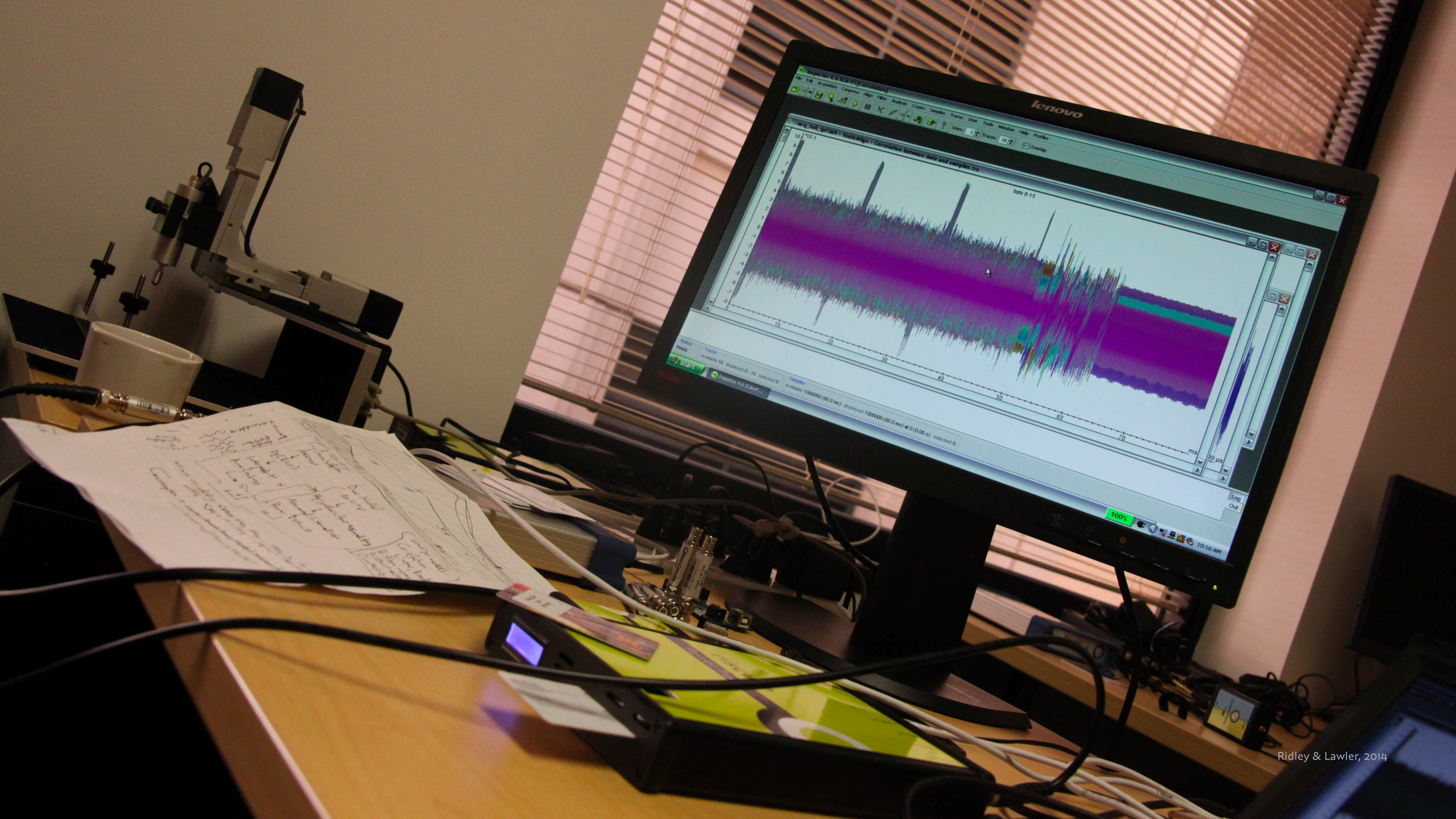
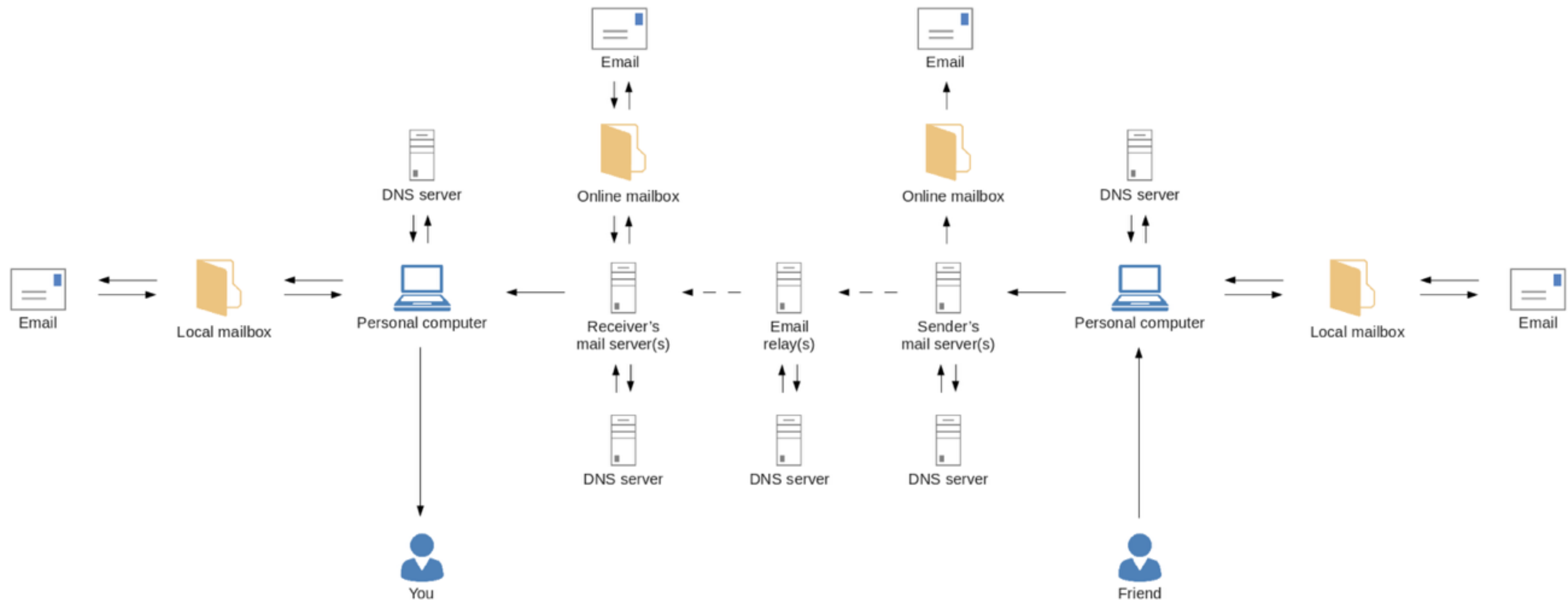


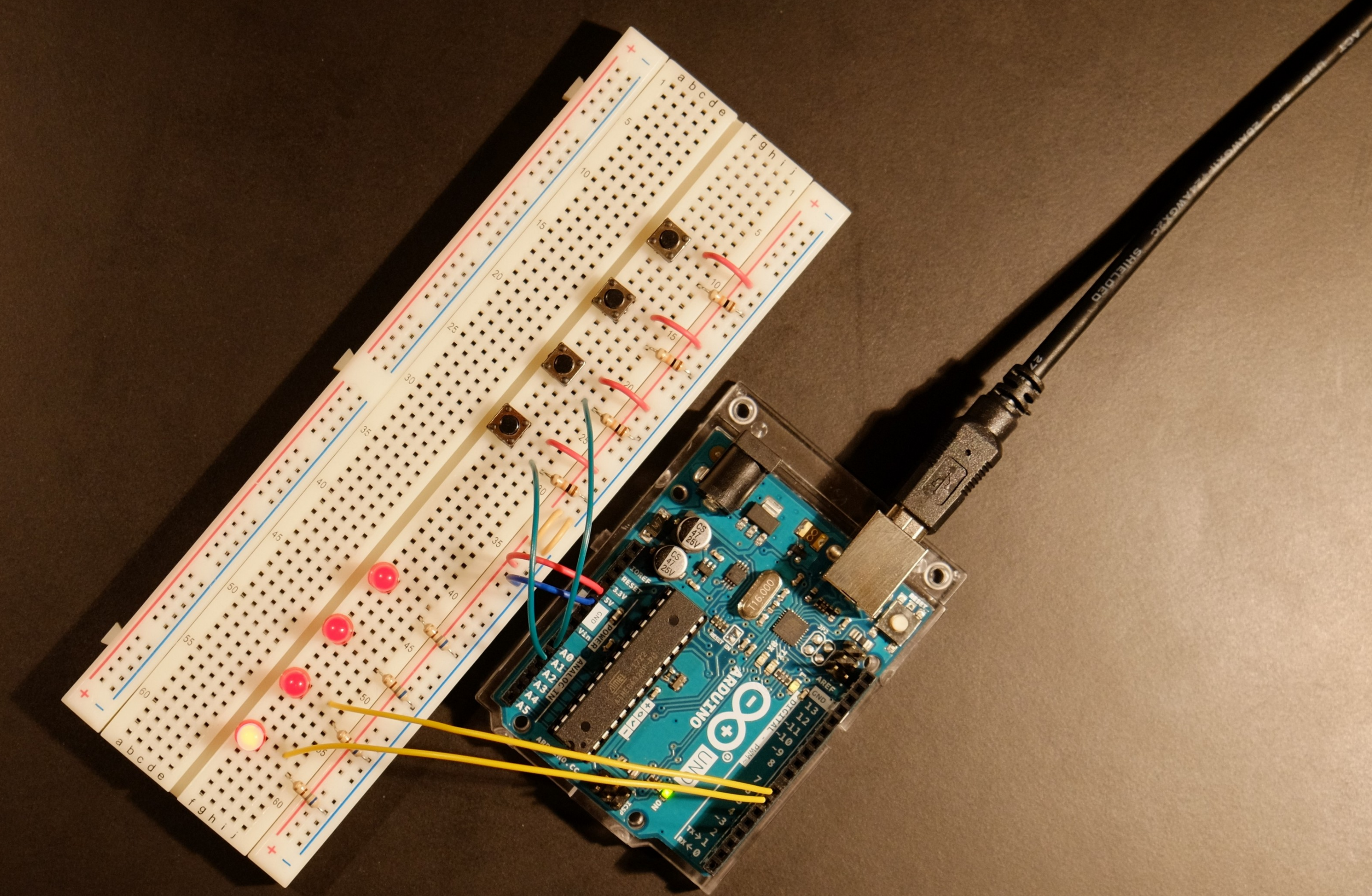
# Evaluating the usability of the *Ascon 1.2* suite











Disclaimer:

*all opinions are my own*



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UPDATES 2023

# Lightweight Cryptography Standardization Process: NIST Selects Ascon

February 07, 2023



The NIST [Lightweight Cryptography](#) Team has reviewed the finalists based on their submission packages, status updates, third-party security analysis papers, and implementation and benchmarking results, as well as the feedback received during workshops and through the [lwc-forum](#). The decision was challenging since most of the finalists exhibited performance advantages over NIST standards on various target platforms without introducing security concerns.

The team has decided to standardize the **Ascon** family for lightweight cryptography applications as it meets the needs of most use cases where lightweight cryptography is required. Congratulations to the Ascon team! NIST thanks all of the finalist teams and the community members who provided feedback that contributed to the selection.

NIST's next steps will be to:

- Publish NIST IR 8454, which describes the details of the selection and the evaluation process
- Work with the Ascon designers to draft the new lightweight cryptography standard for public comments
- Host a virtual public workshop to further explain the selection process and to discuss various aspects of standardization (e.g., additional variants, functionalities, and parameter selections) as well as possible extensions to the scope of the lightweight cryptography project. The tentative dates for the workshop are June 21-22, 2023. More information will be provided in the upcoming weeks.

### ***NIST Lightweight Cryptography Team***

Also see the related NIST news article, [NIST Selects 'Lightweight Cryptography' Algorithms to Protect Small Devices](#).

**RELATED TOPICS**

**Security and Privacy:** [lightweight cryptography](#)

**Activities and Products:** [standards development](#)

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**Event:** [Lightweight Cryptography Workshop 2023](#)



Focus on understanding real-world failure cases

Test the toolbox with end-users for footguns

Provide structures and incentives for assurance

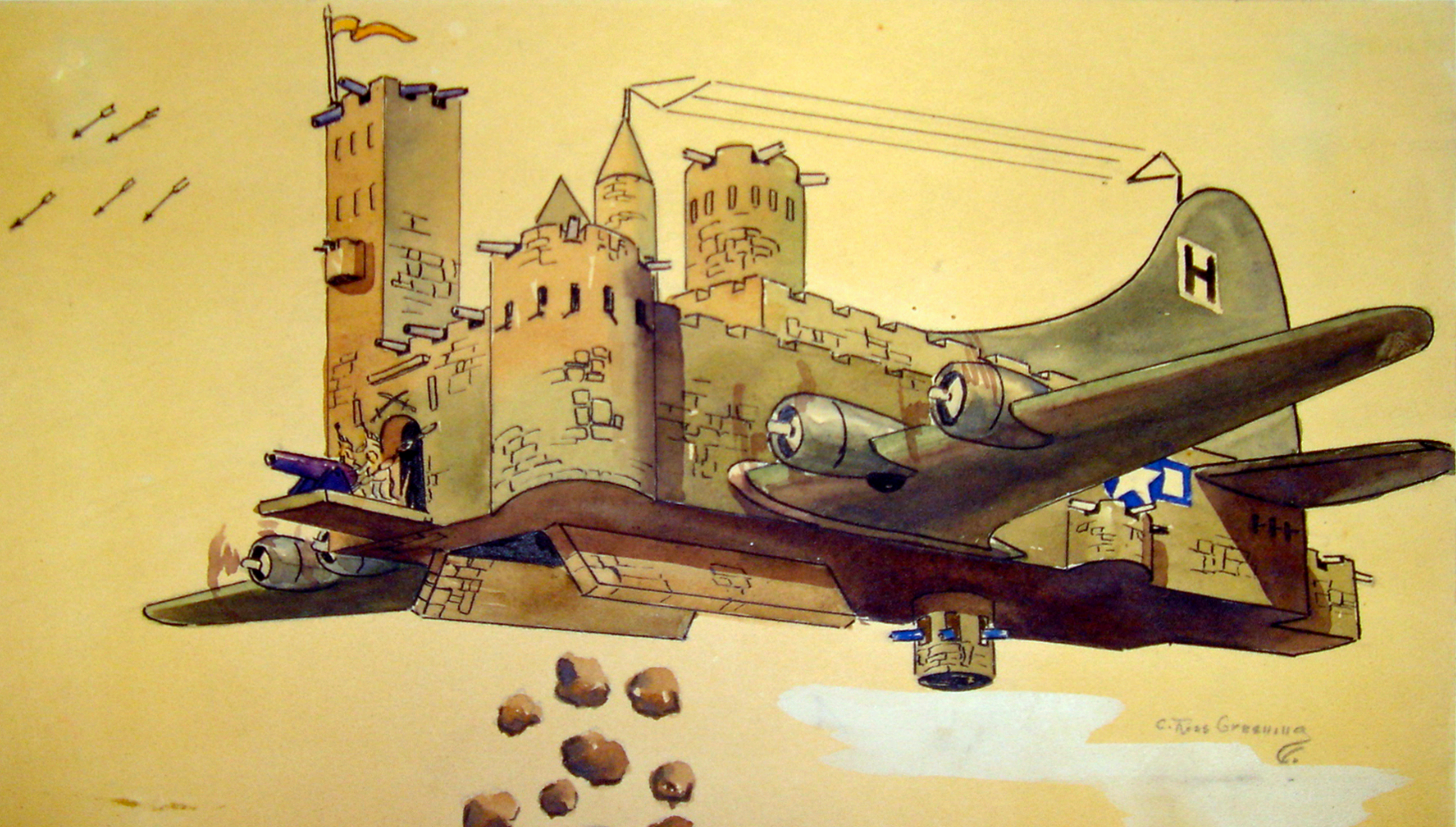
Explore secure channels and record protocols

Focus on understanding real-world failure cases

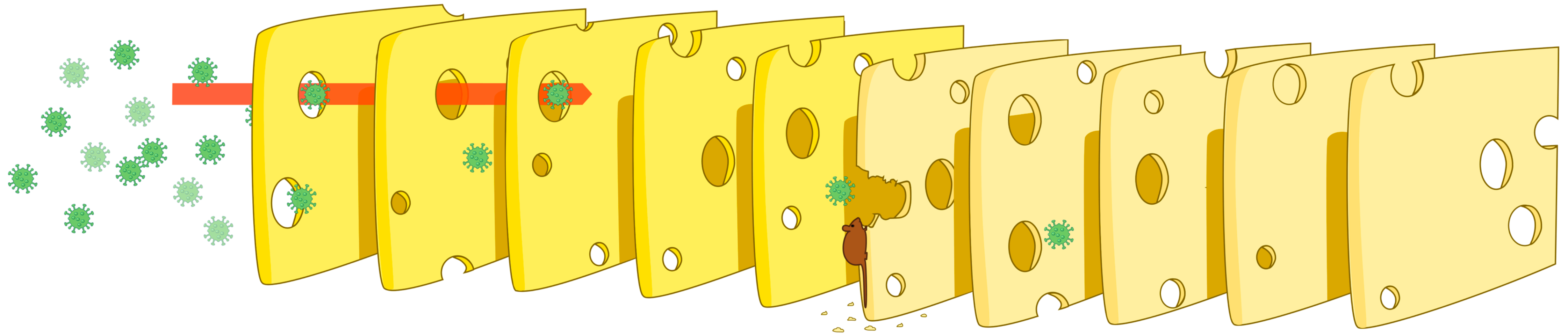
Test the toolbox with end-users for footguns

Provide structures and incentives for assurance

Explore secure channels and record protocols



C. Ross Greenhill



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Klinische gegevens:  Materiaal selectie:  OpmPriklijst:   
Afwijk. BTI :   
Wijzig trial:

