

# NIST 5<sup>th</sup> PQC Standardization Conference

April 8-10, 2024

## A lean BIKE KEM design for ephemeral key agreement

Nir Drucker <sup>1</sup>, [Shay Gueron](#) <sup>2, 3</sup>, Dusan Kostic <sup>4</sup>

<sup>1</sup> IBM Research;

<sup>2</sup> University of Haifa;

<sup>3</sup> Meta;

<sup>4</sup> AWS

[shay.gueron@gmail.com](mailto:shay.gueron@gmail.com)

# BIKE - Bit Flipping Key Encapsulation

**BIKE team:** Nicolas Aragon, Paulo L. Barreto, Slim Bettaieb. Loïc Bidoux, Olivier Blazy. Jean-Christophe Deneuville, Philippe Gaborit, Santosh Ghosh, Shay Gueron, Tim Güneysu, Carlos Aguilar Melchor, Rafael Misoczki, Edoardo Persichetti, Jan Richter-Brockmann, Nicolas Sendrier, Jean-Pierre Tillich, Valentin Vasseur, Gilles Zémor

$(sk, h, \sigma) \leftarrow \text{KeyGen}(\cdot)$

$\sigma \leftarrow \{0,1\}^{256}$

$h_0, h_1 \xleftarrow{D_1} S_{r,d}^2$

$sk = (h_0, h_1, \sigma)$

$pk = h = h_1 h_0^{-1}$

Return  $(sk, pk, \sigma)$

$(C, K) \leftarrow \text{Encaps}(h)$

$m \leftarrow \{0,1\}^{256}$

$e = (e_0, e_1) \xleftarrow{D_2} S_{2r,t}(m||h)$

$C = (c_0, c_1) = (e_0 + e_1 h, m \oplus L(e_0, e_1))$

$K = K(m, C)$

Return  $(C, K)$

BIKE(r,d)

$m = \text{Decaps}(sk, \sigma, h, C)$

$e' = (e'_0, e'_1) = \text{Decode}(sk, C)$

$m' = c_1 \oplus L(e')$

If  $S_{n,t}(m' || h) \neq e'$  then  $m' = \sigma$

Return  $K(m', C)$

BIKE: a **Code-based** KEM

NIST seeks a **non-lattice** KEM alternative

Round 4 standardization (alternative)

Let's think of different bikes



# The state of the BIKE (& some other code-based KEMs)

## Decoding Failure Rates: where are we now?

- Two NIST's code-based KEM candidates, HQC and BIKE, require a decoder with a sufficiently low DFR
- Current methods to study DFR on a given decoder rely on an assumption(s) and then some empirical estimates backed up by (extensive) simulations & extrapolations.
- This gives a **solid indication & convincing evidence** to a low DFR but not a proven upper bound of, say,  $2^{-128}$
- We tested with  $2^{45}$  messages (no decoding failure)

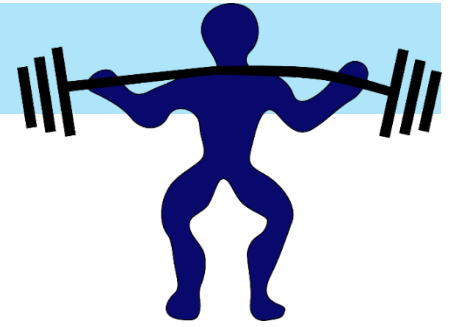


Can't brush under the rug

**Proven: If BIKE is used with a decoder that has sufficiently low DFR (e.g.,  $< 2^{-128}$ ) then BIKE has CCA security**

[4] DGKP, "On the applicability of the Fujisaki-Okamoto transformation to the BIKE KEM"

# CCA security is a heavy lifting!



## The impact of taking on CCA challenge so

- Requires very careful constant time implementations
- All side channel attacks (HQC / BIKE) targeted a fixed key reused multiple times (CCA scenario)
- Higher complexity → Implementation mistakes
- Strict decoder specification

**Why \*always\* pay the full cost of (hopeful) CCA  
when many (most?) usages settle with CPA security?**



**Forward secrecy needs ephemeral key agreement**

**(using key pair is used once)**

**BIKE CPA security has a proven reduction to a hard decoding problem**



We already have support!



