Single trace HQC shared key recovery with SASCA Fifth NIST PQC Standardization Conference

**Guillaume Goy**<sup>1,2</sup>

Julien Maillard <sup>1,2</sup> Philippe Gaborit<sup>1</sup> Antoine Loiseau<sup>2</sup>

<sup>1</sup>XLIM, University of Limoges, France

<sup>2</sup>CEA-LETI, Grenoble Alpes University, France

10 April 2024





# Table of Contents



Soft Analytical Side-Channel Attacks

2 Hamming Quasi-Cyclic

Belief Propagation against HQC (Our attacks) 3

- Breaking shuffling countermeasures
- Breaking high order masking countermeasure
- Exploiting re-encryption step
- Countermeasures 5
- 6 Conclusion and Perspectives

•00	Hamming Quasi-Cyclic	Our Attacks 00000000	Exploiting re-encryption step	Countermeasures	Conclusion 00
Table o	f Contents				

#### 1 Soft Analytical Side-Channel Attacks

- 2 Hamming Quasi-Cyclic
- 3 Belief Propagation against HQC (Our attacks)
  - Breaking shuffling countermeasures
  - Breaking high order masking countermeasure
- 4 Exploiting re-encryption step
- 5 Countermeasures
- 6 Conclusion and Perspectives

## Soft Analytical Side-Channel Attacks (SASCA)

Idea : combine several weak physical leaks to obtain strong information

- Introduced by Veyrat-Chravrillon et al. [VCGS14] to attack AES in 2014
- Application against Kyber [PPM17, PP19, HHP+21, HSST23, AEVR23]
  - $\rightarrow\,$  Information Propagation through NTT

# Soft Analytical Side-Channel Attacks (SASCA)

Idea : combine several weak physical leaks to obtain strong information

- Introduced by Veyrat-Chravrillon et al. [VCGS14] to attack AES in 2014
- Application against Kyber [PPM17, PP19, HHP+21, HSST23, AEVR23]  $\rightarrow$  Information Propagation through NTT
- Attack against hash function Keccak [KPP20] in 2020
- First attack against code-based cryptography [GMGL23]

→ Mainly based on **Belief Propagation** [Mac03, KFL01].

# Message passing with Belief Propagation

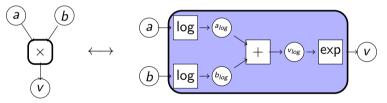
Hamming Quasi-Cyclic

000

The goal of Belief Propagation is to compute a **Marginal Distribution** for every **Intermediate values** involved in a given algorithm.

<u>Toy Example</u>: Galois Field Multiplication  $v = a \times b$  (=  $\alpha^{\log(a) + \log(b)}$ ) :

Our Attacks



Exploiting re-encryption step

Figure – Graphical representation of a Galois Field Multiplication

# Message passing with Belief Propagation

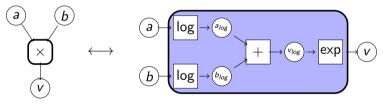
Hamming Quasi-Cyclic

000

The goal of Belief Propagation is to compute a **Marginal Distribution** for every **Intermediate values** involved in a given algorithm.

<u>Toy Example</u>: Galois Field Multiplication  $v = a \times b$  (=  $\alpha^{\log(a) + \log(b)}$ ) :

Our Attacks



Exploiting re-encryption step

Figure – Graphical representation of a Galois Field Multiplication

The Goal is to compute :  $\mathbb{P}(a \mid b, v)$ ,  $\mathbb{P}(b \mid a, v)$ ,  $\mathbb{P}(v \mid a, b)$ 

Conclusion

# Message passing with Belief Propagation

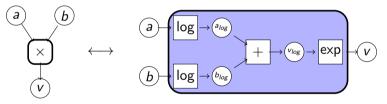
Hamming Quasi-Cyclic

000

The goal of Belief Propagation is to compute a **Marginal Distribution** for every **Intermediate values** involved in a given algorithm.