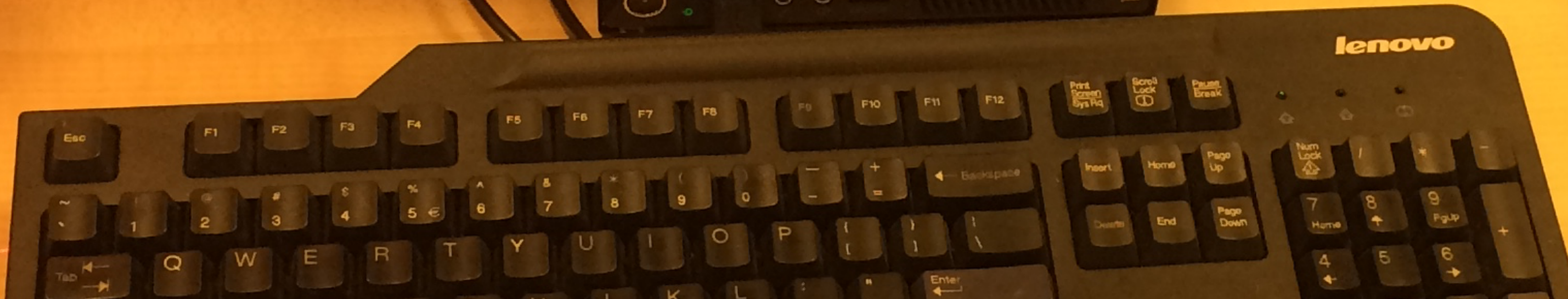
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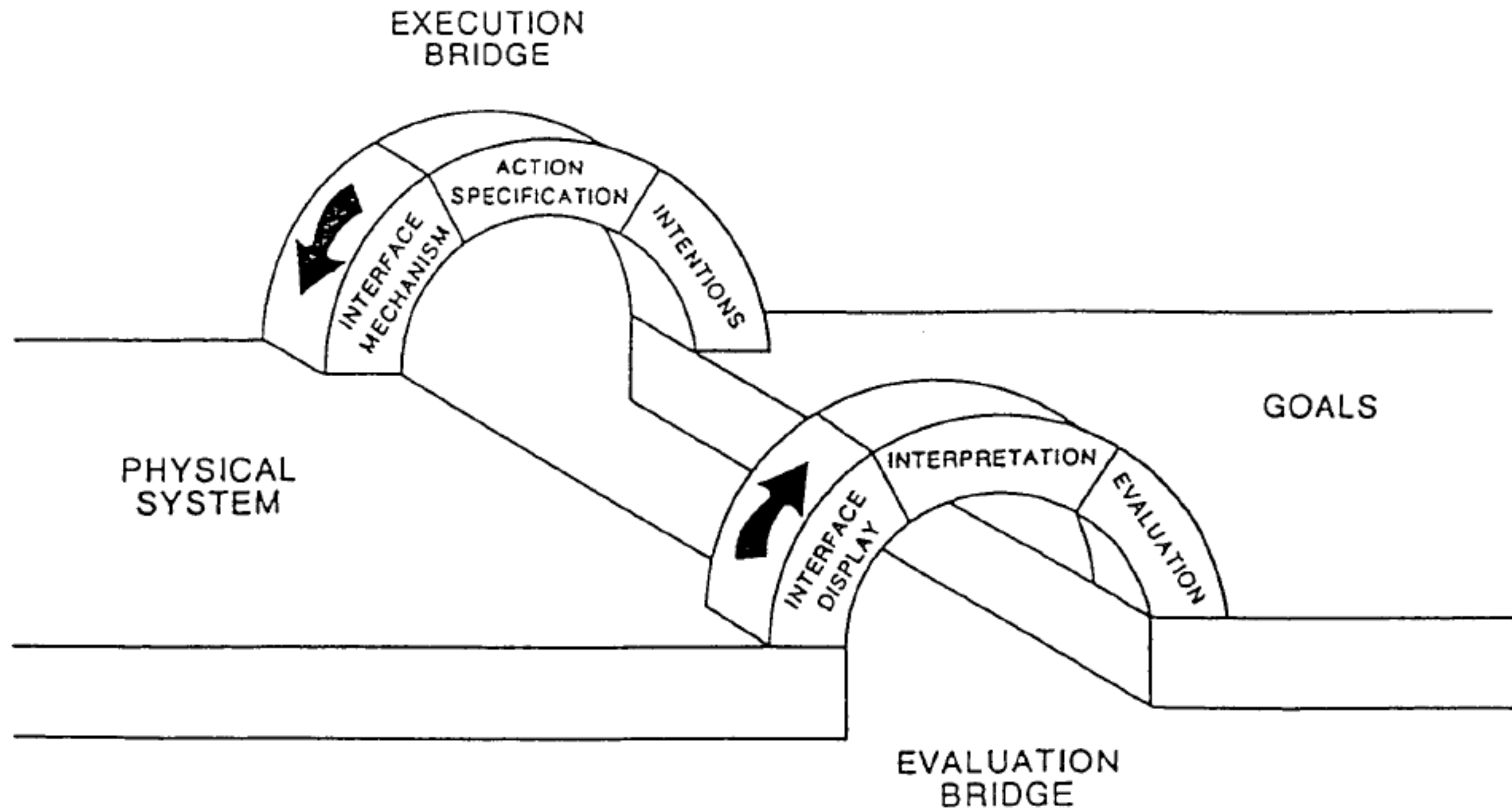
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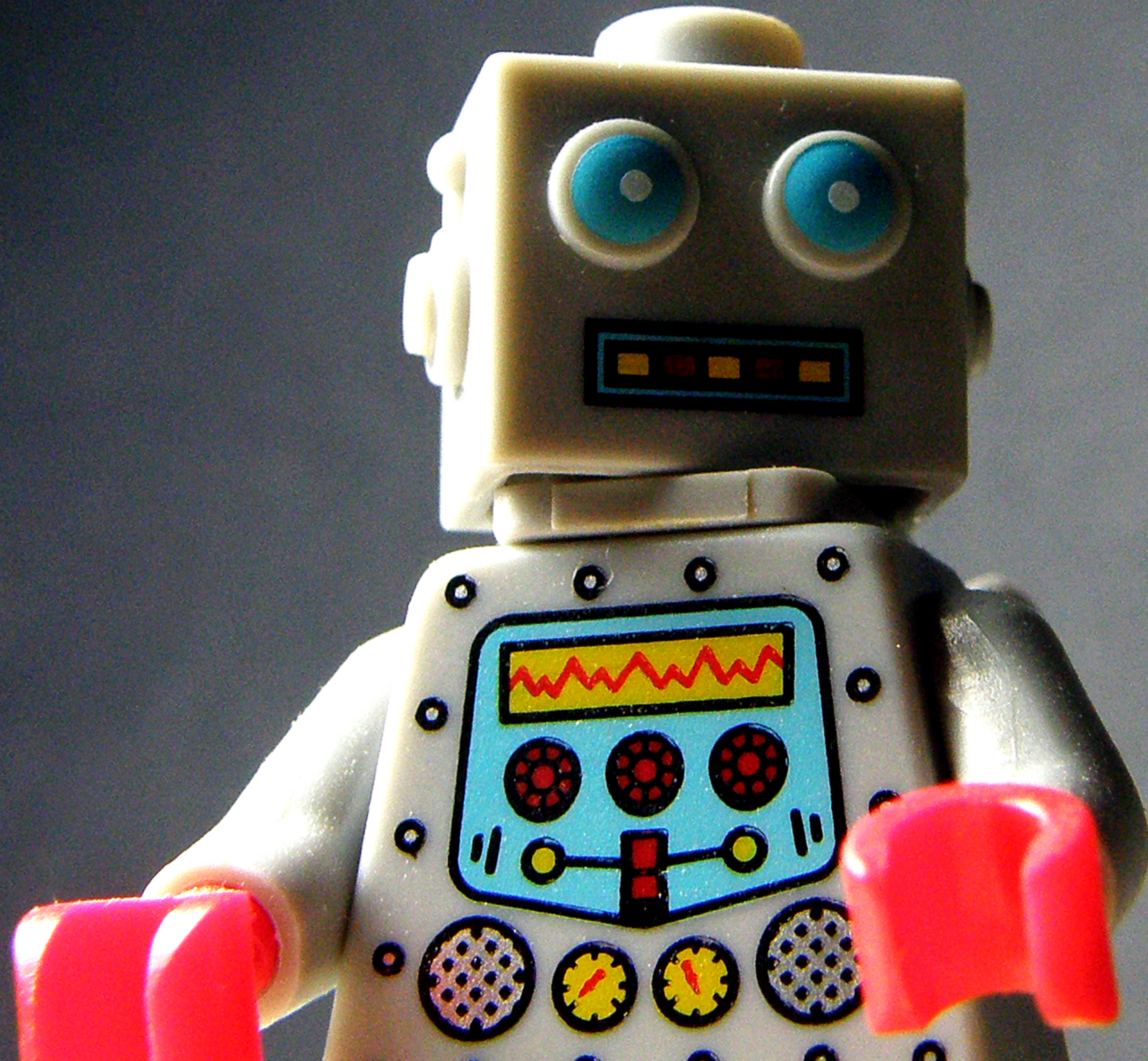
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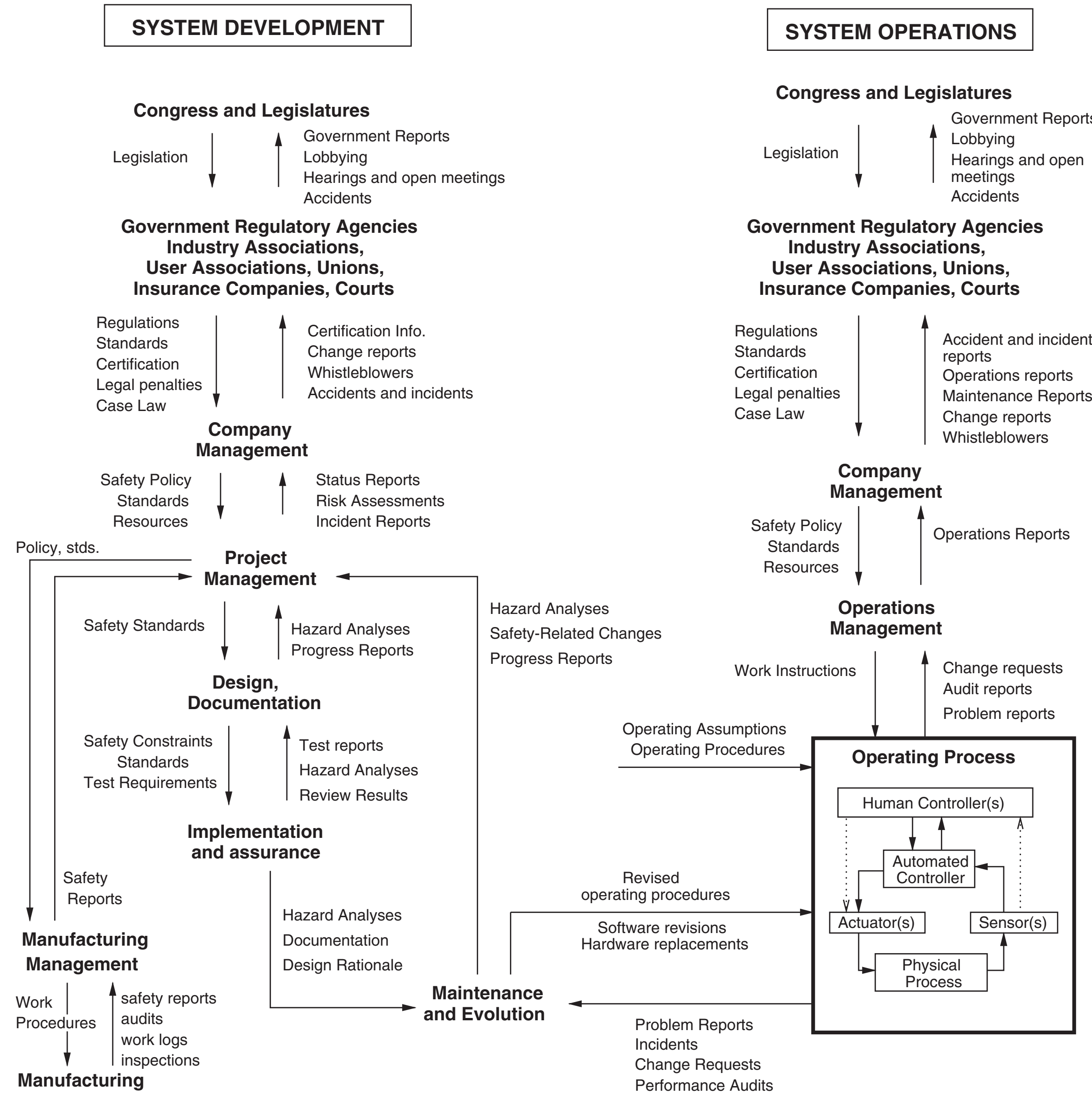
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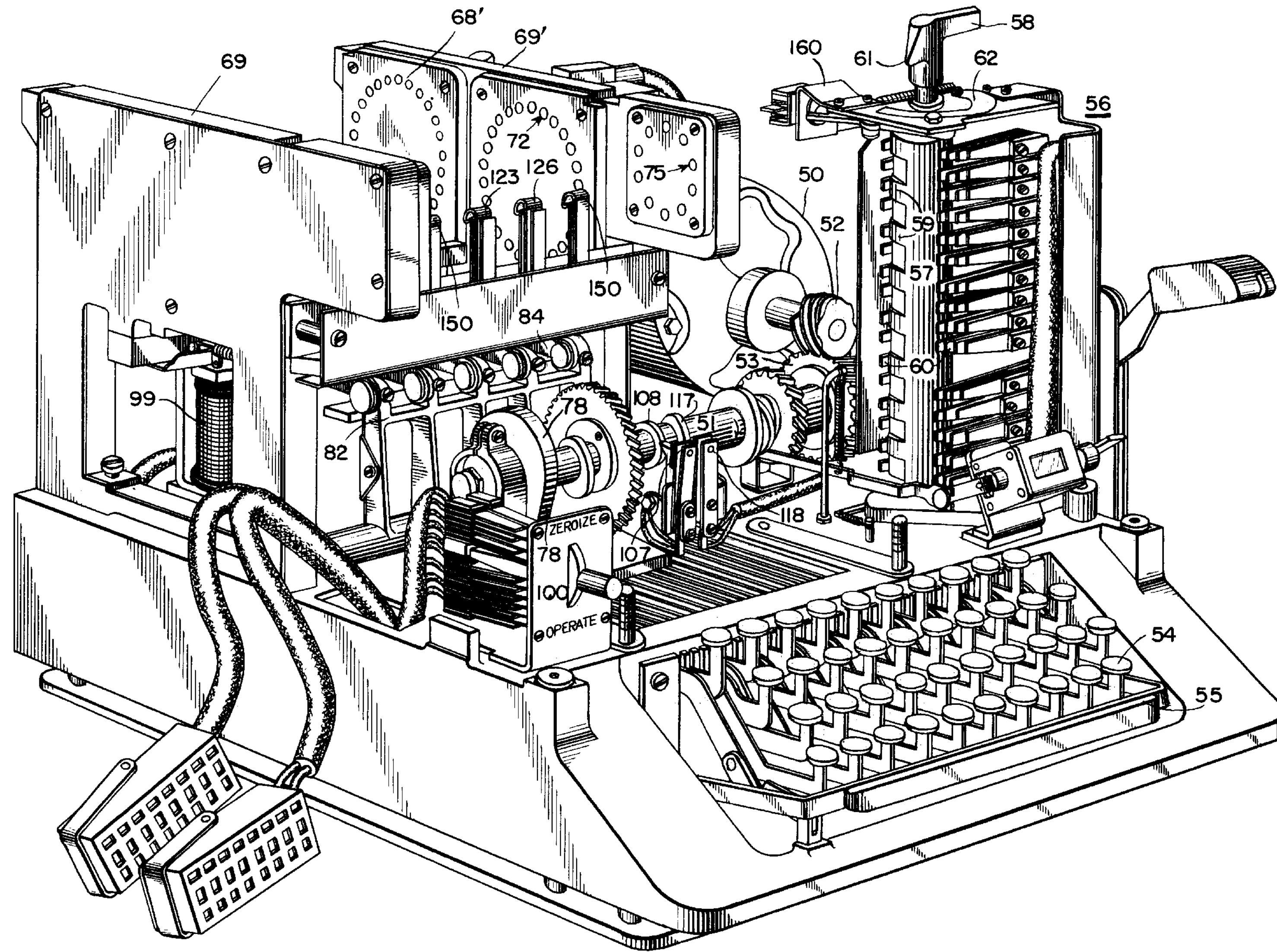








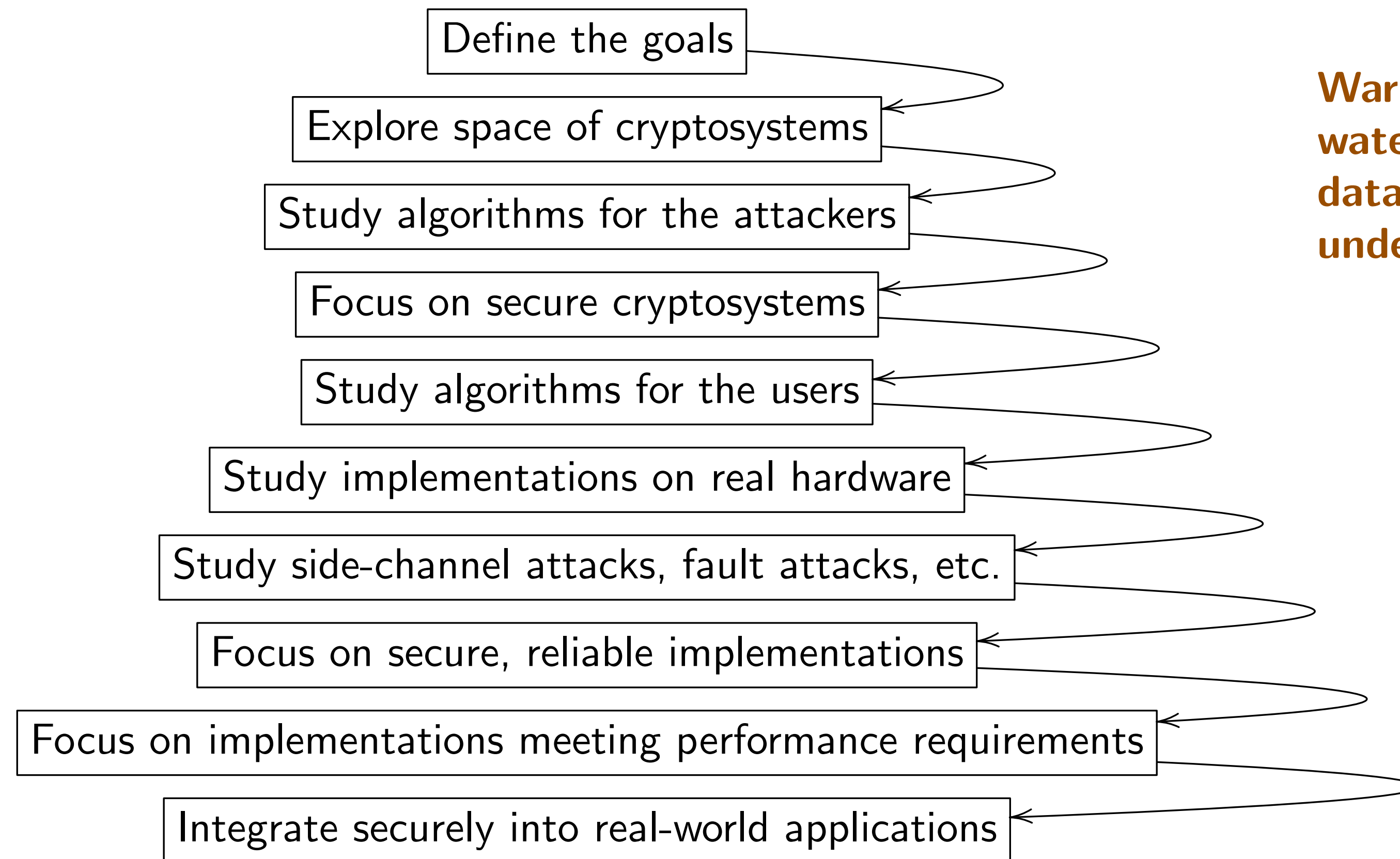




Cryptographic standards and guidelines should be chosen to **minimise the demands on users** and implementers as well as the adverse consequences of human mistakes and equipment failures.

NISTIR 7977

## Many stages of cryptographic research from design to deployment



**Warning:  
waterfall  
data flow,  
undesirable.**





Il est nécessaire, vu les circonstances  
qui en commandent l'application,  
que le système soit d'un usage facile.

