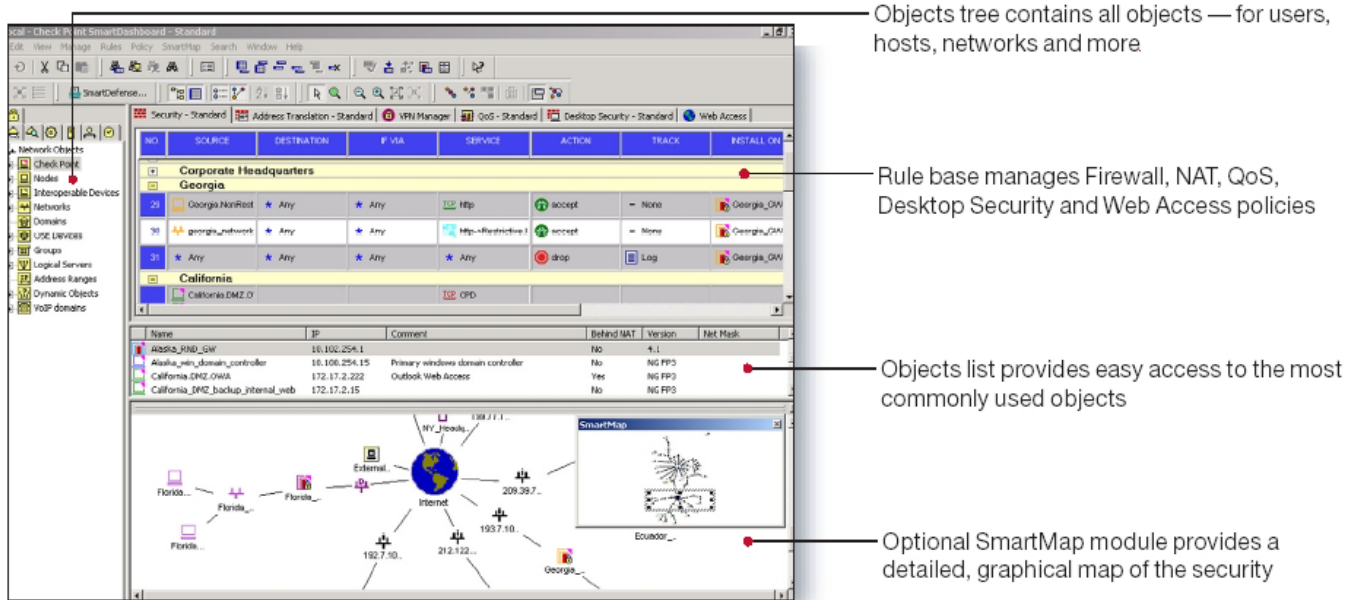


Figure 1 – Easy to Use Check Point Management Tools

Descriptions of the services available to the Crypto Officer role are provided in Table 3.

Table 3 – Crypto Officer Services, Descriptions, Inputs, and Outputs

Service	Description	Input	Output	Critical Security Parameter (CSP) Access
Startup configuration	Provide network connectivity and set a password for the admin account	Commands and configuration data(via local console)	Status of commands and configuration data	Admin password (read/write access)

Service	Description	Input	Output	Critical Security Parameter (CSP) Access
SSH	Provide authenticated and encrypted sessions while using the CLI	SSH key transport (SSHv1) or SSH key agreement (SSHv2) parameters, SSH inputs, and data	SSH outputs and data	RSA (SSHv1 and SSHv2) or DSA (SSHv2) host key pair (read access); RSA (SSHv1 and SSHv2) or DSA (SSHv2) authorized key (read access); RSA server key (SSHv1 only, read access); Diffie-Hellman key pair for SSHv2 key exchange (read/write access); session key for SSH (read/write access); X9.31 PRNG keys (read access)
TLS	Provide authenticated and encrypted sessions while using the Check Point management interface	TLS handshake parameters, TLS inputs, and data	TLS outputs and data	RSA key pair for TLS key transport (read access); session keys for TLS (read/write access); X9.31 PRNG keys (read access)
Boot manager commands	Control the boot-up process and obtain system information	Commands and configuration data	Status of commands and configuration data	Password (read/write access)
SNMPv3 Get commands (Implemented in IPSO 3.9 only)	View MIB values	Commands	Status of commands, configuration data	Password (read access) The password itself is read-write while the v3 service is read access
Interface commands	Configure, manage, and view physical and logical interfaces through the CLI: view all interfaces; delete any logical interface; view tunnels; view status and statistics; configure ARP behavior, physical and logical ATM interfaces, physical and logical Ethernet interfaces, physical and logical FDDI interfaces, physical and logical ISDN interfaces, physical or logical loopback interfaces, and physical and logical serial interfaces	Commands and configuration data	Status of commands and configuration data	

Service	Description	Input	Output	Critical Security Parameter (CSP) Access
Routing commands	Configure, manage, and view the routing protocols through the CLI: configure, manage, and view BGP, OSPF, RIP, IGRP, IGMP, PIM, route aggregation, BOOTP, DVMRP, static routes, ICMP router discovery, IP broadcast helper, Network Time Protocol, and dial on demand routing; configure a variety of miscellaneous options that affect routing; configure trace routing settings; view summary information about routes on the system; view general information that the IPSO routing daemon records; view information about multicast forwarding cache	Commands and configuration data	Status of commands and configuration data	None
Network Security and Access commands	Configure, manage, and view the security and access features through the CLI: configure and view network access; add firmware licenses to the platform; configure Authentication, Authorization, and Accounting (AAA); enable and disable and configure SSH services; add and delete new system users; create and delete groups, and add and remove members; enable and disable a VPN accelerator card; display VPN accelerator status or statistics	Commands and configuration data	Status of commands and configuration data	Admin, monitor, user passwords; shared secret for RADIUS; shared secret for TACPLUS; SSH host keys; SSHv1 server key; SSH authorized keys; Read/write access for all CSPs
Traffic management commands	Configure, manage, and view traffic management functionality through the CLI: configure an access list to control the traffic from one or more interfaces; create or delete existing aggregation classes and modify the mean rate or burst size; configure depth of queues, assign logical names to some of the queues, and set up a queue specifier; add, delete, or show ATM QoS descriptors; add, delete, or show association of ATM QoS descriptors with ATM VCs; show available or reserved bandwidth on an ATM interface; enable and disable DSCP to VLAN mapping	Commands and configuration data	Status of commands and configuration data	None

Service	Description	Input	Output	Critical Security Parameter (CSP) Access
System configuration commands	Configure, manage, and view system configuration settings through the CLI: view the platform configuration; configure the system to perform regularly scheduled backups (manual backups not allowed in FIPS mode); schedule regular jobs; configure system failure; configure domain name and domain name servers; configure static host names for particular IP addresses; configure host name of platform; manage IPSO images; manage configuration sets; manage relay configuration; configure network system logging; configure the date and time; view date and time settings; view the disks that IPSO detects on local system; specify other systems as network time protocol servers or peers; display information about packages installed on the local system	Commands and configuration data	Status of commands and configuration data	None
SNMP commands (Implemented in IPSO 3.9 only)	Configure, manage, and view SNMP settings through the CLI: configure SNMP parameters; enable and disable SNMP; add users who are authorized to use SNMPv3; show SNMP implementation commands; show SNMPv3 user commands	Commands and configuration data	Status of commands and configuration data	Password (read/write access)
IPv6 commands	Configure, manage, and view IPv6 settings through the CLI: show a summary of IPv6 configuration; associate and disassociate an IPv6 address with a logical interface, anycast address, or IPv6 address family; map an IPv6 address to a physical machine address recognized in the local network; create tunnels by using specific encapsulation schemes; configure and delete an IPv6 interface to IPv4 interface; create, enable, or disable an IPv6 interface attached to an IPv4 network that does not have IPv6 native support; configure IPv6 routing; add and delete logical IPv6 hosts; enable and disable network access and view current status of network access	Commands and configuration data	Status of commands and configuration data	None

Service	Description	Input	Output	Critical Security Parameter (CSP) Access
Monitoring commands	Configure, manage, and view monitoring settings through the CLI: configure CPU utilization reports, memory utilization reports, interface linkstate reports, rate shaping bandwidth reports, interface throughput reports by turning data collection on or off and setting the data collection time interval; display interface settings, system logs, system statistics, interface monitor, resource statistics, forwarding table, system status information	Commands and configuration data	Status of commands, configuration data, and status information	None
Check Point's CLI commands	Initial configuration of the Check Point firmware: install licenses, configure the SNMP daemon, modify the list of UNIX groups authorized to run VPN-1 services, register a cryptographic token, enter random data to help seed the PRNG, configure the one-time SIC password, and specify whether the VPN-1 services should automatically start at boot time	Command (cpconfig), menu options, and configuration information	Status of commands and menu options and status information (configuration information)	One-time SIC password (read/write access)
Check Point SmartDashBoard services	Create and configure users and user groups: define users and user groups; create permission for individual users or a whole group of users; set permissions such as access hours, user priority, authentication mechanisms, protocols allowed, filters applied, and types of encryption	Commands and configuration data (policy files)	Status of commands and configuration data (policy files)	None
	Define and implement security policies: configure and install security policies that are applied to the network and users. These policies contain a set of rules that govern the communications flowing into and out of the module, and provide the Crypto Officer with a means to control the types of traffic permitted to flow through the module.	Commands and configuration data (policy files)	Status of commands and configuration data (policy files)	None
	Management of keys: configure the digital certificates and/or pre-shared keys for use by IKE for authentication	Commands and configuration data (policy files)	Status of commands and configuration data (policy files)	RSA key pair for IKE (read/write access); pre-shared keys for IKE (read/write access)
	Initialization of Secure Internal	Commands	Status of	RSA key pair for TLS

Service	Description	Input	Output	Critical Security Parameter (CSP) Access
	Communication (SIC): establish trust between management server and the module to allow configuration of the module's services	and configuration data (SIC policy)	commands	(read/write access)
	Monitoring: provides detailed information for both monitoring of connection activities and the system status	Commands	Status of commands and status information (logs)	None

2.4.2 User Role

The User role accesses the module IPSec and IKE services. Service descriptions, inputs, and outputs are listed in Table 4.