BIKE CPA security has a proven reduction to a hard decoding problem

$(sk, h, \sigma) \leftarrow \text{KeyGen}(\cdot)$

$\sigma \xleftarrow{\$} \{0,1\}^{256}$

$h_0, h_1 \xleftarrow{D_1} S_{r,d}^2$

$sk = (h_0, h_1, \sigma)$

$pk = h = h_1 h_0^{-1}$

Return  $(sk, pk, \sigma)$

$(C, K) \leftarrow \text{Encaps}(h)$

$m \xleftarrow{\$} \{0,1\}^{256}$

$e = (e_0, e_1) \xleftarrow{D_2} S_{2r,t}(m||h)$

$C = (c_0, c_1) = (e_0 + e_1 h, m \oplus L(e_0, e_1))$

$K = \mathbf{K}(m, C)$

Return  $(C, K)$

**This is BIKE**

$m = \text{Decaps}(sk, \sigma, h, C)$

$e' = (e'_0, e'_1) = \text{Decode}(sk, C)$

$m' = c_1 \oplus L(e')$

If  $S_{n,t}(m' || h) \neq e'$  then  $m' = \sigma$

Return  $\mathbf{K}(m', C)$

$(sk, h, \sigma) \leftarrow \text{KeyGen}(\cdot)$

$\sigma \xleftarrow{\$} \{0,1\}^{256}$

$h_0, h_1 \xleftarrow{D_1} S_{r,d}^2$

$sk = (h_0, h_1, \sigma)$

$pk = h = h_1 h_0^{-1}$

Return  $(sk, pk, \sigma)$

$(C, K) \leftarrow \text{Encaps}(h)$

$m \xleftarrow{\$} \{0,1\}^{256}$

$e = (e_0, e_1) \xleftarrow{D_2} S_{2r,t}(m||h)$

$C = (c_0, c_1) = (e_0 + e_1 h, m \oplus L(e_0, e_1))$

$K = K(m, C)$

Return  $(C, K)$

This is what we pay for a CCA claim for BIKE (assuming a low DFR decoder)

$m = \text{Decaps}(sk, \sigma, h, C)$

$e' = (e'_0, e'_1) = \text{Decode}(sk, C)$

$m' = c_1 \oplus L(e')$

If  $S_{n,t}(m' || h) \neq e'$  then  $m' = \sigma$

Return  $K(m', C)$

Coming soon: + binding to public key



## Lean BIKE

An optimized type of BIKE design  
with the minimum needed for CPA security  
(to be used with ephemeral keys)

**What can we peel off  
from BIKE**



- No FO transform
- No re-encryption
- No CT-sampling
- No binding
- Choice of seed-to-PRF expansion
- BYOD (Bring Your Own Decoder)

**To get a  
Lean BIKE**



$(sk, h) \leftarrow \text{KeyGen}(\cdot)$

$$h_0, h_1 \stackrel{D_1}{\leftarrow} S_{r,d}^2$$

$$sk = (h_0, h_1)$$

$$pk = h = h_1 h_0^{-1}$$

Return  $(sk, pk, \sigma)$

$(C, K) \leftarrow \text{Encaps}(h)$

$$e = (e_0, e_1) \stackrel{D_2}{\leftarrow} S_{2r,t}$$

$$C = e_0 + e_1 h$$

$$K = \mathbf{K}(e, C)$$

Return  $(C, K)$

## Lean BIKE

$m = \text{Decaps}(sk, h, C)$

$$e' = (e'_0, e'_1) = \text{Decode}(sk, C)$$

Return  $\mathbf{K}(m', C)$

# Engineering DFR concept

- **Real systems & ephemeral keys (CPA security):**
  - DFR tolerance level is much more lenient than for CCA security.
- **Engineering DFR: target system operational reliability**
- **5 nines reliability (99.999%) gold standard of system availability**
  - Translates to a DFR  $\leq 2^{\log_2 10^{-5}} = 2^{-16.61}$
- **6 nines reliability (99.9999%)  $\rightarrow 2^{-19.93}$**
- **7 nines reliability (99.99999%)  $\rightarrow 2^{-23.25}$**

