# On Devising Recommendations for Multi-Party Threshold Schemes

Presented\* in Singapore, on September 12<sup>th</sup>, 2023 at

# DeCompute 2023

\* Luís Brandão: At NIST as a Foreign Guest Researcher (non-employee), Contractor from Strativia. Expressed opinions are from the speaker and should not be construed as official NIST views. Joint work with René Peralta.

### Outline

- 1. NIST Intro
- 2. NIST project on PEC and Threshold Crypto
- 3. The Threshold Call
- 4. A process toward recommendations

(Slides will be publicly available)

Crypto = Cryptography. NIST = National Institute of Standards and Technology. PEC = Privacy-Enhancing Cryptography.

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### **NIST:** Laboratories $\rightarrow$ **Divisions** $\rightarrow$ **Groups**

- ► Non-regulatory federal agency (@ U.S. Dept. Commerce)
- Mission: ... innovation ... industrial competitiveness ... measurement science, <u>standards</u>, and technology ... economic security ... quality of life.



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# $\underbrace{\text{Information}}_{\text{Technology}} \rightarrow \text{Computer Security Division (CSD):}$

→ Cryptographic Technology Group (CTG): research, develop, engineer, and produce guidelines, recommendations and best practices for cryptographic algorithms, methods, and protocols.

### Activities in the "Crypto" Group



Legend: BC = Block Ciphers. CC = Circuit Complexity. Crypto = Cryptography. DS = Digital Signatures. EC = Elliptic Curves. FIPS = Federal Information Processing Standards. IR = Internal or Interagency (denoting that the public NIST report was developed internally at NIST or in an interagency collaboration, respectively. IRB = Interoperable Randomness Beacons. KM = Key Management. LWC = Lightweight Crypto. PEC = Privacy-Enhancing Crypto. PQC = Post-Quantum Crypto. RNG = Random-Number Generation. SP 800 = Special Publications in Computer Security. TC = [Multi-Party] Threshold Crypto).

#### More details at https://www.nist.gov/itl/csd/cryptographic-technology

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- **Public documentation:** FIPS; Special Publications (SP 800); NIST Reports (IR).
- International cooperation: government, industry, academia, standardization bodies.

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- ... (various other projects in the NIST "Crypto group" [CTG])

**The "Threshold Call" (from MPTC+PEC):** to gather **reference material** for public analysis ... aiming for **recommendations** (in a 1st phase), including about PEC.



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#### Goals:

1. Accompany the progress of emerging *PEC tools*.



Legend: ABE: attribute-based encryption. IBE: identity-based encryption. Inc.: including. PEC: privacy-enhancing cryptography. Symm./pub.: symmetric-key or public-key based.

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- 1. Accompany the progress of emerging *PEC tools*.
- 2. Promote development of PEC reference material.

PEC tools STPPA (series of talks) PEC use-case suite Threshold schemes ZKProof collaboration Encounter metrics Email list (PEC Forum)

https://csic.hist.gov/projects/pec

Presented at



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3. Exploratory work to assess potential for recommendations, standardization; ...



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# Multi-Party Threshold Cryptography: NIST project

Cryptographic primitives:



Threshold schemes (for cryptographic primitives):



https://csrc.nist.gov/projects/threshold-cryptography

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Cryptographic primitives:



Threshold schemes (for cryptographic primitives):

- 1. Split (secret-share) the secret/private-key across multiple parties.
- 2. Use **MPC** to perform needed operation (with split key), e.g., sign. (MPC = secure multiparty computation ... or call it "Threshold Cryptography")



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- "Threshold" (f): Operation is secure if number of corrupted parties is  $\leq f$ .
- Decentralized trust about key (not reconstructed): avoids single-point of failure. https://csrc.nist.gov/projects/threshold-cryptography
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### **NIST Call for Multi-Party Threshold Schemes**

- ▶ NISTIR 8214C: Initial public draft (Jan 2023) ⇒ Revised version (late 2023).
- ► Submission deadline (expected ≈ 2nd-half 2024)

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### Calling for submissions of threshold schemes



(And gadgets for modular use)

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### Calling for submissions of threshold schemes for:

▶ [Cat1] Selected NIST-standardized primitives



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► [Cat2] Other primitives (including FHE, IBE/ABE, ZKP)

(And gadgets for modular use)

FHE = Fully-homomorphic encryption. IBE/ABE = Identity/Attribute-based encryption ZKP = Zero-knowledge proof.

