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Interfaces for Personal Identity Verification – Part 3: PIV Client Application Programming Interface

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COMPUTER SECURITY



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Interfaces for Personal Identity Verification Part 3: PIV Client Application Programming Interface

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Reports on Computer Systems Technology

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Abstract

FIPS 201 defines the requirements and characteristics of a government-wide interoperable identity credential. FIPS 201 also specifies that this identity credential must be stored on a smart card. This document, SP 800-73, contains the technical specifications to interface with the smart card to retrieve and use the PIV identity credentials. The specifications reflect the design goals of interoperability and PIV Card functions. The goals are addressed by specifying a PIV data model, card edge interface, and application programming interface. Moreover, this document enumerates requirements where the international integrated circuit card standards [ISO7816] include options and branches. The specifications go further by constraining implementers' interpretations of the normative standards. Such restrictions are designed to ease implementation, facilitate interoperability, and ensure performance, in a manner tailored for PIV applications.

Keywords

authentication; FIPS 201; identity credential; logical access control; on-card biometric comparison; Personal Identity Verification (PIV); physical access control; smart cards; secure messaging

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1. Introduction

Homeland Security Presidential Directive-12 (HSPD-12) called for a common identification standard to be adopted governing the interoperable use of identity credentials to allow physical and logical access to Federally controlled facilities and information systems. Personal Identity Verification (PIV) of Federal Employees and Contractors, Federal Information Processing Standard 201 (FIPS 201) [FIPS201] was developed to establish standards for identity credentials. Special Publication 800-73-4 (SP 800-73-4) contains technical specifications to interface with the smart card (PIV Card ¹) to retrieve and use the identity credentials.

1.1 Purpose

FIPS 201 defines procedures for the PIV lifecycle activities including identity proofing, registration, PIV Card issuance, and PIV Card usage. FIPS 201 also specifies that the identity credentials must be stored on a smart card. SP 800-73-4 contains the technical specifications to interface with the smart card to retrieve and use the identity credentials. The specifications reflect the design goals of interoperability and PIV Card functions. The goals are addressed by specifying a PIV data model, card edge interface, and application programming interface (API). Moreover, SP 800-73-4 enumerates requirements where the international integrated circuit card (ICC) standards [ISO7816] include options and branches. The specifications go further by constraining implementers' interpretations of the normative standards. Such restrictions are designed to ease implementation, facilitate interoperability, and ensure performance in a manner tailored for PIV applications.

1.2 Scope

SP 800-73-4 specifies the PIV data model, application programming interface (API), and card interface requirements necessary to comply with the use cases, as defined in Section 6 of FIPS 201 and further described in Appendix B of SP 800-73-4 Part 1. Interoperability is defined as the use of PIV identity credentials such that client-application programs, compliant card applications, and compliant ICCs can be used interchangeably by all information processing systems across Federal agencies. SP 800-73-4 defines the PIV data elements' identifiers, structure, and format. SP 800-73-4 also describes the client API and card command interface for use with the PIV Card.

This part, SP 800-73-4 Part 3: *PIV Client Application Programming Interface*, contains technical specifications of the PIV client application programming interface to the PIV Card.

1.3 Audience and Assumptions

This document is targeted at Federal agencies and implementers of PIV systems. Readers are assumed to have a working knowledge of smart card standards and applications.

Readers should also be aware of SP 800-73-4 Part 1, Section I, which details the revision history of SP800-73, Section II, which contains configuration management recommendations, and Section III, which specifies NPIVP conformance testing procedures.

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A physical artifact (e.g., identity card, "smart" card) issued to an individual that contains a PIV Card Application which stores identity credentials (e.g., photograph, cryptographic keys, digitized fingerprint representation) so that the claimed identity of the cardholder can be verified against the stored credentials by another person (human readable and verifiable) or an automated process (computer readable and verifiable).

