Building and Breaking Lattice-Based Post-Quantum Cryptosystem Hardware

Aydin Aysu, Assistant Professor

Researchers: Dr. Seetal Potluri, Anuj Dubey, Furkan Aydin, Emre Karabulut, Priyank Kashyap, Gregor Haas, Faiz Alam, Ferhat Yaman



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Our Research

Cybersecurity with a *hardware* focus

- Hardware acceleration for next-generation cryptography [DATE'20][TC'20][FPL'20][ICCAD'20][ESL'19][TC'15][TECS'15][ESL'14] [HOST'13]
- Hardware building blocks to combat supply-chain attacks [ICCAD'21] [HOST'18][HOST'17][ICISC'16][DATE'16][CHES'15][WESS'13]
- Mitigating hardware theft of untrusted foundries [TCAD'22][ISQED'20][ISCAS'20][TCAD'22]
- Implementation security: side-channel and fault attacks [DAC'22][HOST'22][TCHES'22][DAC'21][HOST'20][ICCAD'20][HOST'18][DATE'14]
- Training a cyber-aware STEM workforce [GLS-VLSI'22][GLS-VLSI'19]

Why Quantum Computing?

- Better predicting tomorrow's weather?
- Efficient simulation of chemical reactions?
- Finding new electronic materials?
- Optimize traffic, logic simulations, or ticket prices?

• ...

Know This Machine?











Emergence of Post-Quantum Cryptography

- NIST's PQ standardization effort (2017–2024)
- Some industry/government adoptions already occurred



Emergence of Post-Quantum Cryptography

- Key Encapsulation Mechanisms
 CRYSTALS-KYBER
- Digital Signatures
 - CRYSTALS-DILITHIUM
 - FALCON
 - SPHINCS+
- Alternates:

- FrodoKEM, NTRU, NTRU Prime, SABER, ...

Moving to Quantum-Secure Cryptography



SECURITY GUIDANCE

Migration to Post-Quantum Cryptography

The advent of quantum computing technology will compromise many of the current cryptographic algorithms, especially public-key cryptography, which is widely used to protect digital information. Most algorithms on which we depend are used worldwide in components of many different communications, processing, and storage systems. Once access to practical quantum computers becomes available, all public-key algorithms and associated protocols will be vulnerable to criminals, competitors, and other adversaries. It is critical to begin planning for the replacement of hardware, software, and services that use public-key algorithms now so that information is protected from future attacks.

Source: https://www.nccoe.nist.gov/

Collaborating Vendors

- Amazon Web Services, Inc. (AWS)
- Cisco Systems, Inc.
- Crypto4A Technologies, Inc.
- CryptoNext Security
- **Dell Technologies**
- DigiCert
- Entrust
- IBM
- InfoSec Global
- **ISARA** Corporation
- IPMorgan Chase Bank, N.A.
- Microsoft
- Samsung SDS Co., Ltd.
- SandboxAQ
- Thales DIS CPL USA, Inc.
- Thales Trusted Cyber Technologies
- VMware, Inc.
- wolfSSL



Category of Post-Quantum Cryptosystems

Category	Security Assumption	Features
Code-based cryptography	Decoding general linear codes	Large keys, complex operations
Hash-based cryptography	One-way hash functions	Large keys, limited applications
Lattice-based cryptography	Lattice problems	Small keys, efficient arithmetic,

3 out of the 4 upcoming NIST standards use lattice cryptography

Lattices Have Other Uses...

Homomorphic encryption allows computing on encrypted data <u>without</u> knowing the secret key or underlying plaintext



Security of Lattice-Based Cryptography



Given a bad basis, can you find a good one?

Lattice-based Cryptography

A Lattice is a set of points
 L={a₁v₁+...+a_nv_n | a_i integers}
 with v₁,...,v_n in Rⁿ linearly independent



- Approximate Shortest Vector Problem (SVP): Given basis v₀,v₁ find a short vector λ₁
- NP-Hard [Ajtai'96]
- Lattice basis reduction attack complexities
 - Classical: 2^{2n+o(n)} [MV'10]
 - Quantum: 2^{1.799n+o(n)} [LMP'13]

Trap-door one-way function

Learning With Errors: B=A·S+E, PUBLIC KEY=B and A, SECRET KEY=S



Fundamental Computations in Lattice-Based Cryptography



Elements are defined over Galois Field (modular arithmetic with primes) Random sampling may require "discrete Gaussian" distributions

FALCON Specification – What to Implement?