<u>Toy Example</u>: Galois Field Multiplication  $v = a \times b$  (=  $\alpha^{\log(a) + \log(b)}$ ) :

Our Attacks



Exploiting re-encryption step

Figure – Graphical representation of a Galois Field Multiplication

The Goal is to compute :  $\mathbb{P}(a \mid b, v)$ ,  $\mathbb{P}(b \mid a, v)$ ,  $\mathbb{P}(v \mid a, b)$ Sum Product Algorithm [KFL01] gives a solver for this problem. Conclusion

SASCA 000	•0000	Our Attacks 00000000	Exploiting re-encryption step	Countermeasures	Conclusion 00
Table of	f Contents				



#### 2 Hamming Quasi-Cyclic

Belief Propagation against HQC (Our attacks)

- Breaking shuffling countermeasures
- Breaking high order masking countermeasure
- 4 Exploiting re-encryption step
- 5 Countermeasures
- 6 Conclusion and Perspectives

SASCA 000	0000	Our Attacks 00000000	Exploiting re-encryption step	Countermeasures	Conclusion

1 I I I I I I I I I I I I I I I I I I I	0	· · · ·	$\sim$ 1	
Hamming	(,	uasi-	Cvc	IC
			J	

Algorithm Keygen	Algorithm Encrypt	
Input : param	$\begin{array}{llllllllllllllllllllllllllllllllllll$	Algorithm Decrypt
Output : (pk, sk) 1: $\mathbf{h} \stackrel{\$}{\leftarrow} \mathcal{R}$ 2: $(\mathbf{x}, \mathbf{y}) \stackrel{\$}{\leftarrow} \mathcal{R}^2_{\omega}$ 3: $\mathbf{s} = \mathbf{x} + \mathbf{h}\mathbf{y}$ 4: $\mathbf{pk} = (\mathbf{h}, \mathbf{s})$	1: $\mathbf{e} \stackrel{\$}{\leftarrow} \mathcal{R}_{\omega_e}$ 2: $(\mathbf{r}_1, \mathbf{r}_2) \stackrel{\$}{\leftarrow} \mathcal{R}^2_{\omega_r}$ 3: $\mathbf{u} = \mathbf{r}_1 + \mathbf{h}\mathbf{r}_2$ 4: $\mathbf{c} = \text{Encode}(\mathbf{m})$	Input : (sk, ct) Output : m' 1: $\mathbf{c} + \mathbf{e}' = \mathbf{v} - \mathbf{u}\mathbf{y}$ 2: $\mathbf{m}' = \text{Decode}(\mathbf{c} + \mathbf{e}')$
5: $sk = (x, y)$	5: $\mathbf{v} = \mathbf{c} + \mathbf{sr}_2 + \mathbf{e}$ 6: $\mathtt{ct} = (\mathbf{u}, \mathbf{v})$	



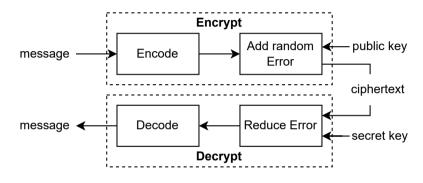


Figure – Hamming Quasi-Cyclic Overview

- Decryption Failure Rate (DFR) is ensured by the error correction capability and analysis of the hamming weight distribution of the error **e**' [AGZ20]
- Most of the Side-Channel Attacks against HQC target the decoding step.