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1.4 Content and Organization

All sections in this document are *normative* (i.e., mandatory for compliance) unless specified as *informative* (i.e., non-mandatory). Following is the structure of Part 3:

- + Section 1, *Introduction*, provides the purpose, scope, audience and assumptions of the document and outlines its structure.
- + Section 2, *Overview: Concepts and Constructs*, describes both the PIV Card Application and the PIV client API. This section is *informative*.
- + Section 3, *Client Application Programming Interface*, describes the set of entry points accessible by client applications through the PIV Middleware to interact with the PIV Card.
- + Appendix A, *Terms, Acronyms, and Notation*, contains the list of terms and acronyms used in this document and explains the notation in use. This section is *informative*.
- + Appendix B, *References*, contains the list of documents used as references by this document. This section is *informative*.

2. Overview: Concepts and Constructs

SP 800-73-4 Parts 2 and 3 define two interfaces to an ICC that contains the PIV Card Application: a low-level card command interface (Part 2) and a high-level client API (Part 3).

The information processing concepts and data constructs on both interfaces are identical and may be referred to generically as the information processing concepts and data constructs on the *PIV interfaces* without specific reference to the client API or the card command interface.

The client API provides task-specific programmatic access to these concepts and constructs and the card command interface provides communication access to concepts and constructs. The client API is used by client applications using the PIV Card Application. The card command interface is used by software implementing the client API (middleware).

The client API is thought of as being at a higher level than the card command interface because access to a single entry point on the client API may cause multiple card commands to traverse the card command interface. In other words, it may require more than one card command on the card command interface to accomplish the task represented by a single call on an entry point of the client API.

The client API is a program execution, call/return style interface, whereas the card command interface is a communication protocol, command/response style interface. Because of this difference, the representation of the PIV concepts and constructs as bits and bytes on the client API may be different from the representation of these same concepts and constructs on the card command interface.

3. Client Application Programming Interface

Table 1 lists the entry points on the PIV client API. This section references object identifiers (OIDs), which are defined and can be found in Part 1 (Table 3).

Table 1. Entry Points on PIV Client Application Programming Interface

| Туре | Name |
|---|-----------------------------|
| | pivMiddlewareVersion |
| Entry Points for Communication | pivConnect |
| | pivDisconnect |
| | |
| | pivSelectCardApplication |
| | pivEstablishSecureMessaging |
| Entry Points for Data Access | pivLogIntoCardApplication |
| | pivGetData |
| | pivLogoutOfCardApplication |
| | |
| Entry Points for Cryptographic Operations | pivCrypt |
| | |
| Entry Points for Credential | pivPutData |
| Initialization and Administration | pivGenerateKeyPair |

If both the PIV Middleware and the PIV Card support secure messaging then all non-card-management functionality² of the PIV Card may be accessed over either the contact or contactless interface of the card. In order to perform non-card-management functionality that would otherwise be limited to the contact interface, the client application must first establish a virtual contact interface by calling the pivEstablishSecureMessaging function and then using the pivLogIntoCardApplication function to submit the pairing code to the card. If the client application does not have another means of determining whether communication with the PIV Card is over a contact or contactless interface, it may determine this by using the pivGetData function to attempt to read a mandatory data object, such as the X.509 Certificate for PIV Authentication or the Security Object, that has an access rule for read of "Always," but that is only accessible over the contact and virtual contact interfaces (see Part 1, Table 2). If the return code from pivGetData is PIV_SECURITY_CONDITIONS_NOT_SATISFIED this indicates that communication with the card is over a contactless interface.

² Only the pivPutData and pivGenerateKeyPair API functions perform card-management functionality.

3.1 Entry Points for Communication

3.1.1 pivMiddlewareVersion

Purpose: Returns the PIV Middleware version string

Prototype: status_word pivMiddlewareVersion(

```
OUT version versionString
```

Parameter: versionString

- + For SP 800-73-4 Part 3 conformant PIV Middleware, the parameter returns "800-73-4 Client API" or "800-73-4 Client API with SM".
- + For SP 800-73-3 Part 3 conformant PIV Middleware, the parameter returns "800-73-3 Client API".
- + For SP 800-73-2 Part 3 conformant PIV Middleware, the parameter returns "800-73-2 Client API".
- + For SP 800-73-1 conformant PIV Middleware, the pivMiddlewareVersion client API function is not supported. Therefore, a client application invoking the pivMiddlewareVersion function should expect a "function-not-supported" error from a SP 800-73-1 conformant PIV Middleware. For purposes of version determination, failure to obtain a specific version from pivMiddlewareVersion shall be considered equivalent to obtaining a response of "800-73-1 Client API".