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Too many acronyms, we know. (Legend further below)

Subcategory: Type
C1.1: Signing
C1.2: <b>PKE</b>
C1.3: <b>2KA</b>
C1.4: Symmetric
C1.5: Keygen

Legend: 2KA: pair-wise key-agreement. 2KE: pair-wise key-establisment. AES: Advanced Encryption Standard. CDH: cofactor Diffie-Hellman. ECC: Elliptic-curve cryptography (or, if used as an adjective, EC-based). ECDSA: Elliptic-curve Digital Signature Algorithm. EdDSA: Edwards-curve Digital Signature Algorithm. Elliptic-curve based Key-Establishment. FIPS: Federal Information Processing Standard. KC: Key-confirmtion. KDM: Key-derivation mechanism. Keygen: Key-generation. MQV: Menzes-Qu-Vanstone. PKE: public-key encryption. RSA: Rivest–Shamir–Adleman (signature and encryption schemes). RSADSA: RSA digital signature algorithm. SP 800: Special Publication (in Computer Security). Note: In the 2nd column, each item within a subcategory is itself called a family of specifications, since it may include diverse primitives or modes/variants.

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Subcategory: Type	Families of specifications	<b>NIST</b> references
C1.1: Signing	EdDSA sign, ECDSA sign, RSADSA sign	FIPS 186-5 (see also NISTIR 8214B)

Legend: 2KA: pair-wise key-agreement. 2KE: pair-wise key-establisment. AES: Advanced Encryption Standard. CDH: cofactor Difie-Hellman. ECC: Elliptic-curve cryptography (or, if used as an adjective, EC-based). ECDSA: Elliptic-curve Digital Signature Algorithm. EdDSA: Edwards-curve Digital Signature Algorithm. Elliptic-curve based Key-Establishment. FIPS: Federal Information Processing Standard. KC: Key-confirmtion. KDM: Key-derivation mechanism. Keygen: Key-generation. MQV: Menezes-Qu-Vanstone. PKE: public-key encryption. RSA: Rivest–Shamir–Adleman (signature and encryption schemes). RSADSA: RSA digital signature algorithm. SP 800: Special Publication (in Computer Security). Note: In the 2nd column, each item within a subcategory is itself called a family of specifications, since it may include diverse primitives or modes/variants.

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Subcategory: Type	Families of specifications	NIST references	
C1.2: <b>PKE</b>	RSA decrypt, RSA encrypt (a secret value)	SP 800-56B Rev2	

Legend: 2KA: pair-wise key-agreement. 2KE: pair-wise key-establisment. AES: Advanced Encryption Standard. CDH: cofactor Diffie-Hellman. ECC: Elliptic-curve cryptography (or, if used as an adjective, EC-based). ECDSA: Elliptic-curve Digital Signature Algorithm. EdDSA: Edwards-curve Digital Signature Algorithm. Elliptic-curve based Key-Establishment. FIPS: Federal Information Processing Standard. KC: Key-confirmtion. KDM: Key-derivation mechanism. Keygen: Key-generation. MQV: Menezes-Qu-Vanstone. PKE: public-key encryption. RSA: Rivest-Shamir-Adleman (signature and encryption schemes). RSADSA: RSA digital signature algorithm. SP 800: Special Publication (in Computer Security). Note: In the 2nd column, each item within a subcategory is itself called a family of specifications, since it may include diverse primitives or modes/variants.