SASCA 000	00000	Our Attacks 00000000	Exploiting re-encryption step	Countermeasures	Conclusion 00
Conca	tenated code	structure			

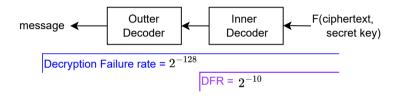


Figure – HQC Concatenated codes structure



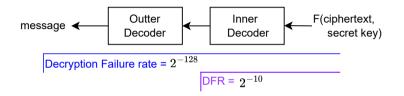


Figure – HQC Concatenated codes structure

- (i) **Secret key** recovery attacks : [SHR<sup>+</sup>22, GLG22a, BMG<sup>+</sup>24]
- (ii) Shared key (message) recovery attacks : [GLG22b, GMGL23, BMG<sup>+</sup>24]

Algorithm Compute Syndromes from HQC RS Decoder from [AMAB<sup>+</sup>23]

**Require:** parameters : k, n the dimension and length of the code **Require:** parity check matric  $H \in \mathbb{F}_q^{(n-k,n)}$  **Require:** codeword  $c \in \mathbb{F}_q^{n_1}$  **Ensure:**  $s := H^T \times c$  the syndrome of c1: Initialize s to  $0^{n-k}$ 2: for i from 0 to n - k do 3: for j from 1 to n do 4:  $s[i] = s[i] \oplus c[j] \times H[i, j - 1]$   $\triangleright \times$  is the Galois Field multiplication 5:  $s[i] = s[i] \oplus c[0]$ 

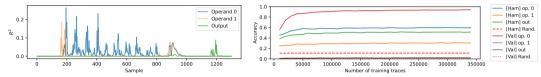
SASCA 000	Hamming Quasi-Cyclic	•••••	Exploiting re-encryption step	Countermeasures	Conclusion 00
Table	of Contents				

- - 1 Soft Analytical Side-Channel Attacks
  - 2 Hamming Quasi-Cyclic
  - 8 Belief Propagation against HQC (Our attacks)
    - Breaking shuffling countermeasures
    - Breaking high order masking countermeasure
  - 4 Exploiting re-encryption step
  - 5 Countermeasures
  - 6 Conclusion and Perspectives

# Templates on the Galois field multiplication operands

00000000

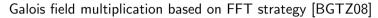


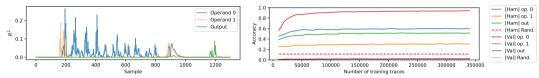


Hamming Quasi-Cyclic

# Templates on the Galois field multiplication operands

00000000





	Value template accuracy	Hamming weight template accuracy
Input 1	0.9389	0.5929
Input 2	0.0211	0.3035
Output	0.0221	0.5178

Table – Hamming weight and value templates accuracies on gf\_mul. Each attack has been performed 400 times. 10%/90% validation/training segmentation.

Hamming Quasi-Cyclic



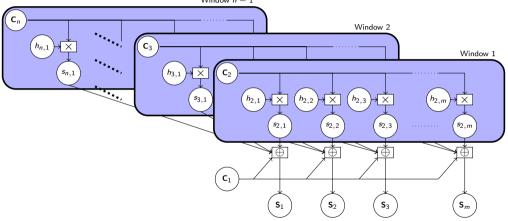
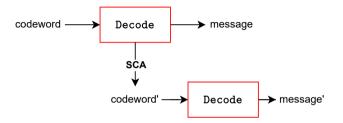


Figure - Graphical representation of the RS syndrome computation from HQC

SASCA 000	Hamming Quasi-Cyclic	0000000	Exploiting re-encryption step	Countermeasures	Conclusion

# Re-decoding Strategy



Security level	HQC parameters			List decoder
$\lambda$	$k_1$	$n_1$	t	$ au_{GS}$
HQC-128	16	46	15	19
HQC-192	24	56	16	19
HQC-256	32	90	29	36

Table – Reed-Solomon error correction capability of the RS decoder for each HQC set of parameters, given for a classical decoder and the Guruswami-Sudan list decoder.