Return Codes: PIV OK

PIV Middleware that returns a versionString of "800-73-4 Client API with SM" shall implement all PIV Middleware functions listed in Table 1 and be able to recognize and process all mandatory and optional PIV data objects. PIV Middleware that returns a versionString of "800-73-4 Client API" shall implement all PIV Middleware functions listed in Table 1 except pivEstablishSecureMessaging and shall be able to recognize and process all mandatory and optional PIV data objects.

Note: Only SP 800-73-4 based PIV Middleware supports the use of on-card biometric comparison (OCC) data and the pairing code with the pivLogIntoCardApplication function, and only PIV Middleware that returns a versionString of "800-73-4 Client API with SM" supports the use of secure messaging (SM) and the virtual contact interface, which have been introduced in Parts 1 and 2 of SP 800-73-4. SP 800-73-1, SP 800-73-2, and SP 800-73-3 based PIV Middleware remain valid implementations; however, agencies are cautioned that using these implementations may result in limited interoperability. Further information can be found in Part 1 of SP 800-73-4. It provides an SP 800-73 revision history (Section I) and recommendations for PIV Middleware configuration management (Section II).

3.1.2 pivConnect

Purpose: Connects the client API to the PIV Card Application on a specific ICC.

```
Prototype: status_word pivConnect(
```

```
IN Boolean sharedConnection,
INOUT sequence of bytes connectionDescription,
INOUT LONG CDLength,
OUT handle cardHandle
);
```

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Parameters:

sharedConnection

If TRUE other client applications can establish concurrent connections to the ICC. If FALSE and the connection is established then the calling client application has exclusive access to the ICC.

connectionDescription A connection description data object (tag 0x7F21). See Table 2.

> If the length of the value field of the '8x' data object in the connection description data object is zero then a list of the card readers of the type indicated by the tag of the '8x' series data object and available at the '9x' location is returned in the connectionDescription.

> In order to provide sufficient space for the return value, the client application shall allocate a buffer of at least 2048 bytes for connectionDescription.

> The connection description BER-TLV [ISO8825] used on the PIV client API shall have the structure described in Table 2.

Table 2. Data Objects in a Connection Description Template (Tag 0x7F21)

| Description | Tag | Comment |
|------------------------------|------|---|
| Interface device – PC/SC | '81' | Card reader name |
| Interface device – SCP | '82' | Card reader identifier on terminal equipment |
| Interface device – EMR | '83' | Contactless connection using radio transmission |
| Interface device – IR | '84' | Contactless connection using infrared transmission |
| Interface device – PKCS#11 | '85' | PKCS#11 interface |
| Interface device – CryptoAPI | '86' | CryptoAPI interface |
| Network node – Local | '90' | No network between client application host and card reader host |
| Network node – IP | '91' | IP address of card reader host |
| Network node – DNS | '92' | Internet domain name of card reader host |
| Network node – ISDN | '93' | ISDN dialing number string of terminal equipment containing the card reader |

At most one selection from the '8x' series and one selection from the '9x' series shall appear in the connection description template.

For example, '7F 21 0C 82 04 41 63 6D 65 91 04 C0 00 02 17' describes a connection to a generic card reader at Internet address 192.0.2.23. As another example, '7F 21 0B 82 01 00 93 06 16 17 55 50 12 3F' describes a connection to the subscriber identity module in the mobile phone at +1 617 555 0123.

When used as an argument to the pivConnect entry point on the PIV client API described in this section, an '8x' series data object with zero length together with a '9x' series data object requests the return of all

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available card readers of the described type on the described node. Thus, '7F 21 04 81 00 90 00' would request a list of all available PC/SC card readers on the host on which the client application was running.

