

BIKE

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Agenda

- BIKE Recap
- Proposed tweaks
 - Enhancing multi-target security
 - Constant-weight sampler
 - Decoder



BIKE Recap

<p>KeyGen : $() \mapsto (h_0, h_1, \sigma), h$</p> <p>Output: $(h_0, h_1, \sigma) \in \mathcal{H}_w \times \mathcal{M}, h \in \mathcal{R}$</p> <p>1: $(h_0, h_1) \xleftarrow{\mathcal{D}} \mathcal{H}_w$ $\triangleright (1)$</p> <p>2: $h \leftarrow h_1 h_0^{-1}$</p> <p>3: $\sigma \xleftarrow{\\$} \mathcal{M}$</p>	<p>Encaps : $h \mapsto K, c$</p> <p>Input: $h \in \mathcal{R}$</p> <p>Output: $K \in \mathcal{K}, c \in \mathcal{R} \times \mathcal{M}$</p> <p>1: $m \xleftarrow{\\$} \mathcal{M}$</p> <p>2: $(e_0, e_1) \leftarrow \mathbf{H}(m)$</p> <p>3: $c \leftarrow (e_0 + e_1 h, m \oplus \mathbf{L}(e_0, e_1))$</p> <p>4: $K \leftarrow \mathbf{K}(m, c)$</p>
<p>Decaps : $(h_0, h_1, \sigma), c \mapsto K$</p> <p>Input: $((h_0, h_1), \sigma) \in \mathcal{H}_w \times \mathcal{M}, c = (c_0, c_1) \in \mathcal{R} \times \mathcal{M}$</p> <p>Output: $K \in \mathcal{K}$</p> <p>1: $e' \leftarrow \text{decoder}(c_0 h_0, h_0, h_1)$ $\triangleright e' \in \mathcal{R}^2 \cup \{\perp\}$</p> <p>2: $m' \leftarrow c_1 \oplus \mathbf{L}(e')$ \triangleright with the convention $\perp = (0, 0)$</p> <p>3: if $e' = \mathbf{H}(m')$ then $K \leftarrow \mathbf{K}(m', c)$ else $K \leftarrow \mathbf{K}(\sigma, c)$</p>	

Design

- Niederreiter-based KEM instantiated with QC-MDPC codes (faster polynomial inversion by [DGK'20]).
- Leverage Fujisaki-Okamoto Transform [DGKP'21].
- State-of-the-art QC-MDPC Decoding Failure Rate analysis.
- Black-Gray-Flip Decoder implemented in constant time.

NOTATION

\mathbb{F}_2 :	Binary finite field.
\mathcal{R} :	Cyclic polynomial ring $\mathbb{F}_2[X]/(X^r - 1)$.
\mathcal{H}_w :	Private key space $\{(h_0, h_1) \in \mathcal{R}^2 \mid h_0 = h_1 = w/2\}$
\mathcal{E}_t :	Error space $\{(e_0, e_1) \in \mathcal{R}^2 \mid e_0 + e_1 = t\}$
$ g $:	Hamming weight of a binary polynomial $g \in \mathcal{R}$.
$u \xleftarrow{\$} U$:	Variable u is sampled uniformly at random from the set U .
\oplus :	exclusive or of two bits, componentwise with vectors

Functions

- $\mathbf{H} : \mathcal{M} \rightarrow \mathcal{E}_t$.
- $\mathbf{K} : \mathcal{M} \times \mathcal{R} \times \mathcal{M} \rightarrow \mathcal{K}$.
- $\mathbf{L} : \mathcal{R}^2 \rightarrow \mathcal{M}$

Parameters

r : block length
 w : row weight
 t : error weight
 ℓ : shared secret size
 \mathcal{M} : message space in $\{0, 1\}^\ell$
 \mathcal{K} : key space in $\{0, 1\}^\ell$



BIKE Recap

Level 1

	Public key size (bytes)	Ciphertext size (bytes)	KeyGen (kilocycles)	Encaps (kilocycles)	Decaps (kilocycles)
BIKE	1,540	1,572	589	97	1,135
HQC	2,249	4,497	187	419	833
mceliece348864	261,120	128	140,870	46	137
Kyber-512	800	768	123	155	289

Level 3

	Public key size (bytes)	Ciphertext size (bytes)	KeyGen (kilocycles)	Encaps (kilocycles)	Decaps (kilocycles)
BIKE	3,082	3,114	1,823	223	3,887
HQC	4,522	9,042	422	946	1,662
mceliece460896	524,160	188	441,517	83	273
Kyber-768	1,184	1,088	213	249	275

BIKE performance numbers from
Drucker, Gueron, Kostić, "Additional
implementation of BIKE (Bit Flipping Key
Encapsulation)".
<https://github.com/aws-labs/bike-kem>.