# Attack Accuracy in Simulation

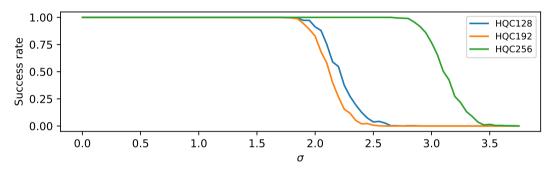
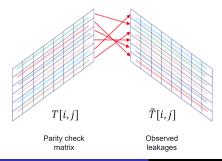


Figure – Simulated success rate of SASCA on the decoder, with re-decoding strategy, depending on the selected security level of HQC

#### 

- Fine Shuffling (Adapted from a Kyber countermeasure)
  - $\rightarrow$  Randomly choose  $a \times b$  or  $b \times a$ .
- Coarse shuffling (Adapted from a Kyber countermeasure)
  - $\rightarrow\,$  Randomly shuffle columns of the parity check matrix
- Window Shuffling (Novelty)
  - $\rightarrow\,$  Randomly shuffle lines of the parity check matrix



$$D[i, i'] = \sum_{j=1}^{256} d\left(\tilde{T}[i, j], T[i', j]\right)$$
  
Instance of the assignment Problem  
 $\rightarrow$  Solver : Hungarian algorithm.

 SASCA
 Hamming Quasi-Cyclic
 Counter

 Breaking Codeword Masking (High Level Masking)

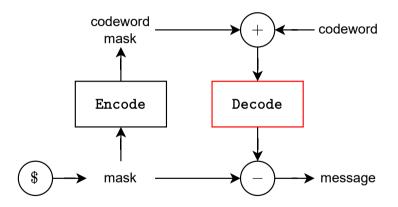


Figure - High level Masking of a decoder (Codeword Masking) [MSS13]

# Encoder Attack Accuracy in Simulation

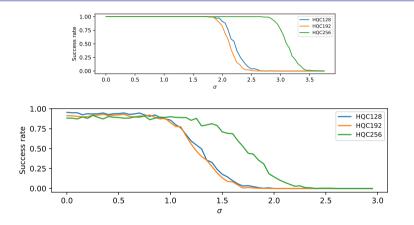


Figure – Simulated success rate of SASCA on the decoder, with re-decoding strategy, depending on the selected security level of HQC

SASCA 000	Hamming Quasi-Cyclic	Our Attacks	<b>●</b> 00	Countermeasures 00	Conclusion 00
Table o	of Contents				

- Soft Analytical Side-Channel Attacks
- 2 Hamming Quasi-Cyclic
- 3 Belief Propagation against HQC (Our attacks)
  - Breaking shuffling countermeasures
  - Breaking high order masking countermeasure
- Exploiting re-encryption step
- Countermeasures
- 6 Conclusion and Perspectives

 SASCA
 Hamming Quasi-Cyclic
 Our Attacks
 Ooo
 Countermeasures
 Conclusion

 re-encryption step from HHK transform

- HQC-KEM is based on HHK transform [HHK17]
- This transform introduces a re-encryption step.

SASCA Hamming Quasi-Cyclic Our Attacks ocooco oo Countermeasures Conclusion oo

# re-encryption step from HHK transform

- HQC-KEM is based on HHK transform [HHK17]
- This transform introduces a re-encryption step.

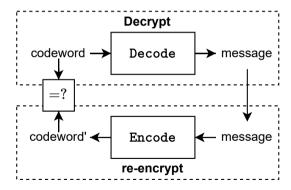


Figure – HQC Structure with HHK transform

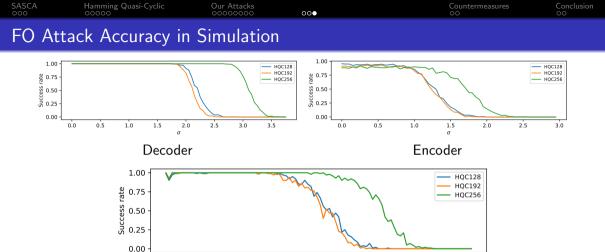


Figure - Simulated success rate of SASCA on the decoder and encoder exploiting re-encryption