CDLength Length of the card description parameter.

cardHandle The returned opaque identifier of a communication

channel to a particular ICC and hence of the card itself. cardHandle is used in all other entry points on the PIV client API to identify to which card the functionality of

the entry point is to be applied.

Return Codes: PIV_OK

PIV_CONNECTION_DESCRIPTION_MALFORMED

PIV_CONNECTION_FAILURE PIV_CONNECTION_LOCKED

3.1.3 pivDisconnect

Purpose: Disconnect the PIV API from the PIV Card Application and the ICC containing the

PIV Card Application.

Prototype: status_word pivDisconnect(

IN handle cardHandle

);

Parameters: cardHandle Opaque identifier of the card to be acted upon as

returned by pivConnect. The value of cardHandle is

undefined upon return from pivDisconnect.

Return Codes: PIV_OK

PIV_INVALID_CARD_HANDLE PIV_CARD_READER_ERROR

If secure messaging has been established then the PIV Middleware shall zeroize the secure messaging session keys.

3.2 Entry Points for Data Access

3.2.1 pivSelectCardApplication

Purpose: Set the PIV Card Application as the currently selected card application and establish

the PIV Card Application's security state.

Prototype: status_word pivSelectCardApplication(

IN handle cardHandle,
IN sequence of byte applicationAID,
IN LONG aidLength,

OUT sequence of byte applicationProperties,

INOUT LONG APLength

);

Parameters: cardHandle Opaque identifier of the card to be acted upon as

returned by pivConnect.

aidLength Length of the PIV Card Application AID.

applicationAID The AID of the PIV Card Application that is to

become the currently selected card application.

applicationProperties The application properties of the selected PIV

Card Application. See Part 2, Table 3.

APLength As an input, length of the buffer allocated for

applicationProperties. As an output, length of

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the application properties.

Return Codes: PIV_OK

PIV_INVALID_CARD_HANDLE

PIV_CARD_APPLICATION_NOT_FOUND

PIV_CARD_READER_ERROR PIV_INSUFFICIENT_BUFFER

If the length of application properties is longer than the buffer allocated by the client application, then the PIV Middleware shall return PIV_INSUFFICIENT_BUFFER, but shall still set APLength to the length of the application properties.

3.2.2 pivEstablishSecureMessaging

Purpose: Establish secure messaging with the PIV Card Application.

Prototype: status_word pivEstablishSecureMessaging(

IN handle cardHandle,

);

Parameters: cardHandle Opaque identifier of the card to be acted upon as

returned by pivConnect.

Return Codes: PIV_OK

PIV_INVALID_CARD_HANDLE PIV_CARD_READER_ERROR

PIV_SM_FAILED

After successful execution of the key establishment protocol, the PIV Middleware shall perform all subsequent GET DATA, VERIFY, and GENERAL AUTHENTICATE commands over secure messaging, with the exception of any subsequent uses of the GENERAL AUTHENTICATE command to perform the key establishment protocol.

3.2.3 pivLogIntoCardApplication

Purpose: Set security state within the PIV Card Application.

Prototype: status_word pivLogIntoCardApplication(

IN handle cardHandle,
IN sequence of byte authenticators,

IN LONG AuthLength

);

Parameters: cardHandle Opaque identifier of the card to be acted upon as

returned by pivConnect.

authenticators A sequence of zero or more BER-TLV encoded

authenticators to be used to authenticate and set security

state/status in the PIV Card Application context.

The authenticator BER-TLV used on the PIV client API

shall have the structure described in Table 3.

AuthLength Length of the authenticator template.

Table 3. Data Objects in an Authenticator Template (Tag '67')

| Description | Tag | M/O | Comment |
|----------------|------|-----|--|
| Reference data | '81' | М | E.g., the PIN value |
| Key reference | '83' | | See Table 4, Part 1 for PIV Card Application PIN, Global PIN, pairing code, and OCC key reference values |

Return Codes: PIV_OK

PIV_INVALID_CARD_HANDLE
PIV_AUTHENTICATOR_MALFORMED
PIV_AUTHENTICATION_FAILURE

PIV_SECURITY_CONDITIONS_NOT_SATISFIED

PIV_CARD_READER_ERROR

PIV_SM_FAILED

The PIV Middleware shall not submit authenticators to the PIV Card over a contactless interface without secure messaging. If secure messaging has not been established, then the pivLogIntoCardApplication function shall return PIV_SECURITY_CONDITIONS_NOT_SATISFIED.