(Network errors occur at higher rates)

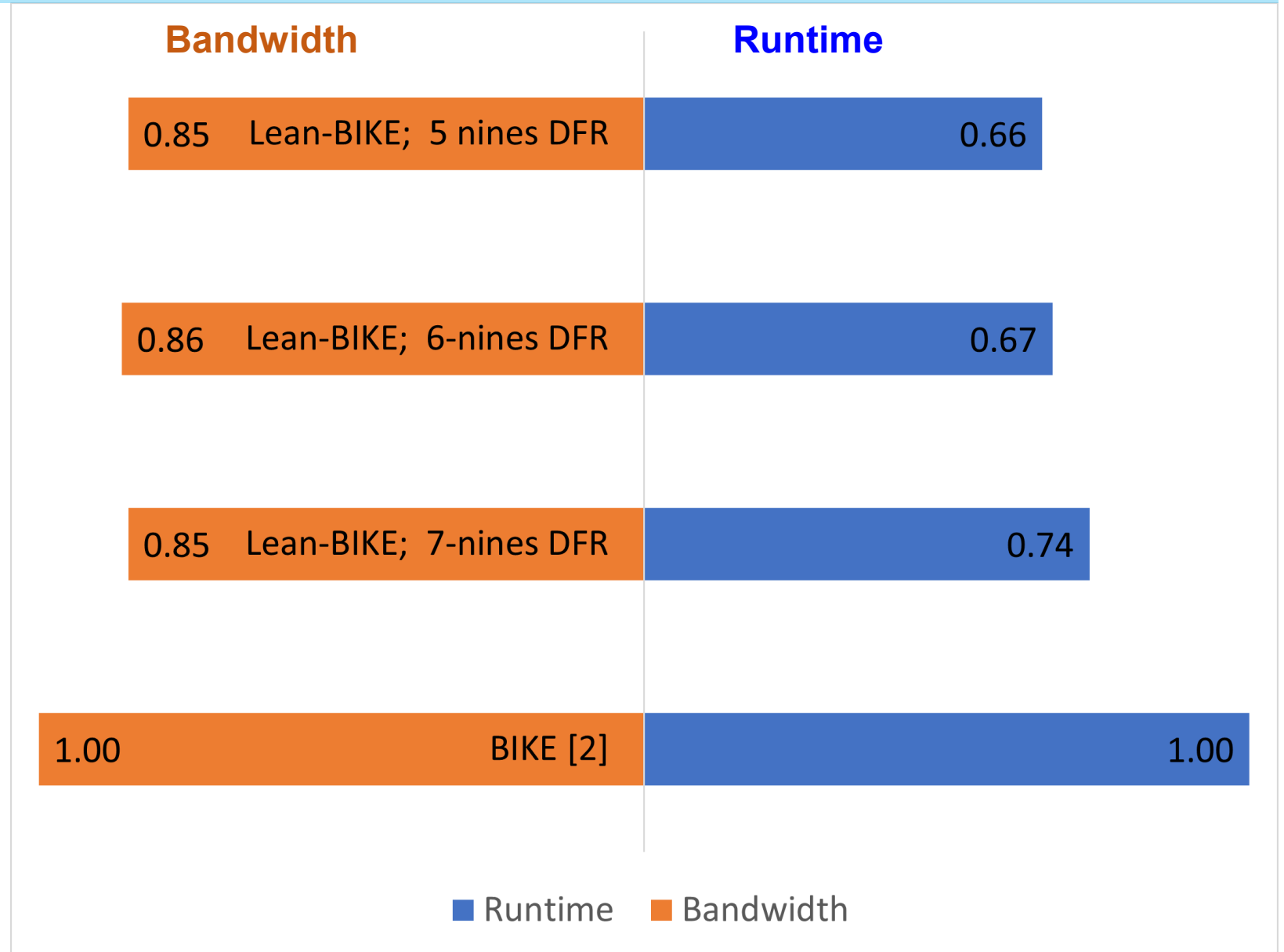
# BIKE & Lean BIKE - numbers

## Lean-BIKE vs. BIKE 5.1

(security Level 1)