Service	Description	Input	Output	CSP
IKE	Access the module IKE functionality to authenticate to the module and negotiate IKE and IPSec session keys	IKE inputs and data	IKE outputs, status, and data	RSA key pair for IKE (read access); Diffie-Hellman key pair for IKE (read/write access); pre-shared keys for IKE (read access)
IPSec	Access the module's IPSec services in order to secure network traffic	IPSec inputs, commands, and data	IPSec outputs, status, and data	Session keys for IPSec (read/write access)

Table 4 – User Services, Descriptions, Inputs and Outputs

2.4.3 Authentication Mechanisms

The modules implement password-based authentication (console and SSH), RSA-based authentication (TLS, IKE and SSH), DSA-based authentication (SSHv2). HMAC SHA-1 is used for data packet integrity during authentication functions (IKE with pre-shared keys).

2.4.3.1 Crypto Officer Authentication

The Crypto Officer must successfully authenticate before a management interface can be accessed. The authentication methods are described below.

- CLI (local) – the Crypto Officer must authenticate by using user ID and password. The password must be at least six characters long. Numeric, alphabetic (upper and lowercase), and keyboard and extended characters can be used.
- CLI (remote) – the Crypto Officer must first successfully authenticate during the SSH session establishment. Before starting the SSH session, the Crypto Officer must login locally at initialization through the console CLI and enter an authorized RSA or DSA public key generated at the client. This public key will be used to authenticate the Crypto Officer during SSH session establishment. Once a session is established, the Crypto Officer can also be asked to authenticate again by using the user ID and password before the management interface can finally be accessed.
- SNMP – (IPSO 3.9 only): the Crypto Officer must authenticate by using the user ID and password. It is the same password used to access the CLI. The only restriction is that the password must be at least eight characters long.
- SmartDashBoard (Check Point Management Station) – during the TLS session establishment between the Check Point management station and the gateway, the Crypto Officer must authenticate by using a digital certificate issued by a trusted Certification Authority (CA).

2.4.3.2 User Authentication

User authentication to the module is performed during IKE using digital certificates or pre-shared keys. The pre-shared keys must be at least six characters long and use at least four different characters.

2.4.3.3 Estimated Strength of the Authentication Mechanisms

The estimated strength of each authentication mechanism implemented by the module is described in Table 5.

Authentication Type	Strength
DSA-based authentication (SSHv2)	DSA signing and verification is used to authenticate the module during SSHv2. This mechanism is as strong as the DSA algorithm using a 1024 bit key pair.
RSA-based authentication (SSHv1, SSHv2 and TLS handshake)	RSA encryption and decryption is used to authenticate the module during SSHv1, SSHv2, and the TLS handshake. This mechanism is as strong as the RSA algorithm using a 1024 bit key pair.

Authentication Type	Strength
RSA-based authentication (IKE)	RSA signing and verification is used to authenticate to the module during IKE. This mechanism is as strong as the RSA algorithm using a 1024 bit key pair.
Pre-shared key-based authentication (IKE)	Pre-shared keys must be at least six characters long and use at least four different characters. Even if only uppercase letters were used without repetition for a six character pre-shared key, the probability of randomly guessing the correct sequence is one in 165,765,600. HMAC SHA-1 verification is used for additional data packet integrity during IKE negotiations with pre-shared keys.
Password-based authentication	Passwords are required to be at least six characters long. Numeric, alphabetic (upper and lowercase), and keyboard and extended characters can be used, which gives a total of 95 characters to choose from. Considering only the case-insensitive alphabet using a password with repetition, the number of potential passwords is 26^6 .

Table 5 – Estimated Strength of Authentication Mechanisms

2.5 Electromechanical Interference/Compatibility (FCC Compliance)

Each module hardware configuration was tested and found compliant with requirements for a Class A digital device, pursuant to Part 15 of the FCC rules and thus the FIPS 140-2 Level 2 EMI/EMC requirements.

2.6 Physical Security

The Nokia VPN Appliances are multi-chip, standalone cryptographic modules. The modules are entirely contained within their respective hard metal enclosure. The enclosures are resistant to probing and are opaque within the visible spectrum. The front and side vent holes of all enclosures are baffled from the inside using lance wall inserts to prevent direct viewing of the module's interior components. Rear vent holes are likewise obscured by internal fan or power supply components.

Serially numbered tamper-evident seals provide additional protection to those parts of the module chassis that can be opened or disassembled. The seals provide indications of attempts to tamper with the modules. The tamper-evident seals are affixed to the module by the Crypto Officer in numbers and locations that vary depending on the module hardware version. Specific quantities and locations are described in Section 3.1 "Crypto Officer Guidance" of this document.

2.7 Operational Environment

The FIPS 140-2 operational environment requirements do not apply to these modules. The Nokia VPN Appliances do not provide a general-

purpose operating system nor do they provide a mechanism to load software. The module operator interacts with the module through customized interfaces that provide only specific command options.

2.8 Cryptographic Key Management

Cryptographic algorithms are implemented in firmware by IPSO and Check Point VPN-1 and in hardware by the encryption accelerators.

The IPSO operating system provides the capability to use SSHv1 or SSHv2 to secure the remote CLI management sessions. The implemented FIPS-approved algorithms include RSA (SSHv1 and SSHv2) and DSA (SSHv2) for authentication, Triple-DES for data encryption, SHA-1 for data hashing, and HMAC SHA-1 for data packet integrity. Key establishment is performed by using the RSA key transport for SSHv1 and the Diffie-Hellman key agreement for SSHv2.

Check Point provides the capability to use TLSv1 to secure management sessions. The implemented FIPS-approved algorithms include RSA for authentication; DES, Triple-DES, and AES for data encryption; SHA-1 for data hashing; and HMAC SHA-1 for data packet integrity. Key establishment is performed by using RSA key wrapping. The embedded Check Point application supports IPsec/ESP for data encryption and IPsec/AH for data integrity. The Check Point module implements all IKE modes: main, aggressive, and quick, using ISAKMP according to the standard. IKE uses RSA signatures or pre-shared keys for authentication. Key establishment in IKE is performed by using the Diffie-Hellman key agreement technique.

Enhanced VPN performance is achieved by accelerating DES, AES, Triple-DES, and Diffie-Hellman modular exponentiation processing implemented by the Check Point firmware. Hardware acceleration is accomplished either by hard-wired accelerator chips or by optional version-specific internal accelerator cards that are installed by the factory or reseller prior to delivery to the end-user. The IP380 and IP385 module hardware versions support an optional enhanced accelerator card in addition to a hard-wired, internal accelerator chip. If the optional accelerator card is installed, the hardwired chip is electrically bypassed.

Accelerator chips differ only in performance. The module operating system automatically senses the accelerator chip at power on and performs power on self tests on all the functions provided by the appropriate accelerator chip as well as self-tests for all firmware-based cryptographic functions.

Note that accelerated DES and 1 key Triple DES are non-compliant with FIPS 140-2. To remain in FIPS mode, only the FIPS approved Triple-DES and AES should be chosen as the VPN encryption algorithm.

To summarize, the modules implement the following FIPS-approved and non FIPS-approved algorithms (see Appendix B – *Algorithm Validation Certificate* Numbers for the algorithm certificate numbers of the validated FIPS-approved algorithms):

Non FIPS-Approved

Data encryption:

- Data Encryption Standard (DES) in CBC mode (56 bit keys) – according to NIST FIPS PUB 46-3 (withdrawn).
- Triple DES (3DES), Keying Option 3 (K3 mode): 1 key Triple DES (non-compliant) – according NIST FIPS PUB 46-3 (withdrawn) and NIST Special Publication 800-67.
- CAST - Disabled
- DES (40 bits) - Disabled
- Arcfour - Disabled
- Twofish - Disabled
- Blowfish - Disabled

Data packet integrity:

- HMAC MD5 - Disabled

Data hashing:

- MD5 - Disabled

Digital signatures:

- DSA (Public key sizes under 1024-bits, private key sizes under 160-bits)

Digital signatures and Key transport:

- RSA (Key sizes under 1024-bits)

Key agreement / Key establishment:

- Diffie-Hellman (Public key sizes under 1024-bits, private key sizes under 160-bits)

FIPS-Approved

Data encryption:

- Advanced Encryption Standard (AES) in CBC mode (128 or 256 bit keys) – according to NIST FIPS PUB 197.
- Triple DES (3DES) in CBC modes (168 bit keys) – according NIST FIPS PUB 46-3 (withdrawn) and NIST Special Publication 800-67.