3.2.4 pivGetData

Purpose: Return the entire data content of the named data object.

Prototype: status_word pivGetData(

);

IN handle cardHandle,
IN string OID,
IN LONG oidLength,
OUT sequence of byte data,
INOUT LONG DataLength

Parameters: cardHandle Opaque identifier of the card to be acted upon as

returned by pivConnect.

Object identifier of the object whose data content is to be

retrieved coded as a string; for example,

"2.16.840.1.101.3.7.2.96.80". See Part 1, Table 3.

oidLength Length of the object identifier.

data Retrieved data content.

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<u>As an input, length of the buffer allocated for data. As</u>

an output, length of the data retrieved from the PIV

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Card.

Return Codes: PIV_OK

PIV_INVALID_CARD_HANDLE

PIV_INVALID_OID

PIV_DATA_OBJECT_NOT_FOUND

PIV_SECURITY_CONDITIONS_NOT_SATISFIED

PIV_CARD_READER_ERROR

PIV_SM_FAILED

PIV_INSUFFICIENT_BUFFER

If the length of the retrieved data is longer than the buffer allocated by the client application, then the PIV Middleware shall return PIV_INSUFFICIENT_BUFFER, but shall still set DataLength to the length of the retrieved data.

3.2.5 pivLogoutOfCardApplication

Purpose: Reset the application security state/status of the PIV Card Application.

Prototype: status_word pivLogoutOfCardApplication(

IN handle cardHandle

);

Parameters: cardHandle Opaque identifier of the card to be acted upon as

returned by pivConnect. The cardHandle remains valid

after execution of this function.

Return Codes: PIV_OK

PIV_INVALID_CARD_HANDLE PIV_CARD_READER_ERROR

3.3 Entry Points for Cryptographic Operations

3.3.1 pivCrypt

Purpose: Perform a cryptographic operation³ such as encryption or signing on a sequence of

bytes. Part 1, Appendix C describes recommended procedures for PIV algorithm

identifier discovery.

Prototype: status_word pivCrypt(

IN handle cardHandle,

IN byte algorithmIdentifier,

IN byte keyReference,
IN sequence of byte algorithmInput,
IN LONG inputLength,

OUT sequence of byte algorithmOutput, INOUT LONG outputLength

);

³ The pivCrypt function does not perform any cryptographic operations itself. It provides the interface to the GENERAL AUTHENTICATE command to perform cryptographic operations on card. All cryptographic operations, except SM on the client side, are performed outside the PIV Middleware.

Parameters: cardHandle Opaque identifier of the card to be acted upon as

returned by pivConnect.

algorithmIdentifier Identifier of the cryptographic algorithm to be used for

the cryptographic operation. [SP800-78, Tables 6-2 and

6-3]

keyReference Identifier of the on-card key to be used for the

cryptographic operation. See [SP800-78, Table 6-1] and

Part 1, Table 4.

algorithmInput Sequence of bytes used as the input to the cryptographic

operation. The algorithmInput for RSA algorithms shall be restricted to the range 0 to *n*-1, where *n* is the RSA

modulus.

inputLength Length of the algorithm input.

algorithmOutput Sequence of bytes output by the cryptographic operation.

outputLength As an input, length of the buffer allocated for

algorithmOutput. As an output, length of the algorithm

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output.

Return Codes: PIV_OK

PIV_INVALID_CARD_HANDLE

PIV_INVALID_KEYREF_OR_ALGORITHM

PIV_SECURITY_CONDITIONS_NOT_SATISFIED

PIV_INPUT_BYTES_MALFORMED

PIV_CARD_READER_ERROR

PIV_SM_FAILED

PIV_INSUFFICIENT_BUFFER

The PIV_INPUT_BYTES_MALFORMED error condition indicates that some property of the data to be processed such as the length or padding was inappropriate for the requested cryptographic algorithm or key.