Auguste Kerckhoffs, 1883

# Why Cryptosystems Fail

Ross Anderson  
University Computer Laboratory  
Pembroke Street, Cambridge CB2 3QG  
Email: rja14@cl.cam.ac.uk

## Abstract

Designers of cryptographic systems are at a disadvantage to most other engineers, in that information on how their systems fail is hard to get: their major users have traditionally been government agencies, which are very secretive about their mistakes.

In this article, we present the results of a survey of the failure modes of retail banking systems, which constitute the next largest application of cryptology. It turns out that the threat model commonly used by cryptosystem designers was wrong: most frauds were not caused by cryptanalysis or other technical attacks, but by implementation errors and management failures. This suggests that a paradigm shift is overdue in computer security; we look at some of the alternatives, and see some signs that this shift may be getting under way.

quiries are conducted by experts from organisations with a wide range of interests - the carrier, the insurer, the manufacturer, the airline pilots' union, and the local aviation authority. Their findings are examined by journalists and politicians, discussed in pilots' messes, and passed on by flying instructors.

In short, the flying community has a strong and institutionalised learning mechanism. This is perhaps the main reason why, despite the inherent hazards of flying in large aircraft, which are maintained and piloted by fallible human beings, at hundreds of miles an hour through congested airspace, in bad weather and at night, the risk of being killed on an air journey is only about one in a million.

In the crypto community, on the other hand, there is no such learning mechanism. The history of the subject ([K1], [W1]) shows the same mistakes being made over and over again; in particular, poor management of codebooks and cipher machine procedures enabled many communica-

VII-26-X

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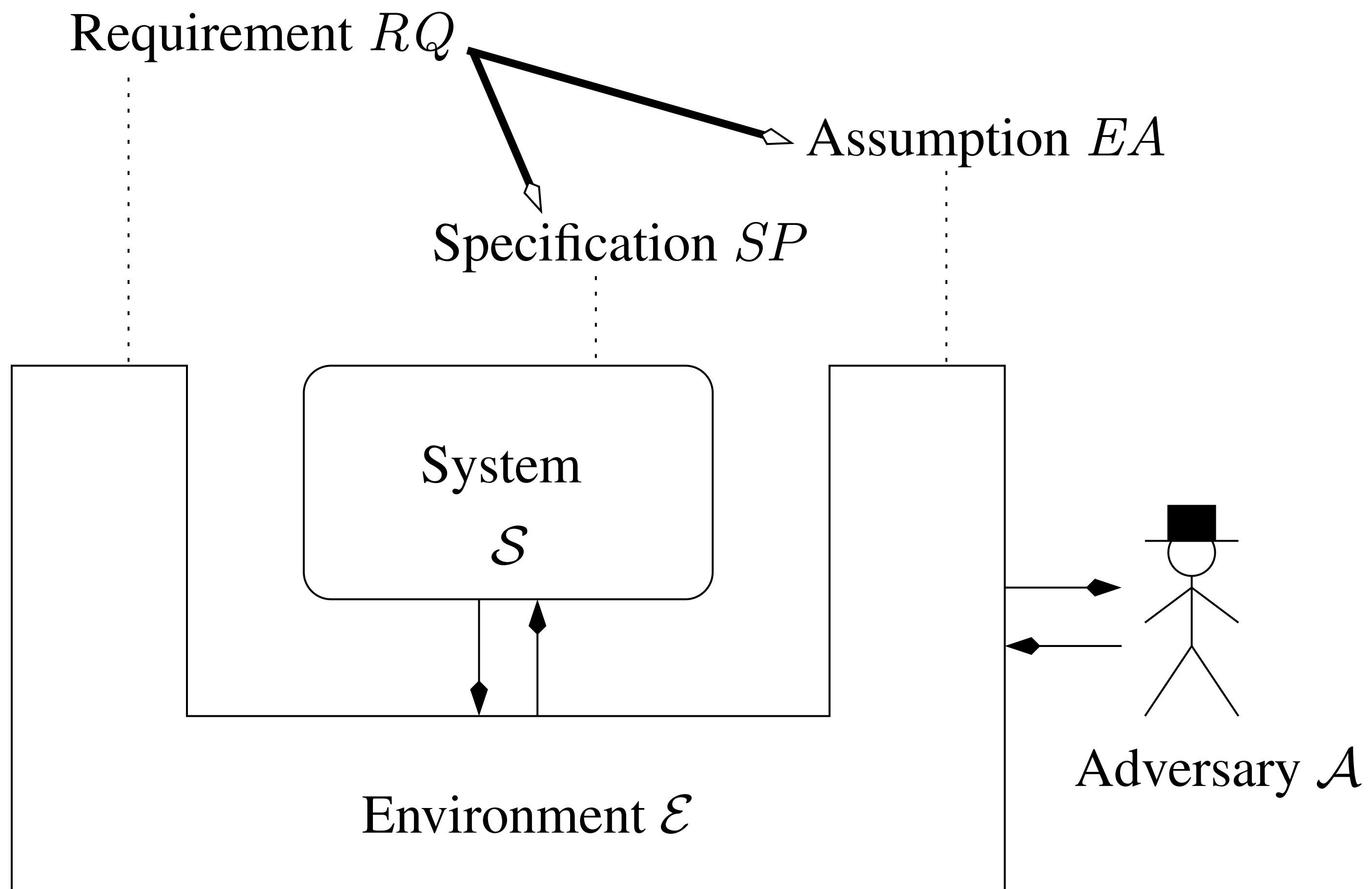
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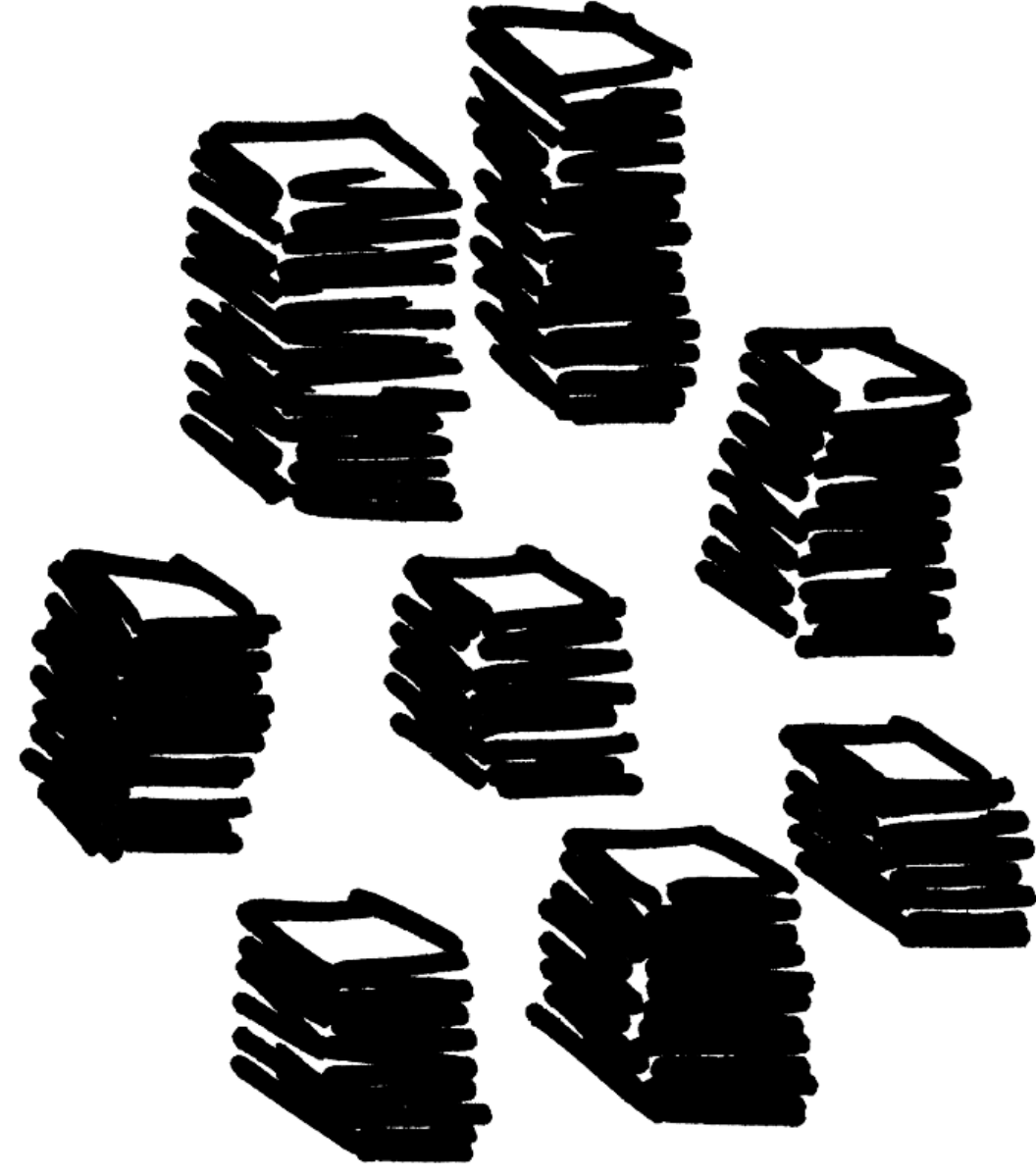
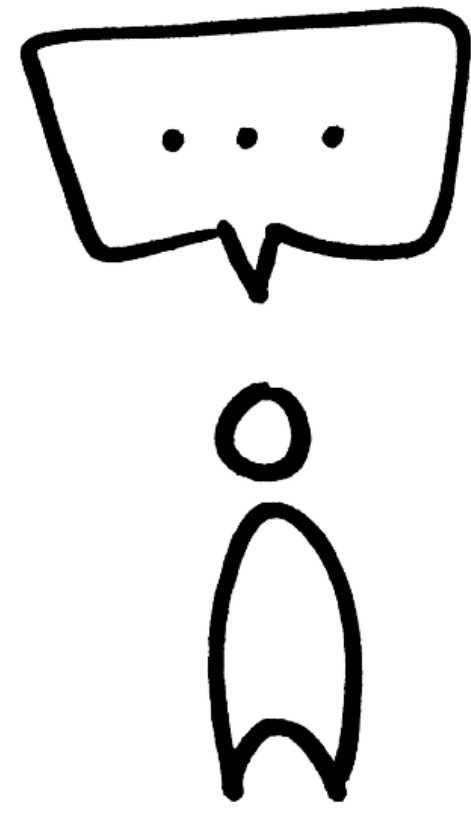








# Review of the December 2021 Log4j Event

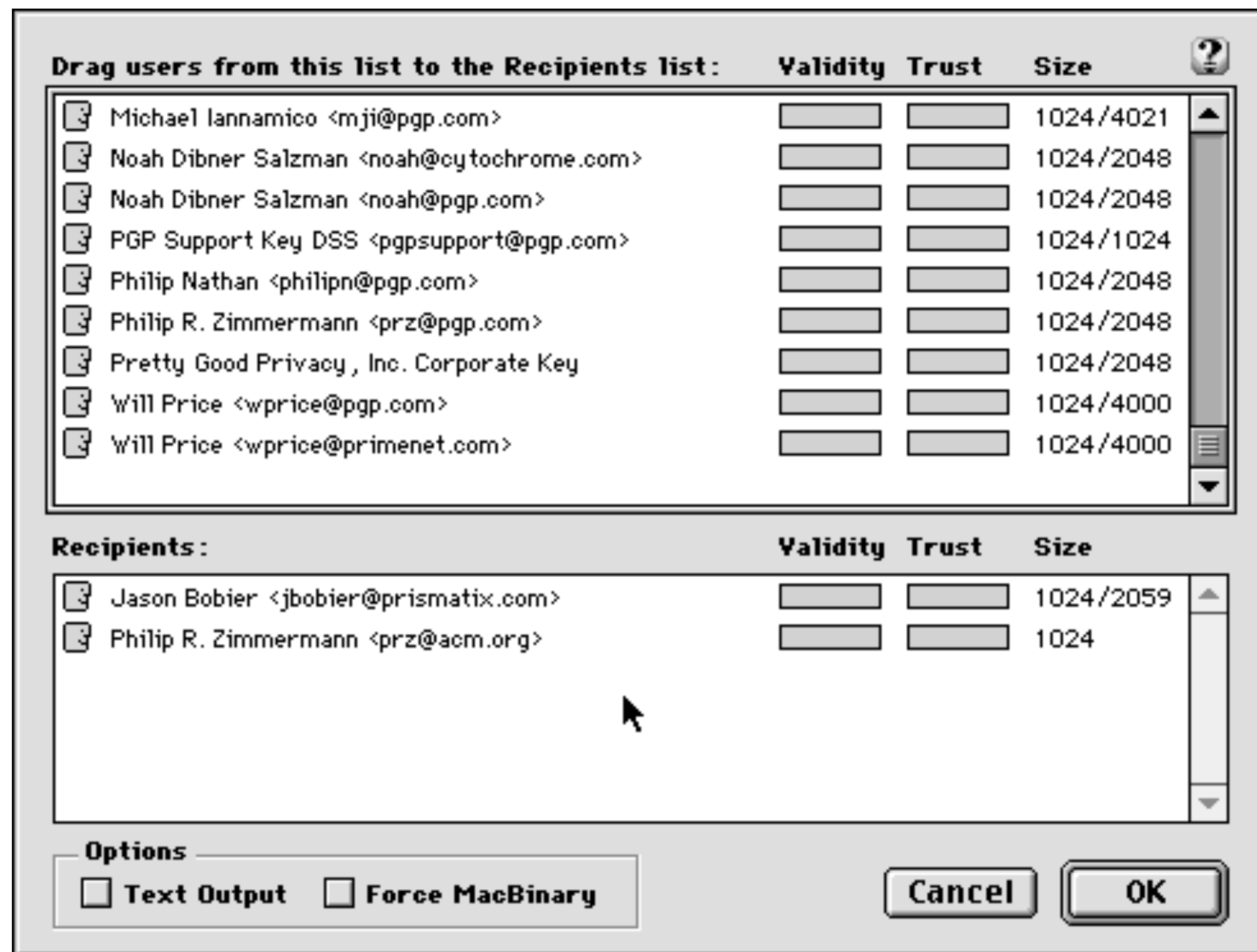
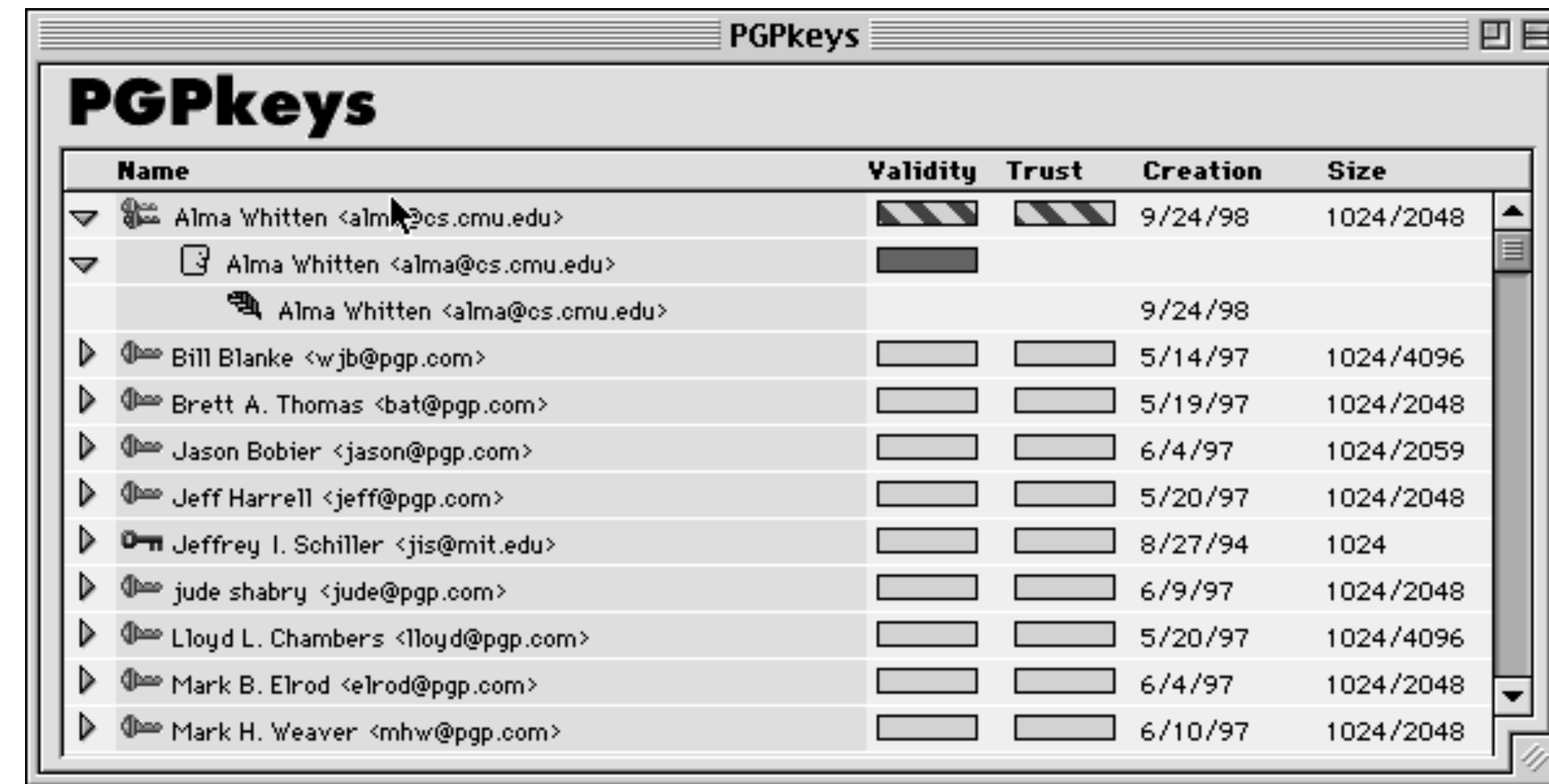


Focus on understanding real-world failure cases

**Test the toolbox with end-users for footguns**

Provide structures and incentives for assurance

Explore secure channels and record protocols

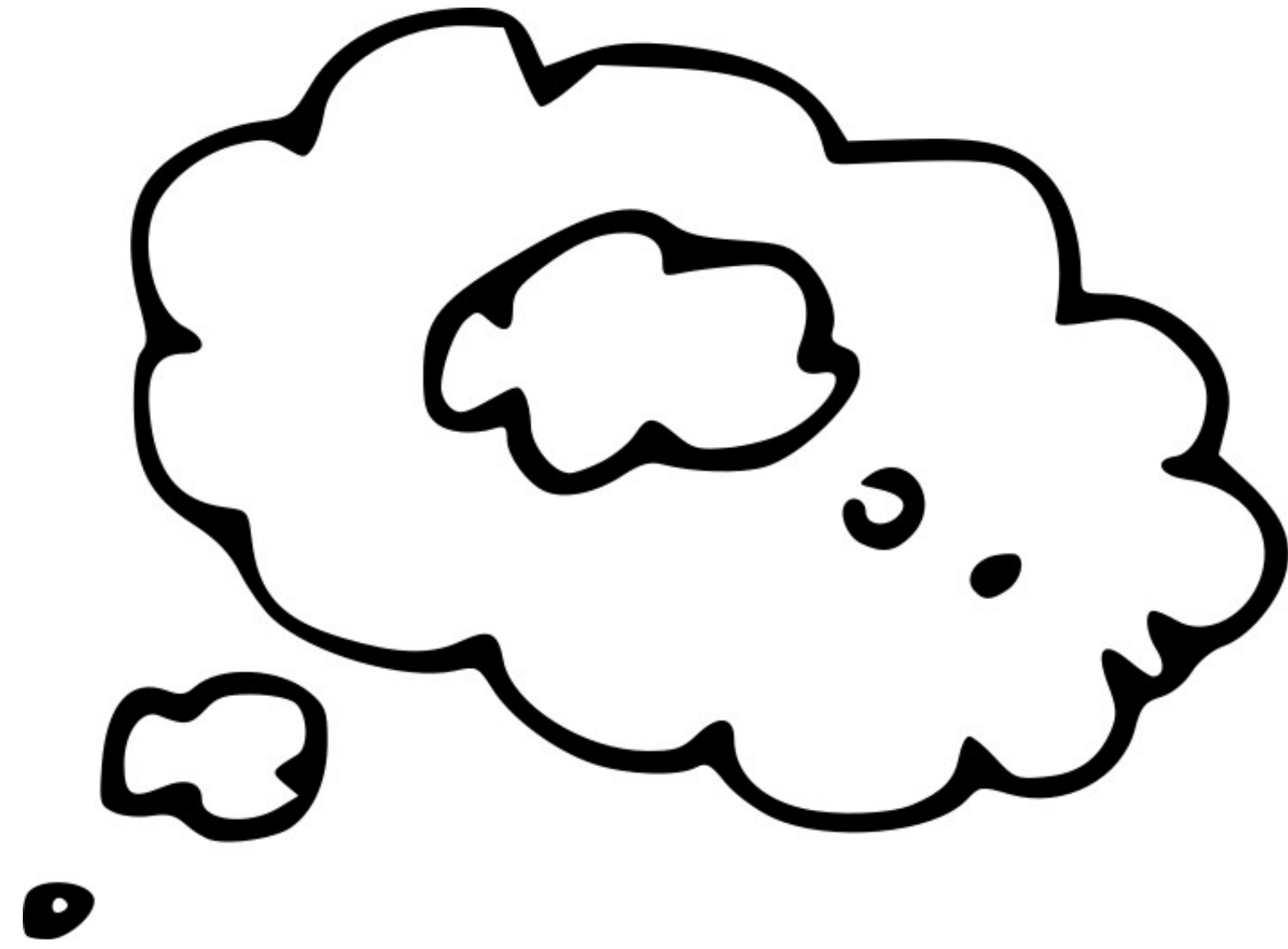


I'VE BEEN POSTING MY PUBLIC KEY FOR 15 YEARS NOW, BUT NO ONE HAS EVER ASKED ME FOR IT OR USED IT FOR ANYTHING AS FAR AS I CAN TELL.