Algorithm 5 NTRUGen (ϕ, q) Require: A monic polynomial $\phi \in \mathbb{Z}[x]$ of degree *n*, a modulus *q* Ensure: Polynomials f, g, F, G1: $\sigma_{\{f,g\}} \leftarrow 1.17 \sqrt{q/2n}$ $\triangleright \sigma_{\{f,g\}}$ is chosen so that $\mathbb{E}[||(f,g)||] = 1.17\sqrt{q}$ 2: for *i* from 0 to n - 1 do 3: $f_i \leftarrow D_{\mathbb{Z},\sigma_{\{f,g\}},0}$ \triangleright See also (3.29) 4: $g_i \leftarrow D_{\mathbb{Z},\sigma_{\{f,g\}},0}$ 5: $f \leftarrow \sum_i f_i x^i$ $\triangleright f \in \mathbb{Z}[x]/(\phi)$ $\triangleright g \in \mathbb{Z}[x]/(\phi)$ 6: $q \leftarrow \sum_i q_i x^i$ 7: if NTT(f) contains 0 as a coefficient then \triangleright Check that *f* is invertible mod *q* restart 8: 9: $\gamma \leftarrow \max\left\{ \left\| (g, -f) \right\|, \left\| \left(\frac{qf^{\star}}{ff^{\star} + aa^{\star}}, \frac{qg^{\star}}{ff^{\star} + aa^{\star}} \right) \right\| \right\}$ \triangleright Using (3.9) with (3.8) or (3.10) 10: if $\gamma > 1.17\sqrt{q}$ then \triangleright Check that $\gamma = \|\mathbf{B}\|_{CS}$ is short 11: restart 12: $F, G \leftarrow \mathsf{NTRUSolve}_{n,q}(f,g)$ \triangleright Computing F, G such that $fG - gF = q \mod \phi$ 13: if $(F, G) = \bot$ then 14: restart 15: return f, q, F, G

New IPs Needed for Lattice-Based Cryptography

- Building blocks for discrete Gaussian sampling
- Building blocks for Number Theoretic Transform
- Full system design working with new building blocks
- System-level trade-offs
- Optimizations for edge computers to cloud
- New custom instructions for ISA
- Implementation security!
- Hybrid designs

Number Theoretic Transform



Reduces multiplication complexity from $O(n^2)$ to O(n.logn)

Number Theoretic Transform

Iterative NTT Algorithm

Algorithm 2 Iterative NTT Algorithm [14] Input: $A(x) \in \mathbb{Z}_q[x]/(x^n+1)$ **Input:** primitive *n*-th root of unity $\omega \in \mathbb{Z}_q$, $n = 2^l$ **Output:** $\overline{A}(x) = \mathbf{NTT}(A) \in \mathbb{Z}_q[x]/(x^n + 1)$ 1: for i from 1 by 1 to l do $m = 2^{l-i}$ 2: for j from 0 by 1 to $2^{i-1} - 1$ do Read 3: for k from 0 by 1 to m-1 do 4: **Butterfly** $U \leftarrow A[2 \cdot j \cdot m + k]$ 5: Write $V \leftarrow A[2 \cdot j \cdot m + k + m]$ 6: $A[2 \cdot j \cdot m + k] \leftarrow U + V$ 7: $A[2 \cdot j \cdot m + k + m] \leftarrow \omega^{(2^{i-1} \cdot k)} \cdot (U - V)$ 8: end for 9: end for 10: 11: end for 12: return A

8-point NTT



- N-point NTT operation has log₂n stages
- At each stage, n/2 butterfly operation is performed
- Single NTT operation can be parallelized using multiple butterfly units

Number Theoretic Transform



Aydin Aysu et al. "An extensive study of flexible design methods for the number theoretic transform." IEEE Transactions on Computers 71, no. 11 (2020): 2829-2843.

Number Theoretic Transform Results



Aydin Aysu et al. "An extensive study of flexible design methods for the number theoretic transform." *IEEE Transactions on Computers* 71, no. 11 (2020): 2829-2843.