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Subcategory: Type	Families of specifications	NIST references
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#### C1.4: Symmetric AES encipher/decipher, KDM/KC (for 2KE) FIPS 197, SP 800-56C Rev2, ...

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Too many acronyms, we know. (Legend further below)

Subcategory: Type	Families of specifications	NIST references
C1.1: Signing	EdDSA sign, ECDSA sign, RSADSA sign	FIPS 186-5 (see also NISTIR 8214B)
C1.2: <b>PKE</b>	RSA decrypt, RSA encrypt (a secret value)	SP 800-56B Rev2
C1.3: <b>2KA</b>	ECC-CDH, ECC-MQV	SP 800-56A Rev3
C1.4: Symmetric	AES encipher/decipher, KDM/KC (for 2KE)	FIPS 197, SP 800-56C Rev2,
C1.5: Keygen	ECC keygen, RSA keygen, bitstring keygen	(corresponding references above)

Legend: 2KA: pair-wise key-agreement. 2KE: pair-wise key-establisment. AES: Advanced Encryption Standard. CDH: cofactor Diffie-Hellman. ECC: Elliptic-curve cryptography (or, if used as an adjective, EC-based). ECDSA: Elliptic-curve Digital Signature Algorithm. EdDSA: Edwards-curve Digital Signature Algorithm. Elliptic-curve based Key-Establishment. FIPS: Federal Information Processing Standard. KC: Key-confirmtion. KDM: Key-derivation mechanism. Keygen: Key-generation. MQV: Menezes-Qu-Vanstone. PKE: public-key encryption. RSA: Rivest–Shamir–Adleman (signature and encryption schemes). RSADSA: RSA digital signature algorithm. SP 800: Special Publication (in Computer Security). Note: In the 2nd column, each item within a subcategory is itself called a family of specifications, since it may include diverse primitives or modes/variants.

### Also to be added to Category Cat1

Primitives specified in NIST draft pubs emerging from the PQC and LWC projects:

- ▶ ML-KEM (based on KYBER) Draft FIPS 203: Module-Lattice-Based KEM Standard
- ► ML-DSA (based on DILITHIUM) Draft FIPS 204: Module-Lattice-Based DSA
- SLH-DSA (based on SPHINCS) Draft FIPS 205: Stateless Hash-Based DSA
- **Upcoming signature standard** (based on Falcon): Upcoming Draft FIPS pub
- **Upcoming XOF standard** (based on ASCON): Upcoming Draft FIPS pub

**Legend:** DSA = digital signature algorithm. FIPS = Federal Information Processing Standard [Publication]. KEM = key-encapsulation method. XOF = extendable output function. ML = Module Lattice. SLH = StateLess hash.

Subcategory: Type

C2.1: Signing

C2.2: PKE

C2.3: Key-agreem.

C2.4: Symmetric

C2.5: Keygen

Note: While TF-QR is desired for any type of scheme, some examples show just TF to highlight that it is welcome even if not QR.

Legend: agreem. = agreement. Keygen = key-generation. PKE = public-key encryption. PRF = pseudorandom function [family]. PRP Presented at = pseudorandom permutation [family]. QR = quantum resistant. TF = threshold-friendly. ZKPoK = zero knowledge proof of knowledge DeCompute 2023

TF = threshold friendly. QR = quantum resistant.

Subcategory: Type	Example types of schemes	Example primitives
C2.1: Signing	TF succinct & verifiably-deterministic signatures TF-QR signatures	Sign Sign

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Legend: agreem. = agreement. Keygen = key-generation. PKE = public-key encryption. PRF = pseudorandom function [family]. PRP Presented at = pseudorandom permutation [family]. QR = quantum resistant. TF = threshold-friendly. ZKPoK = zero knowledge proof of knowledge DeCompute 2023

Subcategory: Type

#### C2.6: Advanced C2.7: ZKPoK C2.8: Gadgets

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TF = threshold friendly. QR = quantum resistant.

