

## Statement by Each Submitter

I, [Thomas Espitau](#), of [PQShield SAS, 259 rue Saint Honoré, 75001 Paris, France](#), do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as [SQUIRRELS](#), is my own original work, or if submitted jointly with others, is the original work of the joint submitters. I further declare that (check one):

- I do not hold and do not intend to hold any patent or patent application with a claim or that could be amended to include a claim that may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as [SQUIRRELS](#);
- to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of [SQUIRRELS](#), reference implementation or optimized implementations: \_\_\_\_\_ (describe and enumerate or state “none” if applicable) \_\_\_\_\_.

I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem’s specifications (e.g., to protect against a newly discovered vulnerability).

I acknowledge that NIST will announce any selected cryptosystem(s) and proceed to publish the draft standards for public comment.

I do hereby agree to provide the statements required by Sections 2.D.2 and 2.D.3, below, for any patent or patent application identified to cover the practice of my cryptosystem, reference implementation or optimized implementations and the right to use such implementations for the purposes of the public review and evaluation process.

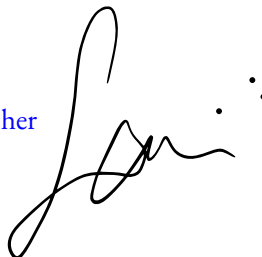
I acknowledge that, during the post-quantum algorithm evaluation process, NIST may remove my cryptosystem from consideration for standardization. If my cryptosystem (or the derived cryptosystem) is removed from consideration for standardization or withdrawn from consideration by all submitter(s) and owner(s), I understand that rights granted and assurances made under Sections 2.D.1, 2.D.2 and 2.D.3, including use rights of the reference and optimized implementations, may be withdrawn by the submitter(s) and owner(s), as appropriate.

Signed: [Thomas Espitau](#)

Title: [Senior cryptography researcher](#)

Date: [31 May 2023](#)

Place: [Paris, France](#)



## 2.D.1 Statement by Eeach Submitter

*I, Guilhem Niot, of PQShield, 8 Rue des Pirogues de Bercy, 75012 Paris, reference implementation, or optimized implementations that I have submitted, known as SQUIRRELS, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.*

*I further declare that (check one):*

- I do not hold and do not intend to hold any patent or patent application with a claim or that could be amended to include a claim that may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as SQUIRRELS; **OR** (check one or both of the following):*
- to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as (print name of cryptosystem), may be covered by the following U.S. and/or foreign patents: (describe and enumerate or state "none" if applicable);*
- to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations: (describe and enumerate or state "none" if applicable).*

*I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability).*

*I acknowledge that NIST will announce any selected cryptosystem(s) and proceed to publish the draft standards for public comment.*

*I do hereby agree to provide the statements required by Sections 2.D.2 and 2.D.3, below, for any patent or patent application identified to cover the practice of my cryptosystem, reference implementation or optimized implementations and the right to use such implementations for the purposes of the public review and evaluation process.*

*I acknowledge that, during the post-quantum algorithm evaluation process, NIST may remove my cryptosystem from consideration for standardization. If my cryptosystem (or the derived cryptosystem) is removed from consideration for standardization or withdrawn from consideration by all submitter(s) and owner(s), I understand that rights granted and assurances made under Sections 2.D.1, 2.D.2 and 2.D.3, including use rights of the reference and optimized implementations, may be withdrawn by the submitter(s) and owner(s), as appropriate.*

*Signed:*

*Title: Research Intern*

*Date: 2023-05-30*

*Place: Paris, France*

A handwritten signature in blue ink, consisting of several overlapping loops and lines, positioned to the right of the signature text.

## 2.D.1 Statement by Eeach Submitter

*I, Mehdi Tibouchi, of NTT Social Informatics Laboratories, 3-9-11 Midori-cho, Musashino-shi, Tokyo 180-0012, Japan, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as SQUIRRELS, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.*

*I further declare that (check one):*

- I do not hold and do not intend to hold any patent or patent application with a claim or that could be amended to include a claim that may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as SQUIRRELS; **OR** (check one or both of the following):*
- to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as (print name of cryptosystem), may be covered by the following U.S. and/or foreign patents: (describe and enumerate or state "none" if applicable);*
- to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations: (describe and enumerate or state "none" if applicable).*

*I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability).*

*I acknowledge that NIST will announce any selected cryptosystem(s) and proceed to publish the draft standards for public comment.*

*I do hereby agree to provide the statements required by Sections 2.D.2 and 2.D.3, below, for any patent or patent application identified to cover the practice of my cryptosystem, reference implementation or optimized implementations and the right to use such implementations for the purposes of the public review and evaluation process.*

*I acknowledge that, during the post-quantum algorithm evaluation process, NIST may remove my cryptosystem from consideration for standardization. If my cryptosystem (or the derived cryptosystem) is removed from consideration for standardization or withdrawn from consideration by all submitter(s) and owner(s), I understand that rights granted and assurances made under Sections 2.D.1, 2.D.2 and 2.D.3, including use rights of the reference and optimized implementations, may be withdrawn by the submitter(s) and owner(s), as appropriate.*

Signed:

Title: Distinguished Researcher

Date: 2023-05-29

Place: Tokyo, Japan

TIROUCHI

A handwritten signature in black ink, consisting of a series of loops and curves, positioned to the right of the printed name 'TIROUCHI'.

## 2.D.1 Statement by Eeach Submitter

*I, Chao Sun, of Osaka University, 1-1 Yamadaoka, Suita, Osaka 565-0871, Japan, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as SQUIRRELS, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.*

*I further declare that (check one):*

- I do not hold and do not intend to hold any patent or patent application with a claim or that could be amended to include a claim that may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as SQUIRRELS; **OR** (check one or both of the following):*
- to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as (print name of cryptosystem), may be covered by the following U.S. and/or foreign patents: (describe and enumerate or state "none" if applicable) ;*
- to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations: (describe and enumerate or state "none" if applicable).*

*I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability).*

*I acknowledge that NIST will announce any selected cryptosystem(s) and proceed to publish the draft standards for public comment.*

*I do hereby agree to provide the statements required by Sections 2.D.2 and 2.D.3, below, for any patent or patent application identified to cover the practice of my cryptosystem, reference implementation or optimized implementations and the right to use such implementations for the purposes of the public review and evaluation process.*

*I acknowledge that, during the post-quantum algorithm evaluation process, NIST may remove my cryptosystem from consideration for standardization. If my cryptosystem (or the derived cryptosystem) is removed from consideration for standardization or withdrawn from consideration by all submitter(s) and owner(s), I understand that rights granted and assurances made under Sections 2.D.1, 2.D.2 and 2.D.3, including use rights of the reference and optimized implementations, may be withdrawn by the submitter(s) and owner(s), as appropriate.*

Signed:

CHAO SUN

Title: Assistant Professor

Date: 2023-05-30

Place: Osaka, Japan

### 2.D.3 Statement by Reference/Optimized Implementations' Owner(s)

The following must also be included:

*I, Guilhem Niot, PQShield, 8 Rue des Pirogues de Bercy, 75012 Paris, am the owner ~~or authorized representative of the owner~~ (print full name, if different than the signer) of the submitted reference implementation and optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.*

Signed: **Guilhem Niot**

Title: Research Intern

Date: 2023-05-16

Place: Paris, France