Number Theoretic Transform Results

Met. Work		Platform	n	K	LUT / REG / DSP / BRAM	Clock	Latency	
		Thatform	10	- 11		(MHz)	CC	μs
			256		250 / - / 3 / 2		—	25
	$[20]^{a}$	Spartan-6	512	17	240 / - / 3 / 2	_	—	50
		-	1024		250 / - / 3 / 2		—	100
Γ	$[21]^{a,b}$	Virtex-6	256	13	4549 / 3624 / 1 / 12	262	—	8
Γ	[22] ^b	Zynq US	4096	30	64K / - / 200 / 400	225	—	73
Γ	[23] ^b	Virtex-7	32768	32	219K / – / 768 / 193	250	7709	51
Γ	$[24]^{b}$	Spartan-6	1024	32	1208 / - / 14 / 14	212	_	12
		Virtex-7	1024	52	34K / 16K / 476 / 228	200	80	0.4
Γ	[18] ^b	Virtex-7	1024	32	67K / – / 599 / 129	200	140	0.7
[13] [25] [11] [26]		Viitex-7	1024	52	77K / – / 952 / 325.5		80	0.4
	$[25]^{c}$	Virtex-6	256	13	1349 / 860 / 1 / 2	313	1691	5.4
			512	14	1536 / 953 / 1 / 3	278	3443	12.3
	51130	40nm CMOS 40nm CMOS UMC 65nm	256	13		72 300 25	1289	17
	[11] ^c		512	14	106K / - / - / -		2826	32
			1024	14			6155	81
	$[26]^{c}$		256	13	_/_/_/_		160	0.5
lrd			512	14	-/-/-/-		492	1.6
Ηg	[27] ^c		256	13			2056	82
			512		14K / - / - / -		4616	184
	[1 00] <i>a b</i>	A set in a 77	1024	14	4802 / 0001 / 8 /	150	10248	409
	[28] ^{a,o}	Artix-7	16284	14	4823 / 2901 / 8 / -	153	1280	_
	$[29]^{b}$	Virtex-7	10004	32	2.01K / 1.23K / 39 / 60	166	20072	_
	[20]b	Vintov	52700 65526	32	2.00 K / 1.2 / K / 39 / 100	100	01440 47705	_
	[30]	Virtex-6	1024	$\frac{30}{14}$	72K / 03K / 230 / 04	100	47793 5160	-
_	TW- 1 PE	Virtex-7	1024	60	373 / - / 3 / 11	125	24708	$\frac{41.2}{107.6}$
			1024	1/1	2720 / - / 31 / 100		680	5/
	TW- 8 PE	Virtex-7	4096	60	2304 / - / 24 / 10 23215 / - / 248 / 176	125	3276	26.2
ŀ	TW -32 PE	Virtex-7	1024	14	17188 / - / 96 / 48		200	1.6
			4096	60	99384 / - / 992 / 176	125	972	7.7

Aydin Aysu et al. "An extensive study of flexible design methods for the number theoretic transform." IEEE Transactions on Computers 71, no. 11 (2020): 2829-2843.

<u>High Precision</u> Discrete Gaussian Sampling



Sampling precision impacts cryptographic security level

High Precision Discrete Gaussian Sampling

Sampler	Speed	FP exp()	Table Size	Table Lookup	Entropy	Features
Rejection	slow	10	0	0	$45+10log_2\sigma$	Suitable for constrained devices
Ziggurat	flexible	flexible	flexible	flexible	flexible	Suitable for encryption requires high-precision FP arithmetic; not suitable for HW implementation
CDT	fast	0	στλ	$log_2(\tau\sigma)$	$2.1 + log_2\sigma$	Suitable for digital signature easy to implement
Knuth-Yao	fastest	0	$1/2\sigma\tau\lambda$	$log_2(\sqrt{2\pi e}\sigma)$	$2.1+log_2\sigma$	Not suitable for digital signature
Bernoulli	fast	0	$\lambda log_2(2.4 au\sigma^2)$	$pprox log_2\sigma$	$\approx 6 + 3log_2\sigma$	Suitable for all schemes
Binomial	fast	0	0	0	$4\sigma^2$	Not suitable for digital signature

Many algorithmic options for implementing Gaussian sampling

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High Precision Discrete Gaussian Sampling



(a) The Proposed Gaussian Sampler Hardware's Top Level Block Diagram



Aydin Aysu et al. "Efficient, flexible, and constant-time gaussian sampling hardware for lattice cryptography." IEEE Transactions on Computers 71, no. 8 (2021): 1810-1823.