Subcategory: Type	Example types of schemes	Example primitives

# C2.6: Advanced TF-QR fully-homomorphic encryption Decryption; Keygen TF identity-based and attribute-based encryption Decryption; Keygens

Note: While TF-QR is desired for any type of scheme, some examples show just TF to highlight that it is welcome even if not QR.

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Subcategory: Type Example types of schemes

**Example primitives** 

#### C2.7: **ZKPoK** Zero-knowledge proof of knowledge of private key ZKPoK.Generate

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Subcategory: Type	Example types of schemes	Example primitives
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#### C2.8: **Gadgets** Garbled circuit (GC)

GC.generate; GC.evaluate

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#### TF = threshold friendly. QR = quantum resistant.

Subcategory: Type	Example primitives	
C2.1: Signing	TF succinct & verifiably-deterministic signatures	Sign
	TF-QR signatures	Sign
C2.2: <b>PKE</b>	TF-QR public-key encryption (PKE)	Decrypt/Encrypt (a secret value)
C2.3: Key-agreem.	TF Low-round multi-party key-agreement	Single-party primitives
C2.4: Symmetric	etric TF blockcipher/PRP Encipher/decipher	
	<b>TF</b> key-derivation / key-confirmation	PRF and hash function
C2.5: Keygen	Any of the above	Keygen
C2.6: Advanced	TF-QR fully-homomorphic encryption	Decryption; Keygen
	TF identity-based and attribute-based encryption	Decryption; Keygens
C2.7: <b>ZKPoK</b>	Zero-knowledge proof of knowledge of private key	ZKPoK.Generate
C2.8: Gadgets	Garbled circuit (GC)	GC.generate; GC.evaluate

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### Main components of a submission package

Check	#	ltem
	M1	Written specification (S1–S16)
	M2	Reference implementation (Src1–Src4)
	М3	Execution instructions (X1–X7)
	M4	Experimental evaluation (Perf1–Perf5)
	M5	Additional statements

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The revised version of the call will detail better each component.

A submission package can propose various objects (schemes/gadgets). Each component will then map all such objects.

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### Assorted notes about the process

- **Setup:** A gathering of reference material (not a competition for a selection).
- **Expected:** The process will clarify relevant system models, best practices, ...
- Aim: Devise recommendations about advanced cryptography (PEC + MPTC) (Will support future standardization processes.)
   PEC = Privacy-Enhancing Crypto MPTC = Multi-Party Threshold Crypto
- Ample room for participation: Give feedback  $\rightarrow$  Submit  $\rightarrow$  Analyze
- It's time: Consider starting to organize a future submission (team, scope, ...)

### Some technical notes

- 1. Submission focuses
- 2. Threshold profile
- 3. Active security
- 4. Adaptive security
- 5. Modularity
- 6. Post-vs-Pre quantum crypto
- 7. Concrete implementation

### Some technical notes

- 1. Submission focuses: can specify a family of schemes (in various subcategories).
- 2. Threshold profile: open to choice: number of parties; dishonest proportion; ...
- 3. Active security: it is required, though open to diverse security formulations.
- 4. Adaptive security: at least "argued for" for major safety properties,
- 5. Modularity: modularize gadgets; encouraged proactive resharing module; ...
- 6. Post-vs-Pre quantum crypto: both in scope; pre-QC requires justification.
- 7. Concrete implementation: e.g., inc. communication (e.g., broadcast? P2P?).

### **Community participation**

#### Various areas / possible synergies:

- Scope of the call is of interest to various crypto communities: MPC, ZKP, FHE, ...
- ▶ Work developed with other SDOs and in community efforts is also welcome.