If the value of keyReference is '03' (PIV Secure Messaging key) then the PIV Middleware shall return PIV_INVALID_KEYREF_OR_ALGORITHM.

If the length of the algorithm output is longer than the buffer allocated by the client application, then the PIV Middleware shall return PIV_INSUFFICIENT_BUFFER, but shall still set outputLength to the length of the algorithm output.

3.4 Entry Points for Credential Initialization and Administration

The PIV Middleware shall not submit data provided to the pivPutData or pivGenerateKeyPair function over the contactless interface. If the PIV Middleware is not communicating with the PIV Card via the card's contact interface then the pivPutData or pivGenerateKeyPair function shall return PIV_SECURITY_CONDITIONS_NOT_SATISFIED.

3.4.1 pivPutData

Purpose: Replace the entire data content of the named data object with the provided data.

Prototype: status_word pivPutData(

IN handle cardHandle,
IN string OID,
IN LONG oidLength,
IN sequence of byte data,
IN LONG dataLength

);

Parameters: cardHandle Opaque identifier of the card to be acted upon as

returned by pivConnect.

Object identifier of the object whose data content is to be

replaced coded as a string; for example,

"2.16.840.1.101.3.7.2.96.80". See Part 1, Table 3.

oidLength Length of the object identifier.

data Data to be used to replace in its entirety the data content

of the named data object.

dataLength Length of the provided data.

Return Codes: PIV_OK

PIV_INVALID_CARD_HANDLE PIV_INVALID_OID

PIV_INVALID_OID
PIV_CARD_READER_ERROR

PIV_INSUFFICIENT_CARD_RESOURCE PIV_SECURITY_CONDITIONS_NOT_SATISFIED

3.4.2 pivGenerateKeyPair

Purpose: Generates an asymmetric key pair in the currently selected card application.

If the provided key reference exists and the cryptographic mechanism associated with the reference data identified by this key reference is the same as the provided cryptographic mechanism, then the generated key pair replaces in entirety the key pair currently associated with the key reference.

Prototype: status_word pivGenerateKeyPair(

IN handle cardHandle,
IN byte keyReference,

IN byte cryptographicMechanism,

OUT sequence of byte publicKey, INOUT LONG KeyLength

);

Parameters: cardHandle Opaque identifier of the card to be acted upon as

returned by pivConnect.

keyReference The key reference of the generated key pair.

cryptographicMechanism The type of key pair to be generated. See Part 1,

Table 5.

publickey BER-TLV data objects defining the public key

of the generated key pair. See Part 2, Table 11.

KeyLength As an input, length of the buffer allocated for

publicKey. As an output, length of the public

key related data retrieved from the PIV Card.

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Return Codes: PIV_OK

PIV_INVALID_CARD_HANDLE

PIV_SECURITY_CONDITIONS_NOT_SATISFIED PIV_INVALID_KEY_OR_KEYALG_COMBINATION PIV_UNSUPPORTED_CRYPTOGRAPHIC_MECHANISM

PIV_CARD_READER_ERROR PIV_INSUFFICIENT_BUFFER

If the length of public key related data retrieved from the PIV Card is longer than the buffer allocated by the client application, then the PIV Middleware shall return PIV_INSUFFICIENT_BUFFER, but shall still set KeyLength to the length of the public key related data retrieved from the PIV Card.

Appendix A—Terms, Acronyms, and Notation

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Application Identifier A globally unique identifier of a card application as defined in ISO/IEC

7816-4.

Application Session
The period of time within a card session between when a card application

is selected and a different card application is selected or the card session

ends.

Algorithm Identifier A PIV algorithm identifier is a one-byte identifier that specifies a

cryptographic algorithm and key size. For symmetric cryptographic operations, the algorithm identifier also specifies a mode of operation

(i.e., ECB).

BER-TLV Data Object A data object coded according to ISO/IEC 8825-2.

Card An integrated circuit card.

Card Application A set of data objects and card commands that can be selected using an

application identifier.

Card Interface Device An electronic device that connects an integrated circuit card and the card

applications therein to a client application.