Results and Comparison



Work	Supported	$\sigma / \lambda / Denth$	Platform	Slice/LUTs/	\mathbf{F}_{Max}	Cyc	Area-	
WOIK	Algorithms			FFs/BRAM	(MHz)	Cnt	Delay	
HW [15]	aTESIADI	8.5/64/77	Artix-7	-/907/812/3	115	111	235.88×	
This Work ^a	qilola p-i	8.5/64/80	Virtex-7	169/554/306/0	232	3	-	
HW [15]	aTESI A p-III	8.5/125/110	Artix-7	-/820/837/3	119	49	35.26×	
This Work ^a	qresex p-m	8.5/128/112	Virtex-7	324/1049/566/0	162	3	-	
HW [11]		3.33/64/31	Virtex-6	43/112/19/0	297	5	0.16×	
HW [10]	IP	3.33/90/37	Virtex-5	17/43/33/1	259	3	0.36×	
HW [8]	LI	3.33/80/35	Virtex-6	231/863/6/0	61	1	$1.06 \times$	
		3.33/64/33		360/1278/306/0	218	2		
This Work	LP	3.33/90/37	Virtex-7	442/1418/306/0	198	2	-	
I HIS WORK		3.33/80/35	VII (CX-7	425/1341/8/0	205	2		
		3.33/100/39		539/1960/446/0	173	2		
$HW^{a}[11]$		215/64/184	Spartan-6	179/577/64/0	130	8	$1.67 \times$	
HW ^a [13]	BLISS	215/128/184	Spartan-6	299/928/1121/0	129	8	2.85×	
This Work ^a		215/128/184	Virtex-7	305/1001/558/0	245	5	-	
	FrodoKEM-640	2.8/16/12		71/203/106/0	292	1		
This Work	FrodoKEM-976	2.3/16/10	Virtex-7	65/179/92/0	318	1	-	
	FrodoKEM-1344	XEM-1344 1.4/15/6		41/109/80/0	351	3		
	SEAL-128	3.19/128/41		654/2347/581/0	152	2		
This Work	SEAL-192	3.19/192/51	Virtex-7	993/3620/843/0	122	2	-	
	SEAL-256	3.19/256/60		845/4845/1103/0	102	2		
This Work	FALCON-I	2/53/18	Virtey-7	184/627/248/0	227	2	_	
	FALCON-II	$\sqrt{5}/200/37$	VII CX /	626 / 2142 / 849 / 0	116	2		
HW [17]	-	4.41/112/55	Spartan-6	122/426/123/1	102	8	$5.01 \times$	
HW [18]	-	4.41/112/55	Spartan-6	150/463/45/0	80	30	15.69×	
This Work ^a	-	4 41 / 112 / 55	Virtex-7	298/970/549/0	263	3	-	

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Implementation Security

New applications (e.g. IoT) expose hardware to direct physical attacks / tampering: breaks crypto / key stolen



Physical Side-Channel Analysis

This talk: Power and EM



Fundamental property of CMOS:

- + More practical (low-cost) than optical leakage
- + More precise than thermal leakage

Side-Channel Security

Physical source: power, EM, acoustic, photonic, thermal, ... **Digital source:** time, micro-architectural state, memory patterns, ...

Differential Power Analysis

Paul Kocher, Joshua Jaffe, and Benjamin Jun

Cryptography Research, Inc. 607 Market Street, 5th Floor San Francisco, CA 94105, USA. http://www.cryptography.com E-mail: {paul,josh,ben}@cryptography.com.

Abstract. Cryptosystem designers frequently assume that secrets will be manipulated in closed, reliable computing environments. Unfortunately, actual computers and microchips leak information about the operations they process. This paper examines specific methods for analyzing power consumption measurements to find secret keys from tamper resistant devices. We also discuss approaches for building cryptosystems that can operate securely in existing hardware that leaks information.

Keywords: differential power analysis, DPA, SPA, cryptanalysis, DES

CRYPTO'99*



*Omitting TEMPEST for simplicity

FALCON's Side-Channel Vulnerability

Key generation sub-routine leaks secret key bit values



NTRU and NTRU Prime Side-Channel Vulnerability





Karabulut, Emre, Erdem Alkim, and Aydin Aysu. "Single-trace side-channel attacks on ω-small polynomial sampling: with applications to NTRU, NTRU prime, and crystals-dilithium." In 2021 IEEE International Symposium on Hardware Oriented Security and Trust (HOST), pp. 35-45. IEEE, 2021.

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SamplePerm Full Power Trace and Extraction



Karabulut, Emre, Erdem Alkim, and Aydin Aysu. "Single-trace side-channel attacks on ω-small polynomial sampling: with applications to NTRU, NTRU prime, and crystals-dilithium." In *2021 IEEE International Symposium on Hardware Oriented Security and Trust (HOST)*, pp. 35-45. IEEE, 2021.