σ

2

0

З

5

SASCA 000	Hamming Quasi-Cyclic	Our Attacks 00000000	Exploiting re-encryption step	•0	Conclusion 00
Table o	f Contents				

- Soft Analytical Side-Channel Attacks
- 2 Hamming Quasi-Cyclic
- 3 Belief Propagation against HQC (Our attacks)
  - Breaking shuffling countermeasures
  - Breaking high order masking countermeasure
- 4 Exploiting re-encryption step
- Countermeasures
- Conclusion and Perspectives

- The idea is to shuffle the entire matrix, instead of only rows or columns, during the matrix vector multiplication.
  - $\rightarrow$  Even if an attacker exactly recover the shuffled matrix, there exists  $2^{504}$ ,  $2^{614}$  and  $2^{1030}$  different permutations for the three security levels respectively.

Full Shuffling Countermeasure

- The idea is to shuffle the entire matrix, instead of only rows or columns, during the matrix vector multiplication.
  - $\rightarrow$  Even if an attacker exactly recover the shuffled matrix, there exists 2<sup>504</sup>. 2<sup>614</sup> and  $2^{1030}$  different permutations for the three security levels respectively.
- The encoder could be change to a classical multiplication with a generator matrix to benefit from the same countermeasure.

SASCA 000	Hamming Quasi-Cyclic	Our Attacks 00000000	Exploiting re-encryption step	Countermeasures	•0
Table o	f Contents				

- 1 Soft Analytical Side-Channel Attacks
- 2 Hamming Quasi-Cyclic
- 3 Belief Propagation against HQC (Our attacks)
  - Breaking shuffling countermeasures
  - Breaking high order masking countermeasure
- 4 Exploiting re-encryption step
  - Countermeasures

### 6 Conclusion and Perspectives

#### Conclusions

- Soft analytical side-channel attacks are a threat for (code-based) cryptography.
- Efficient countermeasure against these attacks are required.

# Conclusion and Perspectives

#### Conclusions

- Soft analytical side-channel attacks are a threat for (code-based) cryptography.
- Efficient countermeasure against these attacks are required.

### Future Works

- Target other code-based schemes with Belief Propagation Algorithms.
- Secure HQC against side-channel attacks in the *t*-probing model.

00

# Conclusion and Perspectives

#### Conclusions

- Soft analytical side-channel attacks are a threat for (code-based) cryptography.
- Efficient countermeasure against these attacks are required.

## Future Works

- Target other code-based schemes with Belief Propagation Algorithms.
- Secure HQC against side-channel attacks in the *t*-probing model.

Thank you for your attention ! Any questions ? guillaume.goy@unilim.fr





00

SASCA 000	Hamming Quasi-Cyclic	Our Attacks 00000000	Exploiting re-encryption step	Countermeasures	00		
References I							
	Guilhèm Assael, Philippe Elbaz-Vincen Improving single-trace attacks on the r In 2023 IEEE International Symposiun	number-theoretic transfor		. IEEE, 2023.			
	Nicolas Aragon, Philippe Gaborit, and Gilles Zémor. HQC-RMRS, an instantiation of the HQC encryption framework with a more efficient auxiliary error-correcting code. arXiv preprint arXiv :2005.10741, 2020.						
	Carlos Aguilar-Melchor, Nicolas Arago Persichetti, and Gilles Zémor. HQC reference implementation, April, https://pqc-hqc.org/implementati	2023.	doux, Olivier Blazy, Jean-Christophe Dener	wille, Philippe Gaborit, Edoard	Э		
	Richard P Brent, Pierrick Gaudry, Emr Faster multiplication in GF(2)[x]. In Algorithmic Number Theory : 8th In Springer, 2008.		Zimmermann. ANTS-VIII Banff, Canada, May 17-22, 20	08 Proceedings 8, pages 153–10	56.		
	Chloé Baïsse, Antoine Moran, Guillaun Secret and shared keys recovery on ha Cryptology ePrint Archive, 2024.	21 A. C.	licolas Aragon, Philippe Gaborit, Maxime sasca.	Lecomte, and Antoine Loiseau.			
	Guillaume Goy, Antoine Loiseau, and F	Philippe Gaborit.					