MAYBE I SHOULD TRY POSTING MY PRIVATE KEY INSTEAD.





To strengthen systems across the board,  
security professionals must focus on  
creating developer-friendly approaches.

Green & Smith, 2016



## Challenges in Authenticated Encryption

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Daniel J. Bernstein

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17. July 2015 (workshop) + 1. March 2017 (white paper)

Revision 1.05

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# ascon 0.0.9

```
pip install ascon
```

✓ Latest version

Released: Mar 24, 2023

Lightweight authenticated encryption and hashing

## Navigation

Project description

Release history

Download files

## Project links

Homepage

## Statistics

View statistics for this project via [Libraries.io](#), or by using [our public dataset on Google BigQuery](#)

## Project description

### Python implementation of Ascon

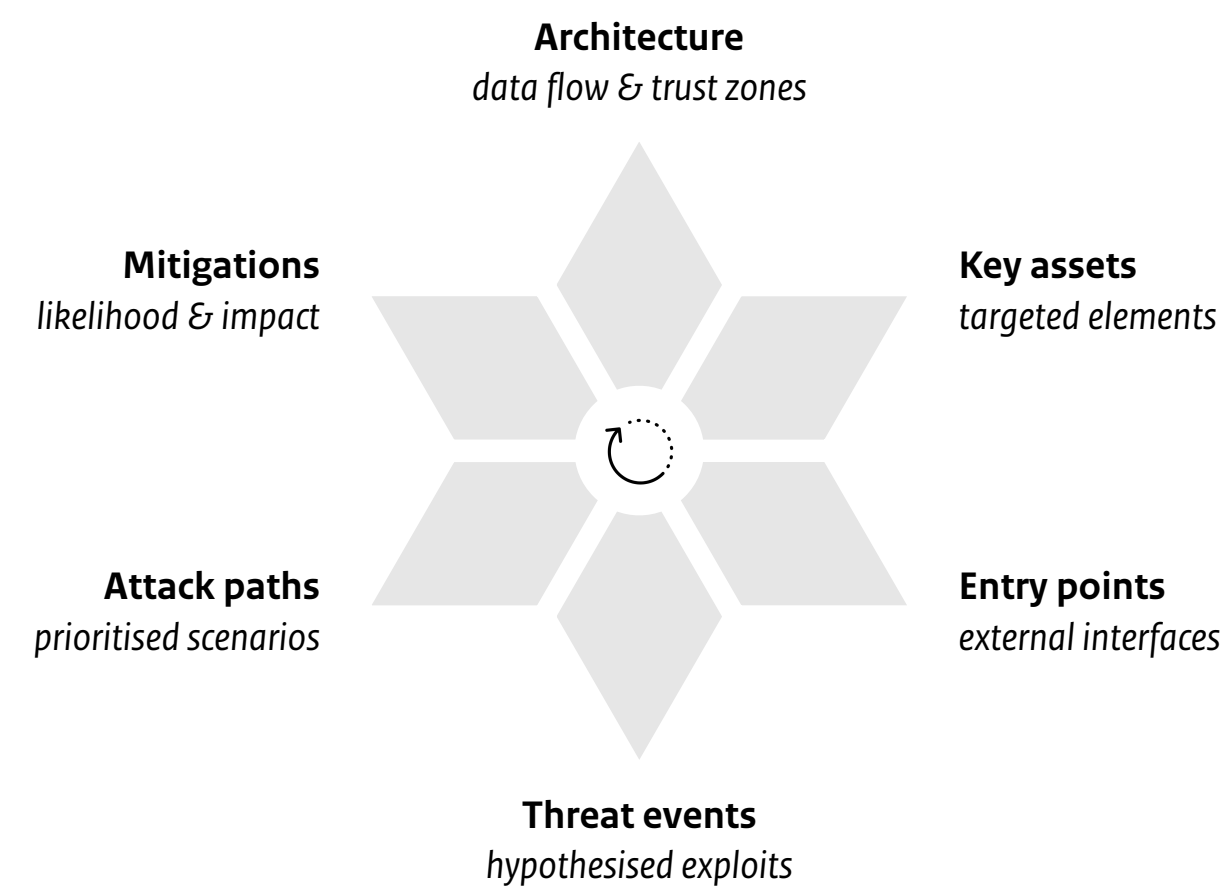
This is a Python3 implementation of Ascon v1.2, an authenticated cipher and hash function.

<https://github.com/meichlseder/pyascon>

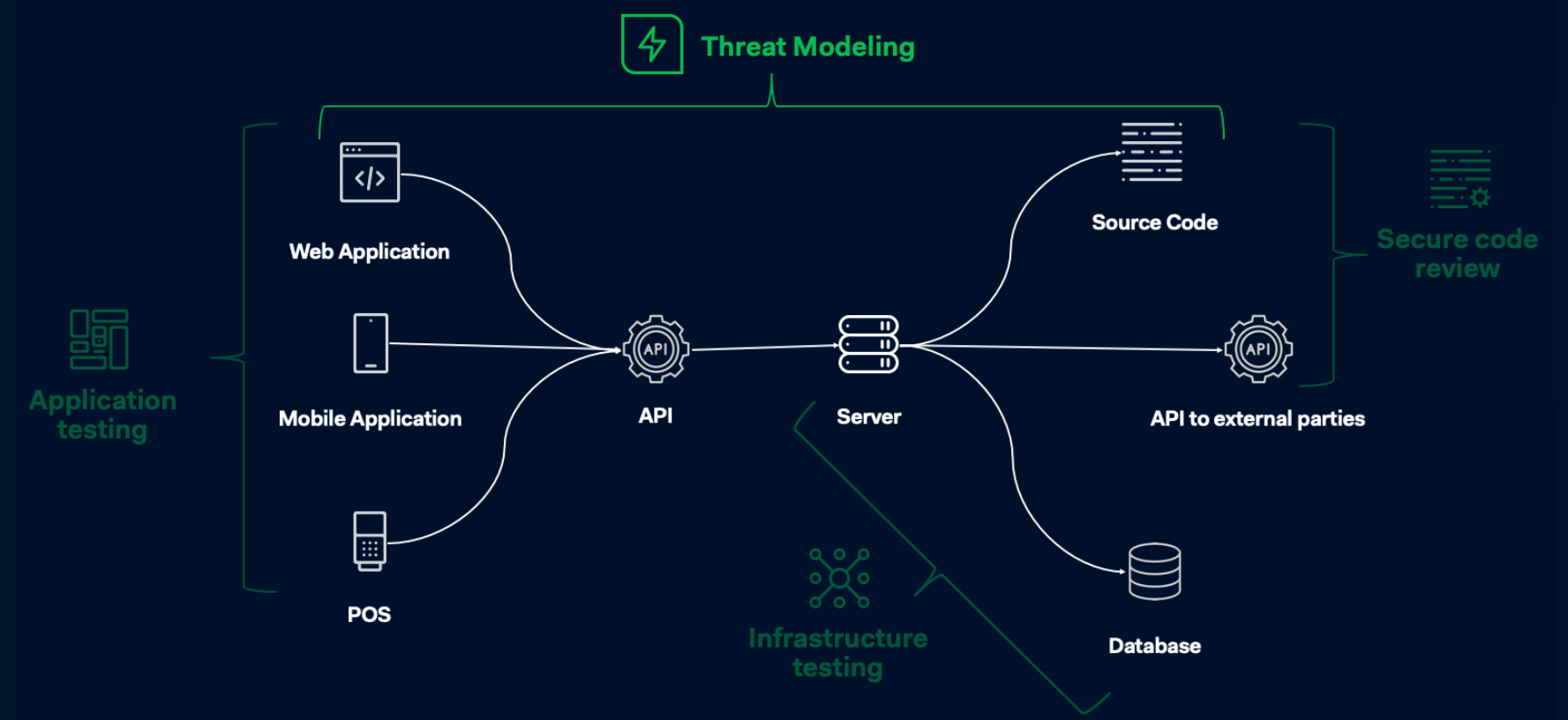
### Ascon

Ascon is a family of [authenticated encryption](#) (AEAD) and [hashing](#) algorithms designed to be lightweight and easy to implement, even with added countermeasures against side-channel attacks. It was designed by a team of cryptographers from Graz University of Technology, Infineon Technologies, and Radboud University: Christoph Dobraunig, Maria Eichlseder, Florian Mendel, and Martin Schl affer.

Ascon has been selected as the standard for lightweight cryptography in the [NIST Lightweight Cryptography competition \(2019–2023\)](#) and as the primary choice for lightweight authenticated encryption in the final portfolio of the [CAESAR competition \(2014–2019\)](#).



NCSC, 2021



## Architectural diagrams with security sauce



**Processes**  
Where data will  
change from one  
form to another.



**Data flows**  
Represents data  
moving from one  
part of the system  
to elsewhere.



**Data stores**  
Indicates data at  
rest, i.e. a place  
for longer storage.



**Terminators**  
Also called actors  
or external entities.  
These are the  
limits of analysis.



**Trust zones**  
Can be drawn as  
trust boundaries,  
i.e. dotted lines  
between elements.

Confidentiality

Integrity

Availability

Authentication

Authorisation

Accountability

Information disclosure

Tampering

Denial of service

Spoofing

Elevation of privilege

Repudiation

## **6.3 SR-2: Threat model**

### **6.3.1 Requirement**

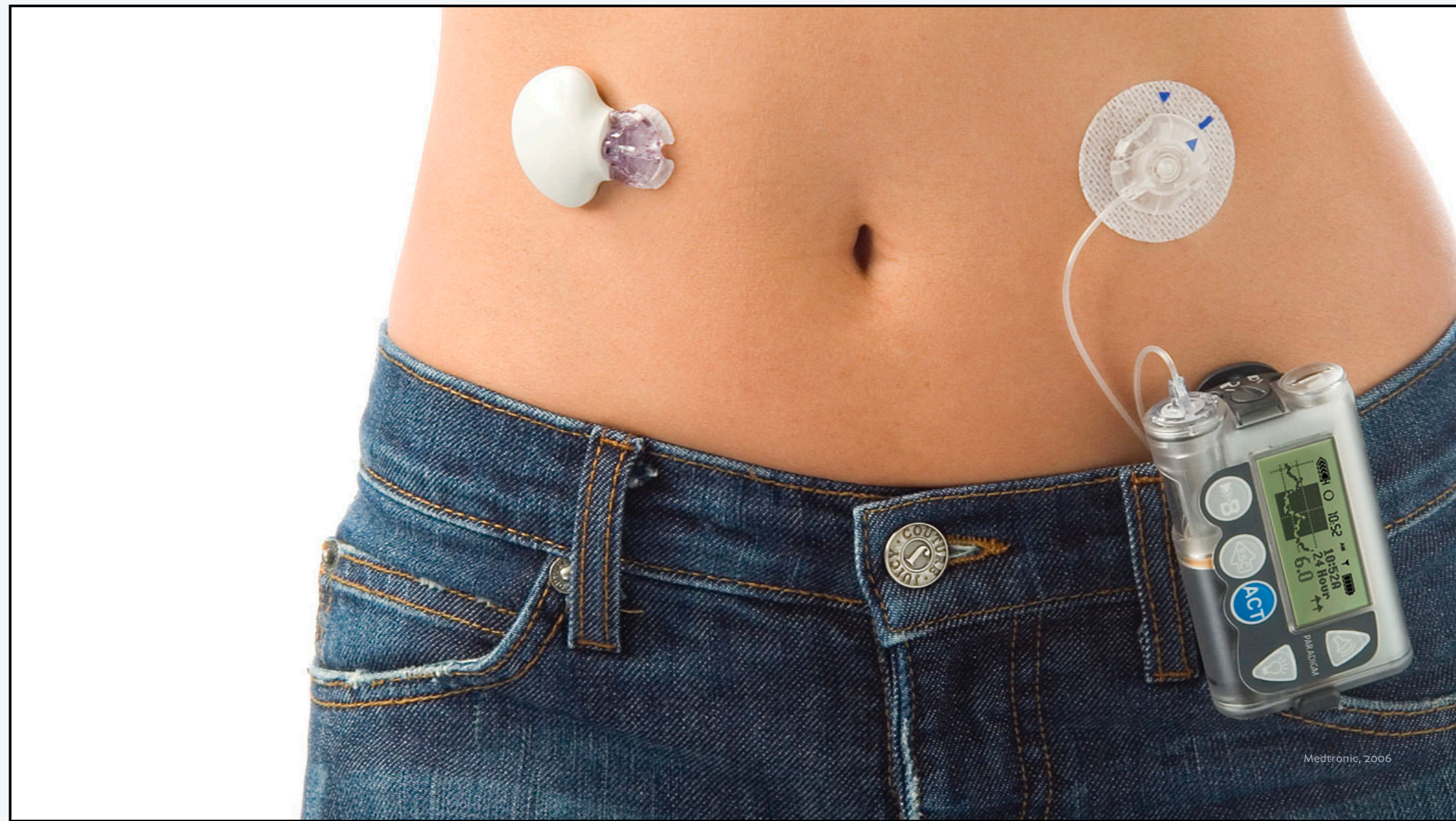
A process shall be employed to ensure that all products shall have a threat model specific to the current development scope of the product with the following characteristics (where applicable):

- a) correct flow of categorized information throughout the system;
- b) trust boundaries;
- c) processes;
- d) data stores;
- e) interacting external entities;
- f) internal and external communication protocols implemented in the product;
- g) externally accessible physical ports including debug ports;
- h) circuit board connections such as Joint Test Action Group (JTAG) connections or debug headers which might be used to attack the hardware;
- i) potential attack vectors including attacks on the hardware, if applicable;
- j) potential threats and their severity as defined by a vulnerability scoring system (for example, CVSS);
- k) mitigations and/or dispositions for each threat;
- l) security-related issues identified; and
- m) external dependencies in the form of drivers or third-party applications (code that is not developed by the supplier) that are linked into the application.

The threat model shall be reviewed and verified by the development team to ensure that it is correct and understood.

The threat model shall be reviewed periodically (at least once a year) for released products and updated if required in response to the emergence of new threats to the product even if the design does not change.

Any issues identified in the threat model shall be addressed as defined in 10.4 and 10.5.



Components

Data flows

Crown jewels

Trust zones

Assumptions

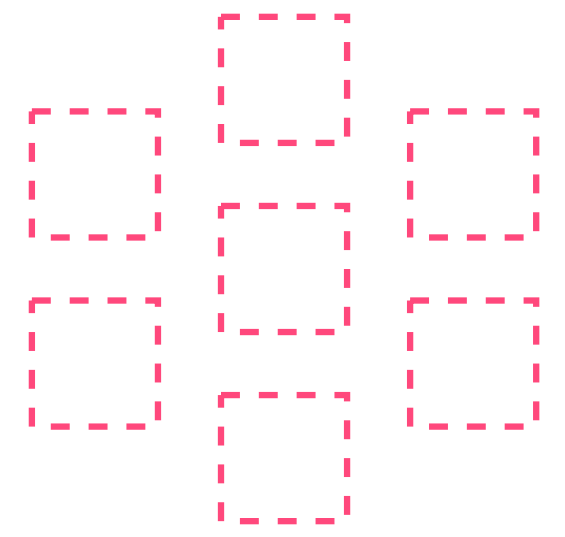
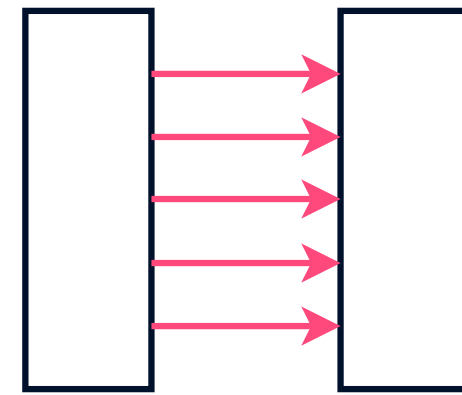
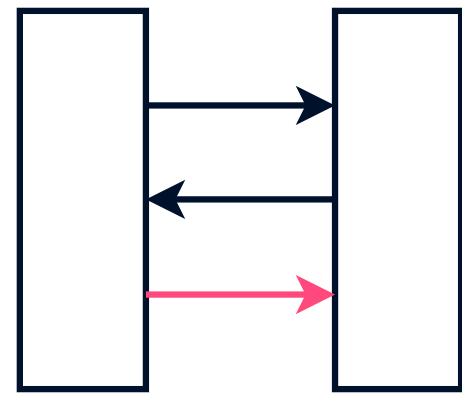
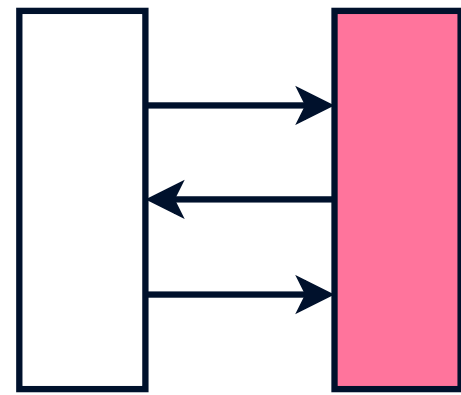
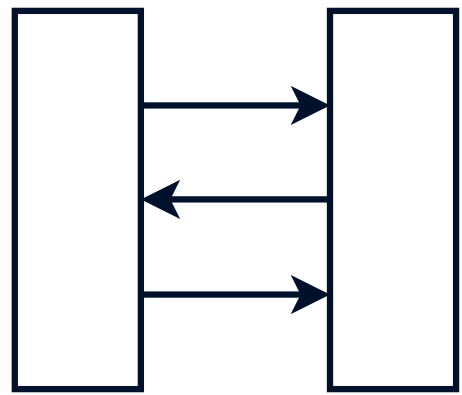
Threats (STRIDE)