Only the FIPS-approved Triple DES and AES encryption algorithms are to be used in FIPS mode. DES and 1 key Triple DES are not FIPS-approved algorithms and should not be used in FIPS mode.

Data packet integrity:

- HMAC-SHA-1 (20 byte) – per NIST FIPS PUB 198, RFC 2104 (HMAC: Keyed-Hashing for Message Authentication), and RFC 2404 (using HMAC-SHA-1-96 within ESP and AH).

Data hashing:

- Secure Hash Algorithm (SHA-1) – according to NIST FIPS PUB 180-1

Digital signature:

- Digital Signature Algorithm (DSA) – according to NIST FIPS PUB 186-2 with Change Notice 1

Digital signatures and Key transport:

- RSA – all digital signature implementations are according to PKCS #1

The RSA key wrapping methodologies provide the following encryption strengths during key transport:

- SSHv1: provides between 57 bits and 80 bits of encryption strength (default is 70 bits of encryption strength).
- SSHv2: provides between 80 and 112 bits of encryption strength.
- TLS: provides 80 bits of encryption strength.

Only methodologies providing a minimum of 80 bits of encryption strength are allowed in FIPS mode. Encryption strength is determined in accordance with FIPS 140-2 Implementation Guidance 7.5 and NIST Special Publication 800-57 (Part 1).

Key agreement / Key establishment:

- The Diffie-Hellman key agreement key establishment methodology used by the different firmware implementations present in the module (used for IKE and SSHv2) provides the following encryption strengths:
 - **IPSO**: methodology provides between 57 and 112 bits of encryption strength
 - **Check Point VPN-1 NGX (R60)**: methodology provides between 70 and 128 bits of encryption strength.

Only methodologies providing a minimum of 80 bits of encryption strength are allowed in FIPS mode. Encryption strength is determined in accordance with FIPS 140-2 Implementation Guidance 7.5 and NIST Special Publication 800-57 (Part 1).

Pseudo-Random Number Generation:

- ANSI X9.31 PRNG

This module also implements the following PRNGs, which are not used for cryptographic purposes:

- ARC4-based PRNG
- Simple Linear Congruential PRNG

The module implements the following protocols permitted for use in a FIPS-approved mode of operation:

Session security:

- SSHv1 (configured to use FIPS-approved algorithms)
- SSHv2 (configured to use FIPS-approved algorithms)
- TLS v1.0 (configured to use FIPS-approved algorithms) according to RFC 2246
- IPSec (configured to use FIPS-approved algorithms)

The module supports the following critical security parameters:

Table 6 – Listing CSPs for the Module

CSPs	CSPs type	Generation	Storage	Use
Host RSA v1 key pair (via IPSO)	1024-bit RSA private and public key pair	Internal – using X9.31 PRNG	Stored in plaintext on disk	SSH server authentication and key transport to client (SSHv1)
Server RSA v1 key pair (via IPSO) [See footnote 1 below table.]	512-, 640-, 768- (default), 864-, 1024-bit private and public key pair	Internal – using X9.31 PRNG	Stored in plaintext in memory	SSH server authentication and key transport to client (SSHv1)
Host RSA v2 key pair (via IPSO) [See footnote 1 below table.]	512-, 640-, 768- (default), 864-, 1024-bit private and public key pair	Internal – using X9.31 PRNG	Stored in plaintext on disk	SSH server authentication (SSHv2)
Host DSA key pair (via IPSO)	160-bit DSA private key and 1024-bit DSA public key	Internal – using X9.31 PRNG	Stored in plaintext on disk	SSH server authentication to client (SSHv2)
Authorized RSA v1 key (via IPSO)	1024-bit RSA public key	External	Stored in plaintext on disk	Client authentication to SSH server (SSHv1)
Authorized RSA v2 key (via IPSO)	1024-bit RSA public key	External	Stored in plaintext on disk	Client authentication to SSH server (SSH v2)
Authorized DSA key (via IPSO)	1024-bit DSA public key	External	Stored in plaintext on disk	Client authentication to SSH server (SSHv2)
TLS RSA key pair (via Check Point VPN-1)	1024-bit RSA private and public key pair	External	Stored in plaintext on disk	TLS server authentication and key transport during TLS handshake
TLS client RSA public key (via Check Point VPN-1)	1024-bit RSA public key	External	Stored in plaintext on disk	Client authentication during TLS handshake
IKE RSA key pair (via Check Point VPN-1)	1024-bit RSA private and public key pair	External	Stored in plaintext on disk	Server authentication during IKE
IKE client RSA public key (via Check Point VPN-1)	1024-bit RSA public key	External	Stored in plaintext on disk	Client authentication during IKE
Pre-shared keys (via Check Point VPN-1)	6-character pre-shared key	External	Stored in plaintext on disk	Client and server authentication during IKE

CSPs	CSPs type	Generation	Storage	Use
IKE Diffie-Hellman key pair (via Check Point VPN-1) [See footnote 2 below table.]	Diffie-Hellman (160 to 256-bit) private/(768 to 8192 bit) public key pair	External	Stored in plaintext in memory	Key agreement during IKE
IKE client Diffie-Hellman public key (via Check Point VPN-1) [See footnote 2 below table.]	Diffie-Hellman 768 to 8192-bit public key	External	Stored in plaintext in memory	Key agreement during IKE
SSHv2 Diffie-Hellman key pair (via IPSO) [See footnote 2 below table.]	Diffie-Hellman (320 to 384-bit) private/(512 to 2048-bit) public key pair	Internal – using X9.31 PRNG	Stored in plaintext in memory	Key agreement during SSHv2
SSHv2 client Diffie-Hellman public key (via IPSO) [See footnote 2 below table.]	Diffie-Hellman 512 to 2048-bit public key	External	Stored in plaintext in memory	Key agreement during SSHv2
SSH session keys (via IPSO)	168-bit TDES keys; HMAC SHA-1 keys	Established during the SSH key exchange using RSA key transport (SSHv1) or Diffie-Hellman key agreement (SSHv2)	Stored in plaintext in memory	Secure SSH traffic
TLS session keys (via Check Point VPN-1) [See footnote 3 below table.]	56-bit DES or 168-bit TDES keys; HMAC SHA-1 key	Established during the TLS handshake using RSA key transport	Cached to disk	Secure TLS traffic
IPSec session keys (via Check Point VPN-1) [See footnote 3 below table.]	56-bit DES, 128-bit TDES, or 128-, 256-bit AES keys; HMAC SHA-1 key	Established during the Diffie-Hellman key agreement	Stored in plaintext in memory	Secure IPSec traffic
IPSO X9.31 PRNG keys (via IPSO)	128-bit TDES keys	Internal – by gathering entropy	Stored in plaintext in memory	IPSO pseudo-random number generator for RSA, DSA, and Diffie-Hellman keys
Check Point X9.31 PRNG keys (via Check Point VPN-1)	128-bit TDES keys	Internal – by gathering entropy	Stored in plaintext in memory, but entropy used to generate keys is cached to disk	Check Point pseudo-random number generator for Diffie-Hellman keys

CSPs	CSPs type	Generation	Storage	Use
Passwords (via IPSO) Note: SNMP is supported in IPSO v3.9 only	Six-character password (SNMPv3 requires at least eight characters)	External	Stored in plaintext on disk	Authentication for accessing the management interfaces (CLI and SNMPv3); boot manager authentication; RADIUS authentication; TACPLUS authentication

Note:

1. Only 1024-bit keys, or higher, should be used for RSA in FIPS mode. 1024-bit RSA keys provide 80-bit equivalent security as calculated by IG7.5.
2. Only 1024-bit public keys and 160-bit private keys, or higher, should be used for DSA and Diffie-Hellman in FIPS mode. 1024/160-bit DSA and Diffie-Hellman keys provide 80-bit equivalent security as calculated by IG7.5.
3. DES must not be used in FIPS mode.

2.8.1 Key Generation

The only keys that can be generated by the modules are RSA public and private keys for SSHv1 and SSHv2, and DSA public and private keys for SSHv2. The FIPS-approved X9.31 PRNG is used to generate these keys.

2.8.2 Key Establishment

The modules implement IKE, SSH, and the TLS handshake for automatic key establishment. Two types of key establishment techniques are employed by the modules: the Diffie-Hellman key agreement and the RSA key wrapping. The Diffie-Hellman key agreement establishes shared secrets during SSHv2 and IKE. The RSA key wrapping/key transport generates shared secrets during SSHv1 and TLS.