Card Reader Synonym for card interface device.

Client Application A computer program running on a computer in communication with a

card interface device.

Card Management Any operation involving the PIV Card Application Administrator.

Operation

Data Object An item of information seen at the card command interface for which are

specified a name, a description of logical content, a format and a coding.

Interface Device Synonym for card interface device.

Key Reference A PIV key reference is a one-byte identifier that specifies a

cryptographic key according to its PIV Key Type. The identifier used in cryptographic protocols such as an authentication or a signing protocol.

Object Identifier A globally unique identifier of a data object as defined in ISO/IEC 8824-

2.

Reference Data Cryptographic material used in the performance of a cryptographic

protocol such as an authentication or a signing protocol. The reference

data length is the maximum length of a password or PIN. For algorithms, the reference data length is the length of a key.

Status Word Two bytes returned by an integrated circuit card after processing any

command that encodes the success of or errors encountered during said

processing.

Template A (constructed) BER-TLV data object whose value field contains

specific BER-TLV data objects.

A.2 Acronyms

AID Application Identifier

API Application Programming Interface ASN.1 Abstract Syntax Notation One

BER Basic Encoding Rules

FIPS Federal Information Processing Standards FISMA Federal Information Security Management Act

GSC-IS Government Smart Card Interoperability Specification

HSPD Homeland Security Presidential Directive

ICC Integrated Circuit Card

IEC International Electrotechnical Commission

INCITS InterNational Committee for Information Technology Standards

ISDN Integrated Services Digital Network

ISO International Organization for Standardization

ITL Information Technology Laboratory

LSB Least Significant Bit

MSB Most Significant Bit

NIST National Institute of Standards and Technology

OCC On-Card biometric Comparison

OID Object Identifier

OMB Office of Management and Budget

PC/SC Personal Computer/Smart Card
PIN Personal Identification Number
PIV Personal Identity Verification
PKCS Public-Key Cryptography Standards

PKI Public Key Infrastructure

RFU Reserved for Future Use

SM Secure Messaging SP Special Publication

TLV Tag-Length-Value

A.3 Notation

The sixteen hexadecimal digits shall be denoted using the alphanumeric characters 0, 1, 2, ..., 9, A, B, C, D, E, and F. A byte consists of two hexadecimal digits, for example, '2D'. The two hexadecimal digits are represented in quotations '2D' or as 0x2D. A sequence of bytes may be enclosed in single quotation marks, for example 'A0 00 00 01 16', rather than given as a sequence of individual bytes, 'A0' '00' '00' '01' '16'.

A byte can also be represented by bits b8 to b1, where b8 is the most significant bit (MSB) and b1 is the least significant bit (LSB) of the byte. In textual or graphic representations, the leftmost bit is the MSB. Thus, for example, the most significant bit, b8, of '80' is 1 and the least significant bit, b1, is 0.

All bytes specified as RFU shall be set to '00' and all bits specified as RFU shall be set to 0.

All lengths shall be measured in number of bytes unless otherwise noted.

Data objects in templates are described as being mandatory (M) or optional (O). 'Mandatory' means the data object shall appear in the template. 'Optional' means the data object may appear in the template.

In other tables the M/O/C column identifies properties of the PIV Card Application that shall be present (M), may be present (O), or are conditionally required to be present (C).

BER-TLV data object tags are represented as byte sequences as described above. Thus, for example, 0x4F is the interindustry data object tag for an application identifier and 0x7F60 is the interindustry data object tag for the biometric information template.

Appendix B—References

[FIPS201] Federal Information Processing Standard 201-2, *Personal Identity Verification (PIV) of Federal Employees and Contractors*, August 2013. (See http://csrc.nist.gov)

[ISO8825] ISO/IEC 8825-1:2002, Information technology — ASN.1 encoding rules: Specification of Basic Encoding Rules (BER), Canonical Encoding Rules (CER) and Distinguished Encoding Rules (DER).

[SP800-78] <u>Revised</u> Draft NIST Special Publication 800-78-4, *Cryptographic Algorithms and Key Sizes for Personal Identity Verification*. (See http://csrc.nist.gov)