Prioritisation

Countermeasures

Security testing

Follow-up



## Silent 'pair programming'

- Don't want to break the flow
- Switch every five minutes
- Apply the refinement approach

10 min. Outline the program's structure as comments

*What message(s) will you be sending/receiving?*

*Which algorithm(s) will you be using for this?*

10 min. Write pseudocode to make your ideas tangible

20 min. Translate your pseudocode into Python code

<https://pypi.org/p/ascon>

```
$ pip install ascon
```

```
>>> import ascon
>>> ascon.[tab][tab]
>>> data = b"..."
>>> print(data.hex())
```

Mail your **commented code** to  
[ascon@arnepadmos.com](mailto:ascon@arnepadmos.com)

*Phase 1 – Comments*  
**Alignment of flows  
and our threat model**

*Phase 2 – Pseudocode*  
**Match of structure to  
messages and threats**

*Phase 3 – Source code*  
**Compare comments  
to the functions used**



## Exploratory initial qualitative observations:

- Zero, one, or just a couple of parameters passed
- Wrapper functions taking a message as input
- Hardcoded or empty nonce/key, e.g. in wrapper
- Parameters to library appearing out of thin air
- No key diversification, error handling, etc.

```
#importing ascon
#create a string that contains the byte string
#sending encrypted data to the sensor
#decrypting the data

import ascon
def encrypt():
    key = b"SECRETSAREHIDDEN"
    message = b"hALLO DIT IS MIJN MESSAGE MET DE VOLGENDE WAARDE: "
    nonce = bytes(16)
    associateddata = b"RELATEDDATA"

    x = ascon.encrypt(key, nonce, associateddata, message, variant="Ascon-80pq")
    return x

#decrypting the data
def decrypt():
    key = b"SECRETSAREHIDDEN"
    nonce = bytes(16)
    associateddata = b"RELATEDDATA"
    y = ascon.decrypt(key, nonce, associateddata, x, variant="Ascon-80pq")
    return y
```

```
import ascon # Import the ASCON module

ascon = ascon.ASCON() # Create an ASCON object

data = b"" # Create an empty byte array

for i in range(0, 100): # Loop 100 times
    data += bytes([i]) # Add the current value of i to the data array

def send_encrypted_message(message): # Define a function to send an encrypted message
    ascon.send(ascon.encrypt(message)) # Encrypt the message and send it

def receive_encrypted_message(): # Define a function to receive an encrypted message
    return ascon.decrypt(ascon.receive()) # Receive the message and decrypt it

def send_encrypted_ack(): # Define a function to send an encrypted acknowledgement
    ascon.send(ascon.encrypt(b"\x06")) # Encrypt the acknowledgement and send it

def receive_encrypted_ack(): # Define a function to receive an encrypted acknowledgement
    return ascon.decrypt(ascon.receive()) # Receive the acknowledgement and decrypt it

print(data.hex()) # Print the data in hexadecimal format
```

```
import ascon

def get_data():
    message = ascon.encrypt('give data')
    sensor = 'XX-XX-XX-XX-XX-XX'

    data = ascon.decrypt(send(message,sensor)) # send message to mac sensor and encrypt + [...]

    if data != NULL:
        # data is present so we send the data back
        message = 'ack'
        return data
    elif data = NULL:
        # if no resonse is given, try again
        get_data()

def procesdata():
    data = get_data()
    if data < 4.0:
        ins_pump() # send prompt for pump to pump insulin
    elif data > 7.0:
        alert_message() # alert on screen that glucose is too high
```

```
# messages :
#data is gathered from sensor and send to the pump
#data is encrypted (Ascon128)
#pump send a request
#get and ack from the pump
#message auth before sending the data with(ascon-Mac)
```

```
# who :
#data is gathered on the sensor
#data is sent to the pump
#ack from pump
```

```
# processing :
#gathering of data from the sensor
#sending of data from sensor to pump
#decryptin of data on the pump
#sending of ack from the pump to the sensor
```

```
#pseudo:
```

```
#def message_auth(mac-sender, mac-reciever)
    #send auth message from mac-sender to mac reciever
    #if mac_reciever == mac_reciever :
```

```
# PHASE 1
```

```
# Sensor:
```

```
    # send sugarlevels, authentication, checksum  
    # send ack received, authentication, checksum  
    # send battery level // if battery is low send alert  
    # log battery level // if abnormal send alert  
    # log connection // if connection behaviour is abnormal drop connection for 10 min.
```

```
# Pump:
```

```
    # send ack, authentication, checksum  
    # log insulin injection
```

```
# PHASE 2
```

```
# check authentication by checking authentication message  
# check integrity by checking the checksum  
# check elevation of privilege by checking the log of the battery
```

```
# PHASE 3
```

```
import ascon  
from time import sleep  
from time import perf_counter
```

```
def authentication():
    id = 'apparaatnaam'
    hash = 'hash'
    encrypted_auth = ascon.encrypt(id + hash)
    return encrypted_auth

def send_ack():
    encrypted_auth = authentication()
    ack = 'message_received'
    return encrypted_auth, ack

def day_log_battery():
    log = []
    x = True
    tic = perf_counter()
    while x == True:
        battery_level = check_battery_level(sensorid)
        log.append(battery_level)
        sleep(300) # 300 seconds = 5 min
        toc = perf_counter()
        if toc - tic == 86400: # 86400 seconds = 1 day
            x = False
    return log
```

```
# There is a sensor and a pump, which is sending data from sensor to pump.
# There will be a acknowledgement from pump to sensor.
# The data will be send in integers.
# Spoofing = act as an pump.
# Tampering = interrupt data.
# Information disclosure = intercept and capture sensor data.
# DOS = battery drainage and send garbage.

#Psuedocode
#[...]

def data_encrypt(key, nonce, associateddata, plaintext, data):
    ascon.encrypt(key, nonce, associateddata, plaintext, variant="Ascon-128")
    data = b"blahblahblah"
    print(data.hex())
    return data.hex

def data_decrypt(key, nonce, associateddata, plaintext, data):
    ascon.decrypt(key, nonce, associateddata, plaintext, variant="Ascon-128a")
    data = b"blahblahblah"
    print(data.hex())
    return data.hex
```



```
#Sensor:
#  measure blood
#  create uid for message
#  encrypt message + uid
#  Send encrypted message to pump
#  if ack with uid not received in less than 10 seconds, send message again.
#  after ack: uid + 1
```

```
#Pump:
#  receive data
#  decrypt data
#  send ack to sensor with uid
#  send insuline
#  if uid is lower than or equal to last_uid, drop package
#  otherwise: send insuline and set last_uid to current uid
```

```
uid = 1
```

```
def sensor_send():
    last_five = []
    measurement = random.choice([1, 2, 3])
    message = str(uid) + ':' + str(measurement)
    b = message.encode('utf-8')
    message = message.hex()
```

## Random ideas for future work:

- Use of 'AEAD' and 'XOF', not 'MAC' or 'hash'
- Define standard serialisation, e.g. AD | n | C | t
- Appropriate parameter ordering for functions
- Creation of a compatible user-friendly wrapper
- Impact of programming paradigm on output

RC 9265 (#40713) 2/17/82  
Computer Science 6 pages

## **Using the "Thinking-aloud" Method in Cognitive Interface Design**

Clayton Lewis

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Yorktown Heights, NY 10598

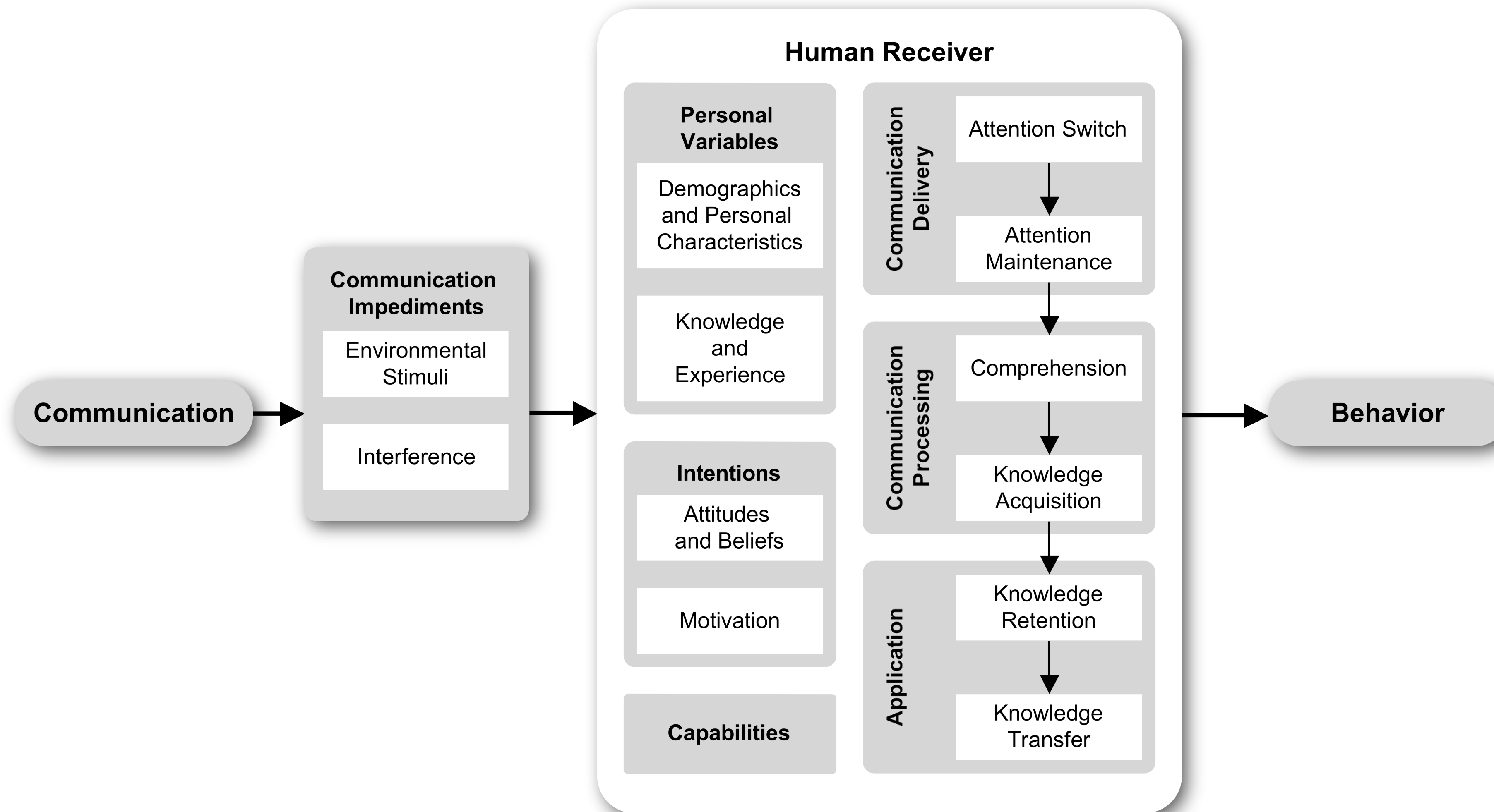
**Abstract:** "Thinking-aloud" is a method for studying mental processes in which participants are asked to make spoken comments as they work on a task. The method is appropriate for studying the cognitive problems that people have in learning to use a computer system. This note discusses the strengths and weaknesses of the method, and gives some suggestions about its use based on laboratory experience at Yorktown.



# SCA Evaluation & Benchmarking of Finalists in the NIST Lightweight Cryptography Standardization Process

Jens-Peter Kaps  
& Kris Gaj





How might we  
integrate usability  
into our process?

How would you  
like your designs  
to be evaluated?

Focus on understanding real-world failure cases

Test the toolbox with end-users for footguns

**Provide structures and incentives for assurance**

Explore secure channels and record protocols



**NIST Internal Report  
NIST IR 8454**

**Status Report on the Final Round of  
the NIST Lightweight Cryptography  
Standardization Process**

Meltem Sönmez Turan

Kerry McKay

Donghoon Chang

Lawrence F. Rasmussen

## Software performance

- Ascon
- GIFT-COFB \*
- SPARKLE
- Xoodyak
- TinyJAMBU

## Hardware performance

- Ascon
- Xoodyak
- TinyJAMBU

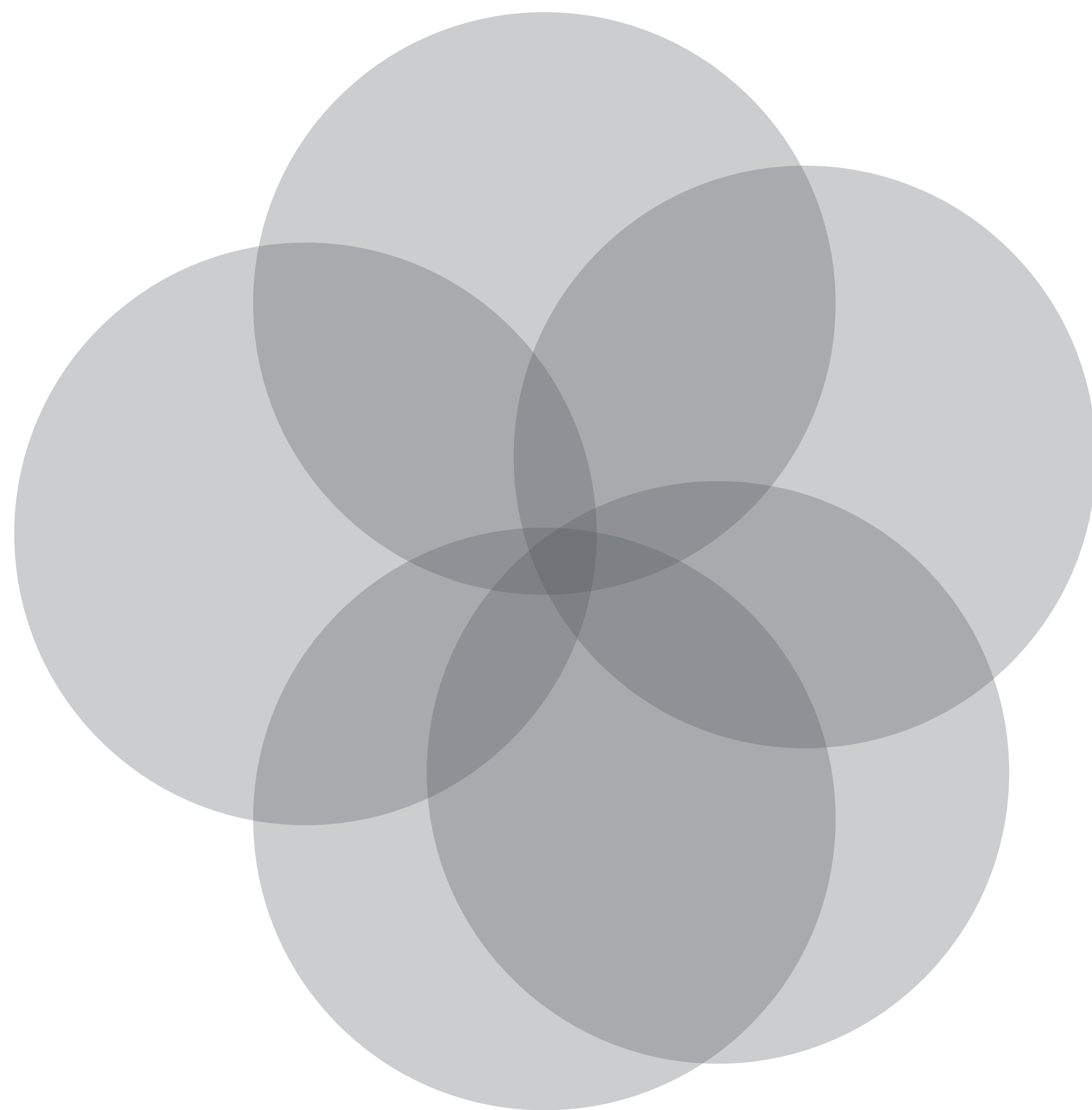
## Protected performance

- Ascon
- ISAP
- Xoodyak
- TinyJAMBU

## Modified after external analysis

- Grain-128AEAD
- TinyJAMBU

*\* might be vulnerable to a cache-timing attack*



Ascon

Xoodyak

There are some additional considerations, such as nonce-misuse security, releasing unverified plaintext security, the impact of state recovery, and post-quantum security of the candidates.

NISTIR 8454

Ascon

Xoodyak

Xoodyak is a permutation-based AEAD and hashing scheme. Xoodyak is built from a fixed 384-bit permutation (called Xoodoo) operated in Cyclist mode. The design approach of Xoodoo is closely related to that of the KECCAK permutation. The 384-bit state is arranged in a three-dimensional array of  $3 \times 4 \times 32$  bits, nonlinearity is provided by simple operations on 3-bit columns, linear mixing is provided by mixing between sheets and moving the bits within the sheets around, and a constant addition ensures that there is some difference between rounds. Cyclist mode takes a fixed permutation and provides the functionality of both hashing (sponge mode) and AEAD (duplex mode) along with **some new functionality, including tuple hashing, XOFs, and the generation of rolling subkeys.**

**Submission updates.** In the final round, the key and nonce are processed together in a single call instead of separately in two calls, resulting in 12 fewer rounds needed to compute, which leads to fast processing of short messages.

**Variants.** The variants of the Xoodyak family are listed below.

<b>AEAD variants</b>	<i>Key size</i> (in bits)	<i>Nonce size</i> (in bits)	<i>Tag size</i> (in bits)	<i>Rate</i> (in bits)	<i>Capacity</i> (in bits)	<i>#Rounds</i>
<b>Xoodyak</b>	128	128	128	192	192	12

<b>Hash variants</b>	<i>Digest size</i> (in bits)	<i>Rate</i> (in bits)	<i>Capacity</i> (in bits)	<i>#Rounds</i>
<b>Xoodyak</b>	256	130	254	12

<b>XOF variants</b>
---------------------

submitters identify all known intellectual property that could be infringed by implementing their candidate algorithm. Among the finalists, applicable patents were only identified for PHOTON-Beetle [31]. After the review process was completed, intellectual property considerations did not factor into decisions made during the selection process.

### **2.2.1. Selection of ASCON**

After evaluating the finalists according to the criteria presented above, NIST has selected the ASCON family for standardization.

The ASCON family includes AEAD and hash functions, as well as additional XOFs. This allows it to satisfy a wide range of application needs and **there is low additional cost to implement additional functionalities thanks to its permutation-based design.**

ASCON is the most mature of the finalists in terms of security. While some of the other finalists were not published prior to the lightweight standardization process, the AEAD variants of the ASCON family had already been presented and analyzed as part of the CAESAR competition.<sup>2</sup> Three profiles were created during the competition, including one for lightweight authenticated encryption. Ultimately, the AEAD variants of ASCON were selected as the primary choice for lightweight applications in the final CAESAR portfolio. ASCON's maturity can also be seen in the tweaks for the final round, where there were additional variants added but none of the second-round variants were modified. This is in contrast to some other finalists that included design tweaks to address attacks.