2.8.3 Key Entry and Output

All private and secret keys entered into the module are electronically entered. No private or secret keys are output from the module.

2.8.4 Key Storage

All RSA (except the server key) and DSA keys, pre-shared keys, and passwords are stored in plaintext on disk. The TLS session keys and the gathered entropy for the Check Point PRNG keys are cached to disk. All other keys are ephemeral keys and are stored in plaintext in memory.

2.8.5 Key Zeroization

Ephemeral keys can be zeroized by rebooting. All other keys can be zeroized by overwriting or deleting them.

2.9 Self-Tests

The modules perform a set of self-tests to ensure proper operation in compliance with FIPS 140-2. These self-tests are run during power-up (power-up self-tests) or when certain conditions are met (conditional self-tests). Self tests are performed by both IPSO and the Check Point VPN-1 firmware components as appropriate. IPSO also implements self tests on the algorithms provided by the hardware encryption accelerator chips. All module versions were functionally tested during FIPS 140-2 conformance testing.

Power-up Self-tests:

- Integrity tests: the modules use a CRC-32 to check the integrity of its various firmware components, including verifying the integrity of the Check Point VPN-1 binary code.
- Cryptographic algorithm tests:
 - AES-CBC KAT
 - DES-CBC KAT
 - Triple-DES-CBC KAT
 - ANSI X9.31 PRNG KAT
 - RSA sign/verify and encrypt/decrypt KAT
 - DSA sign/verify KAT
 - SHA-1 KAT
 - HMAC SHA-1 KAT
- Policy file integrity test (bypass mode test): the module performs a SHA-1 check value verification to ensure that the policy files are not modified.

Conditional Self-tests:

- RSA pair-wise consistency test: this test is performed when RSA keys are generated for SSHv1.
- DSA pair-wise consistency test: this test is performed when DSA keys are generated for SSHv2.
- Continuous random number generator tests: these tests are constantly run to detect failure of the random number generators of the module.

- Policy file integrity test (bypass mode test): the module performs a SHA-1 check value verification to ensure that the policy files are not modified.

Self Test Error Handling

- If the integrity tests fail, the module enters the bootloader error state and reboots. If the IPSO kernel modules cryptographic algorithm tests fail, the module enters the kernel panic error state and reboots. If the Check Point kernel module cryptographic algorithm tests fail, the module enters the kernel panic error state and must be rebooted by the Crypto Officer to clear the error.
- If the IPSO conditional self-tests fail, the module enters the error state and reboots. If the Check Point continuous RNG test fails, the module enters the error state and reboots. All other self-test errors cause the module to enter the error state, where all cryptographic services and data output for the problem service is halted until the error state is cleared. Restarting the module or the failed service can clear the error state.

All errors are logged and produce error indicators.

2.10 Design Assurance

Nokia and Check Point manage and record their respective source code and associated documentation files. Nokia implements the Concurrent Versions System (CVS) for document and source code management. The Check Point code is maintained by Nokia as a compiled binary file.

The Nokia module hardware data, which includes descriptions, parts data, part types, bills of materials, manufacturers, changes, history, and hardware documentation are managed and recorded using Agile Workplace.

Additionally, Microsoft Visual Source Safe (VSS) version 6.0 and Microsoft SharePoint was used to provide configuration management for the module's FIPS documentation. These document management utilities provide access control, versioning, and logging.

2.11 Mitigation of Other Attacks

The modules do not employ security mechanisms to mitigate specific attacks.

3 SECURE OPERATION (APPROVED MODE)

The Nokia VPN Appliances meet Level 2 requirements for FIPS 140-2. The following subsections describe how to place and keep the module in FIPS-approved mode of operation. The Crypto Officer must ensure that the module is kept in a FIPS-approved mode of operation. The procedures are described in “Crypto Officer Guidance”.

The User can use the module after the Crypto Officer changes the mode of operation to FIPS-Approved. The secure operation for the User is described in Section 3.2, “User Guidance”.

3.1 Crypto Officer Guidance

The secure operation procedures include the initial setup, configuring the Check Point modules in a FIPS compliant manner, and keeping the module in a FIPS-approved mode of operation. These procedures are described in the following sections.

3.1.1 Hardware Setup

The Crypto Officer receives the module in a carton. Within the carton the module is placed inside an ESD bag; two foam end caps are placed on both sides of the chassis, protecting the module during shipping. The Crypto Officer should examine the carton and the ESD bag for evidence of tampering. Tamper-evidence includes tears, scratches, and other irregularities in the packaging.

Since the module does not enforce an access control mechanism before it is initialized, the Crypto Officer must maintain control of the module at all times until the initial setup is complete.

Before turning on the module, the Crypto Officer must ensure that the module meets Level 2 physical security requirements. To satisfy these requirements, the Crypto Officer must install one or more tamper-evident seals (also called “FIPS Tape”) provided in the module’s FIPS kit.

- N431174001 (12 pc) – Tamper-evident seal

After the seal(s) are in place, the Crypto Officer must initialize the module and set the module to FIPS mode.

3.1.1.1 Applying the Tamper-Evident Seal(s)

Depending on the module hardware chassis type, one or more tamper seals are required to provide tamper evidence for the module chassis. The tamper-evident seals each contain a unique serial number which aids the Crypto Officer in determining whether the original labels have been replaced. Refer to Section 2.2 for a list of the module hardware versions and their respective chassis type. External Flash memory (optional on

IP260/IP265) provides optional storage media for the Nokia VPN appliances. Refer to Figure 4 for placement of tamper seals over the Flash memory bays when configuring the module in Approved mode.

To apply the serialized seal

1. For the given module chassis type from Section 2.2 (e.g., 1U, 2U), apply one or more tamper seals to the module chassis at the front, top, or rear of the module as indicated in the figures below.
2. Affix one or more tamper seals to the PCMCIA slots as shown in Figure 4 depending on whether or not Flash cards are installed.
3. Record the serial number of the applied seal(s) in a security log.
4. Allow 24 hours for the adhesive in the tamper-evident seal(s) to completely cure.

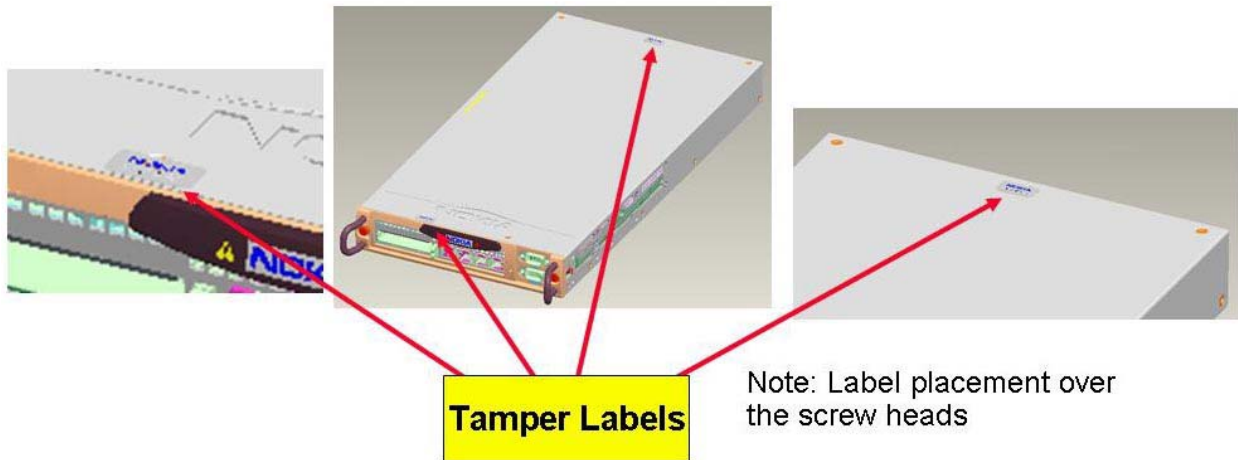


Figure 2 – Tamper Seal Location for a 1U Half-Width Chassis (2 Seals; covers access screws on top of unit)

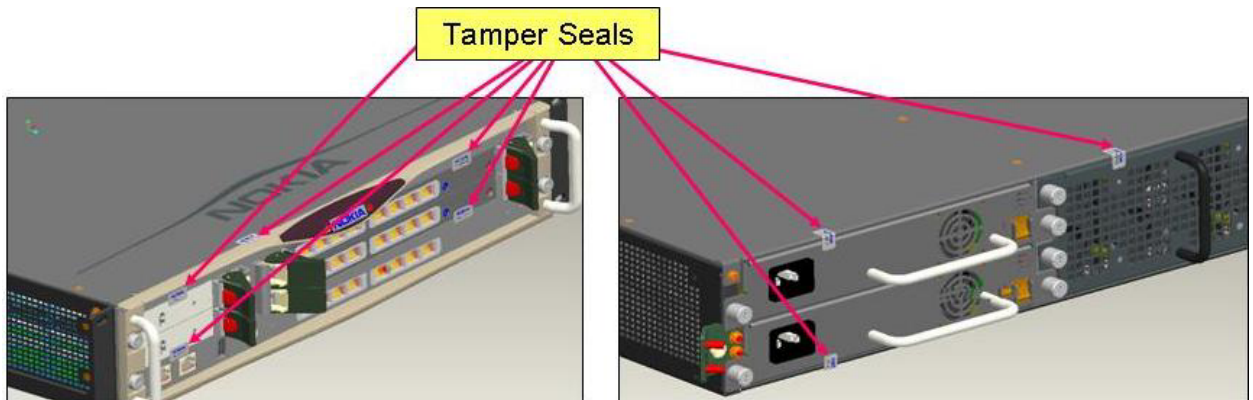


Figure 3 – Tamper Seal Locations for a 2U Chassis (8 Seals)