A new key recovery side-channel attack on HQC with chosen ciphertext. In International Conference on Post-Quantum Cryptography, pages 353–371. Springer, 2022.

SASCA 000	Hamming Quasi-Cyclic	Our Attacks 00000000	Exploiting re-encryption step	Countermeasures 00	00		
References II							
	In WCC 2022 : The Twelfth Interna Guillaume Goy, Julien Maillard, Phili Single trace HQC shared key recover Cryptology ePrint Archive, 2023. https://ia.cr/2023/1590. Dennis Hofheinz, Kathrin Hövelman A modular analysis of the fujisaki-ok In Theory of Cryptography Conferen	I correlation attacks in t tional Workshop on Coc ippe Gaborit, and Antoin ry with SASCA. amoto transformation. toe, pages 341–371. Spri obert Primas, Simona S. In masked CCA2 secure I c Hardware and Embedd nuele Strieder, and Katl ter shuffling of NTTs. c Hardware and Embedd exy, and H-A Loeliger. algorithm.	nger, 2017. amardjiska, Thomas Schamberger, Silvan xyber. ed Systems, pages 88–113, 2021. harina Thieme. <sup>ied</sup> Systems, pages 60–88, 2023.	aper_48, 2022.			

Goy G., Maillard J., Gaborit P. & Loiseau A.

Single trace HQC shared key recovery with SASCA

10 April 2024 25 / 25

000	00000	00000000	000	00	00
SASCA	Hamming Quasi-Cyclic	Our Attacks	Exploiting re-encryption step	Countermeasures	~~~

#### References III

Matthias J Kannwischer, Peter Pessl, and Robert Primas. Single-trace attacks on keccak. *Cryptology ePrint Archive*, 2020.



David JC MacKay.

Information theory, inference and learning algorithms. Cambridge university press, 2003.



Dominik Merli, Frederic Stumpf, and Georg Sigl.

Protecting PUF error correction by codeword masking. Cryptology ePrint Archive, 2013.



Peter Pessl and Robert Primas.

More practical single-trace attacks on the number theoretic transform.

In Progress in Cryptology–LATINCRYPT 2019 : 6th International Conference on Cryptology and Information Security in Latin America, Santiago de Chile, Chile, October 2–4, 2019, Proceedings 6, pages 130–149. Springer, 2019.



Robert Primas, Peter Pessl, and Stefan Mangard.

Single-trace side-channel attacks on masked lattice-based encryption.

In Cryptographic Hardware and Embedded Systems-CHES 2017 : 19th International Conference, Taipei, Taiwan, September 25-28, 2017, Proceedings, pages 513-533. Springer, 2017.



Thomas Schamberger, Lukas Holzbaur, Julian Renner, Antonia Wachter-Zeh, and Georg Sigl.

A power side-channel attack on the reed-muller reed-solomon version of the HQC cryptosystem. In International Conference on Post-Quantum Cryptography, pages 327–352. Springer, 2022.

SASCA	Hamming Quasi-Cyclic	Our Attacks	Exploiting re-encryption step	Countermeasures	00		
000	00000	00000000	000	00			
Refere	References IV						

#### Nicolas Veyrat-Charvillon, Benoît Gérard, and François-Xavier Standaert.

#### Soft analytical side-channel attacks.

In Advances in Cryptology–ASIACRYPT 2014 : 20th International Conference on the Theory and Application of Cryptology and Information Security, Kaoshiung, Taiwan, ROC, December 7-11, 2014. Proceedings, Part I 20, pages 282–296. Springer, 2014.