Three levels of  
**Engineering DFR**

*And more savings  
are also possible*



# BIKE - Bit Flipping Key Encapsulation

## Our concrete proposal

Standardize **both** BIKE and a Lean-BIKE version

**Forward secrecy seeking (ephemeral key) usages  
need not pay the toll  
for trying to achieve CCA security  
(when this is not really needed)**

Lean-BIKE is available at

Drucker, Gueron, Kostić, “Additional implementation of BIKE”

<https://github.com/awsllabs/bike-kem>





Thank you



# References (1)

- [1] Drucker, N., Gueron, S., Kostic, D.: On Constant-Time QC-MDPC Decoders with Negligible Failure Rate. In: Baldi, M., Persichetti, E., Santini, P. (eds.) Code- Based Cryptography. pp. 50–79. Springer International Publishing, Cham (2020). [https://doi.org/10.1007/978-3-030-54074-6\\_4](https://doi.org/10.1007/978-3-030-54074-6_4)
- [2] Drucker, N., Gueron, S., Kostic, D.: QC-MDPC Decoders with Several Shades of Gray. In: Ding, J., Tillich, J.P. (eds.) Post-Quantum Cryptography. pp. 35–50. Springer International Publishing, Cham (2020). [https://doi.org/10.1007/978-3-030-44223-1\\_3](https://doi.org/10.1007/978-3-030-44223-1_3)
- [3] Drucker, N., Gueron, S., Kostic, D.: Fast Polynomial Inversion for Post Quantum QC-MDPC Cryptography. In: Dolev, S., Kolesnikov, V., Lodha, S., Weiss, G. (eds.) Cyber Security Cryptography and Machine Learning. pp. 110–127. Springer International Publishing, Cham (2020). [https://doi.org/10.1007/978-3-030-49785-9\\_8](https://doi.org/10.1007/978-3-030-49785-9_8)
- [4] Drucker, N., Gueron, S., Kostic, D., Persichetti, E.: On the applicability of the Fujisaki-Okamoto transformation to the BIKE KEM. *Int. J. Comput. Math. Comput. Syst. Theory* 6(4), 364–374 (2021). <https://doi.org/10.1080/23799927.2021.1930176>
- [5] Drucker, N., Gueron, S., Kostic, D.: Binding BIKE Errors to a Key Pair. In: Dolev, S., Margalit, O., Pinkas, B., Schwarzmann, A. (eds.) Cyber Security Cryptography and Machine Learning. pp. 275–281. Springer International Publishing, Cham (2021)
- [6] Guo, Q., Hlauschek, C., Johansson, T., Lahr, N., Nilsson, A., Schröder, R.L.: Don't Reject This: Key-Recovery Timing Attacks Due to Rejection-Sampling in HQC and BIKE. *IACR Transactions on Cryptographic Hardware and Embedded Systems* 2022(3), 223–263 (Jun 2022), <https://doi.org/10.46586/tches.v2022.i3.223-263>
- [7] Drucker, N., Gueron, S., Kostic, D.: To Reject or Not Reject: That Is the Question. The Case of BIKE Post Quantum KEM. In: Latifi, S. (ed.) ITNG 2023 20th International Conference on Information Technology-New Generations. pp. 125–131. Springer International Publishing, Cham (2023)
- [8] Wang, T., Wang, A., Wang, X.: Exploring decryption failures of bike: New class of weak keys and key recovery attacks. In: Handschuh, H., Lysyanskaya, A. (eds.) Advances in Cryptology – CRYPTO 2023. pp. 70–100. Springer Nature Switzerland, Cham (2023)