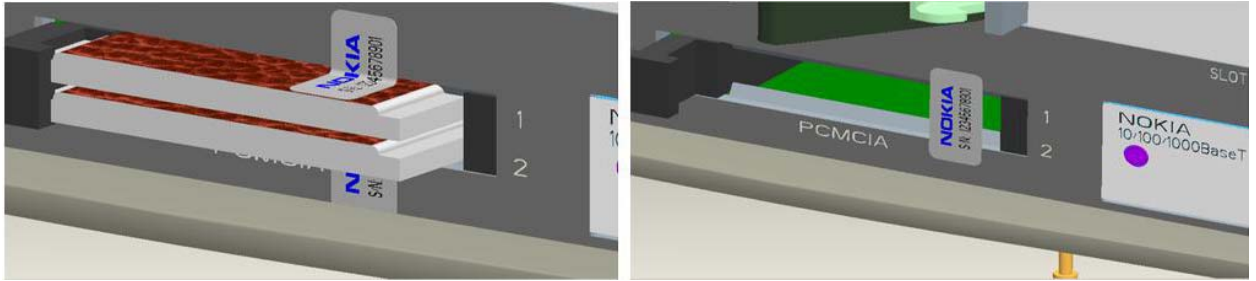


Figure 4 – Tamper Seal Location(s) for PCMCIA Flash Memory Bay (a 1U Half-Width Chassis with 2 Seals shown in left picture; a 2U Chassis with 1 Seal shown in right picture)

3.1.1.2 Module Initialization

Before performing the initial configuration, the Crypto Officer must set the boot manager password to prevent unauthorized access to the module hard disk.

To initialize the appliance

1. Connect the supplied console cable to the local console port on the front panel of the appliance.
2. Connect the other end of the cable to the VT100 console, or a system running a terminal emulation program.
3. Press Return.
4. At the boot manager prompt, enter:
BOOTMGR [0] > passwd
5. At the prompt, enter the new password.
6. At the prompt, re-enter the new password for verification.
7. IPSO can now be started by entering the boot command.
8. Follow the initial configuration procedures described in the appropriate *Appliance Installation Guide*.

3.1.2 Installing, Upgrading or Downgrading the Module Firmware

New modules come preinstalled with the Nokia IPSO operating system and a version of the Check Point VPN-1 application. The current FIPS 140-2 conformant configuration consists of IPSO 4.1 and Check Point VPN-1 version NGX (R60) with Hotfix HFA-03.

For older Nokia VPN Appliance modules, the Crypto Officer may need to upgrade the supplied IPSO and/or or the Check Point firmware so that the module is running a FIPS 140-2 validated configuration.

If the desired and installed IPSO/Check Point firmware versions match the validated configuration, no additional action is required. Otherwise the procedures described in one of the following two scenarios must be followed:

3.1.2.1 SCENARIO 1 – Upgrade Check Point VPN-1 module to NGX (R60)

This scenario applies if module came preinstalled with Check Point VPN-1 version R55p running on IPSO 3.9. The Crypto Officer must delete this version and install NGX (R60):

A. Delete Check Point VPN-1 version R55p:

1. Access the device via Voyager, the web-based management interface, Click on the 'Manage Installed Packages' link on the 'Config' page (i.e., from the home page follow the Config->Manage Installed Packages sequence of links)
2. Turn off Check Point VPN-1 Pro/Express R55p
3. Turn off Check Point SVN Foundation R55 and also any other R55 packages that may have been installed such as Eventia Reporter or User Authority Server
4. Click on 'Apply' to make the changes and 'Save' to make the changes permanent
5. Now click on the 'Delete Packages' link. This should show you the list of packages available for deletion
6. Click on the 'Delete' radio button for all the packages and 'Apply' and 'Save'
7. You can also optionally delete the R55 tgz file from the /opt/packages directory on the above page
8. Now access the device console, exit and login again if you have a currently active session, and follow the instructions below to install the NGX with (R60) and HFA-03

B. Install Check Point NGX (R60) from the system console:

1. FTP (with user ID and password) the Check Point packages to the system from a remote location and place them under any directory,

preferably /opt/packages. (For additional security, choose SFTP or SCP for transferring the file.)

2. From the system console, issue the command /etc/newpkg and select option 4.
3. At the prompt, enter the pathname (for instance /opt/packages).
4. Choose option 1 to install the NGX with R60 package
5. After the install is complete, reboot.
6. Run 'cpconfig' after reboot and follow the instructions contained in Section 3.1.3.
7. For instructions on installing NGX (R60) while doing a fresh install of IPSO 3.9 Build 045, please refer to the external document "*Installation Guide for FIPS 140-2 Kit and Nokia IPSO 3.9 Build 045*".
8. Follow the instructions below to install HFA (note that the FIPS 140-2 validated Check Point Hot Fix is HFA-03).

C. Install HFA for Check Point NGX (R60) from the system console:

1. Ensure that all Check Point services are stopped via the 'cpstop' command
2. FTP the appropriate HFA tgz to the system under any directory (note that the FIPS 140-2 validated Check Point Hot Fix is HFA-03)
3. Untar the file via the 'tar zxvf <hfa tgz file>' command
4. This will create an executable with the name *fw1_HOTFIX_R60_<>* in the directory
5. Running this executable will install the HOTFIX
6. Reboot the device after installation is complete

3.1.2.2 SCENARIO 2 – Upgrade both the IPSO and Check Point VPN-1 versions

In this scenario, the module is pre-loaded with IPSO 3.7.99 FIPS build with Check Point VPN-1 (R54). The Crypto Officer needs to upgrade the module firmware to IPSO 3.9 Build 045 with NGX (R60) and HFA-03:

1. Access the console on the device that is running 3.7.99 FIPS build with Check Point NG AI (R54).
2. If the device is running in the FIPS mode, exit this mode by executing the 'set fips off with-restore' command followed by the 'save config' command. This will bring the device to a non-fips mode of operation.
3. Ensure that the IPSO 3.9 Build 045 and Check Point NGX (R60) and HFA-03 are on an FTP server reachable from the device.
4. Follow the steps in the '*Installing IPSO and NGX R60*' section of the "*Installation Guide for FIPS 140-2 Kit and Nokia IPSO 3.9 Build 045*" document.
5. If you did not install NGX (R60) while installing IPSO 3.9 build 045c above, follow the instructions in Section 3.1.2.1(B) and (C) above.

3.1.3 *Initializing Check Point Modules*

Before the User can use the Check Point VPN-1 functionalities (also before he can enable FIPS mode), the Check Point module must be enabled and initialized using the CLI.

The initialization process requires that the Crypto Officer establishes the SIC configuration. This is done via the *cpconfig* command. Once you have rebooted the device after installing the correct IPSO and VPN-1 versions, run 'cpconfig' and follow the instructions. Be sure to choose the following options during cpconfig: Distributed Installation (option 2) and Enforcement Module (option 1). You will also be prompted to initialize the SIC (Secure Internal Communication). This is used to initialize secure communication with the Check Point SmartCenter Management Station. Also enter a valid Check Point license.

NGX (R60) includes support for Diffie-Hellman Group 14 (2048 bit modulus) key sizes. Groups 15-18 (3072 bits to 8192 bits) can also be optionally configured. To support Groups 15-18, the Local Crypto-Officer must obtain patch SK27054 from Check Point support before beginning the initialization of the module. The patch contains instructions for enabling the additional groups and will be installed during the initialization process.

Using the SmartDashboard application, the Check Point module should be configured for FIPS mode by selecting the screens and options shown in the screen shots included in Section 3.1.6 of this document. Only the screens shown should be configured.

Once this is completed, the module is adequately initialized and can be managed from the management server. FIPS mode can be enabled only after the Check Point initialization is complete

3.1.4 Setting the Module to FIPS Mode

After installing or upgrading to the appropriate Check Point module and initializing the Check Point module, the Crypto Officer must set the mode of operation to FIPS mode.

To set the mode of operation to FIPS mode

1. Use the CLI from the console port to enter the *set fips on restart* command. This will reboot the device and bring it up in FIPS mode
2. If desired, enter the *show fips* command to verify that the device is in FIPS mode. For the list of disabled access and feature mechanisms, see Appendix A on page 41.