(SDO = Standards Development Organization)

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#### Some variables:

- How will the community compose teams? (How to avoid effort duplication?)
- How will the scope of the call be covered? (primitives / models / approaches)

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Upcoming: (Sep 26–28) Workshop on Multi-Party Threshold Schemes (MPTS) 2023 http://csrc.nist.gov/events/2023/mpts2023 Presented at

DeCompute 2023

## Thank you for your attention! Questions?

### On Devising Recommendations for Multi-Party Threshold Schemes

Presented at DeCompute 2023 | September 12<sup>th</sup> @ Singapore

Followup comments appreciated: luis.brandao@nist.gov



Threshold Call (Draft)



MPTS 2023 (Sept. 26–28)



MPTC-Forum (email list)



PEC-Forum (email list)

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### Example ZKPoKs of interest (related to Cat1)

Related type	Related (sub)sub- category: Primitive	Example ZKPoK (including consistency with public commitments of secret-shares, when applicable)
Signing	C1.1.1/2: EC-signing	of pre-image of deterministic nonce (if applicable)
Keygen	C5.1.1: ECC keygen	of discrete-log (s or d) of pub key $Q$
	C5.1.2: RSA keygen	of factors $(p, q)$ , or group order $\phi$ , or decryption key $d$
1 I	C5.1.3: AES keygen	of secret key $k$ (with regard to secret-sharing commitments)
PKE	C1.2.1: RSA encryption	of secret plaintext $m$ (encrypted)
	C1.2.2: RSA decryption	of secret-shared plaintext $m$ (after SSO-threshold decryption)
Symmetric	C1.4.1: AES enciphering	of secret key $k$ (with regard to plaintext/ciphertext pair)
	C1.4.2: Hashing in KDM	of secret pre-image $Z$

#### Source: Table 12 or NISTIR 8214C ipd

#### Vision: techniques demonstrated for these cases also enable more generic cases

Legend: AES = Advanced Encryption Standard. Cat1 = Category 1. ECC = Elliptic-Curve Cryptography. ipd = initialAuxiliary slide public draft. keygen = key-generation. KDM = key-derivation mechanism. RSA = Rivest-Shamir Adleman. SSO = Secret-

### Some expected revisions in the call

- 1. In Cat1, add subcategories for the NIST-selected PQC primitives
- 2. In Cat2, differentiate better the advanced subcats (e.g., what to thresholdize)
- 3. Clarify scope of "gadgets" subcategory (and how to motivate them)
- 4. Detail better some logistic requirements (e.g., code licensing)
- 5. Include LaTeX template for submission

# Public comments received in first phase (April 2023)

#### # Main topics (informal)

- #1 Scope; quantum resistance.
- #2 Innovation; models.
- #3 Threshold motivation and alternatives; some expired patents.
- #4 Mandatory checks; KAT values; implementation complexity.
- #5 Fully homomorphic encryption (FHE).
- #6 Threshold & oblivious pseudo-random functions (PRF); keygen; robustness; asynchronicity.
- #7 Shamir Secret-sharing (safe evaluation points)
- #8 Scope; keygen; adaptive security; key-refresh; bounds; broadcast; thresholds; party's state.
- #9 Attribute-based encryption (ABE): ciphertext-policy, key-policy, multi-authority.
- #10 All-or-nothing transform (AONT) and homomophic encryption.
- #11 Implementation dependencies, KAT values in randomized multi-party runs.
- #12 Robustness.

https://csrc.nist.gov/files/pubs/ir/8214/c/ipd/docs/nistir-8214c-ipd-public-feedback.pdf

### Brainstorming on crypto standardization

- 1. On the timing & speed of processes: what is too soon, too late, too slow, and too fast?
- 2. What value is there in still pursuing new standards for quantum-breakable primitives?
- 3. How to handle the standardization tension between innovation and interoperability?
- 4. Which crypto functionalities/features make sense to prioritize for standardization?

5. What synergies to aim for between academia, industry, gov and standards bodies?

#### Temporary page!

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If you rerun the document (without altering it) this surplus page will go away, I LATEX now knows how many pages to expect for this document.