Dilithium Coefficient Sign-bit Assignment

Dilithium Sampling Leakage

Listing 3. Dilithium Polynomial Generation Reference Implementation



Karabulut, Emre, Erdem Alkim, and Aydin Aysu. "Single-trace side-channel attacks on ω-small polynomial sampling: with applications to NTRU, NTRU prime, and crystals-dilithium." In 2021 IEEE International Symposium on Hardware Oriented Security and Trust (HOST), pp. 35-45. IEEE, 2021.

Requirements For A <u>Differential</u> Side-Channel Attack

An intermediate computation:

1) that combines a known value and a secret key and

Key Hypothesis

2) the known value varies (*i.e.*, not fixed)



	Key:	-00	Key= <mark>01</mark>		Key		Power
Input	state	P _m	state	$\left \mathbf{P}_{m}\right $	 state	$ \mathbf{P}_{m} $	(μW)
I ₁ =01	01	1	00	0	fe	7	\searrow
l ₂ =0f	Of	4	0e	3	 fO	4	\sim
₁₀₀₀₀ =f1	f1	5	fO	4	 0e	3	\sim

Single-Trace Differential Attacks on FrodoKEM Matrix Multiplication

- Attacker limited to a single power measurement trace
- Matrix multiplication has "multiple" intermediate computations on the same secret
 - □ Up to 1344 distinct computations on the same secret (S) coefficient
 - □ Attack splits measurements into "sub-traces" for profiling and test



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Attacking the FALCON Signatures with Differential Power Analysis

Algorithm 2 FALCON Signature Generation Algorithm [5] **Input:** a message m, a secret key sk, a bound β^2 **Output:** a signature sig of m1: $r \leftarrow \{0,1\}^{320}$ uniformly 2: $c \leftarrow$ HashToPoint (r||m)3: $t \leftarrow (\underline{=}_{q}^{1} FFT(c) \odot FFT(F), \underline{}_{q}^{1} FFT(c) \odot FFT(f))$ $\triangleright \odot$ represents FFT multiplication 4: do do 5. $z \leftarrow \text{ffSampling}(t,T)$ 6:
$$\begin{split} \mathbf{s} \leftarrow (t-z) \begin{bmatrix} \widetilde{F}\widetilde{F}T(g) & -FFT(f) \\ FFT(G) & -FFT(F) \end{bmatrix} \\ \mathbf{while} \ s^2 > [\beta^2] \end{split}$$
7: 8: $(s_1, s_2) \leftarrow invFFT(s)$ 9: $s \leftarrow \text{Compress}(s_2, 8 \cdot sbytelen - 328)$ 10: 11: while $s = \perp$ 12: return siq = (r, s)

- NTRU equation: fG - gF = q
- Public Key:
 h= gf⁻¹
- If we know either polynomial
 'g' or 'f', we can recover the other <u>secret</u> polynomial
- Attack target: Multiplication of known polynomial 'c' and secret polynomial 'f'

FALCON FFT and Multiplication

Secret coefficients of f can be recovered by targeting the FFT-domain multiplication



Challenge of Attacking Multiplication



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Public-Key Cryptography

Evaluation Results

1k measurements can extract sign, 100 traces can extract exponent and mantissa



Side-Channel Security



- + Provably secure
- Needs tuning for each **f** -

Patented* and may leak -

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Masking Cryptographic Hardware Is Hard!



Fritzmann, Tim, et al. "Masked accelerators and instruction set extensions for post-quantum cryptography." *IACR Transactions on Cryptographic* Hardware and Embedded Systems 2022.1 (2021): 414-460.

Three Takeaways

1. Quantum-secure cryptography is unavoidable

2. (Lattice-based) quantum-secure cryptography is <u>fundamentally different</u>

- 3. Need new hardware designs:
 - Optimize components
 - Design full system and explore trade-offs & design space
 - Support hybrid schemes
 - Add "implementation" security

SR

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Questions

Attack Setups





Interested? Email: aaysu@ncsu.edu

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ChatGPT Answers

When should we start using post-quantum cryptography?

It is recommended to start using post-quantum cryptography as soon as possible, even though the immediate threat of a quantum computer breaking current encryption methods is low. The reason for this is that it can take a long time to phase in a new cryptosystem and make sure it is secure and properly implemented. Additionally, as quantum computers continue to improve, the threat of them breaking current encryption methods will increase, so it is better to start preparing for this eventuality now.

It is important to note that post-quantum cryptography should not be used alone, but should be used in conjunction with currently used cryptographic methods. This will provide an extra layer of security, making it more difficult for an attacker to break the encryption even if they have access to a quantum computer.

It is also important to use post-quantum cryptography for sensitive information that needs to be protected for a long period of time, such as financial information, personal identification, and classified data. ሰ ጥ