3.1.5 Initializing the Remote Management of the Module

Before the Crypto Officer can manage the module remotely, SSH must be enabled, the Crypto Officer's authorized SSH public key must be entered, and only FIPS-approved algorithms can be selected.

To initialize the remote management of the module

1. Using the CLI through the console port, enter the following commands:
 - a. *set ssh server protocol 2,1*
 - b. *set ssh server enable 1*
2. To ensure that the Crypto Officer can log in (with a password) using SSH, enter the following command:
set ssh server permit-root-login yes
3. Configure the type of authentication that the server will use to authenticate the Crypto Officer by entering the following commands:
set ssh server
dsa-authentication 1
password-authentication 1
rhosts-authentication 0
rhosts-authentication 0
rsa-authentication 1

4. Allow only FIPS-approved algorithms for encryption and configure the SSH protocol by entering the following commands:


```
set ssh server
  ciphers 3des-cbc
  keepalives <0 | 1>
  listen-addr IPv4/IPv6 address
  listen-addr2 IPv4/IPv6 address
  port <1 | 2 | 1,2>
  server-key-bits 1024
```
5. Generate host keys for either SSHv1, SSHv2, or both by entering the following commands:


```
set ssh hostkey
  v1 size 1024
set ssh hostkey
  v2 rsa size 1024
  v2 dsa size 1024
```
6. Enter the Crypto Officer's authorized public key for SSHv1, SSHv2, or both with the following commands:


```
add ssh authkeys
  v1 user name bits 1024 exponent integer modulus
  name comment name
  v2 rsa user name <openssh-format name | ssh-format file
  name> comment name
  v2 dsa user name <openssh-format name | ssh-format file
  name> comment name
```
7. For optional configuration settings, see the *CLI Reference Guide* for IPSO 3.9 or IPSO 4.1 as appropriate.

The module can now be managed remotely with SSH-secured management sessions.

When changing the configuration, the preceding settings denoted by bold letters and numbers must not be changed.

3.1.6 Management and Monitoring

After the initial setup, the Crypto Officer can locally or remotely manage, configure, and monitor the IPSO module with the CLI, or monitor with SNMPv3 (when using IPSO 3.9; SNMP support is not included in IPSO v4.1). The Crypto Officer can manage the Check Point module with the remote management server via the Check Point SmartDashboard application. Through this server, the Crypto Officer can configure policies for the module. These policies determine how the firewall and VPN services of the module function. Screen shots from the Check Point

SmartDashboard application are included to aid in illustration of the steps described below.

During the management of the module, the Crypto Officer must satisfy the following:

- The SSH configuration settings specified in Section 3.1.5 must be satisfied.
- Authorized public keys must be entered into the module with the SSH-secured management session.
- The AUX port must not be enabled.
- The module logs must be monitored. If a strange activity is found, the Crypto Officer should take the module off line and investigate.
- The tamper-evident seal must be regularly examined for signs of tampering.
- No keys or CSPs should be shared between the non-Approved mode and the Approved mode of operation when switching between modes of operation. To ensure that no sharing occurs, all keys must be zeroized while in one mode of operation before switching to another mode of operation.

The VPN functionality must be configured to use only FIPS-approved algorithms. The following pages denote sample screen shots of the various Check Point configuration screens. Authentication during IKE must employ pre-shared keys or digital certificates. IPSec and IKE can use only the following FIPS-approved algorithms:

Data encryption

- Triple DES
- AES

Data packet integrity

- HMAC with SHA1

Authentication

- Certificates
- Pre-shared keys

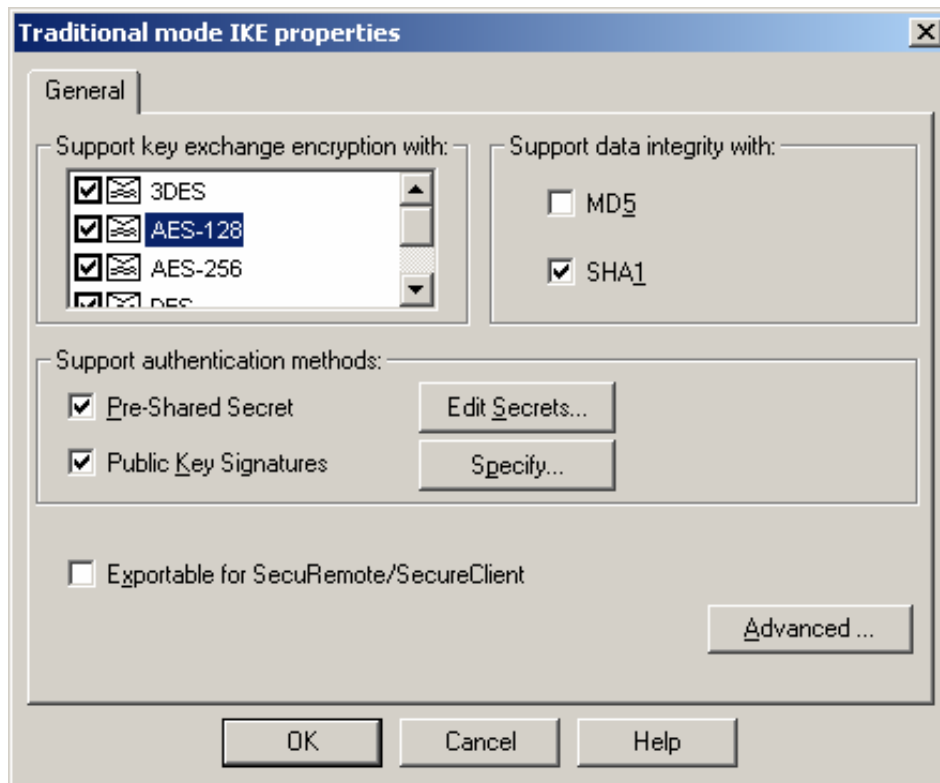


Figure 4 – Only FIPS-Approved Algorithms Can Be Used with IKE

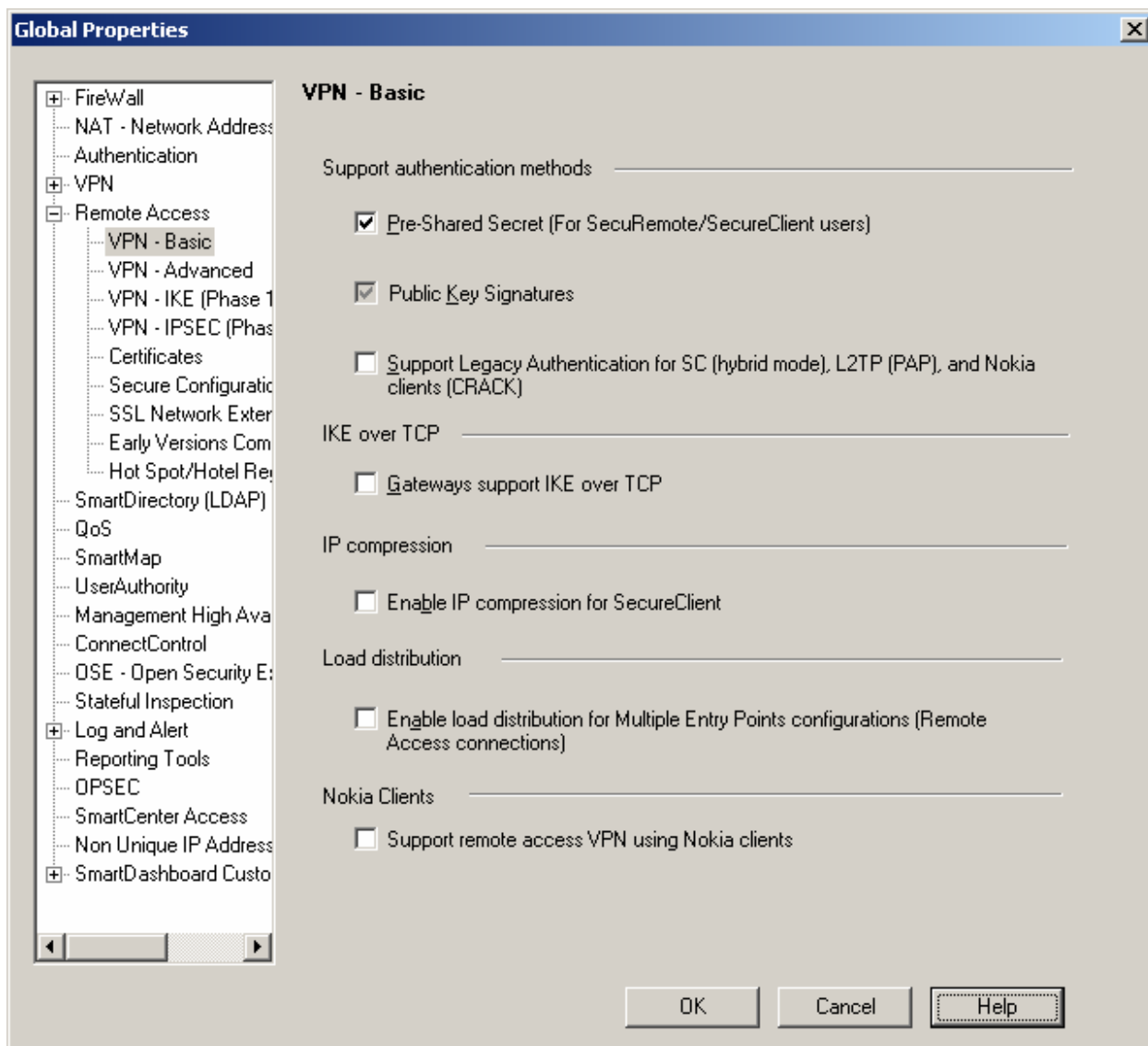


Figure 5 – Only Pre-shared Keys or Digital Certificates Can Be Used to Authenticate Clients

Notes:

Only 1024-bit or higher DSA and RSA key sizes should be used in FIPS mode.