With ASCON's long history comes a wealth of analyses. It was the submission with the most third-party analysis and implementations. Despite the head-start on cryptanalytical attacks, ASCON has remained strong. AEAD variants of the ASCON family provide a high



## 5. Next Steps

In June 2023, NIST will host the Sixth Lightweight Cryptography Workshop to further explain the selection process and to discuss various aspects of standardization. Among the topics of interest are additional variants, functionalities, and parameter selection. There has been public interest in possible extensions to the scope of the lightweight cryptography project. In particular, the community has expressed interest in the development of MAC and deterministic random bit generator **standards based on the ASCON permutation**.

NIST will work with the ASCON designers to draft the new lightweight cryptography standard. There will be a public comment period of at least 45 days during which NIST will solicit public feedback on the draft and publish the comments that were received. NIST will address each of the comments by making minor edits to the document or noting issues raised for future consideration.

The final version of **NIST's ASCON standard** will be published shortly after public comments have been resolved. At this time, the validation tests and procedures will be developed, followed by inclusion in validation processes under the cryptographic algorithm validation program and cryptographic module validation program.



## *Development of the Advanced Encryption Standard*

Miles E. Smid

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Strong cryptographic algorithms are essential for the protection of stored and transmitted data throughout the world. This publication discusses the development of Federal Information Processing Standards Publication (FIPS) 197, which specifies a cryptographic algorithm known as the Advanced Encryption Standard (AES). The AES was the result of a cooperative multiyear effort involving the U.S. government, industry, and the academic community. Several difficult problems that had to be resolved during the standard's development are discussed, and the eventual solutions are presented. The author writes from his viewpoint as former leader of the Security Technology Group and later as acting director of the Computer Security Division at the National Institute of Standards and Technology, where he was responsible for the AES development.

**Key words:** Advanced Encryption Standard (AES); consensus process; cryptography; Data Encryption Standard (DES); security requirements, SKIPJACK.

**Accepted:** June 18, 2021

**Published:** August 16, 2021; **Current Version:** August 23, 2021

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<https://doi.org/10.6028/jres.126.024>

### 1. Introduction

In the late 1990s, the National Institute of Standards and Technology (NIST) was about to decide if it was going to specify a new cryptographic algorithm standard for the protection of U.S. government and commercial data. The current standard was showing signs of age and would not be up to the task of providing strong security much longer. NIST could step aside and let some other entity manage the development of new cryptographic standards, it could propose a short-term fix with a limited lifetime, or it could establish a procedure to develop a completely new algorithm. In January 1997, NIST decided to move forward with a proposal for developing an Advanced Encryption Standard (AES), which would be secure enough to last well into the next millennium. In December of 2001, after five years of effort, the finished standard was approved and published. The journey from initial concept to final standard was not straightforward. This paper covers the motivation for the development of the AES, the process that was followed, and the problems that were encountered and solved along the way. It documents a significant milestone in the history of NIST's computer security program, which will be celebrating its 50th anniversary in 2022.

NISTIR 8319

## Review of the Advanced Encryption Standard

Nicky Mouha

This publication is available free of charge from:  
<https://doi.org/10.6028/NIST.IR.8319>

**NIST**  
National Institute of  
Standards and Technology  
U.S. Department of Commerce

## Guidelines for Submissions of Modes of Operation

Submissions should specify a mode of operation for a symmetric (secret) key block cipher algorithm. At a minimum, the mode should support underlying block ciphers with key-block combinations of 128-128, 192-128, and 256-128 bits. However, the specification should be generic – i.e., written to handle other key-block combinations, if they can be supported. Example modes include, but are not limited to, techniques for performing encryption, message authentication, hashing, and random bit generation. It will be helpful to receive variations of Counter mode arising from alternative methods/guidelines for prescribing the generation of counters.

NIST requests that submissions of modes of operation include the following six items:

- [cover sheet](#)
- [mode specification](#)
- [summary of properties](#)
- [test vectors](#)
- [performance estimates](#)
- [intellectual property statements/agreements/disclosures](#).

These items are discussed below.

### Cover Sheet

The cover sheet shall contain the following information:

- name of submitted mode of operation;
- principal submitter's name, telephone, fax, organization, postal address, e-mail address;
- name(s) of auxiliary submitter(s);
- name of mode's inventor(s)/developer(s);
- name of owner, if any, of the mode (typically, the owner will be the same as the submitter).

### Mode Specification

A complete written specification of the mode of operation should be provided, including all mathematical equations, tables, diagrams, and parameters that are needed to implement the mode. NIST encourages submitters to elaborate on the intended use(s) of the mode, the design rationale, the relevant properties, proofs (if any), the comparison with other modes, and the mode's overall advantages/disadvantages.

### Summary of Properties

To assist NIST and the public to draw comparisons and contrasts between the various candidate modes, the submissions should include a table or outline that identifies the following characteristics:

4 JULY 2001

# DUAL COUNTER MODE

MIKE BOYLE

CHRIS SALTER

---

## INTRODUCTION

---

For the past 18 months, the NSA has been developing a high-speed encryption mode for IP packets. The mode that we designed is identical in many aspects to Jutla's Integrity Aware Parallelizable Mode (IAPM). There is one important difference in our proposal. In the IP world, a large number of packets might arrive out of order. Integrity Aware Parallelizable Mode (IAPM) and the proposed variations incur a large overhead for out of order packets [JU 01]. Each packet requires at least the time to perform a full decryption to obtain an IV before decryption of the cipher can begin. This note describes our solution to this problem.

First, we describe the basic mode and its features. We then describe how to implement this mode for IPSec.

---

## DUAL COUNTER MODE

---

Dual counter mode is a hybrid of ECB mode and counter mode. Let  $E$  represent encryption by a codebook of width  $W$ . Let  $P_1, P_2, \dots, P_j$  be  $j$  blocks of plaintext and let  $C_1, C_2, \dots, C_j$  be the corresponding ciphertext. Let  $f$  be a polynomial of degree  $W$  for a primitive linear feedback shift register. Also, let  $\{x_i\}$  be the sequence of fills generated by this polynomial. The first fill,  $x_1$ , is a secret shared between the two peers. This initial fill is most easily derived from the key exchange<sup>1</sup>. Dual counter mode can be described as follows:

$j = \#$  of datablocks

For  $i = 1, \dots, j$

$$x_i = f(x_{i-1})$$

$$C_i = E(P_i \oplus x_i) \oplus x_i$$

Quite likely the cipherblocks will travel in packets. If the packets arrive in order, the receiver does not lose track of the fill needed to decrypt the cipher.

## TWO IMPLEMENTATION MODES

We knew that many implementers would want to verify the data integrity of packets. This mode has the property that any change to a ciphertext block causes the decrypted plaintext to be garbled. Thus it is easy to add a checksum to verify data integrity.

<sup>1</sup> Of course, care should be taken in producing this value. For example, the designers of the key exchange for IPSec used secure hashes such as SHA-1 to isolate keying material.

## A Note on NSA's Dual Counter Mode of Encryption

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Virgil D. Gligor \*\*  
gligor@eng.umd.edu

David Wagner \*\*\*  
daw@cs.berkeley.edu

September 28, 2001

**Abstract.** We show that both variants of the Dual Counter Mode of encryption (DCM) submitted for consideration as an AES mode of operation to NIST by M. Boyle and C. Salter of the NSA are insecure with respect to both secrecy and integrity in the face of chosen-plaintext attacks. We argue that DCM cannot be easily changed to satisfy its stated performance goal and be secure. Hence repairing DCM does not appear worthwhile.

## 1 Introduction

On August 1, 2001, M. Boyle and C. Salter of the NSA submitted two variants of the Dual Counter Mode (DCM) of encryption [1] for consideration as an AES mode of operation to NIST. The DCM goals are: (1) to protect both the secrecy and integrity of IP packets (as this mode is intended to satisfy the security goals of Jutla's IAPM mode [4]), and (2) to avoid the delay required before commencing the decryption of out-of-order IP packets, thereby decreasing the decryption latency of IAPM. DCM is also intended to allow high rates of encryption.

The authors argue that DCM satisfies the first goal because "an error in a cipher block causes all data in the packet to fail the integrity check". DCM appears to satisfy the second goal because it maintains a "shared secret negotiated during the key exchange," which avoids the delay inherent to the decryption of a secret IV before the first out-of-order packet arrival can be decrypted. The authors note correctly that Jutla's IAPM mode does not satisfy their second goal.

In this note, we show that both variants of DCM are insecure with respect to both secrecy and integrity in the face of chosen-plaintext attacks. Further, we argue that DCM cannot be easily changed to satisfy its stated performance goal for the decryption of out-of-order packets *and* be secure. We conclude since other proposed AES modes satisfy the proposed goals for DCM, even if repairing DCM is possible, which we doubt, such an exercise does not appear to be worthwhile.

<sup>1</sup> VDG Inc., 6009 Brookside Drive, Chevy Chase, MD 20815.

<sup>2</sup> Electrical and Computer Engineering Department, University of Maryland, College Park, Maryland 20742.

<sup>3</sup> Computer Science Division, EECS Department, University of California Berkeley, Berkeley, CA. 94720.

# Cryptanalysis of OCB2

Akiko Inoue and Kazuhiko Minematsu

NEC Corporation, Japan

`a-inoue@cj.jp.nec.com`, `k-minematsu@ah.jp.nec.com`

**Abstract.** We present practical attacks against OCB2, an ISO-standard authenticated encryption (AE) scheme. OCB2 is a highly-efficient block-cipher mode of operation. It has been extensively studied and widely believed to be secure thanks to the provable security proofs. Our attacks allow the adversary to create forgeries with single encryption query of almost-known plaintext. This attack can be further extended to powerful almost-universal and universal forgeries using more queries. The source of our attacks is the way OCB2 implements AE using a tweakable block-cipher, called XEX\*. We have verified our attacks using a reference code of OCB2. Our attacks do not break the privacy of OCB2, and are not applicable to the others, including OCB1 and OCB3.

**Keywords:** OCB, Authenticated Encryption, Cryptanalysis, Forgery, XEX

## 1 Introduction

Authenticated encryption (AE) is a form of symmetric-key encryption that provides both confidentiality and authenticity of messages. Now it is widely accepted



Research

Assurance



# Cryptographic competitions

Daniel J. Bernstein<sup>1,2</sup>

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Chicago, IL 60607–7045, USA

<sup>2</sup> Horst Görtz Institute for IT Security, Ruhr University Bochum, Germany  
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**Abstract.** Competitions are widely viewed as the safest way to select cryptographic algorithms. This paper surveys procedures that have been used in cryptographic competitions, and analyzes the extent to which those procedures reduce security risks.

**Keywords:** cryptography, competitions, DES, AES, eSTREAM, SHA-3, CAESAR, NISTPQC, NISTLWC

## 1 Introduction

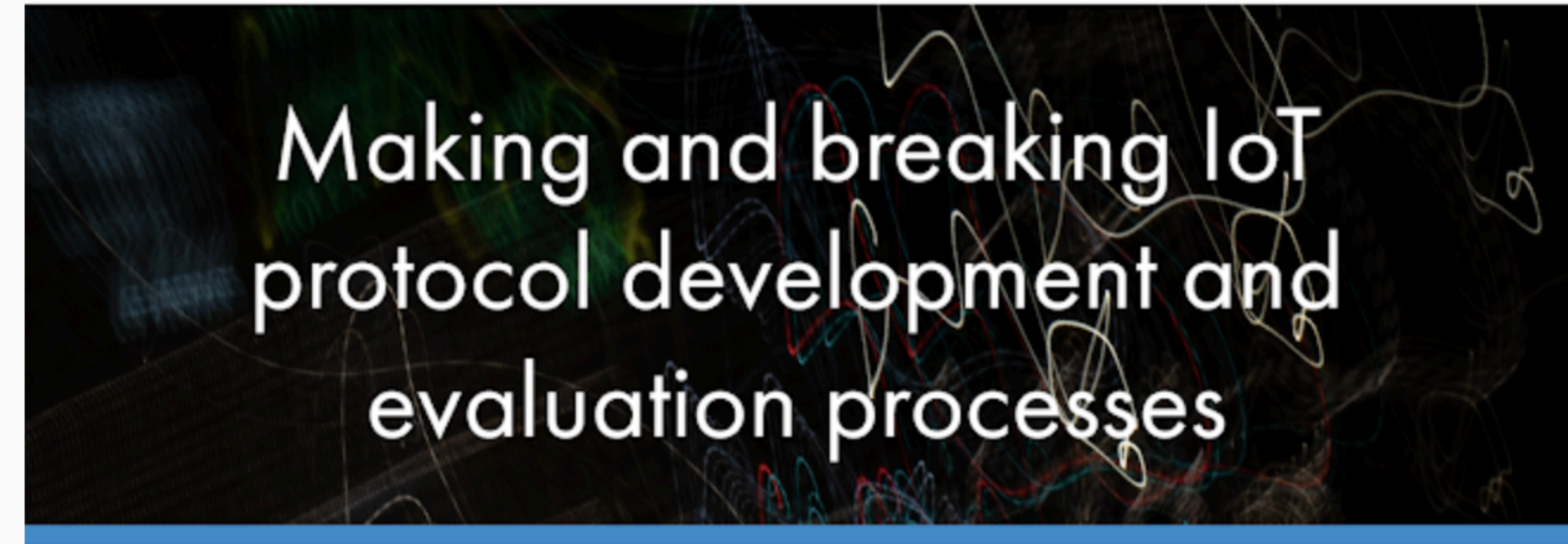
*The CoV individual reports point out several shortcomings and procedural weaknesses that led to the inclusion of the Dual EC DRBG algorithm in SP 800-90 and propose several steps to remedy them. . . . The VCAT strongly encourages standard development through open*

[github.com/arnepadmos/hackathon](https://github.com/arnepadmos/hackathon)

Sign in

### Making and breaking IoT protocol development and evaluation processes

An exploration into the influence of competition structure on the assurance provided by an adversarial process. Participants will propose competition blueprints and identify problems related to incentives (mis)alignment, exploring how to shape the dynamics of adversarial engineering design competitions such that they incentivise and align security engineering and assurance efforts in the IoT domain.



#### Project description

The precise project description, including the format and detailed content, will be determined based on input gathered before the start of the hackathon to ensure that it aligns with the interest of potential participants. Key aspects of the hackathon structure that have already been

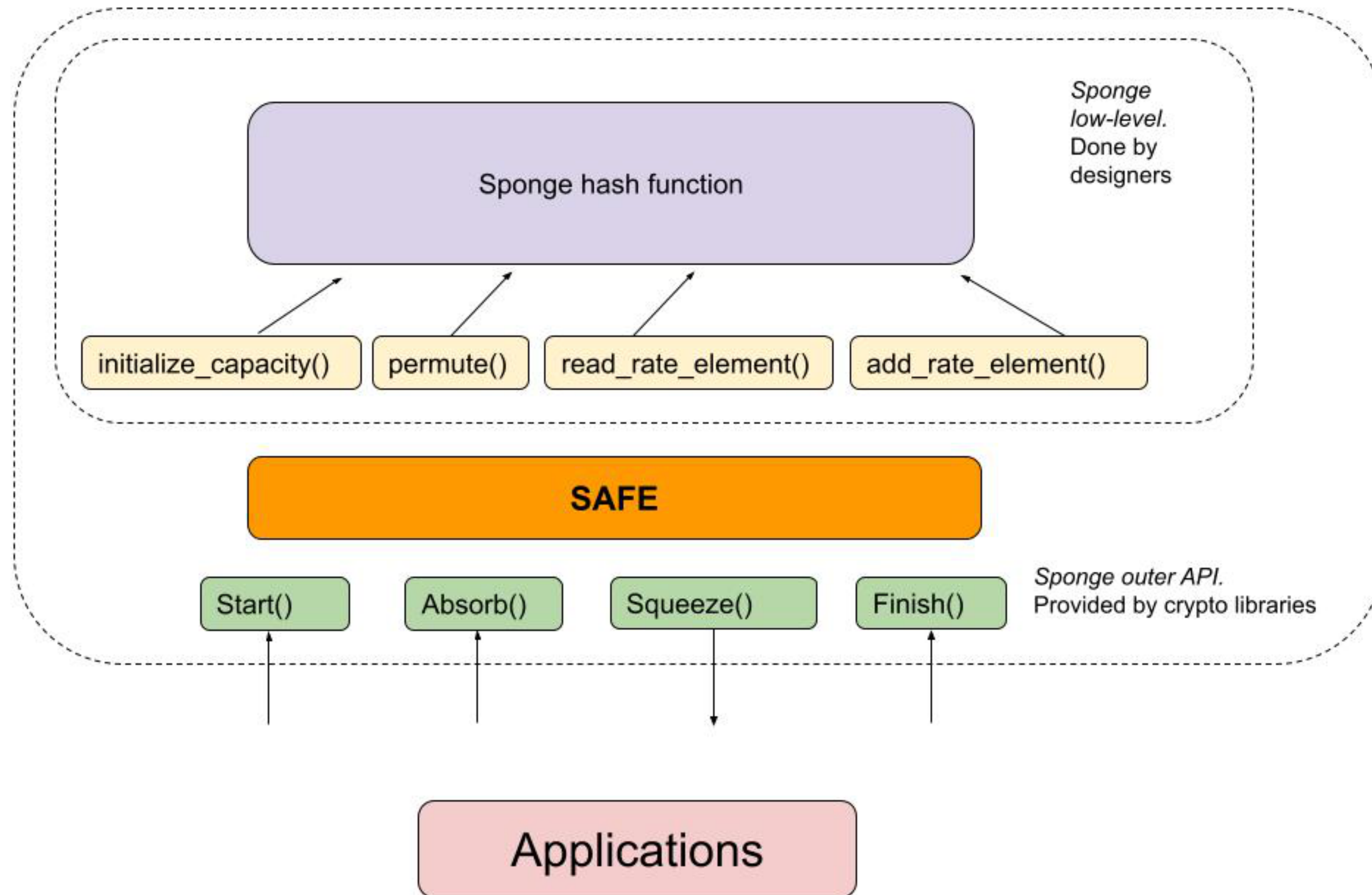
Focus on understanding real-world failure cases

Test the toolbox with end-users for footguns

Provide structures and incentives for assurance

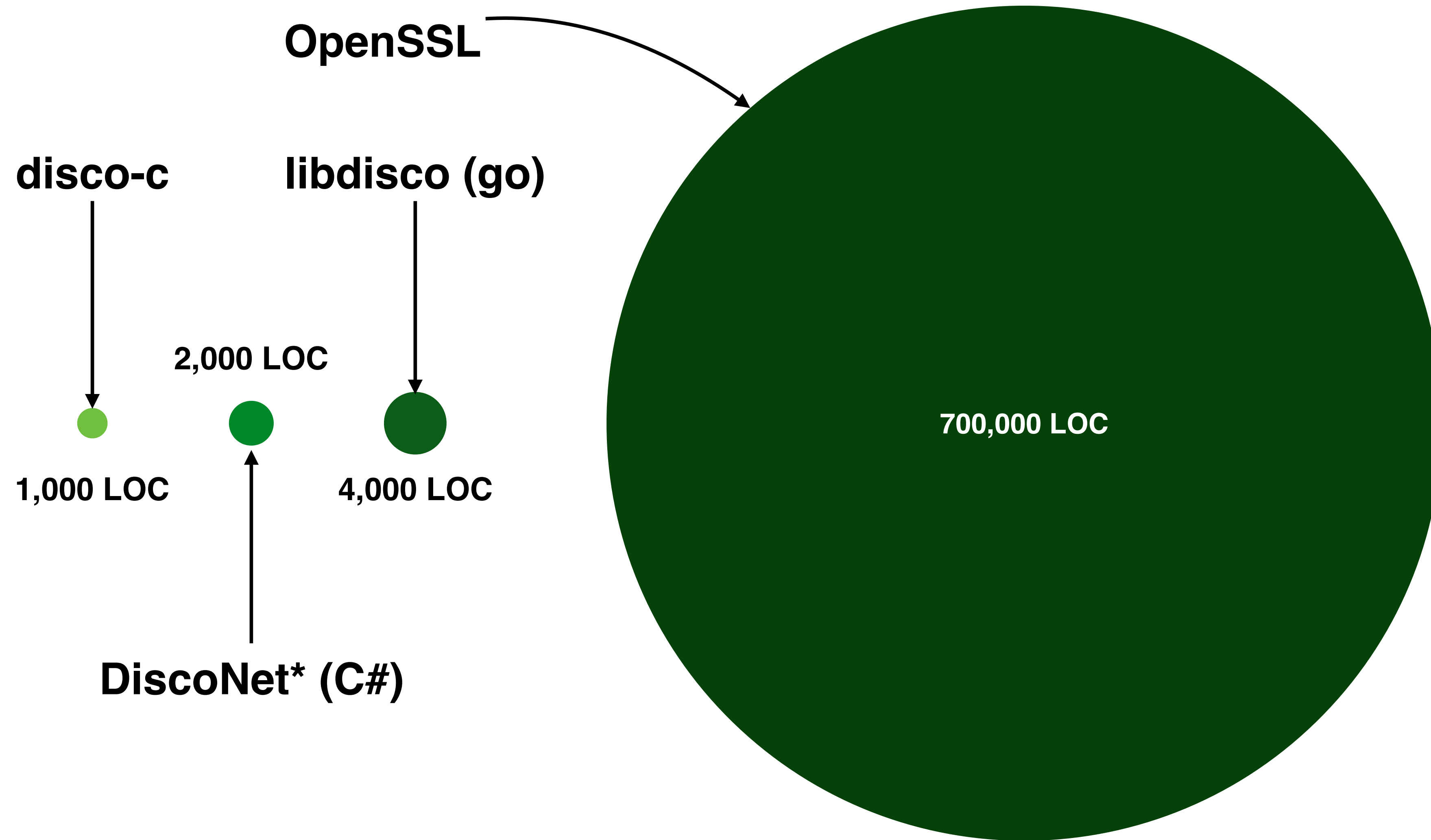
Explore secure channels and record protocols

As illustrated by BLINKER, Strobe, SHOE, and Cyclist, sponges can be the basis for simple, lightweight two-party half-duplex record protocols.