Security in the Multi-Target Setting

- In [WWW'23], a multi-target attack against the CCA variant of BIKE leveraging decryption failures was presented
 - The attack needs to first identify a key (out of many, e.g. 2^{87} keys) so that the gathering property is observed
 - Queries per target: $\sim 2^{29}$ steps
 - Total complexity: $\sim 2^{116}$ steps
- The attack is defeated by binding the public key to the ciphertext



Security in the Multi-Target Setting

$(sk, \sigma, h) \xleftarrow{\$} \text{Keygen}()$

1. Generate $\sigma \xleftarrow{\$} \{0, 1\}^{256}$
2. $sk = (h_0, h_1) \xleftarrow{\$} \mathcal{R}^2$ with $wt(h_0) = wt(h_1) = w$ odd
3. $h = h_1 h_0^{-1}$
4. Return (sk, σ, h)

$(C, K) \xleftarrow{\$} \text{Encaps}(h)$

1. Generate a message $m \xleftarrow{\$} \mathcal{M}$
2. Compute error vectors $(e_0, e_1) = \mathbf{H}(f_i(m, h))$ with $wt(e_0, e_1) = t$ and $e_0, e_1 \in \mathcal{R}$.
3. Compute the ciphertext $C = (c_0, c_1) = (e_0 + e_1 h, m \oplus \mathbf{L}(e_0, e_1))$
4. Compute the shared key $K = \mathbf{K}(m, C)$

$m = \text{Decaps}(sk, \sigma, h, C)$

1. $m' = \text{Decode}(sk, C)$ // Or \perp on decoding failure.
2. If $((m' \neq \perp)$ and $(C == \text{ReEncrypt}(m', h)))$ return $\mathbf{K}(m', C)$
 \triangleright ReEncrypt uses $\mathbf{H}(f_i(m', h))$ instead of $\mathbf{H}(m')$
3. Else return $\mathbf{K}(\sigma, C)$

- In [DGK'21], a fix has been proposed, performance-studied and implemented.
- BIKE will adopt this protection moving forward, thus defeating such multi-target attacks.

$$f_1 : \mathcal{M} \times \mathcal{PK} \rightarrow \{0, 1\}^{256}$$
$$(m, pk) \mapsto H(m \parallel pk)$$

$$f_2 : \mathcal{M} \times \mathcal{PK} \rightarrow \{0, 1\}^{256}$$
$$(m, pk) \mapsto H(m \parallel H'(pk))$$



Constant Weight Sampling

- Variant of Fisher-Yates algorithm was vulnerable to timing attacks [GHJ'22]. Latest spec fixed this: a constant-time variant (slightly biased output).
- Using a biased sampler in Encaps/Decaps has no impact to security [Sen'23]. To avoid code duplication, we also use the biased sampler in KeyGen.
- However, in KeyGen there would be an impact to the security reduction [DHK'23] (assumption $h = h_0^{-1} h_1$ must be indistinguishable from random when h_0 and h_1 are produced by the biased sampler).
- Proposed tweak: Revert BIKE KeyGen to unbiased constant-weight sampler.

[GHJ'22]: Qian Guo, Clemens Hlauschek, Thomas Johansson, Norman Lahr, Alexander Nilsson, and Robin Leander Schröder. Don't reject this: Key-recovery timing attacks due to rejection-sampling in HQC and BIKE. IACR Trans. Cryptogr. Hardw. Embed. Syst., 2022(3):223–263, 2022.

[Sen'23]: Nicolas Sendrier. Secure sampling of constant-weight words – Application to BIKE. Cryptology ePrint Archive, Report 2021/1631, August 2023.

[DGK'23]: Drucker, N., Gueron, S., Kostic, D.: To Reject or Not Reject: That Is the Question. The Case of BIKE Post Quantum KEM. In: Latifi, S. (ed.) ITNG 2023 20th International Conference on Information Technology-New Generations. pp. 125–131. Springer International Publishing, Cham (2023).

New Bit-Flipping Decoder

- Simpler algorithm (no black/gray iterations) with modified threshold schedule.
- State-of-the-art extrapolation techniques [SV'20] for the waterfall region predict a DFR at most 2^{-180} for level 1 with blocklength 12,323.
- Better resistance to weak key attacks [WWW'23]. In the region where simulation is possible, the attack requires 2^{168} decapsulation queries instead of 2^{116} .

[SV'20]: Sendrier, Nicolas and Vasseur Valentin. "About Low DFR for QC-MDPC Decoding." *PQCrypto 2020*.