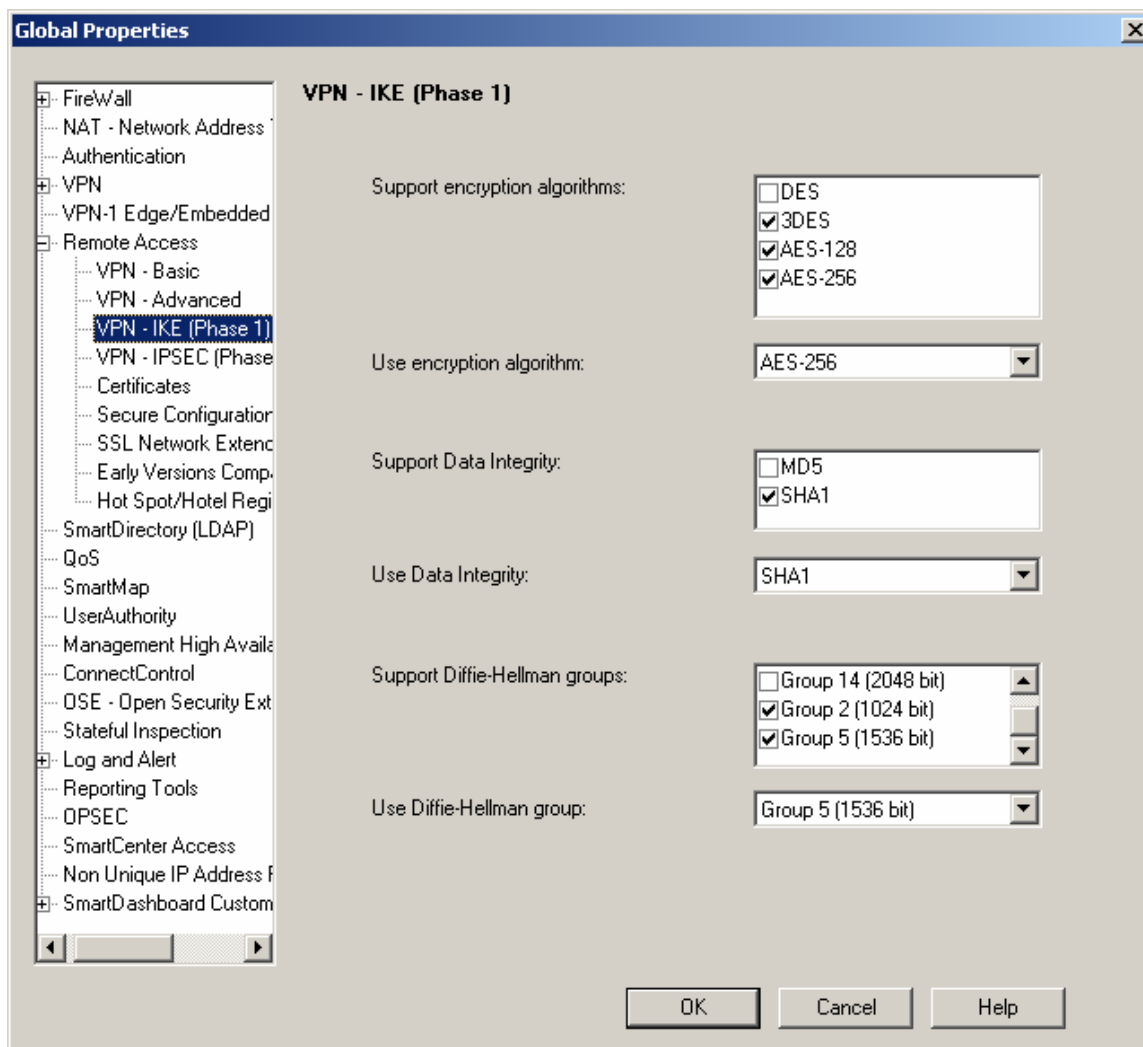


Figure 6 – Only FIPS-Approved Algorithms Can Be Used with IKE

Notes:

1. Only Diffie-Hellman Groups 2 or higher (1024-bits), providing 80 or more bits of encryption strength should be used in the FIPS approved mode of operation.
2. When Check Point VPN-1 NGX (R60) is used, additional Diffie-Hellman groups 15-18 (2048 bits to 8192 bits) are selectable as options.