# The complete symmetric crypto API

<code>clone()</code>	<code>absorb()</code>	<code>squeeze()</code>	<code>squeeze_tag()</code>
<code>squeeze_key()</code>	<code>max_tag_len()</code>	<code>encrypt()</code>	<code>encrypt_detached()</code>
<code>decrypt()</code>	<code>decrypt_detached()</code>	<code>ratchet()</code>	<code>tag_len()</code>
<code>tag_pull()</code>	<code>tag_verify()</code>	<code>close()</code>	<code>reset()</code>





## Motivation for BLINKER

Legacy protocols are unsuited for ultra-lightweight applications.

Academic research has focused on lightweight **primitives**, and suitable lightweight, **general purpose communications protocols** have not been proposed.

We need a generic **short-distance lightweight link layer** security provider that can function independently from upper layer application functions.

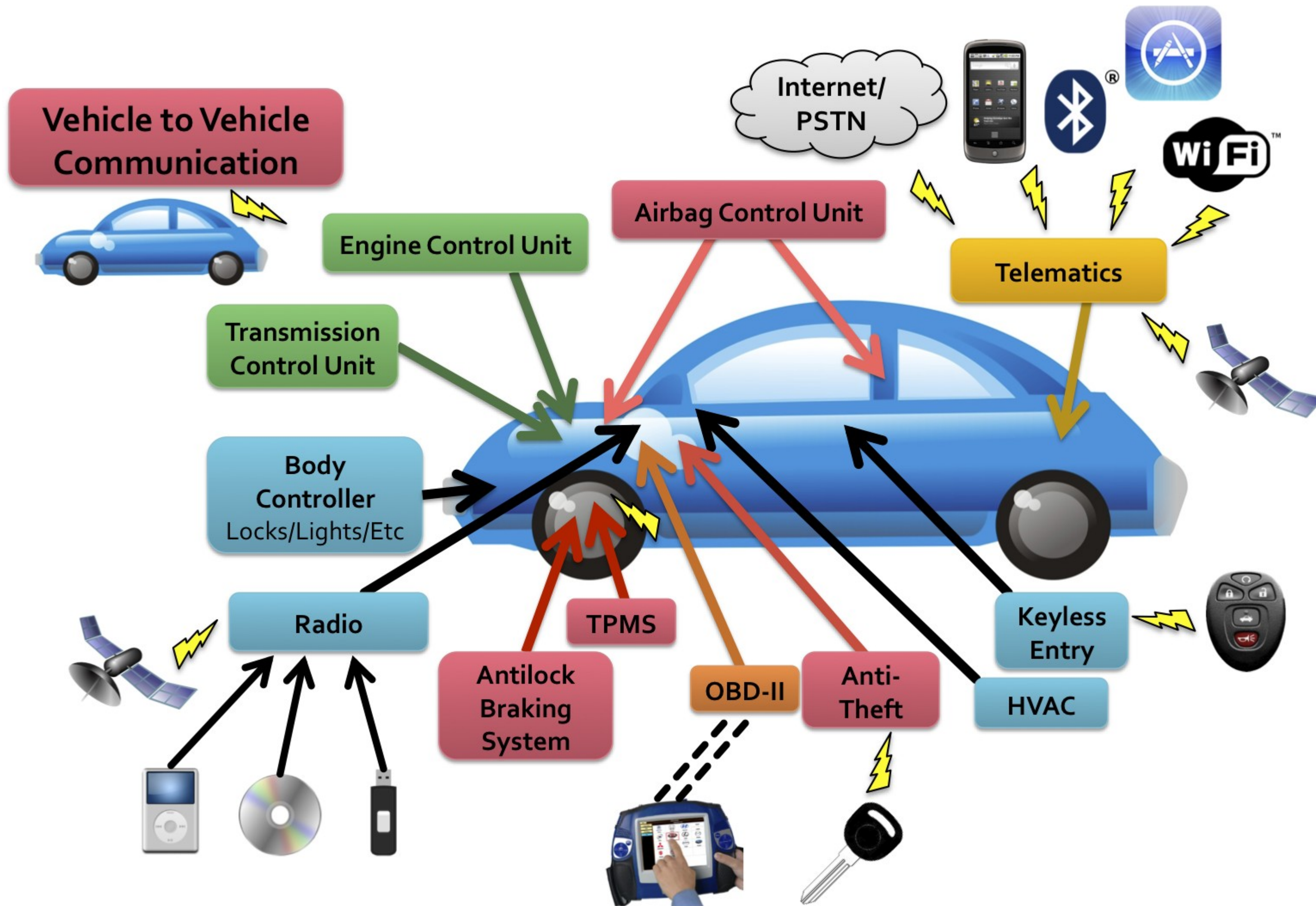
- ▶ Design with mathematical and legal **provability** in mind.
- ▶ Aim at simplicity and small footprint: use a **single** sponge permutation for key derivation, confidentiality, integrity, etc. (Instead of distinct algorithms.)
- ▶ Use a **single state variable** in both directions, instead of 8+ cryptovars.
- ▶ Ideally this protocol would be realizable with semi-autonomous integrated hardware, without much CPU or MCU involvement.

## Security Goals

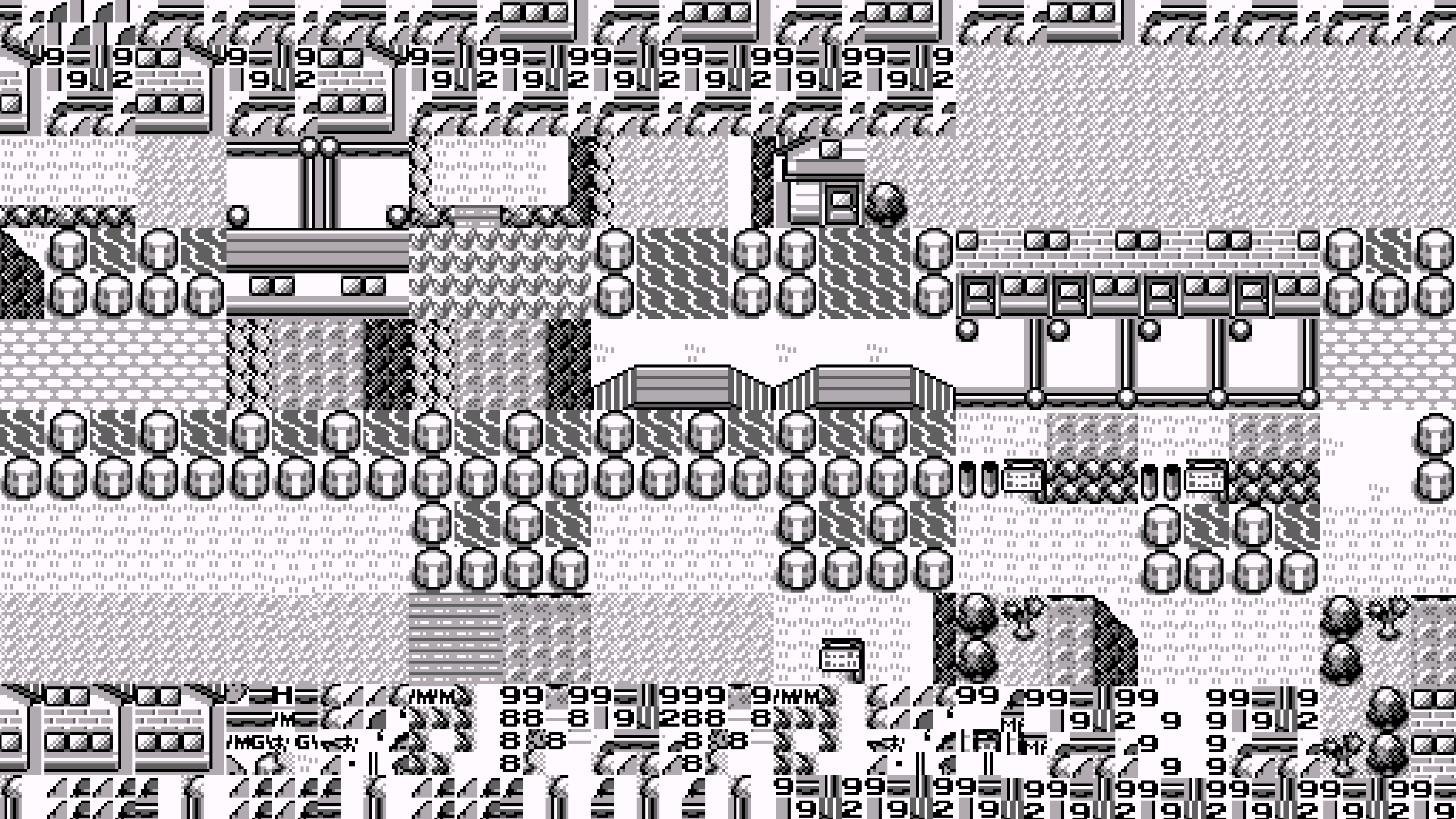
Protocol designers should have provable bounds on these three goals:

- priv** The ciphertext result  $C$  of  $\text{enc}(S, P, \text{pad})$  must be indistinguishable from random when  $S$  is random and  $P$  may be chosen by the attacker.
- auth** The probability of an adversary choosing a message  $C$  that does not result in a FAIL in  $\text{dec}(S, C, \text{pad})$  without knowledge of  $S$  is bound by a function of the authentication tag size  $t$  and number of trials.
- sync** Each party can verify that all previous messages of the session have been correctly received and the absolute order in which messages were sent.

First two are standard Authentication Encryption requirements, the **last one is new**.









firefoxsync.vp — verifpal
Verifpal Protocol Diagram

```

16 principal ComputerB[]
17
18 principal Server[]
19
20 ComputerA → Server: username, h2, e1
21
22 principal Server[
23   h3 = HASH(username, h2)
24 ]
25
26 principal ComputerB[
27   knows private username
28   knows private pass
29   knows public salt
30   usernameb = DEC(pass, ENC(pass, username)) // Terrible hack
31   h1b, h2b = HKDF(pass, salt, nil)
32 ]
33
34 ComputerB → Server: usernameb, h2b
35
36 principal Server[
37   _ = ASSERT(username, usernameb)?
38   _ = ASSERT(h3, HASH(usernameb, h2b))?
39 ]
40
41 Server → ComputerB: e1
42
43 principal ComputerB[
44   d1 = AEAD_DEC(h1b, e1, nil)?
45 ]
46
47 queries[
48   confidentiality? data
49   authentication? Server → ComputerB: e1
50 ]
51

```

The diagram illustrates the protocol flow between three principals: ComputerA, ComputerB, and Server.

- ComputerA** (top left) has private knowledge of username, pass, salt, data, and h1, h2. It sends `username, h2, e1` to the **Server**.
- Server** (top right) receives the message and computes `h3 = HASH(username, h2)`.
- ComputerB** (middle) has private knowledge of username, pass, salt, and h1b, h2b. It sends `usernameb, h2b` to the **Server**.
- Server** performs two assertions: `_ = ASSERT(username, usernameb)?` and `_ = ASSERT(h3, HASH(usernameb, h2b))?`.
- Server** sends `e1` back to **ComputerB**.
- ComputerB** performs the decryption: `d1 = AEAD_DEC(h1b, e1, nil)?`.

Verifpal: Analysis complete.  
Verifpal: Running analysis...

master 2↓ 0↑ 0 △ 3 Go Modules
You, 4 months ago Ln 41, Col 24 Tab Size: 4 UTF-8 LF Verifpal

## Examples of protocol-related problems:

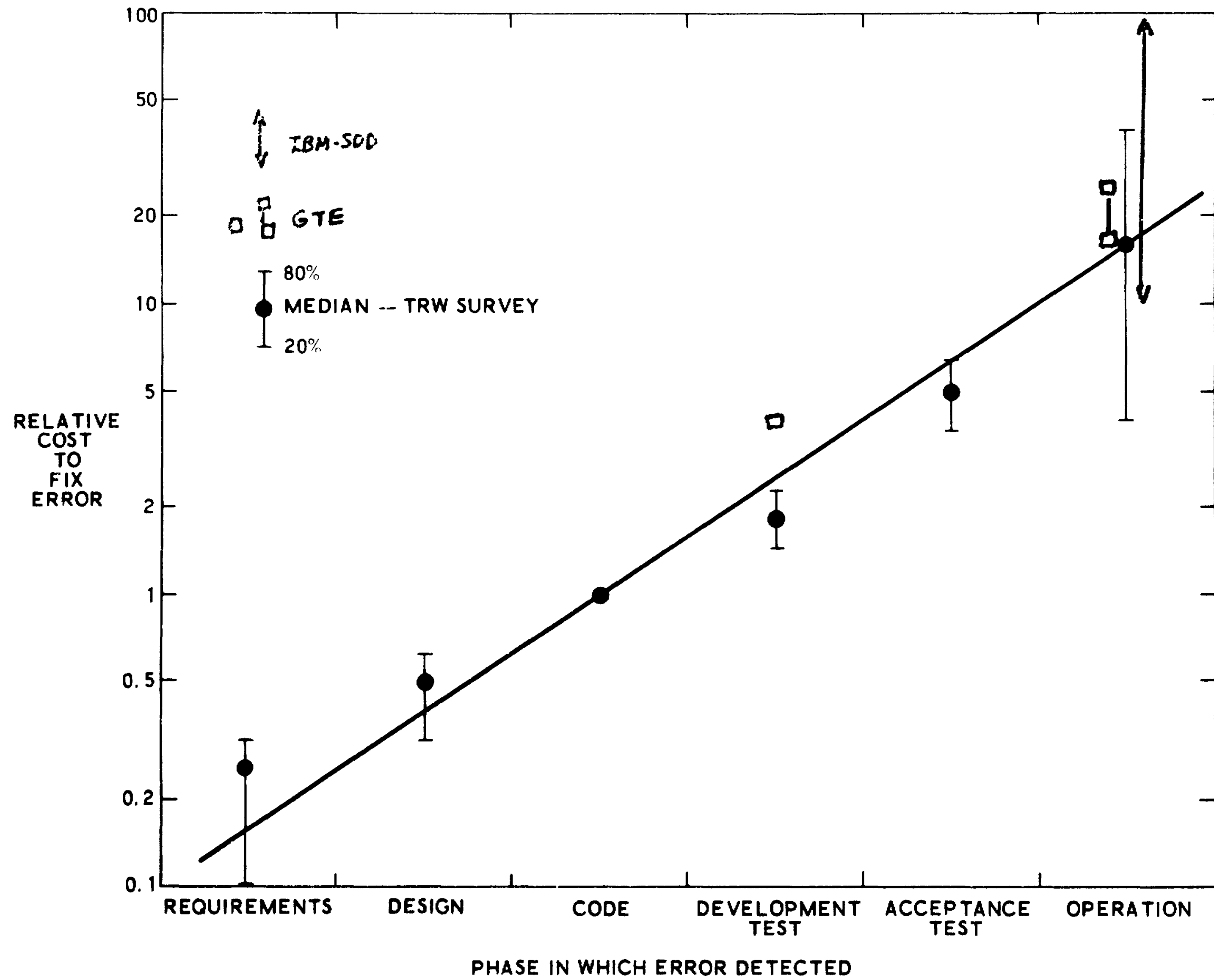
- Field, content, channel, domain, key confusion
- Key extraction allows decrypting prior traffic
- Drop, delay, preplay, reflect, reorder, replay, etc.
- Recovery of a wrapped key due to nonce reuse
- Not using safer features because of higher cost

Things that could use further study:

- Tuples and types, plus making these efficient
- Reuse of internal state for KDF and/or chaining
- Relevance of Ascon modes to [Turbo]SHAKE
- Support for sessions, including key ratcheting
- Multi-key attacks and key-reuse resistance