[WWW'23]: Wang, Tianrui, Anyu Wang, and Xiaoyun Wang. "Exploring Decryption Failures of BIKE: New Class of Weak Keys and Key Recovery Attacks." *Annual International Cryptology Conference*. Cham: Springer Nature Switzerland, 2023.



New Bit-Flipping Decoder

Algorithm 1 New BIKE Decoder

Input: $s \in \mathbf{F}_2^r$, $H \in \mathbf{F}_2^{r \times n}$

```
1:  $\tilde{e} \leftarrow 0^n$ ;  $\tilde{s} \leftarrow s$ 
2: for  $i = 1, \dots, \text{NbIter}$  do
3:    $T \leftarrow \text{THRESHOLD}(i, s, \tilde{s})$ 
4:   for  $j = 0, \dots, n - 1$  do
5:      $\sigma_j \leftarrow \text{ctr}(H, \tilde{s}, j)$ 
6:     for  $j = 0, \dots, n - 1$  do
7:       if  $\sigma_j \geq T$  then
8:          $\tilde{e}_j \leftarrow \tilde{e}_j \oplus 1$ 
9:          $\tilde{s} \leftarrow \tilde{s} - \text{col}(H, j)$ 
10: return  $\tilde{e}$ 
```

$\text{ctr}(H, \tilde{s}, j)$ number of unsatisfied equations involving position j

```
1: function THRESHOLD( $i, s, \tilde{s}$ )
2:    $T' \leftarrow f_t(|s|)$   $\triangleright$  optimal
3:    $M \leftarrow (d + 1)/2$   $\triangleright$  majority
4:   if  $i = 1$  then  $T \leftarrow T' + \delta$ 
5:   if  $i = 2$  then  $T \leftarrow (2T' + M)/3 + \delta$ 
6:   if  $i = 3$  then  $T \leftarrow (T' + 2M)/3 + \delta$ 
7:   if  $i \geq 4$  then  $T \leftarrow M + \delta$ 
8:   return  $\max(f_t(|\tilde{s}|), T)$ 
```

$f_t(x) = 0.006258 \cdot x + 11.094$, $\delta = 3$ (level 1)



References

- [DGK]: Drucker, Gueron, Kostic, "Additional implementation of BIKE (Bit Flipping Key Encapsulation)". <https://github.com/aws-labs/bike-kem>.
- [DGK'20]: Drucker, N., Gueron, S., Kostic, D.: Fast Polynomial Inversion for Post Quantum QC-MDPC Cryptography. Cyber Security Cryptography and Machine Learning. pp. 110–127. Springer International Publishing, Cham (2020). https://doi.org/10.1007/978-3-030-49785-9_8
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- [DGKP'21] Drucker, N., Gueron, S., Kostic, D., Persichetti, E.: On the applicability of the Fujisaki-Okamoto transformation to the BIKE KEM. Int. J. Comput. Math. Comput. Syst. Theory 6(4), 364–374 (2021). <https://doi.org/10.1080/23799927.2021.1930176>
- [WWW'23]: Wang, Tianrui, Anyu Wang, and Xiaoyun Wang. "Exploring Decryption Failures of BIKE: New Class of Weak Keys and Key Recovery Attacks." Annual International Cryptology Conference. Cham: Springer Nature Switzerland, 2023.
- [GHJ'22]: Qian Guo, Clemens Hlauschek, Thomas Johansson, Norman Lahr, Alexander Nilsson, and Robin Leander Schröder. Don't reject this: Key-recovery timing attacks due to rejection-sampling in HQC and BIKE. IACR Trans. Cryptogr. Hardw. Embed. Syst., 2022(3):223–263, 2022.
- [Sen'23]: Nicolas Sendrier. Secure sampling of constant-weight words – Application to BIKE. Cryptology ePrint Archive, Report 2021/1631, August 2023.



Questions?

<https://bikesuite.org>



Constant Weight Sampling

Algorithm 3 WSHAKE256-PRF(seed, len, wt)

Require: seed (32 bytes), len, wt

Ensure: A list (wlist) of wt distinct elements in $\{0, \dots, \text{len} - 1\}$.

- 1: wlist \leftarrow () ▷ empty list
 - 2: $s_0, \dots, s_{\text{wt}-1} \leftarrow$ SHAKE256-Stream(seed, $32 \cdot \text{wt}$)
▷ parse as a sequence of wt non negative 32-bits integers
 - 3: **for** $i = (\text{wt} - 1), \dots, 1, 0$ **do** ▷ i decreasing from wt - 1 to 0
 - 4: pos $\leftarrow i + \lfloor (\text{len} - i)s_i/2^{32} \rfloor$
 - 5: wlist \leftarrow wlist, (pos \in wlist) ? i : pos
 - 6: **return** wlist
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