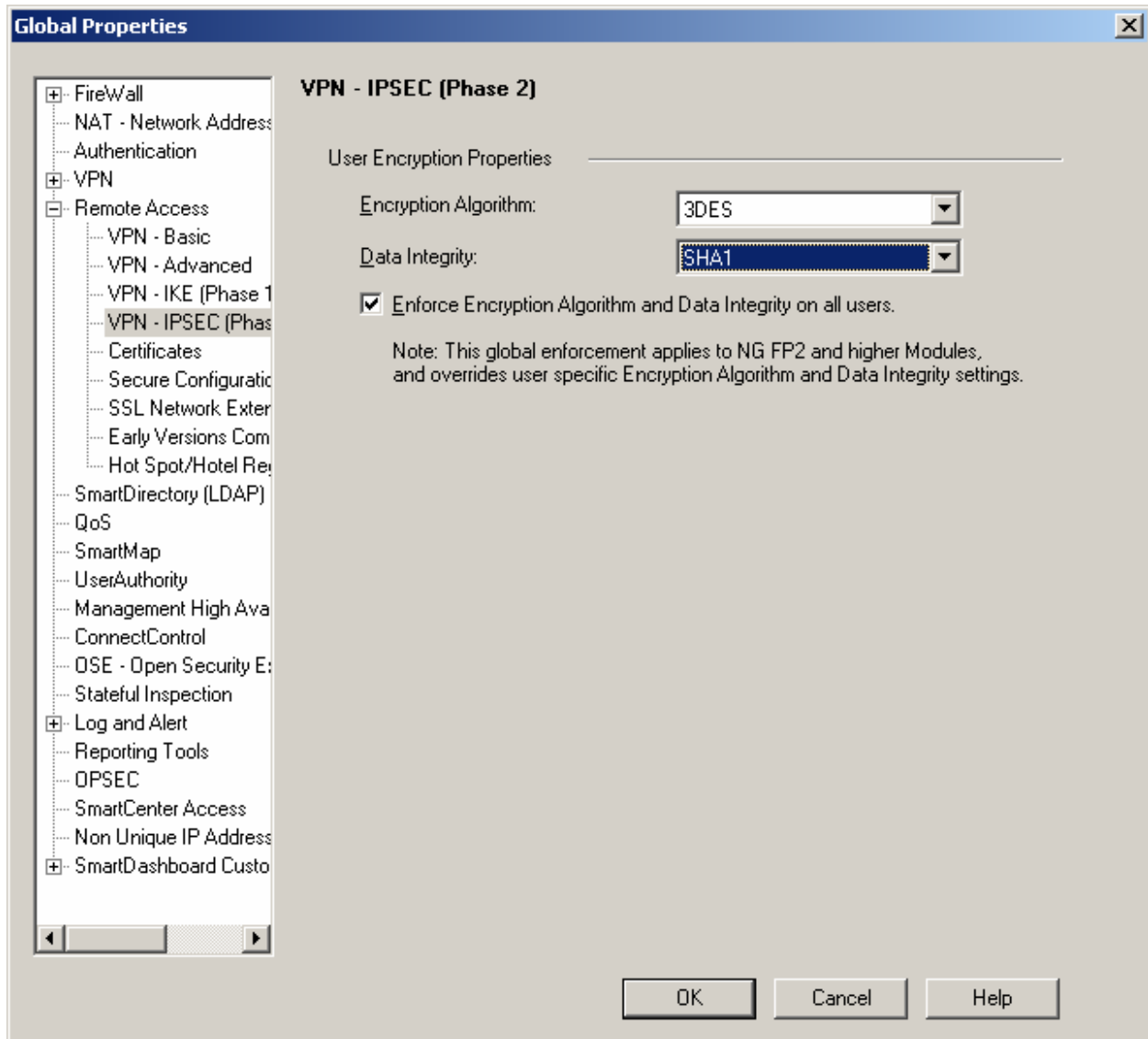


Figure 7 – Only FIPS-Approved Algorithms Can Be Used with IPsec

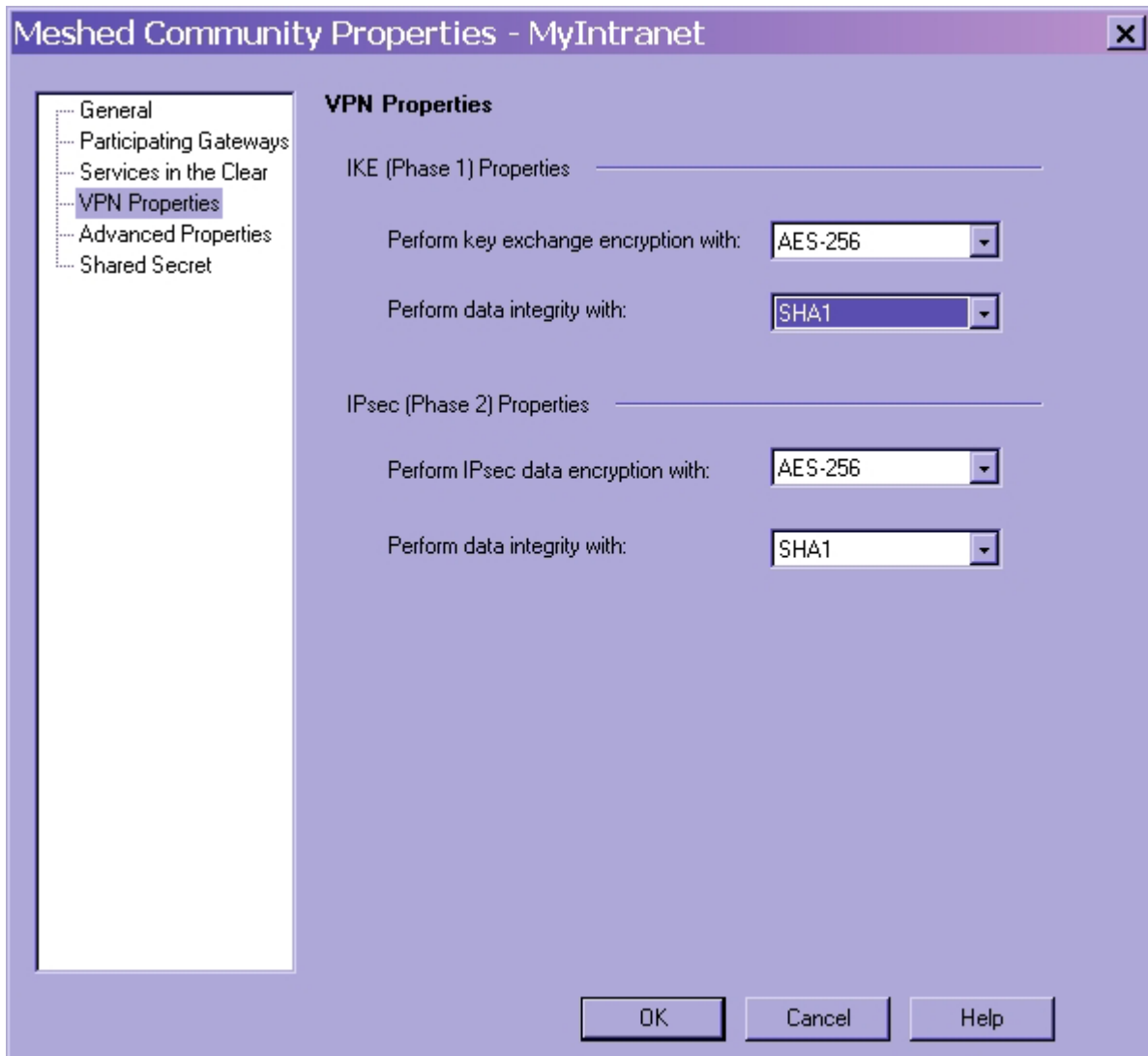


Figure 8 – Only FIPS-Approved Algorithms Can Be Used with IPsec or IKE

Note: This applies equally for either star or meshed VPN community properties

3.2 User Guidance

The User accesses the module VPN functionality as an IPsec client. Although outside the boundary of the module, the User should be careful not to provide authentication information and session keys to other parties. The User should also make certain that no keys or CSPs are shared between the non-Approved mode and the Approved mode of operation when switching between modes of operation. To ensure that no sharing occurs, a User must zeroize all keys while in one mode of operation before switching to another mode of operation.

The User should only use 1024-bit keys or higher for RSA and Diffie-Hellman in FIPS mode. The DES algorithm, 1 key Triple DES, and key sizes less than 1024-bits are not allowed in FIPS mode.

APPENDIX A – DISABLED MECHANISMS

Warning: When running in a FIPS mode of operation, many of the existing and new features of Nokia IPSO are disabled as required for FIPS compliance.

The following list shows all the access and feature mechanisms that are disabled when the module is in FIPS mode:

- HTTP access
- FTP access
- Telnet access
- TFTP access
- Load Sharing (Nokia IPSO Clustering) and High Availability (VRRP)
- NTP
- Syslog remote logging
- Check Point remote installation daemon
- SSLv3
- Disabled algorithms:
 - CAST
 - DES (40 bits)
 - MD5
 - HMAC MD5
 - Arcfour
 - Twofish
 - Blowfish

APPENDIX B – ALGORITHM VALIDATION CERTIFICATE NUMBERS

The module supports several independent implementations of the same FIPS-Approved algorithms. The following table lists the certificate numbers for the validated FIPS-approved algorithms implemented in IPSO, the Check Point VPN-1 firmware, and the cryptographic accelerator chips. Accelerator cards (when used) accelerate the Check Point firmware DES, Triple-DES, or AES VPN functions as indicated. Accelerated DES and 1 key Triple DES are non-compliant. To remain in the FIPS Approved mode, only the FIPS approved Triple-DES and AES encryption algorithms should be used.

	Nokia Firmware		Check Point Firmware	Cryptographic Accelerator Chips	
	IPSO 3.9	IPSO 4.1	NGX (R60) w/HFA-03	IP260 IP265	IP1220 IP1260
AES	N/A		#442	#226	#91
DES ¹	N/A		#314	#297	---
3DES ²	#465		#466	#317	#204
HMAC	#207		#208	#19	#203
SHS	#508		#509	#291	#500
DSA	#181	#204	N/A	N/A	N/A
RSA	#166	#215	#167	N/A	N/A
RNG	#229		#201	N/A	N/A

Key Establishment Methodologies:

The following key establishment (Key Agreement or Key Wrapping) methodologies are employed by the module. The relative encryption strengths provided by the mechanisms described are calculated in accordance with FIPS 140-2 Implementation Guidance 7.5 and NIST Special Publication 800-57.

Diffie-Hellman Key Agreement:

- **NGX (R60):** provides between 70 and 128 bits of encryption strength
- **IPSO (3.9):** provides between 57 and 112 bits of encryption strength

RSA Key Wrapping:

- **SSHv1:** provides between 57 and 80 bits of encryption strength (the default selection is 70 bits of strength)
- **SSHv2:** provides between 80 and 112 bits of encryption strength
- **TLS:** provides 80 bits of encryption strength

Note that only methodologies providing 80 or more bits of encryption strength are FIPS Approved. Sections 3.1.5 and 3.1.6 include instructions for configuring the module into approved mode.

¹ DES is a non-FIPS Approved algorithm (not to be used in FIPS mode) and should not be selected for use. See Section 3.1.6 for configuration instructions.

² 1 Key 3DES is non-compliant (not to be used in FIPS mode) and should not be selected for use. See Section 3.1.6 for configuration instructions.

APPENDIX C – ACRONYM DEFINITIONS

AH	Authentication Header
AI	Application Intelligence
ANSI	American National Standards Institute
BGP	Border Gateway Protocol
CBC	Cipher Block Chaining
CLI	Command-Line Interface
CMVP	Cryptographic Module Validation Program
CRC	Cyclical Redundancy Check
CSP	Critical Security Parameter
DSA	Digital Signature Standard
DVMRP	Distance Vector Multicast Routing Protocol
EDC	Error Detection Code
EMC	Electromagnetic Compatibility
EMI	Electromagnetic Interference
ESP	Encapsulating Security Payload
FCC	Federal Communication Commission
FIPS	Federal Information Processing Standard
FP	Feature Pack
IGRP	Inter-Gateway Routing Protocol
IKE	Internet Key Exchange
IPSec	IP Security
KAT	Known Answer Test
LED	Light Emitting Diode
MAC	Message Authentication Code
NIST	National Institute of Standards and Technology
OSPF	Open Shortest Path First
PRNG	Pseudo Random Number Generator
RAM	Random Access Memory
RIP	Routing Information Protocol
RSA	Rivest Shamir and Adleman
SA	Security Association
SHA	Secure Hash Algorithm
SIC	Secure Internal Communications
SNMP	Simple Network Management Protocol
SSH	Secure Shell
SSL	Secure Socket Layer
TLS	Transport Layer Security
VPN	Virtual Private Network