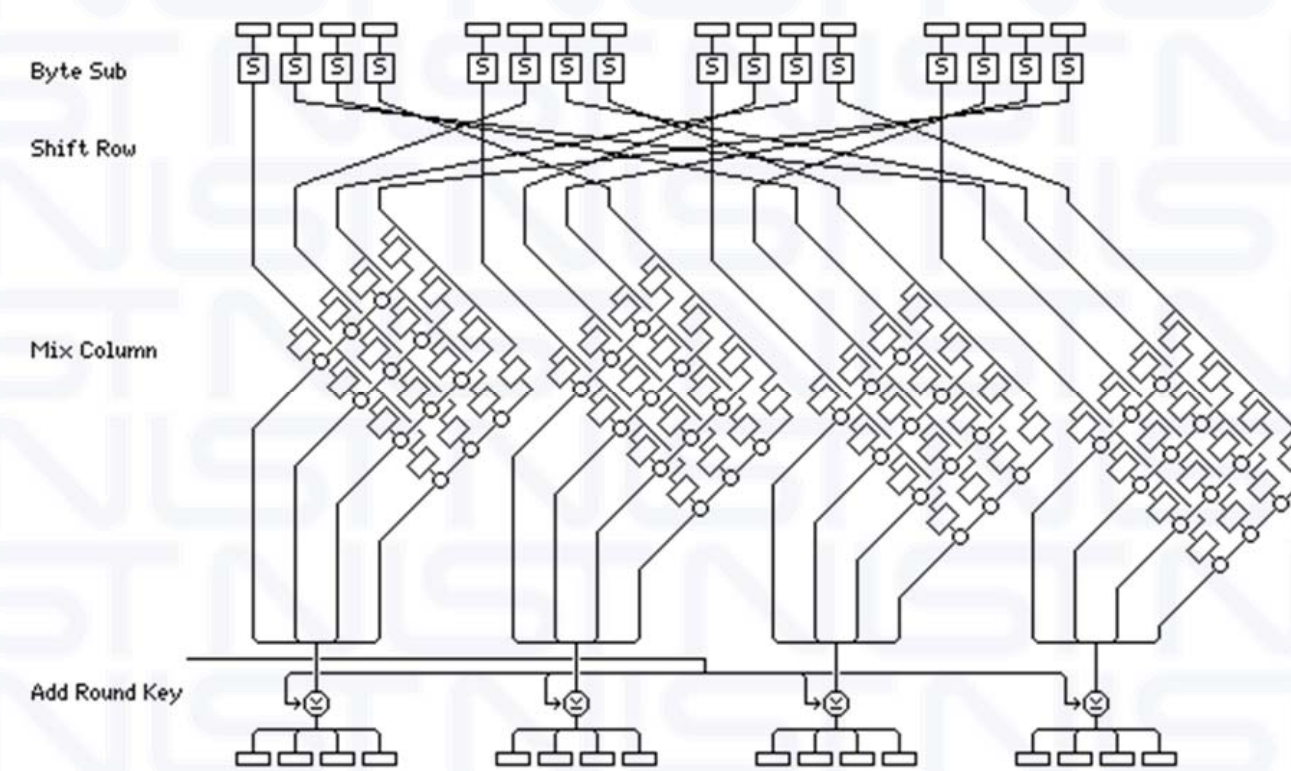






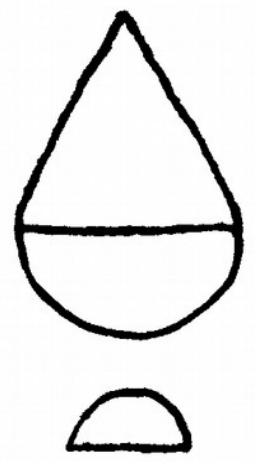
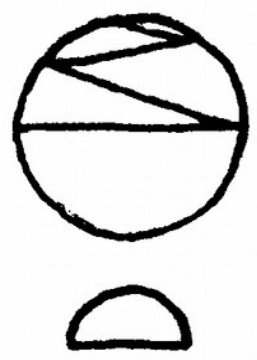
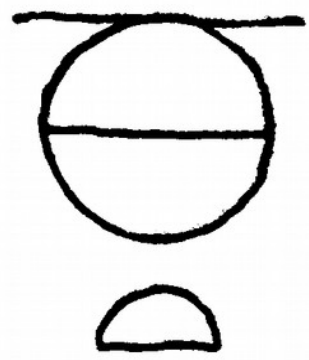
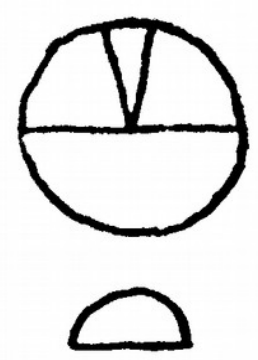
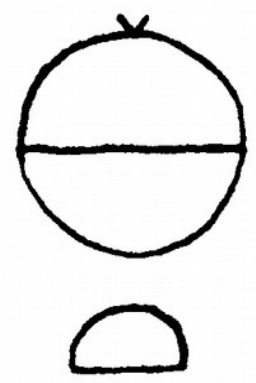
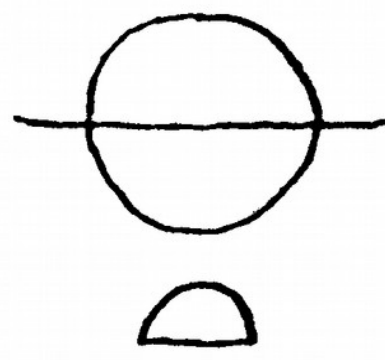
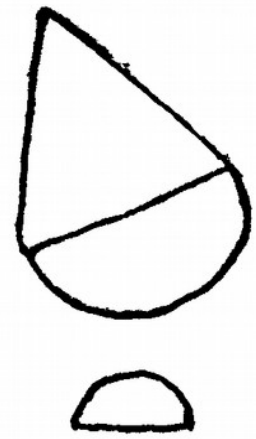
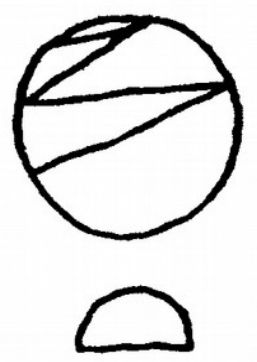
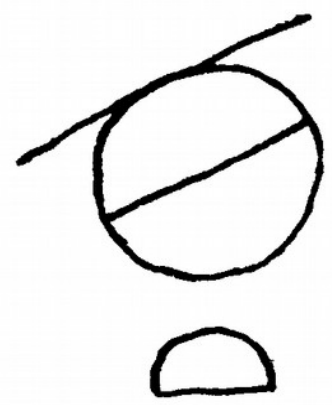
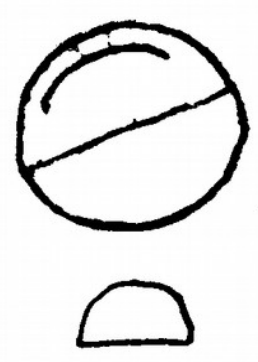
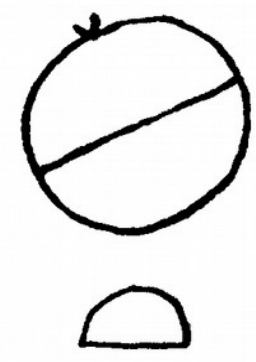
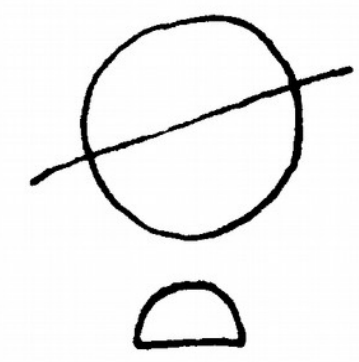
NIST GCR 18-017

# The Economic Impacts of the Advanced Encryption Standard, 1996 - 2017



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September 2018

**NIST**  
National Institute of  
Standards and Technology  
U.S. Department of Commerce



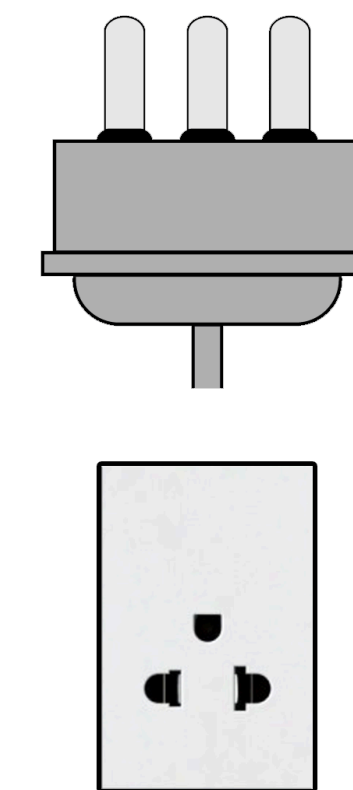
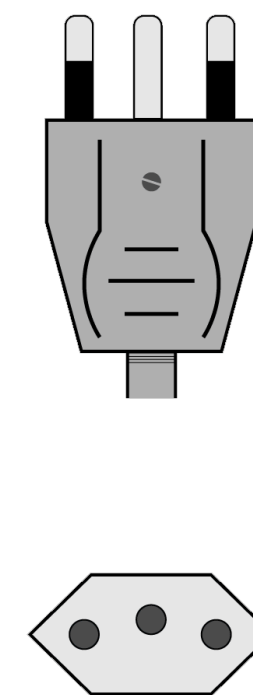
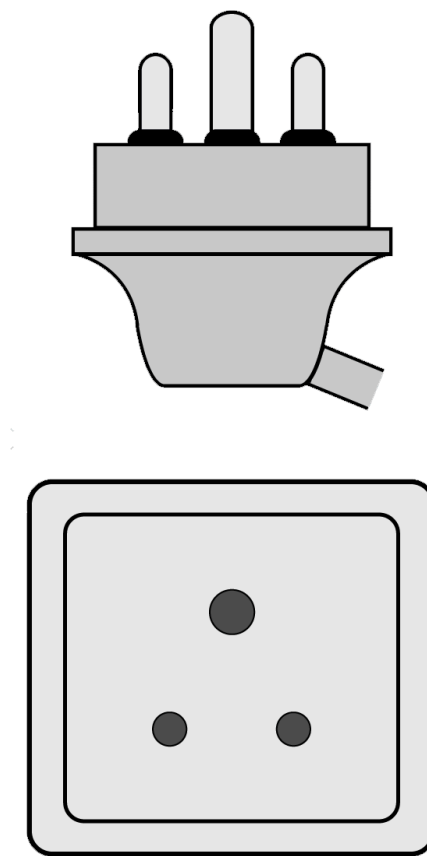
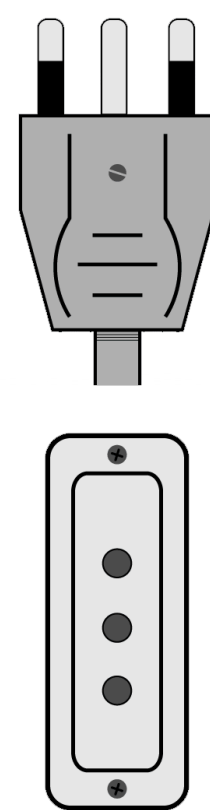
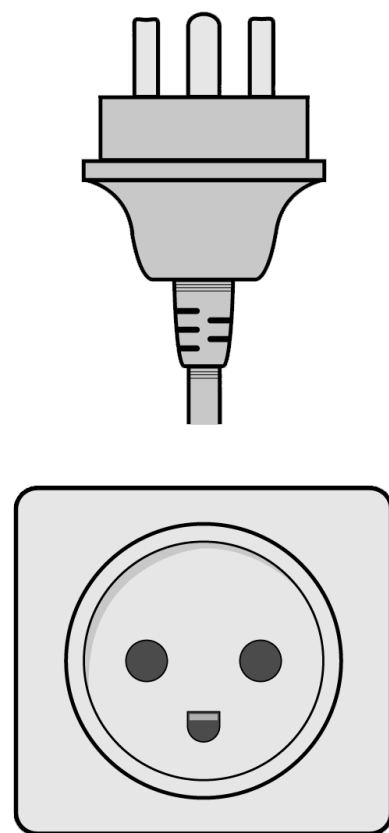
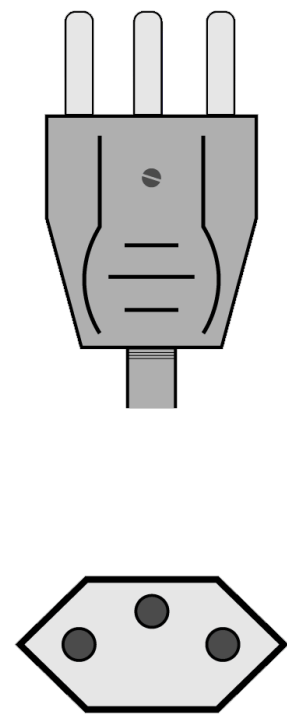
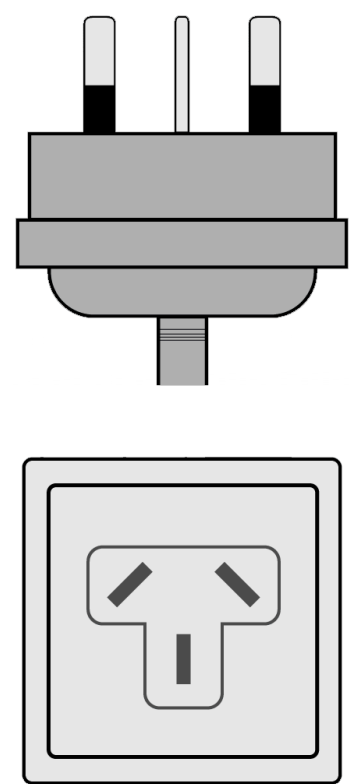
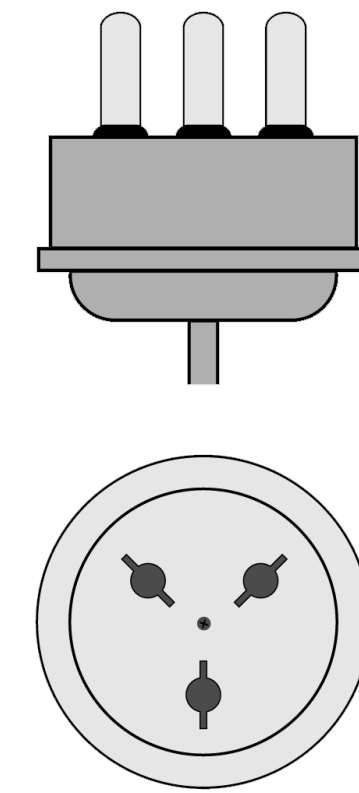
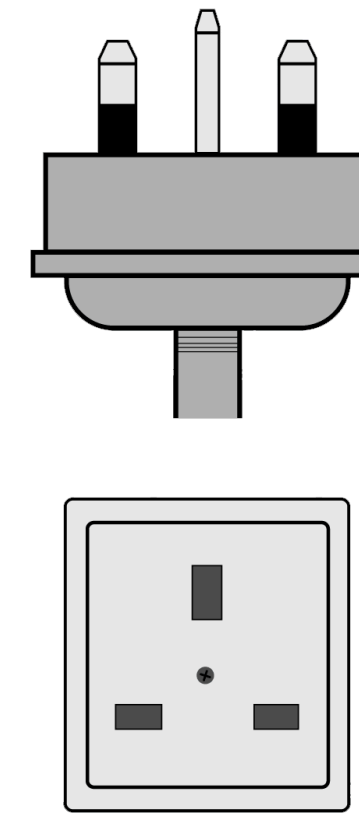
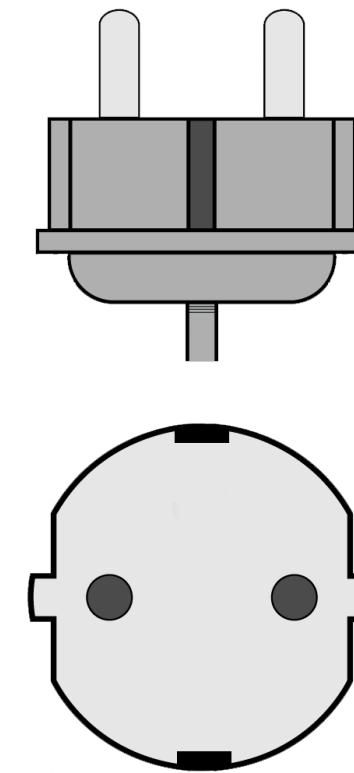
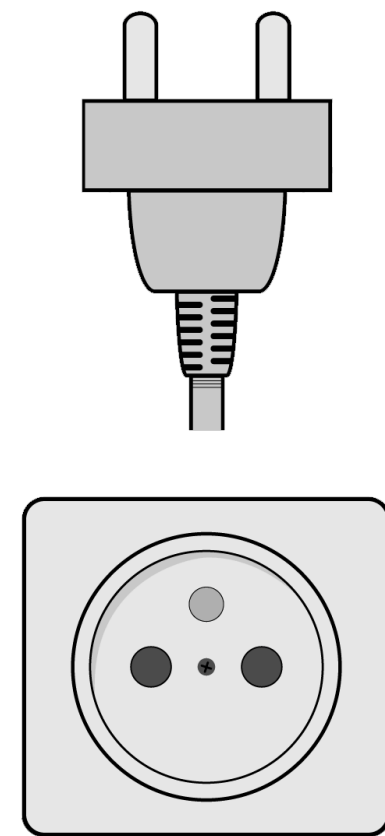
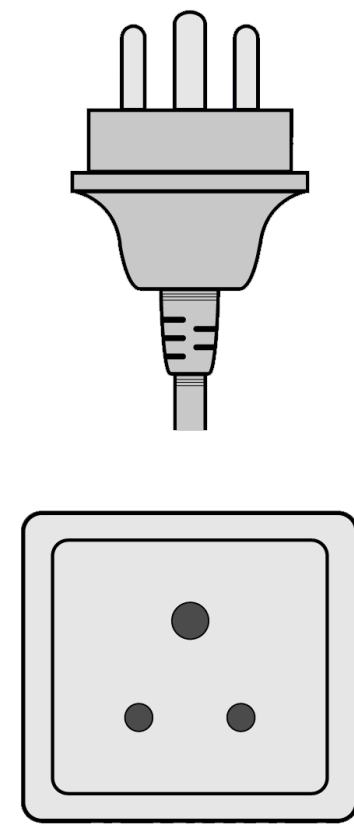
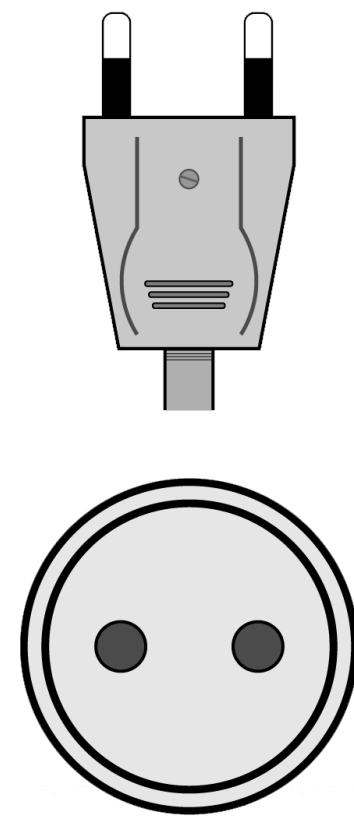
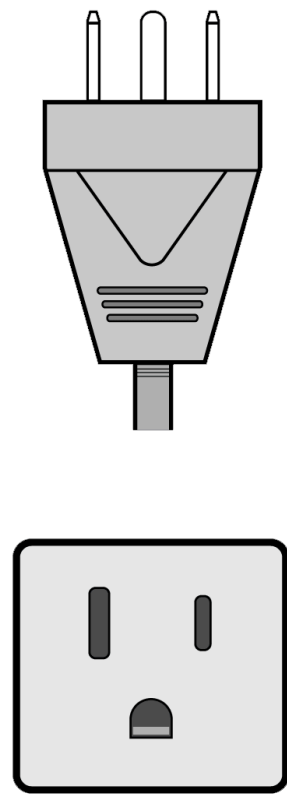
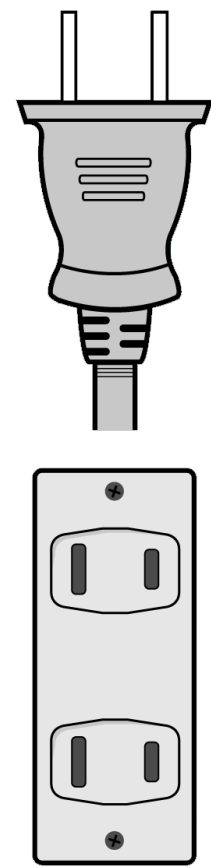


Focus on understanding real-world failure cases

Test the toolbox with end-users for footguns

Provide structures and incentives for assurance

Explore secure channels and record protocols







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